Clough Global Dividend & Income Fund Form N-PX August 23, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21583

CLOUGH DIVIDEND AND INCOME FUND

(Exact name of registrant as specified in charter)

<u>1290 Broadway, Suite 1100, Denver, Colorado 80203</u> (Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq. Clough Dividend and Income Fund 1290 Broadway, Suite 1100 <u>Denver, Colorado 80203</u> (Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 - June 30, 2017

Item 1 – Proxy Voting Record.

	ummary N, INC.							
Securit		009728106			Meeting	, Type	Annual	
	.y Symbol				Meeting		01-Jul-2016	
ISIN	- j	US0097281069			Agenda		934429437 -	Management
Record	Date	11-May-2016			Holding Date		11-May-2016	C
City /	Count	rv /	nited ates		Vote De Date	eadline	30-Jun-2016	
SEDOI	L(s)				Quick C	Code		
Item	Propo	sal		Proposed V by	ote	For/A Manag	gainst gement	
1.	DIRE	CTOR		Managemen	t			
	1	JOHN KAPOOR, PHD			For	Fo	r	
	2	KENNETH ABRAMOWI	ΤZ		For	Fo	r	
	3	ADRIENNE GRAVES, PL	HD		For	Fo	r	
	4	RONALD JOHNSON			For	Fo	r	
	5	STEVEN MEYER			For	Fo	r	
	6	TERRY ALLISON RAPP	UHN		For	Fo	r	
	7	BRIAN TAMBI			For	Fo	r	
	8	ALAN WEINSTEIN			For	Fo	r	
2.	APPC THE (REGI	OSAL TO RATIFY THE DINTMENT OF BDO USA, COMPANY'S INDEPENDE STERED PUBLIC ACCOU FOR YEAR ENDING DEG 16.	ENT INTING	Managemen	t For	Fo	r	
3.	A NO THE C COMI DESC	OSAL TO APPROVE, THE N- BINDING ADVISORY COMPANY'S EXECUTIVE PENSATION PROGRAM RIBED IN THE COMPAN CY STATEMENT.	VOTE, E AS	Managemen	t For	Fo	r	
Accour Numbe		Account Name	Internal Cu Account	ustodian Ballo	-	Unavaila Shares	ble Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	997AISA ST	BATE 9,000 FREET)	18,800	21-May-2016	21-May-2016

BANK & TRUST

				CO				
997AL	.47	GLQ BNP PLEDGE	997AI	STATE STREET BANK 47 RUST CO	5,500	46,700	21-May-2016	21-May-2016
997AL	.49	GLO BNP PLEDGE	997AI	STATE STREET BANK 49 FRUST CO	5,200	111,100	21-May-2016	21-May-2016
ARMS	TRON	G WORLD INDUSTRIES,	INC.					
Securit	y	04247X102			Meet	ing Type	Annual	
Ticker	Symbol	l AWI			Meet	ing Date	08-Jul-2016	
ISIN		US04247X1028			Ager	nda	934420237 -	Management
Record	l Date	15-Apr-2016			Hold Date	ing Recon	15-Apr-2016	
City /	Coun	trv /	Jnited States		Vote Date	Deadline	07-Jul-2016	
SEDOI	$\left(a \right)$				Onia	lr Cada		
SEDOI	L(S)				Quic	k Code		
Item	Propo	osal		Propo by		For/A	gainst gement	
	Propo	osal ECTOR		by	sed	For/A	-	
Item	Propo			by	sed Vote	For/A	gement	
Item	Propo DIRE	CTOR		by	sed Vote	For/A Manag	r	
Item	Propo DIRE 1	CTOR STAN A. ASKREN		by	sed Vote gement For	For/A Manaş Fo	gement r r	
Item	Propo DIRE 1 2	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE	MS	by	sed Vote gement For For	For/A Manaş Fo Fo	gement r r r	
Item	Propo DIRE 1 2 3	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG	MS	by	sed Vote gement For For For	For/A Manaş Fo Fo Fo	gement r r r r	
Item	Propo DIRE 1 2 3 4	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN	MS	by	sed Vote gement For For For For	For/A Manaş Fo Fo Fo Fo	gement r r r r	
Item	Propo DIRE 1 2 3 4 5	CTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE	MS	by	sed Vote gement For For For For For	For/A Manaş Fo Fo Fo Fo	gement r r r r r	
Item	Propo DIRE 1 2 3 4 5 6	CTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE JAMES J. O'CONNOR	MS	by	sed Vote gement For For For For For For	For/A Manag Fo Fo Fo Fo Fo	gement r r r r r r	
Item	Propo DIRE 1 2 3 4 5 6 7	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE JAMES J. O'CONNOR JOHN J. ROBERTS		by	sed Vote gement For For For For For For For	For/A Manag Fo Fo Fo Fo Fo Fo	gement r r r r r r r	
Item	Propo DIRE 1 2 3 4 5 6 7 8 9 TO R KPM REGI	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE JAMES J. O'CONNOR JOHN J. ROBERTS GREGORY P. SPIVY	S OF NDENT	by Manag	sed Vote gement For For For For For For For For	For/A Manag Fo Fo Fo Fo Fo Fo Fo	gement r r r r r r r r	
Item 1.	Propo DIRE 1 2 3 4 5 6 7 8 9 TO R KPM REGI FIRM TO A WOR	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE JAMES J. O'CONNOR JOHN J. ROBERTS GREGORY P. SPIVY CHERRYL T. THOMAS ATIFY THE SELECTION G LLP AS OUR INDEPEN ISTERED PUBLIC ACCO	G OF VDENT UNTINC TRONG 016	by Manag Manag	sed Vote gement For For For For For For For For	For/A Manag Fo Fo Fo Fo Fo Fo Fo	gement r r r r r r r r	

TO APPROVE OF THE ARMSTRONG WORLD INDUSTRIES, INC. 2016 LONG-TERM INCENTIVE PLAN.

Account Number	Account Name	('ustodian	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO TRUST CO	113,400	0	07-May-2016	11-Jul-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	27,400	0	07-May-2016	11-Jul-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	45,200	0	07-May-2016	11-Jul-2016
CHINA LONG	GYUAN POWER GROUP	CORPORATION I	LTD			
Security	Y1501T101		Meetin	ng Type	ExtraOrdinar Meeting	y General
Ticker Symbol	l		Meetin	ng Date	12-Aug-2016	
ISIN	CNE100000HD4		Agend	a	707215744 -	Management
Record Date	11-Jul-2016		Holdir Date	ng Recon	11-Jul-2016	
City / Coun	try BEIJING / C	China	Vote I Date	Deadline	08-Aug-2016	i i
SEDOL(s)	B3MFW30 - B4Q2TX3 B4XWG35 - BP3RS86		Quick	Code		
Item Propo	osal	Propose by	^{ed} Vote		Against agement	
NOT AVA URL http://	ASE NOTE THAT THE CC ICE AND PROXY FORM ILABLE BY CLICKING-C LINKS:- /www.hkexnews.hk/listedco L TN20160624371 pdf	ARE DN THE	-			

0624/LTN20160624371.pdf-,-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

0624/LTN20160624389.pdf

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TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LUAN **BAOXING AS A NONEXECUTIVE** DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY **RESIGNATION OF MR. SHAO** GUOYONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD"). MEANWHILE, TO AUTHORIZE THE REMUNERATION AND ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE Management For **REMUNERATION OF THE NEW** For DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM TO CONSIDER AND APPROVE THE Management For For APPOINTMENT OF MR. YANG XIANGBIN AS A NONEXECUTIVE DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY **RESIGNATION OF MR. CHEN** JINGDONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT

SESSION OF THE BOARD. MEANWHILE, TO AUTHORIZE THE REMUNERATION AND

ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE **REMUNERATION OF THE NEW** DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' **REMUNERATION PLAN FOR THE** YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM.

Account Number	Account Name	Internal Custodi Account	an Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
GLV	GLV	STATE STREE BANK	Т 771,000	0	25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREE BANK	Т 1,253,000	0	25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREE BANK	Т 3,161,000	0	25-Jun-2016	10-Aug-2016
LIBERTY ME	DIA CORPORATION					
Security	531229409		Meetin	g Type	Annual	
Ticker Symbol	LSXMA		Meetin	g Date	23-Aug-2016)
ISIN	US5312294094		Agend	a	934458870 -	Management
Record Date	01-Jul-2016		Holdin Date	g Recon	01-Jul-2016	
City / Count	ry /	, United States	Vote D Date	Deadline	22-Aug-2016	5
SEDOL(s)			Quick	Code		

Item	Proposal		Proposed Vote		For/Against Management				
1.	DIRE	CTOR		Manag	gemen	t			
	1	JOHN C. MALONE				For	Fo	r	
	2	ROBERT R. BENNETT				For	Fo	or	
	3	M. IAN G. GILCHRIST				For	Fo	or	
2.	SELE INDE	OPOSAL TO RATIFY TH CTION OF KPMG LLP A PENDENT AUDITORS FO AL YEAR ENDING DECE 016.	S OUR OR THE	Manaş	gemen	t For	Fo	r	
Accoun Numbe		Account Name	Internal Account	ustodiar	Ballo Share		Unavaila Shares	ble Vote Date	Date Confirmed
997AL4	49	GLO BNP PLEDGE	ST 997AIA	RUST	1,656	5	113,800	09-Jul-2016	24-Aug-2016
997AL	51	GLQ MS PLEDGE	ST 997AL &	RUST	9,168	3	0	09-Jul-2016	24-Aug-2016
LIBER'	TY INT	TERACTIVE CORPORAT	ION						
Securit	у	53071M880				Meeting	g Type	Annual	
Ticker	Symbol	LVNTA				Meeting	g Date	23-Aug-2016	i
ISIN		US53071M8800				Agenda		934458882 -	Management
Record	Date	01-Jul-2016				Holding Date	Recon	01-Jul-2016	
City /	Count	trv /	nited tates			Vote De Date	eadline	22-Aug-2016	i i
SEDOI	L(S)					Quick C	Code		
Item	Propo	sal		Propos by	sed Vo	ote		gainst gement	
1.	DIRE	CTOR		Manag	gemen	t			
	1	JOHN C. MALONE				For	Fo	r	
	2	M. IAN G. GILCHRIST				For	Fo	r	
	3	MARK C. VADON				For	Fo	r	
	4	ANDREA L. WONG				For	Fo	or	

2.	A PROPOSAL TO RATIFY TH SELECTION OF KPMG LLP A INDEPENDENT AUDITORS F FISCAL YEAR ENDING DEC 31, 2016.	AS OUR FOR THE Management For	For			
3.	A PROPOSAL TO ADOPT TH LIBERTY INTERACTIVE CORPORATION 2016 OMNIB INCENTIVE PLAN.	Management For	For			
Accour Numbe	Account Name	Internal Custodian Account Shares	Unavailable Vote Date Shares	e Date Confirmed		
997GL	V GLV	STATE STREET 997GIV 24,200 TRUST CO	0 09-Jul-20	16 24-Aug-2016		
997GL0	Q GLQ	STATE STREET 997GI& TRUST CO	0 09-Jul-20	16 24-Aug-2016		
997GL	D GLO	STATE STREET 997GLO 65,800 TRUST CO	0 09-Jul-20	16 24-Aug-2016		
997AL4	45 GLV BNP PLEDGE	STATE STREET 997AI 45 10,872 TRUST CO	0 09-Jul-20	16 24-Aug-2016		
997AL4	47 GLQ BNP PLEDGE	STATE STREET 997AI 47 3,403	64,000 09-Jul-20	16 24-Aug-2016		

TRUST CO

997AISADATE 14,471 STREET BANK & TRUST

997AL49

GLO BNP PLEDGE

24-Aug-2016

109,900 09-Jul-2016

997AL		GLV MS PLEDGE	S 997AL&	TATE TREET ANK 0 RUST	24,00	00	0	09-Jul-2016	24-Aug-2016
	AT, INC.								
Securit	•	92552V100				Meeting		Annual	
	Symbol	VSAT				Meeting	-	08-Sep-2016	
ISIN		US92552V1008				Agenda		934463643 -	Management
Record	l Date	15-Jul-2016				Holding Date	g Recon	15-Jul-2016	
City /	Country	J I	United States			Vote De Date	eadline	07-Sep-2016	
SEDO	L(s)					Quick O	Code		
Item	Proposa	al		Propo by	sed V	ote		gainst gement	
1.	DIREC	TOR		Mana	gemen	ıt			
	1	RICHARD BALDRIDGI	E			For	Fo	or	
	2	B. ALLEN LAY				For	Fo	or	
	3	DR. JEFFREY NASH				For	Fo	or	
2.	PRICE AS VIA REGIS	ICATION OF APPOINT WATERHOUSECOOPE ASAT'S INDEPENDENT FERED PUBLIC ACCO FOR FISCAL YEAR 201	RS LLP UNTING		gemen	ıt For	Fo	or	
3.		ORY VOTE ON EXECU ENSATION	JTIVE	Mana	gemen	t For	Fo)r	
Accour Numbe		Account Name	Internal Account	ustodiai t	n Ballo Share		Unavaila Shares	able Vote Date	Date Confirmed
997AL	A5	GLV BNP PLEDGE	S 997AI	TATE TREET ANK S RUST O	149		34,500	26-Jul-2016	09-Sep-2016
997AL	.47	GLQ BNP PLEDGE	S S 997AI &	TATE TREET ANK T	142		63,600	26-Jul-2016	09-Sep-2016

997AL	,49	GLO BNP PLEDG		STATE STREET BANK & TRUST CO	135		145,600	26-Jul-2016	09-Sep-2016
HILTC	ON WORI	LDWIDE HOLDING	S INC.						
Securit	-	43300A104				Meeting	• •	Special	
	Symbol	HLT				Meeting		04-Oct-2016	
ISIN		US43300A1043				Agenda		934479216 -	Management
Record	l Date	26-Aug-2016				Holding Date		26-Aug-2016	5
City /	Country	1	/ United States			Vote De Date	eadline	03-Oct-2016	
SEDO	L(s)					Quick C	Code		
Item	Proposa	ıl		Propo by	sed Vo	ote	For/A Manag	gainst gement	
1.	TO APPROVE AND ADOPT AN AMENDMENT TO THE COMPANY'S CERTIFICATE OF INCORPORATION TO EFFECT A REVERSE STOCK SPLIT AND DECREASE AUTHORIZED SHARES.Management ForFor								
2.		PROVE AN ADJOU PECIAL MEETING.	RNMENT C	OF Manag	gemen	t For	Fo	r	
Accour Numbe		Account Name	Intern Accou	unt	Ballo Share	t es	Unavaila Shares	ble Vote Date	Date Confirmed
997GL	.V	GLV		STATE STREET BANK W TRUST CO	20,00	0	0	09-Sep-2016	05-Oct-2016
997GL	Q	GLQ	997G	STATE STREET BANK W TRUST CO	30,00	0	0	09-Sep-2016	05-Oct-2016
997GL	.0	GLO		ISOFATE STREET BANK & TRUST	2,400)	0	09-Sep-2016	05-Oct-2016

			C	0					
997AL4	49	GLO BNP PLEDGE	ST 997AL4 &	RUST	100		159,900	09-Sep-2016	05-Oct-2016
997AL6	50	GLV MS PLEDGE	ST 997AL6	RUST	19,800)	0	09-Sep-2016	05-Oct-2016
997AL6	51	GLQ MS PLEDGE	ST 997AL6	RUST	34,300)	0	09-Sep-2016	05-Oct-2016
SINOPI	HARM G	ROUP CO. LTD.							
Security	ý	Y8008N107				Meeting	Type	ExtraOrdina Meeting	ry General
Ticker S	Symbol					Meeting	, Date	18-Oct-2016	Ĵ
ISIN		CNE100000FN7				Agenda		707423264 -	Management
Record	Date	15-Sep-2016				Holding Date	Recon	15-Sep-2016	5
City /	Country	SHANGH AI / C	hina			Vote De Date	adline	04-Oct-2016	<u>,</u>
SEDOL	L(S)	B3ZVDV0 - B4M8B73 B5NVZ21 - BP3RXT2	-			Quick C	Code		
Item	Proposa PLEASI	1 E NOTE THAT THIS IS	AN	Propos by	sed Vo	te		gainst gement	
CMMT	AMENI DUE TO 2. ALL PREVIO DISREO NEED T	DMENT TO MEETING I DADDITION OF- RESO VOTES RECEIVED ON DUS MEETING WILL B GARDED-AND YOU WI FO REINSTRUCT ON T NG NOTICE. THANK Y	D 675300 LUTION THE E LL HIS	Non-V	⁷ oting				
СММТ	AND PI BY CLI	THAT THE COMPANY ROXY FORM ARE AVA CKING ON THE-URL L ww.hkexnews.hk/listedco	ILABLE INKS:-	Non-V vs/sehk/	C				

930/ltn20160930476.pdf,http://www.hkexnews.hk/listedco/listconews/sehk/2016/0 930/ltn20160930421.pdf,http://www.hkexnews.hk/listedco/listconews/sehk/2016/0 901/ltn201609011181.pdf-ANDhttp://www.hkexnews.hk/listedco/listconews/sehk/2016/0 901/ltn201609011318.pdf PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF CMMT "ABSTAIN" WILL BE TREATED-THE Non-Voting SAME AS A "TAKE NO ACTION" VOTE TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE: "THAT (I) THE ADOPTION OF THE SCHEME (AS DEFINED IN THE CIRCULAR DATED **1 SEPTEMBER 2016 DESPATCHED TO** THE SHAREHOLDERS OF THE COMPANY) BY THE COMPANY AND THE DELEGATION OF AUTHORIZATION TO ANY DIRECTOR OF THE COMPANY OR AUTHORIZED REPRESENTATIVE OF THE BOARD TO EXECUTE ANY DOCUMENTS AND INSTRUMENTS AS MAY BE NECESSARY OR INCIDENTAL TO THE ADOPTION OF 1 Management For For THE SCHEME AND TO DO ALL SUCH ACTS AND THINGS AS THEY CONSIDER NECESSARY OR EXPEDIENT OR DESIRABLE IN CONNECTION WITH THE IMPLEMENTATION OF THE SCHEME; AND (II) THE BOARD BE AND IS HEREBY AUTHORIZED TO IMPLEMENT THE SCHEME AS WELL AS TO APPROVE GRANTS OF **RESTRICTED SHARES UNDER THE** SCHEME FROM TIME TO TIME (INCLUDING BUT NOT LIMITED TO THE INITIAL GRANT OF THE SCHEME)" 2 TO CONSIDER AND, IF THOUGHT Management For For FIT, TO APPROVE THE APPOINTMENT OF MR. MA PING AS A NON- EXECUTIVE DIRECTOR OF THE COMPANY. TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO DETERMINE HIS

REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE COMPANY

Account Number	Account Name	Clistodian	allot hares	Unavaila Shares	ble Vote Date	Date Confirmed
GLV	GLV	STATE STREET 14 BANK	42,400	0	01-Oct-2016	12-Oct-2016
GLQ	GLQ	STATE STREET 23 BANK	36,800	0	01-Oct-2016	12-Oct-2016
GLO	GLO	STATE STREET 58 BANK	83,600	0	01-Oct-2016	12-Oct-2016

BYD COMPANY LTD, SHENZHEN

Security	Y1023R104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	01-Nov-2016
ISIN	CNE100000296	Agenda	707516110 - Management
Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016
City / Country	SHENZH EN / China	Vote Deadline Date	04-Oct-2016
SEDOL(s)	6536651 - B01XKF2 - B0WVS95 - BD8GJR0	Quick Code	

Item	Proposal	Proposed by Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 681715 DUE TO ADDITION OF- RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-IF VOTE DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE REINSTRUCT ON THIS- MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE EXTENSIONS ARE	Non-Voting	

