## Edgar Filing: Allison Transmission Holdings Inc - Form 4

Allison Transmission Holdings Inc Form 4 December 04, 2013

FORM	4 UNITED	STATES					E COMMISSION	N OMB	PPROVA 3235-	
Check this			Wa	shington	, D.C. 2	0549		Number:	Januar	
if no longe subject to Section 16 Form 4 or	SIAIEN			SECUI	RITIES		WNERSHIP OF	Estimated burden hou response	average urs per	2005 0.5
Form 5 obligations may contin <i>See</i> Instruct 1(b).	s Section 17(	(a) of the l	Public U	tility Hol	ding Co		nge Act of 1934, of 1935 or Section 1940			
(Print or Type Re	esponses)									
1. Name and Ad RABORN FI	ldress of Reporting RANCIS	Person <u>*</u>	Symbol	er Name <b>an</b>			5. Relationship o Issuer	of Reporting Per	rson(s) to	
			[Allison [ALSN		ssion H	oldings Inc	(Che	eck all applicabl	e)	
(Last)		Middle)		of Earliest T Day/Year) 2013	ransactio	n	X Director Officer (giv below)		% Owner her (specify	
	(Street)			endment, D nth/Day/Yea	-	nal	6. Individual or . Applicable Line) _X_ Form filed by	One Reporting P	erson	
INDIANAPO	DLIS, IN 46222						Form filed by Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivativ	e Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	d
	2. Transaction Date Month/Day/Year)	2A. Deema Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Dispose (Instr. 3	d (A) or	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	վ
Reminder: Repo	rt on a separate line	e for each cl	ass of sec	urities bene	ficially ov	wned directly	or indirectly.			
					infor requ	mation con ired to resp lays a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date	Underlying Securities	Derivati
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8		Derivat Securiti Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	ties red ed 3,	,				(Instr. 5
				Code V	v	(A) (I	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Rights	<u>(1)</u>	12/02/2013		А		14		(1)	<u>(1)</u>	Common Stock	14	\$ 0

## **Reporting Owners**

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
RABORN FRANCIS ONE ALLISON WAY INDIANAPOLIS, IN 46222	Х			
Signatures				
/s/ Eric C. Scroggins, attorney-in-fact		12/04/2013	3	
**Signature of Reporting Person		Date		
Explanation of De	~ ~ ~ ~ ~			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and vest proportionately with the RSUs to

(1) which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.