

KAMON MARK S

Form 3

October 20, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

KAMON MARK S

(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/10/2011

3. Issuer Name and Ticker or Trading Symbol

CARPENTER TECHNOLOGY CORP [CRS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP - Commercial SAO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

CARPENTER TECHNOLOGY CORPORATION, PO BOX 14662

(Street)

READING, PA 19612

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

27,399.03 ⁽¹⁾

D

A

Common Stock

288

I

by 401(k) Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (2)	06/30/2018	Common Stock	19,975	\$ 43.65	D	Â
Employee Stock Option (right to buy)	Â (3)	07/29/2019	Common Stock	12,475	\$ 17.29	D	Â
Employee Stock Option (right to buy)	Â (4)	07/30/2020	Common Stock	5,911	\$ 34.95	D	Â
Employee Stock Option (right to buy)	Â (5)	07/28/2021	Common Stock	4,491	\$ 56.52	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KAMON MARK S CARPENTER TECHNOLOGY CORPORATION PO BOX 14662 READING,Â PAÂ 19612	Â	Â	Â SVP - Commercial	SAO Â

Signatures

James D.
Dee/POA 10/20/2011

__Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock units granted under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.
- (2) This award became exercisable in equal amounts on each of 6/30/09, 6/30/10 and 6/30/11.
- (3) One-third of this award became exercisable on each of 7/29/10 and 7/29/11. The remaining one-third becomes exercisable on 7/29/12.
- (4) One-third of this award became exercisable on 7/30/11. The remaining two-thirds will become exercisable in equal parts on 7/30/12 and 7/30/13.
- (5) One-third of this award becomes exercisable on each of 7/28/12, 7/28/13 and 7/28/14.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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