	NOT-GRANTED IN THE MA THIS MEETING WILL BE INACTIVATED AND YOUR VOTE-INTENTIONS ON THE ORIGINAL MEETING WILL APPLICABLE. PLEASE ENS VOTING-IS SUBMITTED PR CUTOFF ON THE ORIGINAL MEETING, AND AS SOON A POSSIBLE-ON THIS NEW AT MEETING. THANK YOU.	E BE URE IOR TO S		
CMMT	PLEASE NOTE THAT THE C NOTICE AND PROXY FORM AVAILABLE BY CLICKING URL LINKS:- http://www.hkexnews.hk/listed 0913/LTN20160913500.pdf,- http://www.hkexnews.hk/listed 1019/LTN20161019505.pdf,- http://www.hkexnews.hk/listed 0913/LTN20160913545.pdf,- http://www.hkexnews.hk/listed 1019/LTN20161019509.pdf	A ARE -ON THE co/listconews/SEHK/2016/ Non-Voting co/listconews/SEHK/2016/ ND- co/listconews/SEHK/2016/		
1	TO CONSIDER AND APPRO INTERIM PROFIT DISTRIBU PLAN OF THE COMPANY F SIX MONTHS ENDED 30 JU	UTION Management For OR THE	For	
2	TO CONSIDER AND APPRO PROPOSED CHANGE OF TH COMPANY'S BUSINESS SCO AMENDMENT TO THE ART ASSOCIATION OF THE COM ARTICLE 11	IE OPE AND TICLES OF Management For	For	
3	TO CONSIDER AND APPRO PROPOSED FURTHER CHAI THE COMPANY'S BUSINESS AND AMENDMENT TO THE ARTICLES OF ASSOCIATIO COMPANY: ARTICLE 11	NGE OF S SCOPE Management For	For	
Account Number	Account Name	Internal Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
GLQ	GLQ	STATE STREET 150,500 BANK	0 20-Oct-2016	27-Oct-2016
CL C		STATE		

BANK

STREET 380,000 0 20-Oct-2016

GLO

GLO

27-Oct-2016

LIBER	TY INTE	RACTIVE CORPOR	ATION					
Securit	У	53071M880			Meeting 7	Гуре	Special	
Ticker	Symbol	LVNTA			Meeting I	Date	01-Nov-2016	
ISIN		US53071M8800			Agenda		934488152 -	Management
Record	Date	28-Sep-2016			Holding H Date	Recon	28-Sep-2016	
City /	Country	,	/ United States		Vote Dea Date	dline	31-Oct-2016	
SEDOI	L(s)				Quick Co	de		
Item	Proposa	1		Proposed V by	ote	For/Aga Manage		
1.	REDEM INTERA PORTIO SHARE COMM OUTST EXPED WOULD INTERA OWNED INTERI LIMITS FOR FU	POSAL TO APPROV (PTION BY LIBERT ACTIVE CORPORA ON OF THE OUTST S OF LIBERTY VEN ON STOCK FOR AL ANDING SHARES IA HOLDINGS, INC D HOLD LIBERTY ACTIVE CORPORA RSHIP AND VOTIN ESTS IN (DUE TO S, SEE PROXY STAT JLL PROPOSAL).	Y TION OF A ANDING NTURES L OF THE OF LIBERTY C., WHICH TION'S G SPACE TEMENT	Managemen	t For	For		
2.	ADJOU MEETI INTERA PERMI PROXII APPRO VOTES THE SF THE O	POSAL TO AUTHOR RNMENT OF THE S NG BY LIBERTY ACTIVE CORPORA' T FURTHER SOLIC ES, IF NECESSARY PRIATE, IF SUFFIC ARE NOT REPRES PECIAL MEETING T THER PROPOSAL T NTED AT THE SPEC NG.	SPECIAL FION TO ITATION OF OR IENT ENTED AT O APPROVE O BE	Managemen	t For	For		
Accour Numbe	4	Account Name		ustodian Ballo t FATE	ot l es S	Unavailab Shares	le Vote Date	Date Confirmed
997GL	V	GLV	997GL	FREET ANK 1,572 RUST O	2 (0 ()5-Oct-2016	02-Nov-2016

997GL	Q	GLQ	S 997GI	RUST	4,200	0	05-Oct-2016	02-Nov-2016
997AL	47	GLQ BNP PLEDGE	S 997AI&	RUST	15,003	44,000	05-Oct-2016	02-Nov-2016
997AL4	49	GLO BNP PLEDGE	S 997AI&	RUST	3,871	109,900	05-Oct-2016	02-Nov-2016
997AL	60	GLV MS PLEDGE	S 997AL	RUST	24,000	0	05-Oct-2016	02-Nov-2016
THE W	/ILLIAM	S COMPANIES, INC.	C	0				
Securit		969457100			Meeti	ing Type	Annual	
	Symbol	WMB				ing Date	23-Nov-2016	5
ISIN		US9694571004		Agenda		934492579 -	Management	
Record	Date	07-Oct-2016			Holdi Date	ing Recon	07-Oct-2016	
City /	Country		Jnited States		Vote Date	Deadline	22-Nov-2016	5
SEDOI	L(s)				Quick	x Code		
Item	Proposa	1		Propo by	sed Vote	For/A Mana	gainst gement	
1A.	ELECT ARMST	ION OF DIRECTOR: A TRONG	LAN S.	Mana	gement For	Fc	r	
1 B .		ION OF DIRECTOR: S' RGSTROM	FEPHEN	Mana	gement For	Fc	r	
1C.	ELECT I. CHAZ	ION OF DIRECTOR: S' ZEN	FEPHEN	Mana	gement For	Fo	r	
1D.		ION OF DIRECTOR: LEEN B. COOPER		Mana	gement For	Fc	r	
1E.	ELECT RAGAU	ION OF DIRECTOR: P JSS	ETER A.	Mana	gement For	Fc	r	

1F.	ELECT SHEFF	ION OF DIRECTOF IELD	R: SCO	OTT D.	Manag	gemen	t For	Fo	r	
1G.	ELECT D. SMI	ION OF DIRECTOF TH	R: MU	RRAY	Manag	gemen	t For	Fo	r	
1H.	ELECT H. SPEI	ION OF DIRECTOP	R: WII	LLIAM	Manag	gemen	t For	Fo	or	
1I.	ELECT STONE	ION OF DIRECTOP Y	R: JAN	NICE D.	Manag	gemen	t For	For		
2.	RATIFICATION OF ERNST & YOUNG LLP AS AUDITORS FOR 2016.			Management For			Fo	or		
3.	APPROVAL, BY NONBINDING ADVISORY VOTE, OF THE COMPANY'S EXECUTIVE COMPENSATION.		Manag	Management For		For				
Accoun Numbe		Account Name		Internal Accoun	listodian	Ballo Share	t es	Unavaila Shares	ble Vote Date	Date Confirmed
997AL4	47	GLQ BNP PLEDC	ĴΕ	S' S' 997AI & T	TATE TREET ANK T RUST	100		63,100	20-Oct-2016	20-Oct-2016
997AL4	49	GLO BNP PLEDO	ĴΕ	S 997AI& T	TATE TREET ANK 2 RUST 20	100		159,700	20-Oct-2016	20-Oct-2016
997AL	60	GLV MS PLEDGI	E	S 997AL & T	TATE TREET ANK 50 RUST CO	39,10	00	0	20-Oct-2016	20-Oct-2016
AMSU	RG COR	P.								
Security	у	03232P405					Meeting	g Type	Special	
Ticker	Symbol	AMSG					Meeting	g Date	28-Nov-2016	
ISIN		US03232P4054					Agenda		934494826 -	Management
Record	Date	07-Oct-2016					Holding Date		07-Oct-2016	
City /	Country	7	/	nited ates			Vote De Date	eadline	25-Nov-2016	Ď
SEDOL	L(S)						Quick C	Code		

Item	Proposal		Proposed V by	<i>'ote</i>	For/A Mana	gainst gement	
1.	TO CONSIDER AND VOTE ON PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JUNE 2016 (THE "MERGER AGREEM BY AND AMONG ENVISION HEALTHCARE HOLDINGS, IN AMSURG CORP. AND NEW AMETHYST CORP., AND THE TRANSACTIONS CONTEMPLA BY THE MERGER AGREEMEN	Management For For					
2.	TO CONSIDER AND VOTE ON NON-BINDING, ADVISORY PROPOSAL TO APPROVE THE COMPENSATION THAT MAY BECOME PAYABLE TO AMSU NAMED EXECUTIVE OFFICER CONNECTION WITH THE CONSUMMATION OF THE ME	Management For For					
3.	TO CONSIDER A VOTE ON A PROPOSAL TO APPROVE ANY MOTION TO ADJOURN THE A SPECIAL MEETING IF NECESS OR APPROPRIATE, TO SOLICI ADDITIONAL PROXIES.	Management For			For		
Accoun Number	Account Name	Internal Cu Account	Balla Istodian Shar	ot U es S	Inavaila hares	ble Vote Date	Date Confirmed
997GL0	O GLO	ST 997GLO	TATE TREET ANK 6,40 RUST	0 0		26-Oct-2016	29-Nov-2016
997AL4	45 GLV BNP PLEDGE	ST ST 997AI <mark>B</mark> 4	CATE CREET SNK 2,20 RUST	0 6,	,200	26-Oct-2016	29-Nov-2016
997AL4	47 GLQ BNP PLEDGE	ST ST 997AI4 &	TATE TREET ANK 2,70 RUST	0 1:	3,600	26-Oct-2016	29-Nov-2016

997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	100	34,500	26-Oct-2016	29-Nov-2016
CHR. HANSEN	HOLDING A/S					
Security	K1830B107			Meeting Type	Annual Gene	eral Meeting
Ticker Symbol				Meeting Date	29-Nov-2016	5
ISIN	DK0060227585			Agenda	707583793 -	Management
Record Date	22-Nov-2016			Holding Recon Date	22-Nov-2016	6
City / Country	HORSHO LM / D	enmark		Vote Deadline Date	18-Nov-2016	5
SEDOL(s)	B3LL574 - B3SMD32 - B573M11 - B63NJ00 - BHZLC88	-		Quick Code		
Item Proposa	1	Propo by	osed V	ote For/A Mana	Against Agement	
THE VO REGIST CLIENT PERCE IS NO-H VOTES CHAIR A-BOA CLIENT TO ACO PRO-M ONLY ABSTA ARE-RI MEETH REPRE THE-M CUSTO REPRE AN AD THANK	ANAGEMENT-VOTES. WAY TO GUARANTEE IN AND/OR AGAINST EPRESENTED AT THE NG IS TO SEND YOUR SENTATIVE OR ATTEI EETING IN PERSON. T DIAN BANKS OFFER SENTATION SERVICES DED FEE IF REQUESTING YOU	THE LOW SMALL THERE NTS E OR CY. THEM THE THAT VOTES OWN ND HE SUB S FOR- ED.	Voting			
AND PA AUTHO OWNER	E BE ADVISED THAT S ARTIAL VOTING IS NO DRISED FOR A-BENEFI R IN THE DANISH MAI E CONTACT YOUR GL	DT ICIAL RKET.	Voting			

	CUSTODIAN-FOR FURTHER INFORMATION.		
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE	Non-Voting	
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'ABSTAIN'-ONLY FOR RESOLUTIONS 6.A.A, 6.B.A TO 6.B.F AND 7.A. THANK YOU	Non-Voting	
1	REPORT ON THE COMPANY'S ACTIVITIES	Non-Voting	
2	APPROVAL OF THE 2015/16 ANNUAL REPORT	Management For	For
3	RESOLUTION ON THE APPROPRIATION OF PROFIT OR COVERING OF LOSS: DKK 5.23 PER SHARE	Management For	For
4	DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF DIRECTORS	Management For	For
5.A	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR	Management For	For
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management For	For

6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE REINICHE	Management For	For
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management For	For
6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management For	For
7.A	RE-ELECTION OF PRICEWATERHOUSECOOPERS STATSAUTORISERET REVISIONSPARTNERSELSKAB	Management For	For
8	AUTHORIZATION OF THE CHAIRMAN OF THE ANNUAL GENERAL MEETING	Management For	For
CMMT	07 NOV 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN-UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting	

Account Number	Account Name	('ustodian	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
GLV	GLV	STATE STREET 19 BANK	9,244	0	05-Nov-2016	22-Nov-2016
GLQ	GLQ	STATE STREET 3 BANK	31,409	0	05-Nov-2016	22-Nov-2016
GLO	GLO	STATE STREET 78 BANK	78,002	0	05-Nov-2016	22-Nov-2016
MICROSOFT	CORPORATION					
Security	594918104		Meeting	g Type	Annual	
Ticker Symbol	MSFT		Meeting	, Date	30-Nov-2016	

ISIN		US5949181045			Agenda		934491224 - Management
Record	Date	30-Sep-2016			Holding Re Date	econ	30-Sep-2016
City /	Country		/ United States		Vote Dead Date	line	29-Nov-2016
SEDOL	L(S)				Quick Cod	e	
Item	Proposa	l		Proposed V by	ote	For/Aga Manage	
1A.	ELECT H. GAT	ON OF DIRECTOF ES III	R: WILLIAM	Managemen	t For	For	
1 B .	ELECT	ON OF DIRECTOR	R: TERI L.	Managemen	t For	For	
1C.	ELECT MORFI	ON OF DIRECTOF	R: G. MASON	Managemen	t For	For	
1D.	ELECT NADEL	ON OF DIRECTOR LA	R: SATYA	Managemen	t For	For	
1E.	ELECT H. NOS	ON OF DIRECTOF KI	R: CHARLES	Managemen	ıt For	For	
1F.	ELECT PANKE	ON OF DIRECTOR	R: HELMUT	Managemen	t For	For	
1G.	ELECT E. PETE	ON OF DIRECTOF RSON	R: SANDRA	Managemen	ıt For	For	
1H.	ELECT W. SCH	ON OF DIRECTOR	R: CHARLES	Managemen	t For	For	
1I.	ELECT STANT	ON OF DIRECTOF	R: JOHN W.	Managemen	t For	For	
1 J .	ELECT THOME	ON OF DIRECTOP SON	R: JOHN W.	Managemen	t For	For	
1 K .		ON OF DIRECTOR	R:	Managemen	ıt For	For	
2.	NAMEI	ORY VOTE TO AP DEXECUTIVE OFF INSATION		Managemen	t For	For	
3.	TOUCH	CATION OF DELO E LLP AS OUR IN OR FOR FISCAL Y	DEPENDENT	Managemen	t For	For	
4.	OUR A	VAL OF AMENDM MENDED AND RE LES OF INCORPOF	STATED	Managemen	ıt For	For	
5.		VAL OF FRENCH THE 2001 STOCK		Managemen	t For	For	
6.		HOLDER PROPOS STING CERTAIN I		Shareholder	Against	For	

ACCESS BYLAW AMENDMENTS

Account Number	I	Account Name	Internal Cu Account	ıstodiaı	Ballo Share		Unavaila Shares	ible Vote Date	Date Confirmed
997AL45	5	GLV BNP PLEDGE	ST 997AI&	RUST	100		39,600	20-Oct-2016	01-Dec-2016
997AL47	7	GLQ BNP PLEDGE	ST ST 997AI 4 &	TATE TREET ANK 7 RUST	100		48,500	20-Oct-2016	01-Dec-2016
997AL49	9	GLO BNP PLEDGE	51 997AI&	RUST	100		121,300	20-Oct-2016	01-Dec-2016
997AL60	0	GLV MS PLEDGE	ST 997AL	RUST	20,40	00	0	20-Oct-2016	01-Dec-2016
DIAMO	NDBAC	CK ENERGY, INC.							
Security		25278X109				Meeting	g Type	Special	
Ticker S	ymbol	FANG				Meeting	g Date	07-Dec-2016	
ISIN		US25278X1090				Agenda		934506392 -	Management
Record D	Date	11-Nov-2016				Holding Date	Recon	11-Nov-2016	
City /	Country	7 /	Inited tates			Vote De Date	eadline	06-Dec-2016	
SEDOL((s)					Quick C	Code		
Item	Proposa	1		Propo by	sed V	ote		gainst gement	
	AMENI ENERG RESTA INCOR	SAL TO APPROVE AN DMENT TO DIAMOND Y, INC.'S AMENDED A TED CERTIFICATE OF PORATION TO INCREA NUMBER OF AUTHO	BACK AND ASE THE	Mana	gemen	t For	Fo	pr	

SHARES OF COMMON STOCK FROM 100,000,000 TO 200,000.000

Accoun Number		Account Name	Internal Custod Account	lian Ballo Share		Unavaila Shares	ible Vote Date	Date Confirmed
997AL4	47	GLQ BNP PLEDGE	STATE STREE 997AL47 TRUST CO	ET 2,500)	11,300	19-Nov-2016	08-Dec-2016
997AL4		GLO BNP PLEDGE	STATE STREE 997AL 997AL TRUST CO	ET 6,100)	28,800	19-Nov-2016	08-Dec-2016
		MENT CORP						
Security		00123Q104			Meeting	• •	Special	
Ticker S	Symbol	AGNC			Meeting		09-Dec-2016	
ISIN					Agenda		934498127 - 1	Management
Record	Date	24-Oct-2016			Holding Date		24-Oct-2016	
City /	Country		nited tates		Vote De Date	eadline	08-Dec-2016	
SEDOL	L(S)				Quick C	Code		
Item	Proposa	al	Proj by	posed V	ote		gainst gement	
1.	INVES'	OVAL OF THE AGNC TMENT CORP. 2016 EQ NCENTIVE COMPENSA	N/191	nagemen	t For	Fc	DI.	
Accoun Number		Account Name	Internal Custod Account	Ballo lian Share		Unavaila Shares	uble Vote Date	Date Confirmed
997AL4	45	GLV BNP PLEDGE	STATE STREE 997AL45 TRUST CO	ET 165,3	600	0	04-Nov-2016	12-Dec-2016
997AL4	47	GLQ BNP PLEDGE	997AIS477ATE STREE BANK	ΞT	500	0	04-Nov-2016	12-Dec-2016

997AL	49	GLO BNP PLEDG	C S S E 997AL T	RUST 20 TATE TREET	679,599	0	04-Nov	7-2016	12-Dec-2016
ARES	CAPITAI	CORPORATION							
Securit	У	04010L103			M	eeting Type	e Speci	ial	
Ticker	Symbol	ARCC			M	eeting Date	15-D	ec-2016	
ISIN		US04010L1035			Ag	genda	9344	94864 - N	Management
Record	Date	17-Oct-2016			Ho Da	olding Reco	on 17-O	ct-2016	
City /	Country		/ United States		Vo Da	ote Deadline ate	e 14-D	ec-2016	
SEDOI	L(s)				Qu	iick Code			
Item	Proposa	1		Propo by	osed Vote		or/Against Ianagement		
1.	SHARE COMM PURSU AND PI AGREE FROM MAY 2 AGREE ARES C CAPITA SUB, IN MANAA HILL A IN ITS PARTN CAPITA LLC, A TO SPA	PROVE THE ISSUAL S OF ARES CAPITA ON STOCK TO BE I ANT TO THE AGRI LAN OF MERGER, A CMENT MAY BE AN TIME TO TIME, DA 3, 2016 (THE "MERC CMENT"), BY AND A CAPITAL, AMERICA AL, LTD., ORION AN IC., IVY HILL ASSE GEMENT, L.P. ("IHA SSET MANAGEME CAPACITY AS GEN ER OF IHAM, AME AL ASSET MANAGEN ND SOLELY FOR T ACE LIMITS, SEE PI MENT FOR FULL P	AL ISSUED EEMENT AS SUCH MENDED TED AS OF GER AMONG AN CQUISITION ET AM"), IVY NT GP, LLC IERAL RICAN EMENT, HE(DUE ROXY	J Mana	gement Fo	r	For		
2.	SHARE COMM PURSU AGREE WITH N	PROVE THE ISSUAL S OF ARES CAPITA ON STOCK TO BE ANT TO THE MER EMENT IN ACCORE VASDAQ LISTING I REMENTS.	AL ISSUED GER DANCE		gement Fo	r	For		

	TO APPROVE THE ADJOURNMENT			
	OF THE ARES CAPITAL SPECIAL			
	MEETING, IF NECESSARY OR			
	APPROPRIATE, TO SOLICIT			
3.	ADDITIONAL PROXIES IF THERE	Management For	For	
	ARE NOT SUFFICIENT VOTES AT			
	THE TIME OF THE ARES CAPITAL			
	SPECIAL MEETING TO APPROVE			
	PROPOSAL 1 OR PROPOSAL 2.			

