

AMERICAN ELECTRIC POWER CO INC

Form 3

January 05, 2017

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*Â Patton Charles R.  
(Last) (First) (Middle)2. Date of Event Requiring  
Statement(Month/Day/Year)  
01/01/20173. Issuer Name **and** Ticker or Trading Symbol

AMERICAN ELECTRIC POWER CO INC [AEP]

4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
Executive Vice President6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

1 RIVERSIDE PLAZA

(Street)

COLUMBUSÂ 43215

(City) (State) (Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

15,427

D

Â

Common Stock

883

I

by 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

# Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (1)	Â (1)	Common Stock	1,977	\$ (4)	D	Â
Restricted Stock Units	Â (2)	Â (2)	Common Stock	3,024	\$ (4)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	3,553	\$ (4)	D	Â
Career Shares (Phantom Stock) (5)	Â (5)	Â (5)	Common Stock	36,548	\$ 0	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Patton Charles R. 1 RIVERSIDE PLAZA COLUMBUSÂ 43215	Â	Â	Â Executive Vice President	Â

## Signatures

/s/ Thomas G. Berkemeyer, Attorney-in-Fact for Charles R  
Patton

01/05/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The restricted stock units vest May 1, 2017

(2) The restricted stock units vest in two equal installments May 1, 2017 and May 1, 2018

(3) The restricted stock units vest in three equal installments May 1, 2017, May 1, 2018 and May 1, 2019

(4) Each restricted stock unit represents a contingent right to receive one share of AEP common stock.

(5) Career shares become payable upon the reporting person's termination of employment with AEP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.