Account Number	Account Name	Internal Custodia Account	n Ballot Shares	Unavai Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO		0	26-Oct-2016	16-Dec-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	291,600	0	26-Oct-2016	16-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL ⁴⁷ & TRUST CO	335,300	0	26-Oct-2016	16-Dec-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	964,300	0	26-Oct-2016	16-Dec-2016
997AL53	GLO MS PLEDGE	STATE STREET 997AL 3 TRUST CO	80,000	0	26-Oct-2016	16-Dec-2016
AKORN, INC						
Security Ticker Symbol	009728106 AKRX		Meetin Meetin	g Type	Special 16-Dec-2016	
ISIN	US0097281069		Agenda	•		Management

Record	Date	28-Oct-2016				Holding Date	g Recon	28-Oct-2016	
City /	Country	/ /	United States			Vote D Date	eadline	15-Dec-2016	
SEDOI	L(s)					Quick (Code		
Item	Proposa	1		Propos by	sed Vo	ote		gainst gement	
1.	AKORI	DSAL TO APPROVE T N, INC. 2016 EMPLOY IASE PLAN.		K Manag	gemen	t For	Fo	pr.	
2.	AMEN OF THI	DSAL TO APPROVE T DMENT AND RESTA E AKORN, INC. 2014 N PLAN.	TEMENT	Manag	gemen	t For	Fo	or.	
Accour Numbe		Account Name	Internal Account	ustodiar	Ballo Share		Unavaila Shares	ible Vote Date	Date Confirmed
997GL	V	GLV	S 997GI	RUST	20,50	00	0	18-Nov-2016	19-Dec-2016
997GL	Q	GLQ	S 997GL&	RUST	7,200)	0	18-Nov-2016	19-Dec-2016
997GL	0	GLO	S 997GL &	RUST	34,30	0	0	18-Nov-2016	19-Dec-2016
997AL4	47	GLQ BNP PLEDGE	S 997AI	RUST	5,800)	35,000	18-Nov-2016	19-Dec-2016
997AL4	49	GLO BNP PLEDGE	B &	TREET ANK	900		71,800	18-Nov-2016	19-Dec-2016

CO

SINOPHARM GROUP CO. LTD.

Security	Y8008N107		Meeting Typ	e ExtraOrdinary General Meeting
Ticker Symbol			Meeting Date	30-Dec-2016
ISIN	CNE100000FN7		Agenda	707595990 - Management
Record Date	29-Nov-2016		Holding Reco	on 29-Nov-2016
City / Countr	y SHANGH AI / China		Vote Deadlin Date	e 16-Dec-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2		Quick Code	
Item Propos	al	Proposed by	ore	or/Against Ianagement
NOTIO AVAII CMMT URL L http://v 1114/L	SE NOTE THAT THE COMPANY CE AND PROXY FORM ARE LABLE BY CLICKING-ON THE INKS:- vww.hkexnews.hk/listedco/listcone TN20161114274.pdf-AND- vww.hkexnews.hk/listedco/listcone	Non-Voting ws/SEHK/201		

1114/LTN20161114240.pdf

1

2016

PLEASE NOTE IN THE HONG KONG

CMMT MARKET THAT A VOTE OF 'ABSTAIN' WILL BE TREATED-THE SAME AS A 'TAKE NO ACTION' VOTE

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE (I) THE ISSUE OF THE ACCOUNTS RECEIVABLE ASSET-BACKED SECURITIES IN THE PRC OF NO MORE THAN RMB8 BILLION IN SCALE (THE "ASSET-BACKED SECURITIES"); AND (II) THE

DEAL WITH ALL SPECIFIC MATTERS PURSUANT TO THE PROPOSAL IN RESPECT OF THE ISSUE OF THE ASSET-BACKED SECURITIES AS SET OUT IN THE NOTICE OF EGM OF THE COMPANY DATED 14 NOVEMBER

BACKED SECURITIES"); AND (II) THE AUTHORISATION TO THE BOARD OF DIRECTORS OF THE COMPANY OR Management For THE PERSON(S) AUTHORISED BY IT

For

Accour Numbe		Account Name	Internal Accourt	lustodian	Ballo Share	it es	Unavaila Shares	ble Vote Date	Date Confirmed
GLV		GLV	S	TATE TREET ANK	142,4	00	0	15-Nov-2016	22-Dec-2016
GLQ		GLQ	S	TATE TREET BANK	236,8	800	0	15-Nov-2016	22-Dec-2016
GLO		GLO	S	TATE TREET ANK	583,6	500	0	15-Nov-2016	22-Dec-2016
D.R. H	ORTON,	INC.							
Security		23331A109				Meeting	g Type	Annual	
Ticker	Symbol	DHI				Meeting	g Date	19-Jan-2017	
ISIN		US23331A1097				Agenda		934514147 -	Management
Record	Date	28-Nov-2016				Holding Date	Recon	28-Nov-2016	i
City /	Country		United States			Vote De Date	eadline	18-Jan-2017	
SEDOI	L(S)					Quick C	Code		
Item	Proposa	ıl		Propos by	ed v	ote		gainst gement	
1A.	ELECT R. HOR	ION OF DIRECTOR: D TON	ONALD	Manag	emen	t For	Fc	or	
1 B .	ELECT K. ALL	ION OF DIRECTOR: B EN	ARBARA	Manag	emen	t For	Fc	or	
1C.	ELECT ANDEF	ION OF DIRECTOR: B RSON	RAD S.	Manag	emen	t For	Fc	or	
1D.		ION OF DIRECTOR: M THANAN	IICHAEL	Manag	emen	t For	Fc	or	
1E.	ELECT W. HEV	ION OF DIRECTOR: M WATT	IICHAEL	Manag	emen	t For	Fc	or	
2.	RESOL	VAL OF THE ADVISC UTION ON EXECUTIV ENSATION.		Manag	emen	t For	Fo	r	
3.	PRICE AS OU	Y THE APPOINTMENT WATERHOUSECOOPE R INDEPENDENT REC C ACCOUNTING FIRM	ERS LLP HSTERED) Manag	emen	t For	Fc	r	
Accoun	nt	Account Name	Internal	l	Ballo	t	Unavaila	ible Vote Date	Date

Account Number	Account Name	Internal Custod Account	lian Ballot Shares	Unavaila Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	14,626	61,500	13-Dec-2016	20-Jan-2017

		Edgar Filing: (Clough Globa	al Divid	end & I	ncome	Fund - F	orm N-PX	
			S B & T C	RUST 20					
997AL	47	GLQ BNP PLEDG	S E 997AL T	TATE TREET ANK T RUST	23,621	l	104,400	13-Dec-2016	20-Jan-2017
997AL	49	GLO BNP PLEDG	S E 997AL T	TATE TREET ANK T RUST	59,001	l	256,300	13-Dec-2016	20-Jan-2017
997AL	61	GLQ MS PLEDGE	S 997AL T	TATE TREET ANK 21 RUST	10,800)	0	13-Dec-2016	20-Jan-2017
GOLU	B CAPITA	AL BDC, INC.	C	.0					
Securit		38173M102				Meeting	Туре	Annual	
Ticker	Symbol	GBDC				Meeting	Date	07-Feb-2017	,
ISIN		US38173M1027				Agenda		934516557 -	Management
Record	Date	12-Dec-2016				Holding Date	Recon	12-Dec-2010	5
City /	Country		/ United States			Vote De Date	adline	06-Feb-2017	,
SEDOI	L(s)					Quick C	lode		
Item	Proposa	1		Propo by	osed Vo	te		gainst gement	
1.1		ION OF CLASS I D B. GOLUB	RECTOR:	Mana	gement	For	Fo	r	
1.2		ION OF CLASS I D R. ROSENBERG	RECTOR:	Mana	gement	For	Fo	r	
2.	ERNST THE CC REGIST FIRM F	TIFY THE SELECT & YOUNG LLP TO OMPANY'S INDEPH TERED PUBLIC AC OR THE FISCAL Y G SEPTEMBER 30,	SERVE AS ENDENT COUNTING EAR	Mana	gement	For	Fo	ır	

Accour Numbe		Account Name	Internal Ci Account	ustodiar	Ballo Share		Unavaila Shares	ble Vote Date	Date Confirmed
997GL	Q	GLQ	ST 997GI <mark>B</mark> &	RUST	24,00	0	0	22-Dec-2016	08-Feb-2017
997AL4	45	GLV BNP PLEDGE	ST 997AIA	RUST	100		128,300	22-Dec-2016	08-Feb-2017
997AL4	47	GLQ BNP PLEDGE	57 997AI <mark>B</mark> &	RUST	150,8	09	0	22-Dec-2016	08-Feb-2017
997AL4	49	GLO BNP PLEDGE	ST ST 997AL4 &	TATE TREET ANK 9 RUST	157		454,300	22-Dec-2016	08-Feb-2017
APPLE	E INC.			0					
Security	у	037833100				Meeting	g Type	Annual	
Ticker	Symbol	AAPL				Meeting	g Date	28-Feb-2017	
ISIN		US0378331005				Agenda		934520556 -	Management
Record	Date	30-Dec-2016				Holding Date	g Recon	30-Dec-2016	
City /	Country	V /	nited tates			Vote De Date	eadline	27-Feb-2017	
SEDOI	L(s)					Quick C	Code		
Item	Proposa	al		Propos by	sed Vo	ote	For/A Mana	gainst gement	
1A.	ELECT BELL	TION OF DIRECTOR: JA	MES	Manag	gement	t For	Fo	r	
1B.	ELECT	TION OF DIRECTOR: TH	м соок	Manag	gement	t For	Fo	r	
1C.	ELECT	TION OF DIRECTOR: AL	GORE	Manag	gement	t For	Fo	r	
1D.	ELECT	TION OF DIRECTOR: BC)B IGER	Manag	gement	t For	Fo	r	
1E.				Manag	gement	t For	Fo	r	

	ELECTION OF DIRECTOR: ANI JUNG	DREA						
1F.	ELECTION OF DIRECTOR: ART LEVINSON	Г	Manag	gement For		For		
1G.	ELECTION OF DIRECTOR: RON SUGAR	N	Manag	gement For		For		
1H.	ELECTION OF DIRECTOR: SUE WAGNER	Ξ	Manag	gement For		For		
2.	RATIFICATION OF THE APPOINTMENT OF ERNST & Y LLP AS APPLE'S INDEPENDEN REGISTERED PUBLIC ACCOUN FIRM FOR 2017	T	Manag	gement For		For		
3.	ADVISORY VOTE TO APPROV EXECUTIVE COMPENSATION	Έ	Manag	gement For		For		
4.	ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLD VOTES ON EXECUTIVE COMPENSATION	ER	Manag	gement 3 Y	ears	Agai	nst	
5.	A SHAREHOLDER PROPOSAL ENTITLED "CHARITABLE GIV RECIPIENTS, INTENTS AND BENEFITS"	ING -	Shareh	older Aga	inst	For		
6.	A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMO OUR SENIOR MANAGEMENT BOARD OF DIRECTORS		Shareh	older Aga	inst	For		
7.	A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PI ACCESS AMENDMENTS"	ROXY	Shareh	older Aga	inst	For		
8.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"		Shareh	older Aga	inst	For		
9.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO F SIGNIFICANT STOCK"	RETAIN	Shareh	older Aga	iinst	For		
Accoun Number	r Account Name	Internal Cu Account	ıstodian	Ballot Shares	Uı Sh	navailabl nares	ote Date	Date Confirmed
			ATE REET					
997GL	V GLV	99/GLV	ANK	2,500	0	0	7-Jan-2017	01-Mar-2017

TRUST CO

997GLQ		GLQ	997	STATE STREET GL <mark>BANK</mark> Q TRUST CO	31,200	0	0	07-Jan-2017	01-Mar-2017
997GLO		GLO	997	STATE STREET GLO TRUST CO	39,600	0	0	07-Jan-2017	01-Mar-2017
997AL45	i	GLV BNP PLEDG	E 997	STATE STREET BANK	100		27,500	07-Jan-2017	01-Mar-2017
997AL47	,	GLQ BNP PLEDG	E 997	STATE STREET BANK AL ⁴⁷ TRUST CO	100		32,400	07-Jan-2017	01-Mar-2017
997AL49)	GLO BNP PLEDG	E 997	STATE STREET AL ²⁴⁹ TRUST CO	100		81,900	07-Jan-2017	01-Mar-2017
TRANSD	DIGM (GROUP INCORPORA	ATED						
Security		893641100				Meeting	Type	Annual	
Ticker Sy	/mbol	TDG				Meeting	Date	01-Mar-201	7
ISIN		US8936411003				Agenda		934523451 -	- Management
Record D	ate	03-Jan-2017				Holding Date	Recon	03-Jan-2017	
City / C	Countr	у	/ United States			Vote De Date	eadline	28-Feb-2017	7
SEDOL(s	s)					Quick C	Code		
Item I	Propos	al		Propo by	sed Vo	ote		against gement	
1. I	DIREC	TOR		Mana	gement				
	1	WILLIAM DRIES				For	Fo	or	
	2	MERVIN DUNN				For	Fo	Dr	
	3	MICHAEL GRAFF				For	Fo	or	

	4	SEAN HENNESSY				For	Fo	or	
	5	W. NICHOLAS HOWLE	ΞY			For	Fo	or	
	6	RAYMOND LAUBENT	HAL			For	Fo	or	
	7	DOUGLAS PEACOCK				For	Fo	or	
	8	ROBERT SMALL				For	Fo	or	
	9	JOHN STAER				For	Fo	or	
2.	VOTE THE C	PROVE (IN AN ADVISO) OCOMPENSATION PAI COMPANY'S NAMED UTIVE OFFICERS		Manag	gement	For	Fo	or	
3.	TO DETERMINE HOW OFTEN TO CONDUCT THE ADVISORY VOTE REGARDING COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS TO RATIFY THE SELECTION OF			Manag	gement	3 Years			
4.	ERNS COMF ACCC	ATIFY THE SELECTION T & YOUNG LLP AS TH PANY'S INDEPENDENT PUNTANTS FOR THE FI ENDING SEPTEMBER	IE SCAL	Manag	gement	For	Fc	Dr	
Accoun Number		Account Name	Internal Accoun	Custodiar	Ballot Share		Unavaila Shares	able Vote Date	Date Confirmed
997AL4	47	GLQ BNP PLEDGE	S 997AI <mark>&</mark> T	TATE TREET ANK T RUST	20,810	0	0	21-Jan-2017	02-Mar-2017
997AL4	49	GLO BNP PLEDGE	S' S' 997AL X T	TATE TREET	33,850	0	0	21-Jan-2017	02-Mar-2017
HOLO	GIC, IN	С.							
Security	y	436440101				Meeting	Туре	Annual	
Ticker S	Symbol	HOLX				Meeting	Date	08-Mar-2017	
ISIN		US4364401012				Agenda		934522423 -	Management
Record	Date	09-Jan-2017				Holding Date	Recon	09-Jan-2017	
City /	Count	v /	United States			Vote De Date	adline	07-Mar-2017	
SEDOL	L(S)					Quick C	lode		

Item	Proposal		Proposed by Vote			gainst gement			
1.	DIRECTOR		Mana	Management					
	1	1 CHRISTOPHER J. COUGHLIN		For		Fc	For		
	2	2 SALLY W. CRAWFORD		For		Fc	For		
	3	3 SCOTT T. GARRETT		For		Fc	or		
	4	4 LAWRENCE M. LEVY		For		Fc	or		
	5	5 STEPHEN P. MACMILLAN			For	Fc	For		
	6	6 CHRISTIANA STAMOULIS		For		Fc	or		
	7	7 ELAINE S. ULLIAN		For		Fc	or		
	8	AMY M. WENDELL			For	Fc	or		
2.	RES	A NON-BINDING ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.		Mana	Management For		For		
3.	VOT EXE	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.		Management 3 Years		s Ag	gainst		
4.	AME	AMENDMENT TO HOLOGIC'S FIFTH AMENDED AND RESTATED BYLAWS.		Management For		Fc	For		
5.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017.		Management For		Fc)r			
Accour Numbe				Ballot Shares		Unavailable Vote Date Shares		Date Confirmed	
997GL	Q	GLQ	ST 997GL&	TREET ANK ♀ RUST	23,600	0	25-Jan-2017	09-Mar-2017	
997AL		GLQ BNP PLEDGE	S 997AI & TI C		100	28,500	25-Jan-2017	09-Mar-2017	
997AL	.49	GLO BNP PLEDGE		ÐATE FREET ANK	100	131,200	25-Jan-2017	09-Mar-2017	

& TRUST CO

				U.	0					
GW PHARMACEUTICALS PLC										
Security		/	36197T103	Meeting Type		Annual				
Ticker Symbol		Symbol	GWPH	Meeting Date		14-Mar-2017				
ISIN			US36197T1034			Agenda		934530660 - Management		
Record Date		Date	07-Feb-2017		Holding Recon Date		07-Feb-2017			
	City /	Country	,	/ United States		Vote Dead Date	dline	06-Mar-2017		
	SEDOL	<i>L</i> (s)			Quick Code					
	Item	Proposa	1		Proposed V by	ote	For/Aga Manage			
	01	TO RECEIVE, CONSIDER AND ADOPT THE DIRECTORS' AND AUDITORS' REPORTS AND STATEMENT OF ACCOUNTS FOR THE YEAR ENDED 30 SEPTEMBER 2016 AND NOTE THAT THE DIRECTORS DO NOT RECOMMEND PAYMENT OF A DIVIDEND		Management For For						
	02	TO APPROVE THE DIRECTORS' REMUNERATION REPORT			Management For For					
	O3	TO RE-ELECT GEOFFREY GUY AS A DIRECTOR		Management For For						
	O4	TO RE-ELECT THOMAS LYNCH AS A DIRECTOR		Management For For						
	05	TO RE-ELECT CABOT BROWN AS A DIRECTOR		Management For For						
	O6	TO APPOINT DELOITTE LLP AS AUDITOR		Management For For						
	07	DETER	THORISE THE DIF MINE THE AUDIT NERATION		Managemen	t For	For			
	S8	THE CO	PROVE THE ADOF OMPANY'S LONG- TIVE PLAN		Managemen	t For	For			
	S9	ALLOT	THORISE THE DIF EQUITY SECURI N 551 OF THE 200	TIES, UNDER	Managemen	t For	For			
	S10	ALLOT	THORISE THE DIF DEQUITY SECURI N 570 OF THE 200	TIES, UNDER	Managemen	t For	For			

SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT

Account Number	4	Account Name	Internal Accoun	ustodiar	Ballo Share		Unavail Shares	able Vote Date	Date Confirmed
997GLQ	5	GLQ	S' 997GL & T	TATE TREET ANK C RUST	1,600)	0	15-Feb-2017	08-Mar-2017
997GL0	D	GLO	S' 997GL & T	TATE TREET ANK C RUST	3,100)	0	15-Feb-2017	08-Mar-2017
997AL4	17	GLQ BNP PLEDGE	S' 997AI <mark>A</mark> & T	TATE TREET ANK RUST	4,300)	0	15-Feb-2017	08-Mar-2017
997AL4	19	GLO BNP PLEDGE	S' 997AI <mark>A</mark> T	TATE TREET ANK P RUST	8,300)	0	15-Feb-2017	08-Mar-2017
SAMSU	JNG ELE	ECTRONICS CO LTD,	SUWON						
Security	I	Y74718100				Meeting	g Type	Annual Gen	eral Meeting
Ticker S	Symbol	WD7005020002				Meeting	-	24-Mar-201	
ISIN Record I	Date	KR7005930003 31-Dec-2016				Agenda Holding		31-Dec-2010	- Management 6
City /		SEOUL / 1	Korea, Republic Of			Date Vote De Date	eadline	14-Mar-201	
SEDOL	<i>L</i> (S)	6771720 - B19VC15 - B74V052				Quick C	Code		
Item	Proposa	1		Propos by	sed V	ote		Against agement	
1		VAL OF FINANCIAL MENTS		Manag	gemen	t For	F	or	
2				Manag	gemen	t For	F	or	

APPROVAL OF REMUNERATION FOR DIRECTOR

Accoun Number		Account Name	Inter Acco	Custodian	Ballo Share	t es	Unavaila Shares	able Vote Date	Date Confirmed
GLV		GLV		STATE STREET BANK	756		0	25-Feb-2017	16-Mar-2017
GLQ		GLQ		STATE STREET BANK	1,197	,	0	25-Feb-2017	16-Mar-2017
GLO		GLO		STATE STREET BANK	3,046)	0	25-Feb-2017	16-Mar-2017
LINE C	ORPO	RATION							
Security	y	53567X101				Meeting	Type	Annual	
Ticker S	Symbol	LN				Meeting	Date	30-Mar-2017	7
ISIN		US53567X1019				Agenda		934536268 -	Management
Record	Date	29-Dec-2016				Holding Date	Recon	29-Dec-2016	5
City /	Count	ry	/ Japan			Vote De Date	adline	23-Mar-2017	7
SEDOL	L(S)					Quick C	lode		
Item	Propo	sal		Propos by	ed Vo	ote		sgainst gement	
1.		IAL AMENDMENTS CLES OF INCORPOR		Manag	emen	t For	A	gainst	
2.	DIRE	CTORS		Manag	emen	t			
	1	TAKESHI IDEZAWA	A			For	Fo	Dr	
	2	JUN MASUDA				For	Fo	or	
	3	JOONGHO SHIN				For	Fo	or	
	4	IN JOON HWANG				For	Fo	or	
	5	HAE-JIN LEE				For	Fo	or	
	6	TADASHI KUNIHIR	0			For	Fo	or	
	7	KOJI KOTAKA				For	Fo	or	
	8	REHITO HATOYAM	[A			For	Fo	or	
3.	CORF	TION OF ONE (1) SU ORATE AUDITOR: N ANABE		E Manag	emen	t For	A	gainst	
4.				Manag	emen	t For	A	gainst	

DETERMINATION OF AMOUNTS AND DETAILS OF STOCK OPTIONS (WARRANTS) TO BE PAID AS REMUNERATION, ETC.TO DIRECTORS

Account Number	Account Name	Internal Custodian Account	n Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO		0	04-Mar-2017	27-Mar-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	39,100	0	04-Mar-2017	27-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 & TRUST CO	10,800	9,700	04-Mar-2017	27-Mar-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL & TRUST CO	9,800	28,300	04-Mar-2017	27-Mar-2017
BROADCOM	LIMITED					
Security	Y09827109		Meetin	ng Type	Annual	
Ticker Symbol	AVGO		Meetin	ng Date	05-Apr-2017	
ISIN	SG9999014823		Agend	a	934531977 -	Management
Record Date	08-Feb-2017		Holdin Date	g Recon	08-Feb-2017	
City / Count	ry / N	Ialaysia	Vote D Date	Deadline	03-Apr-2017	
SEDOL(s)			Quick	Code		
Item Propo	sal	Propo by	vole	Mana	Against agement	
1A.		Mana	gement For	Fe	or	

	ELECTION OF DIRECTOR: MR. HOCK E. TAN		
1B.	ELECTION OF DIRECTOR: MR. JAMES V. DILLER	Management For	For
1C.	ELECTION OF DIRECTOR: MR. LEWIS C. EGGEBRECHT	Management For	For
1D.	ELECTION OF DIRECTOR: MR. KENNETH Y. HAO	Management For	For
1E.	ELECTION OF DIRECTOR: MR. EDDY W. HARTENSTEIN	Management For	For
1F.	ELECTION OF DIRECTOR: MR. CHECK KIAN LOW	Management For	For
1G.	ELECTION OF DIRECTOR: MR. DONALD MACLEOD	Management For	For
1H.	ELECTION OF DIRECTOR: MR. PETER J. MARKS	Management For	For
1I.	ELECTION OF DIRECTOR: DR. HENRY SAMUELI	Management For	For
2.	TO APPROVE THE RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS BROADCOM'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM AND INDEPENDENT SINGAPORE AUDITOR FOR THE FISCAL YEAR ENDING OCTOBER 29, 2017 AND TO AUTHORIZE THE AUDIT COMMITTEE TO FIX ITS REMUNERATION, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management For	For
3.	TO APPROVE THE GENERAL AUTHORIZATION FOR THE DIRECTORS OF BROADCOM TO ALLOT AND ISSUE SHARES IN OUR CAPITAL, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management For	For
4.	TO APPROVE THE COMPENSATION OF BROADCOM'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN "COMPENSATION DISCUSSION AND ANALYSIS" AND	Management For	For

IN THE COMPENSATION TABLES

	AND ACCOMPANYING NARRATIVE DISCLOSURE UNDER "EXECUTIVE COMPENSATION" IN BROADCOM'S PROXY STATEMENT RELATING TO ITS 2017 ANNUAL GENERAL MEETING.		
5.	TO RECOMMEND THAT A NON-BINDING, ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE BROADCOM'S NAMED EXECUTIVE OFFICERS BE PUT TO SHAREHOLDERS FOR THEIR CONSIDERATION EVERY: ONE; TWO; OR THREE YEARS, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management 3 Years	Against

Account Number	Account Name	Internal Ballo Custodian Account Shar		able Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO 5,500 TRUST CO	0 0	22-Feb-2017	05-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	05 6,400	22-Feb-2017	05-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 33,5 TRUST CO	70 17,400	22-Feb-2017	05-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 30,5 TRUST CO	70 43,700	22-Feb-2017	05-Apr-2017
LENNAR COL	RPORATION				
Security	526057104		Meeting Type	Annual	

Ticker S	Symbol	LEN			Meeting	Date	18-Apr-2017	
ISIN		US5260571048			Agenda		934533678 - N	<i>A</i> anagement
Record	Date	21-Feb-2017			Holding Date	Recon	21-Feb-2017	
City /	Country		nited tates		Vote Dea Date	adline	17-Apr-2017	
SEDOL	<i>L</i> (s)				Quick Co	ode		
Item	Proposa	al		Proposed Vo	ote	For/Aga Managei		
1.	DIREC	TOR		Management	t			
	1	IRVING BOLOTIN			For	For		
	2	STEVEN L. GERARD			For	For		
	3	THERON I. "TIG" GILLI	AM		For	For		
	4	SHERRILL W. HUDSON	1		For	For		
	5	SIDNEY LAPIDUS			For	For		
	6	TERI P. MCCLURE			For	For		
	7	STUART MILLER			For	For		
	8	ARMANDO OLIVERA			For	For		
	9	DONNA SHALALA			For	For		
	10	JEFFREY SONNENFELI	D		For	For		
2.	APPOI TOUCH INDEP ACCO	ICATION OF THE NTMENT OF DELOITTH HE LLP AS LENNAR'S ENDENT REGISTERED UNTING FIRM FOR THI ENDING NOVEMBER 3	PUBLIC E FISCAL	Management	t For	For		
3.	BASIS,	VAL, ON AN ADVISOF , OF THE COMPENSATI AR'S NAMED EXECUTI ERS.	ION OF	Management	t For	For		
4.	BASIS, STOCK COMP	OVAL, ON AN ADVISOF OF THE FREQUENCY KHOLDER VOTE ON TH ENSATION OF LENNAF D EXECUTIVE OFFICE	OF THE IE R'S	Management	t 3 Years	Agai	nst	
5.	PROPC COMM	OVAL OF A STOCKHOL DSAL REGARDING OUF ION STOCK VOTING CTURE.		Shareholder	Against	For		
Accoun Number		Account Name	Internal Cu Account	ustodian Ballo Share	t :s	Unavailable Shares	ote Date	Date Confirmed

997GLV	GLV	STATE STREET 997GL& TRUST CO	5,500	0	08-Mar-2017	19-Apr-2017
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	8,700	0	08-Mar-2017	19-Apr-2017
997GLO	GLO	STATE STREET 997GL 8 TRUST CO	23,500	0	08-Mar-2017	19-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	8,700	30,900	08-Mar-2017	19-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AI&7 TRUST CO	11,700	60,500	08-Mar-2017	19-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	33,800	131,500	08-Mar-2017	19-Apr-2017
CITIGROUP IN	С.					
Security	172967424		Meetin		Annual	
Ticker Symbol	С		Meetin	-	25-Apr-2017	Mana
ISIN	US1729674242		Agenda Holding	g Recon	934541904 -	Management
Record Date	27-Feb-2017		Date	5 RECOIL	27-Feb-2017	
City / Country	,	United States	Vote D Date	eadline	24-Apr-2017	
SEDOL(s) Quick Code						
Item Proposa	1		Vote			

		Proposed by	For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL L. CORBAT	Management For	For
1B.	ELECTION OF DIRECTOR: ELLEN M. COSTELLO	Management For	For
1C.	ELECTION OF DIRECTOR: DUNCAN P. HENNES	Management For	For
1D.	ELECTION OF DIRECTOR: PETER B. HENRY	Management For	For
1E.	ELECTION OF DIRECTOR: FRANZ B. HUMER	Management For	For
1F.	ELECTION OF DIRECTOR: RENEE J. JAMES	Management For	For
1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management For	For
1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management For	For
1M.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management For	For
10.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management 3 Years	Against
5.		Shareholder Against	For

	0 0 0			
	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON T COMPANY'S POLICIES AND G TO REDUCE THE GENDER PA	GOALS		
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOA APPOINT A STOCKHOLDER V COMMITTEE TO ADDRESS WHETHER THE DIVESTITURE ALL NON-CORE BANKING BU SEGMENTS WOULD ENHANC SHAREHOLDER VALUE.	ALUE E OF JSINESS	t For	
7.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON LOBBYING AND GRASSROOT LOBBYING CONTRIBUTIONS		t For	
8.	STOCKHOLDER PROPOSAL REQUESTING AN AMENDMEN THE GENERAL CLAWBACK P TO PROVIDE THAT A SUBSTA PORTION OF ANNUAL TOTAL COMPENSATION OF EXECUT OFFICERS SHALL BE DEFERR AND FORFEITED, IN PART OR WHOLE, AT THE DISCRETION THE BOARD, TO HELP SATISH MONETARY PENALTY ASSOC WITH A VIOLATION OF LAW.	POLICY ANTIAL L IVE Shareholder Agains RED Shareholder Agains N OF FY ANY CIATED	t For	
9.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOA ADOPT A POLICY PROHIBITIN VESTING OF EQUITY-BASED AWARDS FOR SENIOR EXECU DUE TO A VOLUNTARY RESIGNATION TO ENTER GOVERNMENT SERVICE.	NG THE Shareholder Agains	t For	
Account Number	Account Name	Internal Custodian Account STATE	Unavailable Vote Date Shares	Date Confirmed
997GLQ	Q GLQ	STREET 997GLQ 31,200 TRUST CO	0 17-Mar-2017	26-Apr-2017
997GLC) GLO	997GISOTATE 10,000 STREET	0 17-Mar-2017	26-Apr-2017

BANK

			T C	& TRUST CO STATE					
997AL	45	GLV BNP PLEDGE	S E 997AL S T	STREET	20,130)	57,800	17-Mar-2017	26-Apr-2017
997AL	47	GLQ BNP PLEDGE	S S E 997AI T	STATE STREET	119		100,400	17-Mar-2017	26-Apr-2017
997AL	49	GLO BNP PLEDGE	S E 997AL S T	STATE STREET SANK 29 RUST CO	527		238,900	17-Mar-2017	26-Apr-2017
997AL	53	GLO MS PLEDGE	S S 997AI T	STATE STREET BANK S S TRUST	70,100)	0	17-Mar-2017	26-Apr-2017
BANK	OF AME	RICA CORPORATIO		CO					
Securit		060505104				Meeting	Type	Annual	
	Symbol	BAC				Meeting		26-Apr-2017	,
ISIN		US0605051046				Agenda		934543453 -	Management
Record	Date	02-Mar-2017				Holding Date	Recon	02-Mar-2017	7
City /	Country		, United States			Vote De Date	adline	25-Apr-2017	,
SEDOI	L(s)					Quick C	lode		
Item	Proposa	1		Propo by	sed Vo	te	For/A Manag	gainst gement	
1A.	ELECT	ION OF DIRECTOR: EN	SHARON	Manag	gement	For	Fo	r	
1B.	ELECT BIES	ION OF DIRECTOR:	SUSAN S.	Manag	gement	For	Fo	r	
1C.		ION OF DIRECTOR: IDER, JR.	JACK O.	Manag	gement	For	Fo	r	
1D.				Manag	gement	For	Fo	r	

ELECTION OF DIRECTOR: FRANK P. BRAMBLE, SR.

	210101223, 510		
1E.	ELECTION OF DIRECTOR: PIERRE J.P. DE WECK	Management For	For
1F.	ELECTION OF DIRECTOR: ARNOLD W. DONALD	Management For	For
1G.	ELECTION OF DIRECTOR: LINDA P. HUDSON	Management For	For
1H.	ELECTION OF DIRECTOR: MONICA C. LOZANO	Management For	For
1I.	ELECTION OF DIRECTOR: THOMAS J. MAY	Management For	For
1 J .	ELECTION OF DIRECTOR: BRIAN T. MOYNIHAN	Management For	For
1K.	ELECTION OF DIRECTOR: LIONEL L. NOWELL, III	Management For	For
1L.	ELECTION OF DIRECTOR: MICHAEL D. WHITE	Management For	For
1 M .	ELECTION OF DIRECTOR: THOMAS D. WOODS	Management For	For
1N.	ELECTION OF DIRECTOR: R. DAVID YOST	Management For	For
2.	APPROVING OUR EXECUTIVE COMPENSATION (AN ADVISORY, NON-BINDING "SAY ON PAY" RESOLUTION)	Management For	For
3.	A VOTE ON THE FREQUENCY OF FUTURE ADVISORY "SAY ON PAY" RESOLUTIONS (AN ADVISORY, NON-BINDING "SAY ON FREQUENCY" RESOLUTION)	Management 3 Years	Against
4.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management For	For
5.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder Against	For
6.	STOCKHOLDER PROPOSAL - DIVESTITURE & DIVISION STUDY SESSIONS	Shareholder Against	For
7.	STOCKHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN	Shareholder Against	For
8.	STOCKHOLDER PROPOSAL - REPORT CONCERNING GENDER PAY EQUITY	Shareholder Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavaila Shares	ble Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK	24,500	0	16-Mar-2017	27-Apr-2017
997GLQ	GLQ	STATE STREET 997GLQ 3 TRUST CO	38,400	0	16-Mar-2017	27-Apr-2017
997GLO	GLO	STATE STREET 997GLO 1 TRUST CO	131,800	0	16-Mar-2017	27-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 1 TRUST CO	191	148,400	16-Mar-2017	27-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 1 & TRUST CO	105	261,400	16-Mar-2017	27-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 1 TRUST CO	10	580,000	16-Mar-2017	27-Apr-2017
EOG RESOUR	RCES, INC.					
Security	26875P101		Meeting	g Type	Annual	
Ticker Symbol			Meeting	-	27-Apr-2017	
ISIN	US26875P1012		Agenda		934538476 -	Management
Record Date	27-Feb-2017		Holding Date	g Recon	27-Feb-2017	
City / Count	ry /				26-Apr-2017	

		nited ates		Vote Deadline Date		
SEDOL	L(S)			Quick Code		
Item	Proposal		Proposed Vo	ote	For/Against Management	
1A.	ELECTION OF DIRECTOR: JAN CLARK	NET F.	Managemen	t For	For	
1B.	ELECTION OF DIRECTOR: CH R. CRISP	ARLES	Managemen	t For	For	
1C.	ELECTION OF DIRECTOR: RO DANIELS	BERT P.	Managemen	t For	For	
1D.	ELECTION OF DIRECTOR: JAN DAY	MES C.	Managemen	t For	For	
1E.	ELECTION OF DIRECTOR: DO F. TEXTOR	NALD	Managemen	t For	For	
1F.	ELECTION OF DIRECTOR: WI R. THOMAS	LLIAM	Managemen	t For	For	
1G.	ELECTION OF DIRECTOR: FR. WISNER	ANK G.	Managemen	t For	For	
2.	TO RATIFY THE APPOINTMENTHE AUDIT COMMITTEE OF 7 BOARD OF DIRECTORS OF DELOITTE & TOUCHE LLP, INDEPENDENT REGISTERED ACCOUNTING FIRM, AS AUD FOR THE COMPANY FOR THE ENDING DECEMBER 31, 2017.	THE PUBLIC ITORS E YEAR	Managemen	t For	For	
3.	TO APPROVE AN AMENDMEN THE COMPANY'S RESTATED CERTIFICATE OF INCORPORA TO INCREASE THE NUMBER AUTHORIZED SHARES OF CO STOCK FROM 640 MILLION TO BILLION.	ATION OF DMMON	Managemen	t For	For	
4.	TO APPROVE, BY NON-BINDI VOTE, THE COMPENSATION COMPANY'S NAMED EXECUT OFFICERS.	OF THE	Managemen	t For	For	
5.	TO RECOMMEND, BY NON-B VOTE, THE FREQUENCY OF HOLDING ADVISORY VOTES COMPENSATION OF THE COMPANY'S NAMED EXECUT OFFICERS.	ON THE	Managemen	t 3 Years	Against	

Account Number	Account Name	Internal Custodian Account	Ballot Shares	unavaila Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL W TRUST CO	5,700	0	18-Mar-2017	28-Apr-2017
997GLQ	GLQ	STATE STREET 997GL & TRUST CO	7,100	0	18-Mar-2017	28-Apr-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	17,800	0 0	18-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	600	23,500	18-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	1,900	29,900	18-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AI 49 TRUST CO	500	79,500	18-Mar-2017	28-Apr-2017
PFIZER INC.						
Security	717081103			Meeting Type	Annual	
Ticker Symbol	PFE			Meeting Date	27-Apr-2017	
ISIN Record Date	US7170811035 28-Feb-2017			Agenda Holding Recon Date	934540798 - 28-Feb-2017	Management
City / Countr	V /	United tates		Vote Deadline Date	26-Apr-2017	

SEDOL	L(S)	Quick Code			
Item	Proposal	Proposed by Vote	For/Against Management		
1A.	ELECTION OF DIRECTOR: DENNIS A. AUSIELLO	Management For	For		
1B.	ELECTION OF DIRECTOR: RONALD E. BLAYLOCK	Management For	For		
1C.	ELECTION OF DIRECTOR: W. DON CORNWELL	Management For	For		
1D.	ELECTION OF DIRECTOR: JOSEPH J. ECHEVARRIA	Management For	For		
1E.	ELECTION OF DIRECTOR: FRANCES D. FERGUSSON	Management For	For		
1F.	ELECTION OF DIRECTOR: HELEN H. HOBBS	Management For	For		
1G.	ELECTION OF DIRECTOR: JAMES M. KILTS	Management For	For		
1H.	ELECTION OF DIRECTOR: SHANTANU NARAYEN	Management For	For		
1I.	ELECTION OF DIRECTOR: SUZANNE NORA JOHNSON	Management For	For		
1 J .	ELECTION OF DIRECTOR: IAN C. READ	Management For	For		
1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER	Management For	For		
1L.	ELECTION OF DIRECTOR: JAMES C. SMITH	Management For	For		
2.	RATIFY THE SELECTION OF KPMG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management For	For		
3.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATION	Management For	For		
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION	Management 3 Years	Against		
5.	SHAREHOLDER PROPOSAL REGARDING THE HOLY LAND PRINCIPLES	Shareholder Against	For		
6.	SHAREHOLDER PROPOSAL REGARDING SPECIAL SHAREOWNER MEETINGS	Shareholder Against	For		
7.		Shareholder Against	For		

SHAREHOLDER PROPOSAL REGARDING INDEPENDENT CHAIR POLICY

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavaila Shares	ible Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL V TRUST CO	17,800	0	17-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	100	43,500	17-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 & TRUST CO	100	81,500	17-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	100	157,100	17-Mar-2017	28-Apr-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 TRUST CO	12,000	0	17-Mar-2017	28-Apr-2017
AKORN, INC.						
Security	009728106			Meeting Type	Annual	
Ticker Symbol				Meeting Date	27-Apr-2017	
ISIN Record Date	US0097281069 13-Mar-2017		F	Agenda Holding Recon Date	934500308 - 13-Mar-2017	Management
City / Count	rv /	United Itates	۷	/ote Deadline Date	26-Apr-2017	
SEDOL(s)			Ç	Quick Code		

Item	Proposal		Proposed by	Vote	For/Against Management
1.	DIRECTOR		Manageme	ent	
	1 JOHN KAPOOR,	PHD		For	For
	2 KENNETH ABRA	MOWITZ		For	For
	3 ADRIENNE GRA	VES, PHD		For	For
	4 RONALD JOHNS	ON		For	For
	5 STEVEN MEYER	1		For	For
	6 TERRY ALLISON	N RAPPUHN		For	For
	7 BRIAN TAMBI			For	For
	8 ALAN WEINSTE	IN		For	For
2.	PROPOSAL TO RATIFY APPOINTMENT OF BDO THE COMPANY'S INDE REGISTERED PUBLIC A FIRM FOR THE YEAR E DECEMBER 31, 2017.	O USA, LLP AS EPENDENT ACCOUNTING	Manageme	ent For	For
3.	PROPOSAL TO APPROV OMNIBUS INCENTIVE COMPENSATION PLAN		Manageme	ent For	For
4.	PROPOSAL TO APPROV A NON- BINDING ADV THE FREQUENCY OF F NON-BINDING ADVISO THE COMPANY'S EXEC COMPENSATION PROO	ISORY VOTE, FUTURE DRY VOTES ON CUTIVE	Manageme	ent 3 Years	Against
5.	PROPOSAL TO APPROV A NON- BINDING ADV THE COMPANY'S EXEC COMPENSATION PROC DESCRIBED IN THE CO PROXY STATEMENT.	ISORY VOTE, CUTIVE GRAM AS	Manageme	ent For	For

Account Number	Account Name	Internal Custodia Account	n Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO		0	28-Mar-2017	28-Apr-2017
997GLO	GLO	997GI SO ATE STREET BANK	96,700	0	28-Mar-2017	28-Apr-2017

				& TRUST CO				
997AL4	47	GLQ BNP PLEDC	GE 997A	STATE STREET BANK LA7 & TRUST CO	38,600	0	28-Mar-2017	28-Apr-2017
997AL4	49	GLO BNP PLEDC	3E 997A	STATE STREET BANK 49 TRUST CO	54,500	0	28-Mar-2017	28-Apr-2017
997AL:	53	GLO MS PLEDGI	E 997A	STATE STREET BANK S TRUST CO	20,700	0	28-Mar-2017	28-Apr-2017
BRISTOL-MYERS SQUIBB COMPANY								
Security	у	110122108			Meet	ting Type	Annual	
Ticker	Symbol	BMY			Meet	ting Date	02-May-201	7
ISIN		US1101221083			Ager	nda	934547538 -	Management
Record	Date	14-Mar-2017			Holding Recon Date		14-Mar-2017	7
City /	Country		/ United States		Vote Date	Deadline	01-May-201	7
SEDOI	L(S)				Quic	k Code		
Item	Proposa	1		Propo by	sed Vote		.gainst gement	
1A.	ELECT ARDUI	ION OF DIRECTOI NI	R: P. J.	Manag	gement For	Fo	or	
1 B .	ELECT BERTO	ION OF DIRECTOI LINI	R: R. J.	Manag	gement For	Fo	or	
1C.		ION OF DIRECTOI IO, M.D.	R: G.	Manag	gement For	Fo)r	
1D.	ELECT EMME	ION OF DIRECTO NS	R: M. W.	Manag	gement For	Fo)r	
1E.		ION OF DIRECTOF HER, M.D.	R: L. H.	Manag	gement For	Fo	or	
1F.	ELECT GROBS	ION OF DIRECTOF TEIN	R: M.	Manag	gement For	Fo	or	

1 G .	ELECTION OF DIRECTOR: A. J.	LACY	Manag	gement For	Fo	or	
1H.	ELECTION OF DIRECTOR: D. C PALIWAL		Manag	gement For	Fo	or	
1I.	ELECTION OF DIRECTOR: T. R. SAMUELS		Manag	gement For	Fo	or	
1J.	ELECTION OF DIRECTOR: G. L STORCH	· .	Manag	gement For	Fo	or	
1K.	ELECTION OF DIRECTOR: V. L PH.D.	. SATO,	Manag	gement For	Fo	or	
2.	ADVISORY VOTE TO APPROVI COMPENSATION OF OUR NAME EXECUTIVE OFFICERS.		Manag	gement For	Fo	or	
3.	ADVISORY VOTE ON THE FREQUENCY OF THE ADVISOF VOTE TO APPROVE THE COMPENSATION OF OUR NAM EXECUTIVE OFFICERS.		Manaş	gement 3 Years	A	gainst	
4.	RE-APPROVAL OF THE MATER TERMS OF THE PERFORMANCE-BASED AWAR UNDER THE COMPANY'S 2012 STOCK AWARD AND INCENTI PLAN (AS AMENDED).	RDS	Manag	gement For	Fc	ЭГ	
5.	APPROVAL OF AN AMENDME THE COMPANY'S 2012 STOCK AWARD AND INCENTIVE PLA		Manag	gement For	Fo	or	
6.	RATIFICATION OF THE APPOINTMENT OF INDEPENDE REGISTERED PUBLIC ACCOUN FIRM.		Manag	gement For	Fo	or	
7.	SHAREHOLDER PROPOSAL TO LOWER THE SHARE OWNERSH THRESHOLD TO CALL SPECIA SHAREHOLDER MEETINGS.	HIP	Shareh	nolder Against	Fc	70	
Accoun Number	Account Name	Account		Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GL	V GLV	51 997GI <mark>&</mark> 897GI&	TATE TREET ANK RUST O	9,200	0	24-Mar-2017	03-May-2017
997GL0	Q GLQ	997GI SQ		24,200	0	24-Mar-2017	03-May-2017

		BANK & TRUST CO				
997GLO	GLO	STATE STREET 997GL TRUST CO	49,700) 0	24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	187	23,100	24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	46,616	6 40,000	24-Mar-2017	03-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	39,400) 0	24-Mar-2017	03-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL&3 TRUST CO	29,000) ()	24-Mar-2017	03-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL & TRUST CO	900	0	24-Mar-2017	03-May-2017
MTGE INVEST	MENT CORP.					
Security	55378A105]	Meeting Type	Annual	
Ticker Symbol	MTGE]	Meeting Date	02-May-2017	7
ISIN	US55378A1051			Agenda	934555472 -	Management
Record Date	08-Mar-2017			Holding Recon Date	08-Mar-2017	

City /	Country	/ United States		Vote Deadline Date	01-May-2017	7			
SEDOL	L(S)			Quick Code					
Item	Proposal		Proposed Vo	te For/Ag Manag	-				
1A.	ELECTION OF DIREC KAIN	TOR: GARY D.	Management	For For	2				
1 B .	ELECTION OF DIREC W. ABRAHAMS	TOR: STEVEN	Management	For For	:				
1C.	ELECTION OF DIREC CORONADO	TOR: JULIA L.	Management	For For	<u>.</u>				
1D.	ELECTION OF DIREC M. COUCH	TOR: ROBERT	Management	For For	<u>.</u>				
1E.	ELECTION OF DIREC E.DOBBS	TOR: RANDY	Management	For For	<u>.</u>				
2.	ADVISORY VOTE TO COMPENSATION OF EXECUTIVE OFFICER	OUR NAMED	Management	For Fo					
3.	ADVISORY VOTE TO FREQUENCY OF FUT VOTES ON THE COM OUR NAMED EXECU	Management	3 Years Ag	ainst					
4.	RATIFICATION OF APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT PUBLIC ACCOUNTANT FOR THE YEAR ENDING DECEMBER 31, 2017.		Management	For Fo					
Accoun Number	Account Name	Internal Cl Account	ustodian Ballot Shares	Unavailal s Shares	ble Vote Date	Date Confirmed			
997GL	V GLV	ST ST 997GI <mark>B</mark> &	FATE FREET ANK 25,100 RUST		24-Mar-2017	03-May-2017			
997GL0	Q GLQ	ST 997GI (&	RUST	0 0	24-Mar-2017	03-May-2017			
997GL0	O GLO	997GISO S7	DATE 69,06	1 0	24-Mar-2017	03-May-2017			

STREET BANK

			C S	RUST					
997AL	A5	GLV BNP PLEDGE	997AI4 & TI C	RUST	11,40	00	27,000	24-Mar-2017	03-May-2017
997AL	<i>.</i> 47	GLQ BNP PLEDGE		ΓΑΤΕ ΓREET ANK	3,700)	57,700	24-Mar-2017	03-May-2017
			TI C	RUST O					
CABL	E ONE, II	NC.		-					
Securit	ty	12685J105				Meeting	g Type	Annual	
Ticker	Symbol	CABO				Meeting	g Date	02-May-201	7
ISIN		US12685J1051				Agenda		934571084 -	Management
Record	l Date	10-Mar-2017				Holding Date	g Recon	10-Mar-201	7
City /	Country	I I	United States			Vote De Date	eadline	01-May-201	7
SEDO	L(s)					Quick C	Code		
Item	Proposa	ıl		Propo by	osed V	ote		Against agement	
1A.	ELECT SPOON	ION OF DIRECTOR: A	LAN G.	Mana	gemen	t For	Fe	or	
1B.	ELECT R. WEI	ION OF DIRECTOR: V TZ	VALLACE	Mana	gemen	t For	F	or	
2.	PRICE AS THI PUBLIC COMP	TIFY THE APPOINTM WATERHOUSECOOPI E INDEPENDENT REC C ACCOUNTING FIRM ANY FOR THE FISCAJ G DECEMBER 31, 201	ERS LLP HSTERED MOF THE LYEAR	Mana	gemen	t For	F	or	
3.	OF OU OFFICI	PROVE THE COMPEN R NAMED EXECUTIV ERS FOR 2016 ON AN ORY BASIS		Mana	gemen	t For	F	or	
4.	FUTUF EXECU	LECT THE FREQUENC RE ADVISORY VOTES JTIVE COMPENSATIC ORY BASIS	ON	Mana	gemen	t 3 Years	А	gainst	
5.				Mana	gemen	t For	F	or	

TO APPROVE THE AMENDED AND RESTATED CABLE ONE, INC. 2015 OMNIBUS INCENTIVE COMPENSATION PLAN

Accour Numbe		Account Name	Internal Account	ustodiai	Ballo Share		Unavail Shares	able Vote	e Date	Date Confirmed
997GL	Q	GLQ	ST 997GI	TATE TREET ANK P RUST O	1,700)	0	04-4	Apr-2017	03-May-2017
997GL	0	GLO	S 997GL &	RUST	4,508	3	0	04-4	Apr-2017	03-May-2017
997AL	60	GLV MS PLEDGE	ST 997AL6	RUST	1,100)	0	04-4	Apr-2017	03-May-2017
PULTE	EGROUF	, INC.								
Securit	у	745867101				Meeting	Туре	A	nnual	
Ticker	Symbol	PHM				Meeting	Date	03	3-May-201	7
ISIN		US7458671010				Agenda		93	34549758 -	Management
Record	Date	10-Mar-2017				Holding Date	Recon	10)-Mar-2017	,
City /	Countr	V /	nited tates			Vote De Date	adline	02	2-May-201	7
SEDOI	L(s)					Quick C	lode			
Item	Propos	al		Propo by	sed V	ote		Agains ageme		
1A.	ELECT ANDE	TION OF DIRECTOR: BF RSON	RIAN P.	Manag	gemen	t For	F	or		
1B.	ELECTION OF DIRECTOR: BRYCE BLAIR			Manag	gemen	t For	F	or		
1C.		TION OF DIRECTOR: RI EILING	CHARD	Manag	gemen	t For	F	or		
1D.	ELECT FOLLI	TION OF DIRECTOR: TH ARD	IOMAS J.	Manag	gemen	t For	F	or		

I.E.ELECTION OF DIRECTOR: JOSH M GOTE ALUMManagement ForForForI.G.ELECTION OF DIRECTOR: AND RE J HAWAUXManagement ForForForI.G.ELECTION OF DIRECTOR: AND RE J HAWAUXManagement ForForForI.G.ELECTION OF DIRECTOR: PATR K OLE ARYManagement ForForForI.G.ELECTION OF DIRECTOR: PATR K OLE ARYManagement ForForForI.G.ELECTION OF DIRECTOR: VILLAM POWERSManagement ForForForI.G.ELECTION OF DIRECTOR: VILLAM POWERSManagement ForForForI.G.ELECTION OF DIRECTOR: VILLAM POWERSManagement ForForForI.G.AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.Management ForForForI.G.AN ADVISORY VOTE TO APPROVE VOTE TO APPROVE VOTE REGARDING EXECUTIVEManagement ForForForI.G.AN ADVISORY VOTE TO APPROVE VOTE REGARDING EXECUTIVEManagement ForSalerForI.G.MANADY SORY VOTE TO APPROVE VOTE REGARDING EXECUTIVE COMPRISATION.Management ForFor <t< th=""><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th></t<>									
IP.W. GRISEManagement ForForIG.ELECTION OF DIRECTOR: ANDRE J. HAWAUXManagement ForForIH.ELECTION OF DIRECTOR: RYAN R. MARSHALLManagement ForForII.ELECTION OF DIRECTOR: PATRICK J. OLEARYManagement ForForIJ.ELECTION OF DIRECTOR: JOHN R. PESHKINManagement ForForIK.ELECTION OF DIRECTOR: SCOTT F. POWERSManagement ForForIL.ELECTION OF DIRECTOR: WILLIAM J. PULTEManagement ForFor2.LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.Management ForFor3.AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.Management ForFor4.VOTE REGARDING EXECUTIVE COMPENSATION.Management 3 Years AgainstAgainstAccount NumberAccount NameInternal stodian Account SharesBallot SharesUnavailable SharesDate Confirmed997GLVGLV997GRANK ANK16,700029-Mar-201704-May-2017	1E.		SHUA	Manag	gement For	F	or		
10. HAWAUX Management For For 1H. ELECTION OF DIRECTOR: RYAN R. MARSHALL Management For For 11. OLEARY Management For For 11. ELECTION OF DIRECTOR: JOHN R. PESHKIN Management For For 11. ELECTION OF DIRECTOR: SCOTT F. POWERS Management For For 11. ELECTION OF DIRECTOR: SCOTT F. POWERS Management For For 1L. ELECTION OF DIRECTOR: WILLIAM J. PULTE Management For For 2. LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. Management For For 3. AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION. Management 3 Years Against 4. Account Name Internal Account Number STATE STREET STATE STREET 997GLV GLV 997GIRANK 16,700 0 29-Mar-2017 04-May-2017 TRUST CO	1F.		ERYL	Manag	gement For	F	or		
IH. MARSHALL Management For For II. ELECTION OF DIRECTOR: PATRICK J. OLEARY Management For For II. ELECTION OF DIRECTOR: JOH R. PESHKIN Management For For IK. ELECTION OF DIRECTOR: SCOTT F. POWERS Management For For IL. ELECTION OF DIRECTOR: WILLIAM J. PULTE Management For For 2. ELECTION OF DERNST & YOUNG FIRM. Management For For 3. AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF THE ADVISORY Management For For 4. VOTE REGARDING EXECUTIVE COMPENSATION. Management 3 Years Against Account Number Account Name Internal Account & Confirmed Ballot Shares Unavailable Shares Date Confirmed 997GL GLV 997GL P97GL RG,700 0 29-Mar-2017 04-May-2017	1G.		DRE J.	Manag	gement For	F	or		
II.OLEARYManagement ForForIJ.ELECTION OF DIRECTOR: JOHN R. PESHKINManagement ForForIK.ELECTION OF DIRECTOR: SCOTT F. POWERSManagement ForForIL.ELECTION OF DIRECTOR: WILLIAM J. PULTEManagement ForFor2.LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.Management ForFor3.AN ADVISORY VOTE TO APPROVE AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.Management ForFor4.THE REGISTERED PUBLIC ACCOUNTING FIRM.Internal Account NameManagement 3 Years AgainstAgainstAccount NumberAccount NameInternal Account SharesBallot SharesUnavailable SharesDate Confirmed997GLVGLV997GI BANK NG16,700029-Mar-2017 Manag-201704-May-2017 TRUST CO	1H.			Manag	gement For	F	For		
IJ. PESHKIN Management For For IK. ELECTION OF DIRECTOR: SCOTT F. POWERS Management For For IL. ELECTION OF DIRECTOR: WILLIAM J. PULTE Management For For THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG Management For For 2. LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. Management For For 3. AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION. Management For For 4. THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION. Management 3 Years Against Account Number Account Name Internal Account STATE STREET Ballot Shares Unavailable Shares Date Confirmed 997GLV GLV 997GI 8ANK 16,700 0 29-Mar-2017 04-May-2017	1I.		FRICK J.	Manag	gement For	F	or		
IK. POWERS Management For For IL. ELECTION OF DIRECTOR: WILLIAM J. PULTE Management For For THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG Management For For 2. LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. Management For For 3. AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION. Management For For 4. VOTE REGARDING EXECUTIVE COMPENSATION. Management 3 Years Against VOTE REGARDING EXECUTIVE COMPENSATION. Internal Account Ballot Shares Unavailable Shares Date Confirmed Account Number Account Name Internal Account STATE STREET STATE STREET STATE STREET 997GLV GLV 997GRANK 16,700 0 29-Mar-2017 04-May-2017			IN R.	Manag	gement For	F	or		
IL. J. PULTE Management For For THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG 2. LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. 3. AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION. Management For For AN ADVISORY VOTE TO APPROVE 4. THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION. Management 3 Years Against COMPENSATION. Account Name Internal Account Shares Shares Vote Date Date STATE STREET 997GLV GLV 997GL ANNK 16,700 0 29-Mar-2017 04-May-2017 TRUST CO STATE STREET BANK	IK		OTT F.	Manag	gement For	F	or		
2.APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.Management ForFor3.AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.Management ForFor4.AN ADVISORY VOTE TO APPROVE COMPENSATION.Management 3 YearsAgainst4.ACcount NameInternet Account Account NameBallot Account SharesUnavailable SharesDate Confirmed997GLVGLV997GR RANK STATE STREET NANK6,700029-Mar-201704-May-2017 TRUST CO	1L.		LLIAM	Manag	gement For	F	or		
 EXECUTIVE COMPENSATION. AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION. Account Name Internal Account Number Account Name Internal Account STATE STREET 997GLV GLV 997GLV GLV 997GLX GLV STATE STREET BANK 16,700 29-Mar-2017 04-May-2017 TRUST CO 		APPOINTMENT OF ERNST & Y LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOU		Manaş	gement For	F	or		
4. THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION. Management 3 Years Against Account Number Account Name Internal Account Shares Ballot Shares Unavailable Shares Date Confirmed 997GLV GLV 997GL REGARDING EXECUTIVE STREET STREET CO 16,700 0 29-Mar-2017 04-May-2017 RACCOUNT STREET BANK STATE STREET BANK STATE STREET BANK Account STATE STREET BANK	3.			Manag	gement For	F	or		
997GLV GLV 997GL STATE STREET BANK 16,700 0 29-Mar-2017 04-May-2017 TRUST CO STATE STREET BANK	4.	THE FREQUENCY OF THE AD VOTE REGARDING EXECUTIV	VISORY	Manag	gement 3 Years	A	sgainst		
997GLV GLV 997GL STREET 997GL RUST CO STATE STREET BANK		Account Name	Internal Cu Account	ıstodiaı	Ballot Shares	Unavail Shares	able Vote Date		
STREET BANK	997GLV	7 GLV	51 997GI& TF	TREET ANK RUST	16,700	0	29-Mar-2017	04-May-2017	
	997GLQ	Q GLQ	ST B4	REET	31,500	0	29-Mar-2017	04-May-2017	

TRUST CO

997GI**SO**ATE 80,000

STREET BANK

997GLO

GLO

0

29-Mar-2017

04-May-2017

			C	t TRUST CO TATE					
997AL45		GLV BNP PLEDGE	S 997AL <mark>B</mark> & T	TREET	100		50,200	29-Mar-2017	04-May-2017
997AL47		GLQ BNP PLEDGE	S 997AI <mark>&</mark> T	TATE TREET ANK Z TRUST CO	100		120,300	29-Mar-2017	04-May-2017
997AL49		GLO BNP PLEDGE	S S 997AL T	TATE TREET	100		253,500	29-Mar-2017	04-May-2017
997AL60		GLV MS PLEDGE	S S 997AL T	TATE TREET	15,00	00	0	29-Mar-2017	04-May-2017
MEDEOI	JITIES	RLTY TR INC	C	.0					
Security		58409L306				Meeting	g Type	Annual	
Ticker Sy	mbol	MRT				Meeting		03-May-2017	7
ISIN		US58409L3069				Agenda		934553238 -	Management
Record Da	ate	22-Feb-2017				Holding Date	g Recon	22-Feb-2017	
City / C	Country	,	United tates			Vote De Date	eadline	02-May-2017	7
SEDOL(s	5)					Quick C	Code		
Item F	Proposa	1		Propos by	sed V	ote		gainst gement	
1. I	DIREC	TOR		Manag	gemen	t			
	1 I	RANDALL L. CHURCH	EY			For	Fo	r	
		OHN N. FOY				For	Fo		
		STEVEN I. GERINGER				For	Fo		
		STEPHEN L. GUILLAR	D			For	Fo		
	5 V	WILLIAM C. HARLAN				For	Fo	r	

6	ELLIOTT MANDELBAU	JM	For	Fo	or	
7	JOHN W. MCROBERTS		For	Fo	or	
8	STUART C. MCWHORT	TER	For	Fo	or	
9	JAMES B. PIERI		For	Fo	or	
2. KPM ACC	ATIFY THE APPOINTME G LLP AS THE COMPAN EPENDENT REGISTERED OUNTING FIRM FOR TH R ENDING DECEMBER 3	Y'S PUBLIC Man E FISCAL	agement For	Fo)r	
3. AME	PPROVE THE COMPANY NDED AND RESTATED 2 ITY INCENTIVE PLAN.		agement For	Fo	or	
Account Number	Account Name	Internal Custodi Account	an Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREE 997GI& TRUST CO	T 51,200	0	23-Mar-2017	04-May-2017
997GLO	GLO	STATE STREE 997GLO TRUST CO	T 150,000	0	23-Mar-2017	04-May-2017
997AL45	GLV BNP PLEDGE	STATE STREE 997AI& 5 TRUST CO	T 100	69,900	23-Mar-2017	04-May-2017
997AL49	GLO BNP PLEDGE	STATE STREE 997AI 49 TRUST CO	T 200,000	0	23-Mar-2017	04-May-2017
997AL53	GLO MS PLEDGE	STATE STREE 997AL 53 TRUST CO	T 138,933	0	23-Mar-2017	04-May-2017

DIALOG SEMICONDUCTOR PLC, LONDON

Security	G5821P111		Meeting Type Annual General Meet		
Ticker Symbol		Meeting Date	04-May-2017		
ISIN	GB0059822006		Agenda	707978675 - Management	
Record Date	02-May-2017				
City / Country	LONDON /	United Kingdom	Vote Deadline Date	18-Apr-2017	
SEDOL(s)	5982200 - 5982590 - B28ZZL6 - B2QFBF BHZLDS5		Quick Code		

Item	Proposal	Proposed by Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management For	For
2	APPROVE REMUNERATION REPORT	Management For	For
3	REAPPOINT DELOITTE LLP AS AUDITORS	Management For	For
4	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management For	For
5	RE-ELECT EAMONN O'HARE AS DIRECTOR	Management For	For
6	RE-ELECT CHRISTOPHER BURKE AS DIRECTOR	Management For	For
7	RE-ELECT AIDAN HUGHES AS DIRECTOR	Management For	For
8	ELECT MARY CHAN AS DIRECTOR	Management For	For
9	ELECT NICHOLAS JEFFERY AS DIRECTOR	Management For	For
10	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS	Management For	For
11	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS IN CONNECTION WITH A RIGHTS ISSUE	Management For	For
12	AUTHORISE ISSUE OF EQUITY WITHOUT PRE- EMPTIVE RIGHTS	Management For	For
13	AUTHORISE ISSUE OF EQUITY WITHOUT PRE- EMPTIVE RIGHTS IN CONNECTION WITH AN ACQUISITION OR OTHER CAPITAL INVESTMENT	Management For	For
14	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT	Management For	For

		VARD SHARE PURC TRACT WITH BARC		ΙK				
15	ENTE FORV CONT	IORISE THE COMP R INTO A CONTIN VARD SHARE PURC TRACT WITH GOLE RNATIONAL	GENT CHASE	Managemen HS	t For	Fc	or.	
16	ENTE FORV	IORISE THE COMP R INTO A CONTIN VARD SHARE PURC 'RACT WITH HSBC	GENT CHASE	Managemen	t For	Fo	Dr.	
17	ENTE FORV CONT	IORISE THE COMP. R INTO A CONTIN VARD SHARE PURC RACT WITH MERF RNATIONAL	GENT CHASE	Managemen H	t For	Fc	or.	
18	CALL	IORISE THE COMP. , GENERAL MEETII WEEKS' NOTICE		Managemen	t For	Fo	or	
Accour Numbe		Account Name	Inter Acco	Custodian	ot es	Unavaila Shares	able Vote Date	Date Confirmed
GLQ		GLQ		STATE STREET 62,31 BANK	18	0	14-Apr-2017	21-Apr-2017
GLO		GLO		STATE STREET 154,9 BANK	934	0	14-Apr-2017	21-Apr-2017
STARV	WOOD	PROPERTY TRUST	INC					
Securit	y	85571B105			Meeting	g Type	Annual	
Ticker	Symbol	STWD			Meeting	g Date	04-May-201	7
ISIN		US85571B1052			Agenda	L	934571844 -	Management
Record	Date	17-Mar-2017			Holding Date	g Recon	17-Mar-2017	7
City /	Count	ry	/ United States		Vote De Date	eadline	03-May-201	7
SEDOI	L(s)				Quick C	Code		
Item	Propo	sal		Proposed V by	ote		.gainst gement	
1.	DIRE	CTOR		Managemen	t			
	1	RICHARD D. BRO	NSON		For	Fo	or	
	2	JEFFREY G. DISHI	NER		For	Fo	or	

	3 CAMILLE J. DOUGLAS	For	For	
	4 SOLOMON J. KUMIN	For	For	
	5 BARRY S. STERNLICHT	For	For	
	6 STRAUSS ZELNICK	For	For	
2.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S EXECUTIVE COMPENSATION AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management For	For	
3.	TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE ADVISORY VOTE ON THE COMPANY'S EXECUTIVE COMPENSATION.	Management 3 Years	Against	
4.	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 MANAGER EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. MANAGER EQUITY PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management For	For	
5.	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. EQUITY PLAN, AS AMENDED, AND THE STARWOOD PROPERTY TRUST, INC. NON- EXECUTIVE DIRECTOR STOCK PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management For	For	
6.	TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2017.	Management For	For	
Accoun	Internal	Ballot	Unavailable	Date

Account Number	Account Name	Internal Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	997G ISV ATE 15,000 STREET BANK	0 04-Apr-2017	05-May-2017

		& TRUST CO				
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	79,500	0	04-Apr-2017	05-May-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	90,586	0	04-Apr-2017	05-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	100	100,600	04-Apr-2017	05-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	100	205,800	04-Apr-2017	05-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	100	499,900	04-Apr-2017	05-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL23 TRUST CO	70,000	0	04-Apr-2017	05-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 TRUST CO	45,000	0	04-Apr-2017	05-May-2017
997AL61	GLQ MS PLEDGE	997AI SGI ATE STREET BANK	15,000	0	04-Apr-2017	05-May-2017

& TRUST CO

BOST	BOSTON SCIENTIFIC CORPORATION									
Securit	ty	101137107			Meeting 7	Гуре	Annual			
Ticker	Symbol	BSX			Meeting I	Date	09-May-2017			
ISIN		US1011371077			Agenda		934558543 - Management			
Record	l Date	15-Mar-2017			Holding R Date	Recon	15-Mar-2017			
City /	Country	7	/ United States		Vote Dead Date	dline	08-May-2017			
SEDOI	L(s)				Quick Co	de				
Item	Proposa	ıl		Proposed V by	ote	For/Aga Manage				
1A.	ELECT CONN	ION OF DIRECTOR ORS	: NELDA J.	Managemen	t For	For				
1 B .		ION OF DIRECTOR KENDORFF	: CHARLES	Managemen	t For	For				
1C.	ELECT FUJIM	ION OF DIRECTOR ORI	: YOSHIAKI	Managemen	t For	For				
1D.	ELECT JAMES	ION OF DIRECTOR	: DONNA A.	Managemen	t For	For				
1E.	ELECT J. LUD	ION OF DIRECTOR WIG	: EDWARD	Managemen	t For	For				
1F.		ION OF DIRECTOR CMILLAN	: STEPHEN	Managemen	t For	For				
1 G .		ION OF DIRECTOR IONEY	: MICHAEL	Managemen	t For	For				
1H.	ELECT ROUX	ION OF DIRECTOR	: DAVID J.	Managemen	t For	For				
1I.	ELECT SUNUN	ION OF DIRECTOR	: JOHN E.	Managemen	t For	For				
1 J .	ELECT ZANE	ION OF DIRECTOR	ELLEN M.	Managemen	t For	For				
2.	ADVIS EXECU	PROVE, ON A NON ORY BASIS, NAME JTIVE OFFICER ENSATION.		Managemen	t For	For				
3.	ADVIS OF FU OFFICI	PROVE, ON A NON ORY BASIS, THE F IURE NAMED EXE ER COMPENSATIO ORY VOTES.	REQUENCY CUTIVE	Managemen	t 3 Years	Aga	inst			

TO RATIFY THE APPOINTMENT OF
ERNST & YOUNG LLP AS OUR4.INDEPENDENT REGISTERED PUBLIC
Management ForFor
For
ACCOUNTING FIRM FOR THE 2017
FISCAL YEAR.

Accoun Number		Account Name	Internal Accoun	ustodiar	Ballo Share	t es	Unavaila Shares	able Vote Date	Date Confirmed
997GL0	Q	GLQ	S' S' 997GL & T	TATE TREET ANK Q RUST CO	52,70		0	29-Mar-2017	10-May-2017
997GL0	С	GLO	S 997GL & T	TATE TREET ANK C TRUST	99,90	0	0	29-Mar-2017	10-May-2017
997AL4	47	GLQ BNP PLEDGE	S 997AI & T	TATE TREET ANK 7 RUST 20	100		74,700	29-Mar-2017	10-May-2017
997AL5	53	GLO MS PLEDGE	S 997AI <mark>&</mark> T	TATE TREET ANK 2 RUST	30,00	0	0	29-Mar-2017	10-May-2017
GILEA	D SCIEN	ICES, INC.							
Security	y	375558103				Meeting	g Type	Annual	
Ticker S	Symbol	GILD				Meeting	g Date	10-May-2017	
ISIN		US3755581036				Agenda		934558810 - 1	Management
Record	Date	16-Mar-2017				Holding Date	Recon	16-Mar-2017	
City /	Country	7 /	Inited tates			Vote De Date	eadline	09-May-2017	
SEDOL	L(S)					Quick C	Code		
Item	Proposa	ıl		Propos by	sed Vo	ote		.gainst gement	
1A.		ION OF DIRECTOR: JO N, PH.D.	HN F.	Manag	gemen	t For	Fo	or	

1 B .	ELECTION OF DIRECTOR: KELLY A. KRAMER	Management For	For
1C.	ELECTION OF DIRECTOR: KEVIN E. LOFTON	Management For	For
1D.	ELECTION OF DIRECTOR: JOHN C. MARTIN, PH.D.	Management For	For
1E.	ELECTION OF DIRECTOR: JOHN F. MILLIGAN, PH.D.	Management For	For
1F.	ELECTION OF DIRECTOR: NICHOLAS G. MOORE	Management For	For
1 G .	ELECTION OF DIRECTOR: RICHARD J. WHITLEY, M.D	Management For	For
1H.	ELECTION OF DIRECTOR: GAYLE E. WILSON	Management For	For
1I.	ELECTION OF DIRECTOR: PER WOLD-OLSEN	Management For	For
2.	RATIFICATION OF THE SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management For	For
3.	RESTATEMENT OF THE GILEAD SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.	Management For	For
4.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management For	For
5.	ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management 3 Years	Against
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.	Shareholder Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD OF DIRECTORS BE AN INDEPENDENT DIRECTOR.	Shareholder Against	For

Account Number	Account Name	Internal Ballot Account Shares	Unavailable Vote Date Shares	Date Confirmed
997AL45	GLV BNP PLEDGE	997AIS#5ATE 3,600 STREET	6,200 29-Mar-2017	11-May-2017

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			& T C S	ANK RUST O TATE TREET						
997AL	47	GLQ BNP PLEDGE	997AI & T	ANK RUST	1,300)	19,900	29-Mar-2	2017	11-May-2017
997AL	49	GLO BNP PLEDGE	S S 997AL	O TATE TREET ANK 9 RUST	4,000)	35,200	29-Mar-2	2017	11-May-2017
997AL	61	GLQ MS PLEDGE	S S 997AL A T	RUST	3,700)	0	29-Mar-	2017	11-May-2017
SERVI	CE CORI	PORATION INTERNA		0						
Securit		817565104	HOIVIL			Meeting	Type	Annua	վ	
	Symbol	SCI				Meeting			1y-2017	
ISIN	5	US8175651046			Agenda			-	Management	
Record	Date	13-Mar-2017			Holding Recon Date		13-Ma	13-Mar-2017		
City /	Country		United States			Vote De Date	eadline	09-Ma	ay-2017	
SEDOI	Ĺ(s)					Quick C	Code			
Item	Proposal			Propo by	Proposed Vote For/Aga by Manage			-		
1.	DIREC	ΓOR		Mana	Management					
	1 7	ΓΗΟΜΑS L. RYAN				For	Fo	or		
	2 0	CLIFTON H. MORRIS	JR.			For	Fo	or		
	3 V	W. BLAIR WALTRIP				For	Fo	or		
2.	PRICE AS THE REGIST	PROVE THE SELECTI WATERHOUSECOOPI E COMPANY'S INDEP FERED PUBLIC ACCO FOR FISCAL 2017.	ERS LLP ENDENT	Mana	gemen	t For	Fo	or		
3.		PROVE, BY ADVISOR D EXECUTIVE OFFIC		Mana	gemen	t For	Fo	or		

	COMP	ENSATION.									
4.	TO APPROVE HOLDING AN ADVISORY VOTE ON NAMED EXECUTIVE OFFICER COMPENSATION EVERY ONE, TWO OR THREE YEARS, AS INDICATED.			Management 3 Years			A	Against			
5.	-	PROVE THE AMENI TED 2016 EQUITY I			Management For			F	For		
6.	PROPO	PROVE THE SHARE SAL TO REQUIRE ENDENT BOARD CI			Shareholder Against			F	For		
7.	TO APPROVE THE SHAREHOLDER PROPOSAL TO ADOPT SIMPLE MAJORITY VOTE.				Shareholder Against			F	For		
Accour Numbe		Account Name	Int Ac	ternal Ci count	ustodiar	Ballo Share	t es	Unavail Shares	lab	e /ote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE		ST ST 7AL4 &	FATE FREET ANK S	900		28,200	3	1-Mar-2017	11-May-2017
997AL	47	GLQ BNP PLEDGE	E 99	ST 7AI& &	TATE TREET ANK 7 RUST O	5,400)	35,500	3	1-Mar-2017	11-May-2017
997AL	49	GLO BNP PLEDGE	E 99	S7 7AI & &	TATE TREET ANK 9 RUST O	1,300)	70,900	3	1-Mar-2017	11-May-2017
ALEXI	ALEXION PHARMACEUTICALS, INC.										
Securit	У	015351109					Meeting	Туре		Annual	
Ticker	Symbol	ALXN					Meeting	Date		10-May-2017	
ISIN		US0153511094					Agenda			934568710 - 1	Management
Record	Date	14-Mar-2017					Holding Date			14-Mar-2017	
City /	Country	, , , , , , , , , , , , , , , , , , ,	, United States				Vote De Date	adline		09-May-2017	
SEDOI	L(s)						Quick C	lode			

Item	Proposal	Proposed by Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: FELIX J. BAKER	Management For	For
1B.	ELECTION OF DIRECTOR: DAVID R. BRENNAN	Management For	For
1C.	ELECTION OF DIRECTOR: M. MICHELE BURNS	Management For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN	Management For	For
1E.	ELECTION OF DIRECTOR: LUDWIG N. HANTSON	Management For	For
1F.	ELECTION OF DIRECTOR: JOHN T. MOLLEN	Management For	For
1G.	ELECTION OF DIRECTOR: R. DOUGLAS NORBY	Management For	For
1H.	ELECTION OF DIRECTOR: ALVIN S. PARVEN	Management For	For
1I.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management For	For
1J.	ELECTION OF DIRECTOR: ANN M. VENEMAN	Management For	For
2.	TO APPROVE ALEXION'S 2017 INCENTIVE PLAN.	Management For	For
3.	RATIFICATION OF APPOINTMENT BY THE BOARD OF DIRECTORS OF PRICEWATERHOUSECOOPERS LLP AS ALEXION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management For	For
4.	APPROVAL OF A NON-BINDING ADVISORY VOTE OF THE 2016 COMPENSATION PAID TO ALEXION'S NAMED EXECUTIVE OFFICERS.	Management For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management 3 Years	Against
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON EXECUTIVE PAY MATTERS.	Shareholder Against	For

Accoun Numbe		Account Name	Intern Accou	Custodia	n Ballo ⁿ Share		Unavaila Shares	able Vot	e Date	Date Confirmed
997GL	Q	GLQ	997G	STATE STREET BANK & TRUST CO	19,50)0	0	01-2	Apr-2017	11-May-2017
997GL	0	GLO	997G	STATE STREET BANK CO TRUST CO	14,10	00	0	01-2	Apr-2017	11-May-2017
997AL4	49	GLO BNP PLEDO	GE 997A	STATE STREET BANK L49 & TRUST CO	100		34,900	01-2	Apr-2017	11-May-2017
JPMOF	RGAN CH	HASE & CO.								
Security	у	46625H100				Meeting	g Type	А	nnual	
Ticker	Symbol	JPM				Meeting	g Date	16	6-May-2017	7
ISIN		US46625H1005				Agenda	L	93	34561665 -	Management
Record	Date	17-Mar-2017				Holding Date	g Recon	17	7-Mar-2017	,
City /	Country	1	/ United States			Vote De Date	eadline	13	5-May-2017	7
SEDOI	L(s)					Quick C	Code			
Item	Proposa	ıl		Propo by	osed V	ote	For/A Mana	-		
1A.	ELECT BAMM	ION OF DIRECTOI	R: LINDA B	Mana	gemen	t For	Fo	or		
1B.	ELECT BELL	ION OF DIRECTO	R: JAMES A	. Mana	gemen	t For	Fo	or		
1C.		ION OF DIRECTO DALL C. BOWLES	R:	Mana	gemen	t For	Fo	or		
1D.	ELECT B. BUR	ION OF DIRECTO	R: STEPHEN	N Mana	gemen	t For	Fo	or		
1E.	ELECT COMB	ION OF DIRECTOI S	R: TODD A.	Mana	gemen	t For	Fo	or		
1F.	ELECT CROW	ION OF DIRECTOI N	R: JAMES S	Mana	gemen	t For	Fo	or		
1G.				Mana	gemen	t For	Fo	or		

	ELECTION OF DIRECTOR: JAMES DIMON		
1H.	ELECTION OF DIRECTOR: TIMOTHY P. FLYNN	Management For	For
1I.	ELECTION OF DIRECTOR: LABAN P. JACKSON, JR.	Management For	For
1J.	ELECTION OF DIRECTOR: MICHAEL A. NEAL	Management For	For
1K.	ELECTION OF DIRECTOR: LEE R. RAYMOND	Management For	For
1L.	ELECTION OF DIRECTOR: WILLIAM C. WELDON	Management For	For
2.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management For	For
3.	RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management For	For
4.	ADVISORY VOTE ON FREQUENCY OF ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management 3 Years	Against
5.	INDEPENDENT BOARD CHAIRMAN	Shareholder Against	For
6.	VESTING FOR GOVERNMENT SERVICE	Shareholder Against	For
7.	CLAWBACK AMENDMENT	Shareholder Against	For
8.	GENDER PAY EQUITY	Shareholder Against	For
9.	HOW VOTES ARE COUNTED	Shareholder Against	For
10.	SPECIAL SHAREOWNER MEETINGS	Shareholder Against	For

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	100	8,000	07-Apr-2017	17-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	100	13,100	07-Apr-2017	17-May-2017

997AL4	49	GLO BNP PLEDGI	S E 997AL T	TATE TREET ANK 29 RUST CO	100		33,000	07-Apr-2017	17-May-2017
ALIGN	TECHN	OLOGY, INC.							
Security	у	016255101				Meeting	g Type	Annual	
Ticker	Symbol	ALGN				Meeting	, Date	17-May-20	17
ISIN		US0162551016				Agenda		934559874	- Management
Record	Date	22-Mar-2017				Holding Date	Recon	22-Mar-20	17
City /	Country	,	United States			Vote De Date	adline	16-May-20	17
SEDOI	L(S)					Quick C	Code		
Item	Proposa	1		Propo by	sed Vo	ote	For/A Manag	gainst gement	
1A.	ELECT HOGAN	ION OF DIRECTOR: N	JOSEPH M	. Mana	gemen	t For	Fo	r	
1B.	ELECT LACOB	ION OF DIRECTOR	JOSEPH	Mana	gemen	t For	Fo	r	
1C.		ION OF DIRECTOR: OND LARKIN, JR.	: C.	Mana	gemen	t For	Fo	r	
1D.	ELECT MORR(ION OF DIRECTOR: DW	GEORGE J	. Mana	gemen	t For	Fo	r	
1E.	ELECT M. PRE	ION OF DIRECTOR: SCOTT	THOMAS	Mana	gemen	t For	Fo	r	
1F.	ELECT L. SAIA	ION OF DIRECTOR:	ANDREA	Mana	gemen	t For	Fo	r	
1G.	ELECT SANTO	ION OF DIRECTOR: RA	GREG J.	Mana	gemen	t For	Fo	r	
1H.	ELECT SIEGEL	ION OF DIRECTOR:	SUSAN E.	Mana	gemen	t For	Fo	r	
1I.	ELECT S. THAI	ION OF DIRECTOR: LER	WARREN	Mana	gemen	t For	Fo	r	
2.	APPOIN PRICEV AS ALI INDEPH ACCOU	SAL TO RATIFY TH NTMENT OF VATERHOUSECOO GN TECHNOLOGY ENDENT REGISTER INTANTS FOR THE ENDING DECEMBE	PERS LLP , INC.'S ED PUBLIC FISCAL		gemen	t For	Fo	r	
3.		ORY VOTE TO APP DEXECUTIVE OFFI		Mana	gemen	t For	Fo	r	

4. COMPENSATION. ADVISORY VOTE ON THE FREQUENCY OF HOLDING AN ADVISORY VOTE ON EXECUTIVE COMPENSATION. Management 3 Years Against

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO TRUST CO	21,600	0	08-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	22,200	0	08-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 89 TRUST CO	100	49,900	08-Apr-2017	18-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL&3 TRUST CO	10,000	0	08-Apr-2017	18-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997AL61 TRUST CO	10,000	0	08-Apr-2017	18-May-2017
ELLIE MAE,						
Security	28849P100		Meetin		Annual	
Ticker Symbol ISIN			Meetin		17-May-2017	
Record Date	US28849P1003 22-Mar-2017		Agenda Holding Date	g Recon	934563746 - 22-Mar-2017	-
City / Count	try / U	Inited		eadline	16-May-2017	7

		St	ates		I	Date			
SEDOI	L(S)				(Quick C	lode		
Item	Propo	sal		Propos by	sed Vot	e		against agement	
1.	DIRE	CTOR		Manag	gement				
	1	KAREN BLASING			I	For	Fo	or	
	2	JONATHAN CORR			I	For	Fo	or	
	3	ROBERT J. LEVIN			Ι	For	Fo	or	
	4	JEB S. SPENCER			Ι	For	Fo	or	
2.	AUDI OF DI THOF INDE ACCO	ATIFY THE SELECTION, T COMMITTEE OF THE IRECTORS, OF GRANT RNTON LLP AS THE PENDENT REGISTERED DUNTING FIRM OF THE PANY FOR ITS FISCAL Y NG DECEMBER 31, 2017.	BOARD PUBLIC ΈAR	Manag	gement I	For	Fo	or	
3.	BASIS OFFIC FISCA 2016	PPROVE, ON AN ADVISO S, THE NAMED EXECUT CER COMPENSATION FO AL YEAR ENDED DECEN AS DISCLOSED IN THE F EMENT	IVE DR THE IBER 31,	Manag	gement I	For	Fo	or	
4.	PROP PRES RELA DECL	ONSIDER A STOCKHOLI OSAL IF PROPERLY ENTED AT THE MEETIN TED TO THE ASSIFICATION OF THE IRECTORS.	G,	Shareh	nolder 4	Against	Fo	Dr	
Accoun Numbe		Account Name	Internal Ci Account	ustodiar	Ballot Shares		Unavaila Shares	able Vote Date	Date Confirmed
997GL	Q	GLQ	ST 997GI	FATE FREET ANK P RUST O	19,100		0	07-Apr-2017	18-May-2017
997AL4	49	GLO BNP PLEDGE	ST 997AI &	RUST	47,900		0	07-Apr-2017	18-May-2017

SOLAR CAPITAL LTD

Securit Ticker	y Symbol	83413U100 SLRC				Meeting Meeting		Annual 17-May-2017	7
ISIN		US83413U1007				Agenda		934568518 -	Management
Record	Date	22-Mar-2017				Holding Date	g Recon	22-Mar-2017	
City /	Country	. /	United States			Vote De Date	eadline	16-May-2017	7
SEDOI	L(s)					Quick C	Code		
Item	Proposa	1		Propos by	sed Vo	ote		against agement	
1.	DIREC	ГOR		Manag	gemen	t			
	1 I	MR. BRUCE SPOHLE	R			For	Fo	or	
	2 N	MR. STEVEN HOCH	BERG			For	Fo	or	
2.	AUTHO SHARE A PRIC COMPA ASSET OR MO CASE S OF ITS COMPI CONDI PROXY THERE LIMITA SHARE 25% OF OUTST IMMEE	PROVE A PROPOSAI DRIZE THE COMPAN S OF ITS COMMON E OR PRICES BELOV ANY'S THEN CURRE VALUE PER SHARE RE OFFERINGS, IN 1 SUBJECT TO THE AF BOARD OF DIRECT JANCE WITH THE TIONS SET FORTH I S STATEMENT PERT TO (INCLUDING, W ATION, THAT THE N S ISSUED DOES NO F THE COMPANY'S T ANDING COMMON DIATELY PRIOR TO T OFFERING).	IY TO SELL STOCK AT W THE NT NET IN ONE EACH PROVAL ORS AND N THE AINING ITHOUT UMBER OF T EXCEED THEN STOCK	Manag	gemen	t For	Fo	Dr	
Accoun Numbe		Account Name	Internal Accoun	ustodiar	Ballo Share		Unavaila Shares	able Vote Date	Date Confirmed
997GL`	V	GLV	S 997GI&	RUST	30,90	00	0	01-Apr-2017	18-May-2017
997AL4	45	GLV BNP PLEDGE		TREET ANK	100		47,000	01-Apr-2017	18-May-2017

		Eugar Filling. Clou	ign Giobai L	Ividend		Sine Fund - I		
			TRU	JST				
			CO	тр				
			STA STR	EET				
997AL	47	GLQ BNP PLEDGE	997AL47)	75,000	01-Apr-2017	18-May-2017
<i>,,,,</i> ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,		TRU			70,000	01 Hpt 2017	10 May 2017
			CO	51				
			STA	TE				
			STR					
997AL	A9	GLO BNP PLEDGE	997AI&49	NK 37,0	531	0	01-Apr-2017	18-May-2017
			TRU	JST				
		DICAL DEIT INC	CO					
Securit		DICAL REIT INC. 37954A204			Me	eting Type	Annual	
	Symbol					eting Type eting Date	18-May-20	17
ISIN	5 yiiiool	US37954A2042				enda	-	- Management
					-	lding Recon		C
Record	1 Date	31-Mar-2017			Dat	-	31-Mar-201	. /
City /	Count	rv /	United States		Vo Dat	te Deadline	17-May-20	17
SEDO	L(s)		nates			ick Code		
			Р	roposed .			Against	
Item	Propo	sal	b	-	Vote		agement	
1.	DIRE	CTOR	Ν	/lanageme	ent			
	1	DAVID A. YOUNG			For	· F	For	
	2	JEFFREY BUSCH			For	· F	For	
	3	HENRY COLE			For	· F	For	
	4	MATTHEW L. CYPHER			For		For	
	5	KURT R. HARRINGTO	N		For		For	
	6	ZHANG JINGGUO			For		For	
	7	RONALD MARSTON			For		For	
	8	DR. ROSCOE MOORE			For		For	
	9 TO D	ZHANG HUIQI			For	· F	For	
		ATIFY THE APPOINTMI ONEBAILEY, LLP AS TH						
2.	COM	PANY'S INDEPENDENT	N	/Ianageme	ent For	· F	For	
		STERED PUBLIC ACCO	UNTING	Lunugenik		1	~1	
		EMBER 31, 2017.						

Account Number	ł	Account Name	Internal Custod Account	Ballot ian Shares	Unav: Share	ailable Vote Date s	Date Confirmed
997GLV		GLV	STATE STREE 997GL W TRUST CO	50,000	0	28-Apr-2017	19-May-2017
997AL45	5	GLV BNP PLEDGE	STATE STREE 997AI& TRUST CO	27,000	0	28-Apr-2017	19-May-2017
997AL47	7	GLQ BNP PLEDGE	STATE STREE 997AI 47 TRUST CO	CT 121,000) 0	28-Apr-2017	19-May-2017
997AL49	9	GLO BNP PLEDGE	STATE STREE 997AI 49 TRUST CO	2T 310,000) 0	28-Apr-2017	19-May-2017
ARES CA	APITAL	CORPORATION					
Security		04010L103		Ν	leeting Type	Annual	
Ticker Sy	ymbol	ARCC		Ν	leeting Date	22-May-201	7
ISIN		US04010L1035		А	genda	934557426	- Management
Record D	Date	09-Mar-2017			Iolding Recor	¹ 09-Mar-201	7
City /	Country	· /	United States		ote Deadline Date	19-May-201	7
SEDOL((s)			Ç	uick Code		
Item	Proposa	1	Proj by	posed Vote	2	r/Against anagement	
1A.	AROUC	ION OF DIRECTOR: 1 GHETI (CLASS I DIRE TERM OF 3 YEARS E I)	CTOR Mar	nagement F	or	For	
1 B .	TORRE	ION OF DIRECTOR: A BATES (CLASS I DI TERM OF 3 YEARS E	RECTOR Mar	nagement F	or	For	

1C.	ELECTION OF DIRECTOR: STEVE MCKEEVER (CLASS I DIRECTOR FOR A TERM OF 3 YEARS EXPIRING IN 2020)	Management For	For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management For	For

Account Number	Account Name	Internal Ballo Custodian Account Share	t Unavaila es Shares	uble Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL& 50,00 TRUST CO	0 0	25-Mar-2017	23-May-2017
997GLQ	GLQ	STATE STREET 997GL 20,00 TRUST CO	00 0	25-Mar-2017	23-May-2017
997GLO	GLO	STATE STREET 997GLO 8,309 TRUST CO) 0	25-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 168,3 TRUST CO	300 0	25-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 301,4 TRUST CO	400 0	25-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	997AIS49ATE 100 STREET BANK & TRUST	826,991	25-Mar-2017	23-May-2017

				CO STATE				
997AL	.60	GLV MS PLEDGE	E 997A	STATE STREET BANK L60 TRUST CO	15,000	0	25-Mar-2017	23-May-2017
997AL	.61	GLQ MS PLEDGH	E 997 <i>A</i>	STATE STREET BANK LOI TRUST CO	110,000	0	25-Mar-2017	23-May-2017
ARES	CAPITAI	L CORPORATION						
Securit	ty	04010L103			Meet	ing Type	Special	
Ticker	Symbol	ARCC			Meet	ing Date	22-May-2017	7
ISIN		US04010L1035			Agen	da	934560598 -	Management
Record	l Date	09-Mar-2017			Hold: Date	ing Recon	09-Mar-2017	7
City /	Country	,	/ United States		Vote Date	Deadline	19-May-2017	7
SEDO	L(s)				Quicl	c Code		
Item	L(s) Proposa	1		Propo by		For/A	Against agement	
	Proposa TO AU' WITH T OF DIR OTHER COMM BELOW ASSET TO THI THE PE SPECIA STOCK (DUE	THORIZE THE CON THE APPROVAL O ECTORS, TO SELL WISE ISSUE SHAN ON STOCK AT A F VITS THEN CURR VALUE PER SHAN E LIMITATIONS SH ROXY STATEMENT AL MEETING OF HOLDERS (INCLU TO SPACE LIMITS Y STATEMENT FOI	F ITS BOA , OR RES OF ITS RICE ENT NET RE SUBJEC T FORTH F FOR THE DING, S, SEE	by RD S CT Manaş	head	For/A Mana	-	
Item	Proposa TO AU' WITH T OF DIR OTHER COMM BELOW ASSET TO THI THE PF SPECIA STOCK (DUE PROXY PROPO	THORIZE THE CON THE APPROVAL O ECTORS, TO SELL WISE ISSUE SHAN ON STOCK AT A F VITS THEN CURR VALUE PER SHAN E LIMITATIONS SH ROXY STATEMENT AL MEETING OF HOLDERS (INCLU TO SPACE LIMITS Y STATEMENT FOI	F ITS BOA , OR RES OF ITS RICE ENT NET RE SUBJEC T FORTH F FOR THE DING, S, SEE	by RD S CT Manag E	gement For	For/A Mana F	agement	Date Confirmed
Item 1.	Proposa TO AU' WITH TO OF DIR OTHER COMM BELOW ASSET TO THI THE PE SPECIA STOCK (DUE PROXY PROPO	THORIZE THE CON THE APPROVAL O ECTORS, TO SELL WISE ISSUE SHAH ON STOCK AT A F VITS THEN CURRAN VALUE PER SHAH E LIMITATIONS SH ROXY STATEMENT AL MEETING OF HOLDERS (INCLU TO SPACE LIMITS STATEMENT FOR SAL).	F ITS BOA OR RES OF ITS RICE ENT NET RE SUBJEC T FOR THE DING, S, SEE R FULL Inter Acco	by RD S CT Manaş	gement For	For/A Mana F	or	

		TRUST CO				
997GLQ	GLQ	STATE STREET 997GL TRUST CO	20,000	0	28-Mar-2017	23-May-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	8,309	0	28-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	168,300	0	28-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	301,400	0	28-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	100	826,991	28-Mar-2017	23-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 TRUST CO	15,000	0	28-Mar-2017	23-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997AL61 TRUST CO	110,000	0	28-Mar-2017	23-May-2017
MERCK & CO.,	INC.					
Security Ticker Symbol	58933Y105 MRK		Meeting Meeting		Annual 23-May-2017	

ISIN		US58933Y1055			Agenda		934581439 - Management
Record Date 2		27-Mar-2017		Holding Recon Date		27-Mar-2017	
City /	Country		/ United States		Vote Deadli Date	ine	22-May-2017
SEDOL	L(s)				Quick Code	•	
Item	Proposa	1		Proposed V by	ote	For/Aga Manage	
1A.	ELECT BRUN	ION OF DIRECTOF	R: LESLIE A.	Managemen	t For	For	
1 B .	ELECT R. CEC	ION OF DIRECTOF H	R: THOMAS	Managemen	ıt For	For	
1C.	ELECT CRAIG	ION OF DIRECTOF	R: PAMELA J.	Managemen	t For	For	
1D.	ELECT C. FRA	ION OF DIRECTOF ZIER	R: KENNETH	Managemen	t For	For	
1E.	ELECT H. GLO	ION OF DIRECTOF CER	R: THOMAS	Managemen	t For	For	
1F.		ION OF DIRECTOF ELLE B. LAZARUS	R:	Managemen	t For	For	
1G.		ION OF DIRECTOF /ORTHY	R: JOHN H.	Managemen	t For	For	
1H.	ELECT REPRE	ION OF DIRECTOF SAS	R: CARLOS E.	Managemen	t For	For	
1I.	ELECT ROTHM	ION OF DIRECTOF IAN	R: PAUL B.	Managemen	t For	For	
1J.	ELECT F. RUSS	ION OF DIRECTOF SO	R: PATRICIA	Managemen	ıt For	For	
1K.	ELECT THOMI	ION OF DIRECTOF PSON	R: CRAIG B.	Managemen	ıt For	For	
1L.	ELECT P. WEE	ION OF DIRECTOF KS	R: WENDELL	Managemen	ıt For	For	
1M.	ELECT WENDI	ION OF DIRECTOF ELL	R: PETER C.	Managemen	t For	For	
2.	APPRO	INDING ADVISOR VE THE COMPENS AMED EXECUTIV	SATION OF	Managemen	t For	For	
3.	THE FR TO APF	INDING ADVISOR EQUENCY OF FU PROVE THE COMP R NAMED EXECU ERS.	TURE VOTES ENSATION	Managemen	t 3 Years	Aga	inst
4.	RATIFI	CATION OF THE		Managemen	t For	For	

	APPOINTMENT OF THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.		
5.	SHAREHOLDER PROPOSAL REQUESTING AN INDEPENDENT BOARD CHAIRMAN.	Shareholder Against	For
6.	SHAREHOLDER PROPOSAL REQUESTING IMPLEMENTATION OF A SET OF EMPLOYEE PRACTICES IN ISRAEL/PALESTINE.	Shareholder Against	For
7.	SHAREHOLDER PROPOSAL REQUESTING A REPORT ON CONDUCTING BUSINESS IN CONFLICT- AFFECTED AREAS.	Shareholder Against	For
8.	SHAREHOLDER PROPOSAL REQUESTING A REPORT ON BOARD OVERSIGHT OF PRODUCT SAFETY AND QUALITY.	Shareholder Against	For

Account Number	Account Name	Internal Custodian Account Shares	Unavailable Vote I Shares	Date Date Confirmed
997GLV	GLV	STATE STREET 997GL ^{BANK} 7RUST CO	0 11-Ap	r-2017 24-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 13,900 TRUST CO	0 11-Ap	r-2017 24-May-2017
997GLO	GLO	STATE STREET 997GLO 34,500 TRUST CO	0 11-Ap	r-2017 24-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 100 TRUST CO	43,300 11-Ap	r-2017 24-May-2017
997AL47	GLQ BNP PLEDGE	997AISH7ATE 38,200 STREET	41,800 11-Ap	r-2017 24-May-2017

	Edgar Filing: Clough Global Dividend & Income Fund - Form N-PX								
997AL4	49	GLO BNP PLEDGE	& T C S S 997AI & T C S S	RUST 20 TATE TREET	100		174,400	11-Apr-2017	24-May-2017
997AL	60	GLV MS PLEDGE	997AL T	RUST	10,00	00	0	11-Apr-2017	24-May-2017
LIBER'	τν ιντι	ERACTIVE CORPORA		.0					
Security		53071M856				Meeting	Type	Annual	
Ticker		LVNTA				Meeting		24-May-201	7
ISIN	•	US53071M8560				Agenda		-	Management
Record	Date	03-Apr-2017				Holding Date	Recon	03-Apr-2017	7
City /	Countr	у /	United States			Vote De Date	eadline	23-May-201	7
SEDOI	L(S)					Quick C	Code		
Item	Propos	al		Propos by	sed V	ote	For/A Manag	gainst gement	
1.	DIREC	CTOR		Manag	gemen	t			
	1	EVAN D. MALONE				For	Fo	r	
	2	DAVID E. RAPLEY				For	Fo	r	
		LARRY E. ROMRELL				For	Fo	r	
2.	SELEC INDEF	POSAL TO RATIFY T CTION OF KPMG LLP PENDENT AUDITORS L YEAR ENDING DEC 7	AS OUR FOR THE	Manag	gemen	t For	Fo	r	
3.	APPRO THE C	AY-ON-PAY PROPOSA DVE, ON AN ADVISOF OMPENSATION OF O ED EXECUTIVE OFFIC	RY BASIS, UR	Manag	gemen	t For	Fo	r	
4.	PROPO ADVIS	AY-ON-FREQUENCY DSAL, TO APPROVE, (SORY BASIS, THE FRE HICH STOCKHOLDER	EQUENCY	Manag	gemen	t 3 Years	Fo	r	

PROVIDED AN ADVISORY VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.

Account Number	Account Name	Lingtodian	allot hares	Unavaila Shares	ble Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GI <mark>&</mark> TRUST CO	4,200	0	26-Apr-2017	25-May-2017
997GLQ	GLQ	STATE STREET 997GI&20 TRUST CO	0,000	0	26-Apr-2017	25-May-2017
997GLO	GLO	STATE STREET 997GLO 5,9 TRUST CO	,937	0	26-Apr-2017	25-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AI 45 10 TRUST CO	00	50,900	26-Apr-2017	25-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	01	136,300	26-Apr-2017	25-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AI 49 38 TRUST CO	84,525	0	26-Apr-2017	25-May-2017
997AL60	GLV MS PLEDGE	997AISODATE 35 STREET BANK & TRUST	5,343	0	26-Apr-2017	25-May-2017

			С	0					
997AL6	51	GLQ MS PLEDGE		FATE FREET ANK	2,520)	0	26-Apr-2017	25-May-2017
				RUST					
ENVISI	ION HEA	LTHCARE CORPOR							
Security	7	29414D100				Meeting	g Type	Annual	
Ticker S	Symbol	EVHC				Meeting	g Date	25-May-201	7
ISIN		US29414D1000				Agenda		934582304 -	Management
Record	Date	29-Mar-2017				Holding Date	Recon	29-Mar-2017	7
City /	Country	1	United States			Vote De Date	eadline	24-May-201	7
SEDOL	<i>l</i> (s)					Quick C	Code		
Item	Proposa	1		Propo by	sed Vo	ote	For/Ag Manag	gainst gement	
1.	DIRECT	ΓOR		Manag	gemen	t			
	1 0	CAROL J. BURT				For	Fo	r	
	2 0	CHRISTOPHER A. HO	DLDEN			For	Fo	r	
		CYNTHIA S. MILLER				For	Fo		
		RONALD A. WILLIAN				For	Fo	r	
2.	ADVISO HEALT	VAL, ON A NON-BIN ORY BASIS, OF ENV HCARE CORPORATI TIVE COMPENSATION	ISION ION'S	Manag	gemen	t For	Fo	r	
3.	ADVISO FREQU VOTES HEALT	VAL, ON A NON-BIN ORY BASIS, OF THE ENCY OF FUTURE A APPROVING ENVIS HCARE CORPORATION TIVE COMPENSATION	ADVISORY ION ION'S	Manaş	gemen	t 3 Years	Ag	gainst	
4.	APPOIN TOUCH HEALT INDEPE ACCOU	CATION OF THE NTMENT OF DELOIT IE LLP AS ENVISION HCARE CORPORAT ENDENT REGISTERE JNTING FIRM FOR T G DECEMBER 31, 20	i ION'S ED PUBLIC HE YEAR	Manaş	gemen	t For	Fo	r	
Account	t		Internal		Ballo	t	Unavaila	ble	Date

Account	A account Name	Internal Ballot	Unavailable Vote Date	Date
Number	Account Name	Account Shares	Shares Vote Date	Confirmed

997GL	Q	GLQ	ST 997GI <mark>B</mark>	TATE TREET ANK P RUST O	9,900)	0	14-Apr-2017	26-May-2017
997GL	0	GLO	ST 997GL&	RUST	23,90	00	0	14-Apr-2017	26-May-2017
997AL4	47	GLQ BNP PLEDGE	ST 997AIA	FATE FREET ANK RUST O	100		9,500	14-Apr-2017	26-May-2017
997AL4	49	GLO BNP PLEDGE	ST 997ALA	TATE TREET ANK RUST O	100		18,500	14-Apr-2017	26-May-2017
PENNY	YMAC M	ORTGAGE INVESTM							
Securit	у	70931T103				Meeting	g Type	Annual	
Ticker	Symbol	PMT				Meeting	g Date	25-May-20	17
ISIN		US70931T1034				Agenda		934583685	- Management
Record	Date	31-Mar-2017				Holding Date	g Recon	31-Mar-201	17
City /	Country		United States			Vote De Date	eadline	24-May-20	17
SEDOI	L(s)					Quick C	Code		
Item	Proposa	1		Propo by	sed V	ote		against agement	
1.	DIREC	TOR		Manag	gemen	t			
		PRESTON DUFAUCH				For	Fo		
		NANCY MCALLISTER				For	Fo		
		STACEY D. STEWAR				For	Fo	or	
2.	DELOI INDEPI ACCOU	TIFY THE APPOINTM TTE & TOUCHE LLP ENDENT REGISTERE JNTING FIRM FOR TH ENDING DECEMBER	AS OUR D PUBLIC IE FISCAL		gemen	t For	Fo	Dr	

3.	VOTE,	PROVE, BY NON-BIND OUR EXECUTIVE ENSATION.	ING	Manage	ement l	For	Fo	r	
4.	VOTE,	COMMEND, BY NON-B THE FREQUENCY OF JTIVE COMPENSATION	OUR	Manage	ement (3 Years	Ag	gainst	
Accour Numbe		Account Name	Internal Accoun	ustodian	Ballot Shares	i	Unavaila Shares	ble Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	S 997AI& T	TATE TREET ANK S RUST O	10,314	Ļ	79,400	15-Apr-2017	26-May-2017
997AL	47	GLQ BNP PLEDGE	S' S' 997AI <mark>&</mark> T	TATE TREET	7,088		199,900	15-Apr-2017	26-May-2017
997AL4	49	GLO BNP PLEDGE	S 997AI& T	TATE TREET ANK P RUST O	114		373,900	15-Apr-2017	26-May-2017
CRISP	R THERA	APEUTICS AG							
Securit	У	H17182108]	Meeting	, Type	Annual	
Ticker	Symbol	CRSP]	Meeting	Date	31-May-2017	7
ISIN		CH0334081137			1	Agenda		934597812 -	Management
Record	Date	03-Apr-2017				Holding Date	Recon	03-Apr-2017	
City /	Country	/ / S	witzerland	1		Vote De Date	adline	30-May-2017	7
SEDOI	L(s)				(Quick C	lode		
Item	Proposa	1		Propose by	ed Vot	te	For/A Manag	gainst gement	
1.	REPOR FINAN STATU	PPROVAL OF THE ANN AT, THE CONSOLIDATE CIAL STATEMENTS A TORY FINANCIAL MENTS OF THE COMP	ED ND THE	Manage	ement l	For	Fo	r	

FOR THE YEAR ENDED DECEMBER

90

	31, 2016.		
2.	THE APPROVAL OF THE APPROPRIATION OF FINANCIAL RESULTS.	Management For	For
3.	THE DISCHARGE OF THE MEMBERS OF THE BOARD OF DIRECTORS AND EXECUTIVE COMMITTEE.	Management For	For
4A.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: N. ANTHONY COLES, M.D., AS MEMBER AND CHAIRMAN.	Management For	For
4B.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: RODGER NOVAK, M.D.	Management For	For
4C.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: BRADLEY BOLZON, PH.D.	Management For	For
4D.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: ALI BEHBAHANI, M.D.	Management For	For
4E.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: KURT VON EMSTER.	Management For	For
4F.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: SIMEON J. GEORGE, M.D.	Management For	For
4G.	RE-ELECTION OF MEMBERS TO THE BOARD OF DIRECTOR: THOMAS WOIWODE, PH.D.	Management For	For
4H.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: PABLO CAGNONI, M.D.	Management For	For
5A.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: THOMAS WOIWODE, PH.D.	Management For	For
5B.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: PABLO CAGNONI, M.D.	Management For	For
5C.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: N. ANTHONY COLES, M.D.	Management For	For
5D.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: SIMEON J. GEORGE, M.D.	Management For	For
6A.		Management For	For

	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.		
6B.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management For	For
6C.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM JULY 1, 2017 TO JUNE 30, 2018.	Management For	For
6D.	BINDING VOTE ON TOTAL VARIABLE COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE CURRENT YEAR ENDING DECEMBER 31, 2017.	Management For	For
6E.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management For	For
7.	THE APPROVAL OF AN INCREASE IN THE CONDITIONAL SHARE CAPITAL FOR EMPLOYEE BENEFIT PLANS.	Management For	For
8.	THE APPROVAL OF THE AMENDED AND RESTATED CRISPR THERAPEUTICS AG 2016 STOCK OPTION AND INCENTIVE PLAN.	Management For	For
9.	THE RE-ELECTION OF THE INDEPENDENT VOTING RIGHTS REPRESENTATIVE.	Management For	For
10.	THE RE-ELECTION OF THE AUDITORS.	Management For	For
11.	THE APPROVAL OF THE CHANGE OF THE REGISTERED OFFICE.	Management For	For

Account	Account Name	Internal	Ballot	Unavailable Vote Date	Date
Number		Account	¹¹ Shares	Shares Vote Date	Confirmed

997GL	Q	GLQ	S 997GI T	STATE STREET SANK Q FRUST CO	57,100	0		22-Apr-2017	01-Jun-2017
997GL	0	GLO	S 997GL T	STATE STREET SANK SANK SANK SO SO	145,900) 0		22-Apr-2017	01-Jun-2017
PARSL	PARSLEY ENERGY, INC.								
Securit	У	701877102			Ν	Aeeting Ty	pe	Annual	
Ticker	Symbol	PE			Ν	Aeeting Da	ite	02-Jun-2017	
ISIN		US7018771029			A	Agenda		934591238 -	Management
Record	Date	06-Apr-2017				Iolding Re Date	con	06-Apr-2017	
City /	Country	,	/ United States			/ote Deadl Date	ine	01-Jun-2017	
SEDOI	L(s)				Ç	Quick Code	e		
Item	Proposa	1		Propo by	sed Vote	د	For/Ag Manag		
1.	DIREC	TOR		Mana	gement				
	11	BRYAN SHEFFIEL	D		F	for	For	•	
	2 /	A. R. ALAMEDDIN	Έ		F	or	For		
2.	KPMG INDEPI ACCOU	TIFY THE APPOIN LLP AS THE COM ENDENT REGISTE JNTING FIRM FOF ENDING DECEMB	PANY'S RED PUBLIC R THE FISCA		gement F	or	For		
3.	ADVIS NAMEI COMPI	PROVE, ON A NON ORY BASIS, THE (D EXECUTIVE OF ENSATION FOR TI ENDED DECEMBE	COMPANY'S FICER HE FISCAL	Mana	gement F	or	For		
4.	COMPA RESTA A MAJ	OPT AN AMENDM ANY'S AMENDED TED BYLAWS TO ORITY VOTING ST NTESTED DIRECT IONS.	AND IMPLEMENT FANDARD IN	Г Mana	gement F	or	For		
Accour	nt 4	Account Name	Interna	Iustodia	n Ballot	Ur	navailat	Neote Date	Date

Numbe	r		Accoun	t	Shares		Shares		Confirmed
997GL	Q	GLQ	S 997GL T	TATE TREET ANK P RUST	22,900)	0	19-Apr-2017	05-Jun-2017
997GL	0	GLO	S S 997GI & T	TATE TREET ANK	59,700)	0	19-Apr-2017	05-Jun-2017
997AL	47	GLQ BNP PLEDGE	S S 997AL & T	TATE TREET ANK 7 RUST	400		20,100	19-Apr-2017	05-Jun-2017
997AL	49	GLO BNP PLEDGI	S S 997AI & T	TATE TREET ANK P RUST	590		51,200	19-Apr-2017	05-Jun-2017
COGN	IZANT T	ECHNOLOGY SOLU	JTIONS COF	RP.					
Securit	У	192446102]	Meeting	Type	Annual	
Ticker	Symbol	CTSH]	Meeting	Date	06-Jun-2017	
ISIN		US1924461023				Agenda		934600265 -	Management
Record	Date	10-Apr-2017				Holding Date	Recon	10-Apr-2017	
City /	Country	,	United States			Vote De Date	adline	05-Jun-2017	
SEDOI	L(s)				(Quick C	lode		
Item	Proposa	1		Propo by	sed Vot	te	For/A Mana	gainst gement	
1A.	ELECT ABDAL	ION OF DIRECTOR: LA	ZEIN	Mana	gement	For	Fo	r	
1B.	ELECT ATKIN	ION OF DIRECTOR: S	BETSY S.	Mana	gement	For	Fo	r	
1C.		ION OF DIRECTOR: IRON- EVANS	MAUREEN	Mana	gement	For	Fo	r	
1D.		ION OF DIRECTOR: HAN CHADWICK		Mana	gement	For	Fo	r	

1E.	ELECTION OF DIRECTOR: JOHN M. DINEEN	Management For	For
1F.	ELECTION OF DIRECTOR: FRANCISCO D'SOUZA	Management For	For
1G.	ELECTION OF DIRECTOR: JOHN N. FOX, JR.	Management For	For
1H.	ELECTION OF DIRECTOR: JOHN E. KLEIN	Management For	For
1I.	ELECTION OF DIRECTOR: LEO S. MACKAY, JR.	Management For	For
1J.	ELECTION OF DIRECTOR: MICHAEL PATSALOS-FOX	Management For	For
1K.	ELECTION OF DIRECTOR: ROBERT E. WEISSMAN	Management For	For
2.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management For	For
3.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management 3 Years	Against
4.	APPROVAL OF THE COMPANY'S 2017 INCENTIVE AWARD PLAN.	Management For	For
5.	RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management For	For
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD OF DIRECTORS TAKE THE STEPS NECESSARY TO ELIMINATE THE SUPERMAJORITY VOTING PROVISIONS OF THE COMPANY'S CERTIFICATE OF INCORPORATION AND BY-LAWS.	Management For	For
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD OF DIRECTORS TAKE THE STEPS NECESSARY TO PERMIT	Shareholder Against	For

STOCKHOLDER ACTION BY WRITTEN CONSENT.

Account Number	Account Name	Internal Custodian Account	n Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	23,500	0	21-Apr-2017	07-Jun-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	39,400	0	21-Apr-2017	07-Jun-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	13,900	0	21-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	100	76,600	21-Apr-2017	07-Jun-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 TRUST CO	5,000	0	21-Apr-2017	07-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997AL01 TRUST CO	23,000	0	21-Apr-2017	07-Jun-2017
LADDER CAI	PITAL CORP					
Security	505743104			ng Type	Annual	
Ticker Symbol				ng Date	06-Jun-2017	
ISIN	US5057431042		Agend	а		Management
Record Date	10-Apr-2017				10-Apr-2017	

				Holding Recon Date					
City /	Country	,	United States			Vote De Date	eadline	05-Jun-2017	
SEDOI	L(s)					Quick C	Code		
Item	Proposa	ıl		Propos by	sed Vo	ote	For/A Manag	gainst gement	
1.	DIREC	TOR		Manag	gement	;			
	1 1	BRIAN HARRIS				For	Fo	r	
	2 1	MARK ALEXANDER				For	Fo	r	
2.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP 2. AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.)				
Accour Numbe		Account Name	Internal Account	ustodian	Ballo ¹ Share	t s	Unavaila Shares	ble Vote Date	Date Confirmed
997GL	Q	GLQ	ST ST 997GL	ΓΑΤΕ ΓREET ANK RUST	107,0		0	25-Apr-2017	07-Jun-2017
997AL	45	GLV BNP PLEDGE	ST 997AIA	TATE TREET ANK S RUST O	102		55,416	25-Apr-2017	07-Jun-2017
997AL	47	GLQ BNP PLEDGE	ST 997AL4	FATE FREET ANK RUST O	193		131,186	25-Apr-2017	07-Jun-2017
997AL	49	GLO BNP PLEDGE	ST 997AIA	TATE TREET ANK PUST O	230,2	42	0	25-Apr-2017	07-Jun-2017
997AL	.61	GLQ MS PLEDGE		IATE FREET ANK	30,00	0	0	25-Apr-2017	07-Jun-2017

& TRUST CO

BIOM	ARIN PI	HARMACEUTICAL IN	NC.				
Securit	y	09061G101			Meeting T	ype	Annual
Ticker	Symbol	BMRN			Meeting D	Date	06-Jun-2017
ISIN		US09061G1013			Agenda		934601611 - Management
Record	Date	10-Apr-2017			Holding Recon Date		10-Apr-2017
City /	Count	ry /	United States		Vote Dead Date	lline	05-Jun-2017
SEDOL(s)			Quick Code				
Item	Propos	sal		Proposed Ve	ote	For/Aga Manager	
1.	DIRE	CTOR		Managemen	t		
	1	JEAN-JACQUES BIE	NAIME		For	For	
	2	WILLARD DERE			For	For	
	3	MICHAEL GREY			For	For	
	4	ELAINE J. HERON			For	For	
	5	V. BRYAN LAWLIS			For	For	
	6	ALAN J. LEWIS			For	For	
	7	RICHARD A. MEIER	1		For	For	
	8	DAVID PYOTT			For	For	
	9	DENNIS J. SLAMON	[For	For	
2.	KPMC REGIS FIRM	ATIFY THE SELECTIO G LLP AS THE INDEP STERED PUBLIC ACC FOR BIOMARIN FOR AL YEAR ENDING DE 17.	ENDENT COUNTING R THE	Managemen	t For	For	
3.	BASIS STOC ADVI COMI COMI OFFIC	PPROVE, ON AN ADV 5, THE FREQUENCY KHOLDERS' APPROV SORY BASIS, OF THE PENSATION OF THE PANY'S NAMED EXE CERS AS DISCLOSED Y STATEMENT.	OF THE VAL, ON AN E CUTIVE	Managemen	t 3 Years	Agai	nst
4.	BASIS COMI OFFIC	PPROVE, ON AN ADV S, THE COMPENSATI PANY'S NAMED EXE CERS AS DISCLOSED XY STATEMENT.	ON OF THE CUTIVE	Managemen	t For	For	

5.	TO APPROVE THE 2017 EQUITY INCENTIVE PLAN.	Management For	For
6.	TO APPROVE AMENDMENTS TO BIOMARIN'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, TO (I) INCREASE THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 250,000,000 SHARES TO 500,000,000 SHARES, AND (II) MAKE CERTAIN MINOR ADMINISTRATIVE CHANGES.	Management For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	10,700	0	26-Apr-2017	07-Jun-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	15,500	0	26-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 & TRUST CO	100	7,400	26-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	100	11,800	26-Apr-2017	07-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997AL61 TRUST CO	4,100	0	26-Apr-2017	07-Jun-2017
SALESFORC	E.COM, INC.					
Security	79466L302		Meeting	g Type	Annual	

Ticker S	Symbol	CRM		Meeting D	Date	06-Jun-2017
ISIN	2	US79466L3024		Agenda		934606178 - Management
Record	Date	12-Apr-2017		Holding R Date	lecon	12-Apr-2017
City /	Country	/ United States		Vote Dead Date	lline	05-Jun-2017
SEDOL	L(S)			Quick Code		
Item	Proposa	1	Proposed V by	ote	For/Aga Manage	
1A.	ELECT BENIO	ION OF DIRECTOR: MARC FF	Managemen	nt For	For	
1B.	ELECT BLOCK	ION OF DIRECTOR: KEITH	Managemer	nt For	For	
1C.	ELECT CONW	ION OF DIRECTOR: CRAIG AY	Managemen	nt For	For	
1D.	ELECT HASSE	ION OF DIRECTOR: ALAN NFELD	Managemen	nt For	For	
1E.	ELECT KROES	ON OF DIRECTOR: NEELIE	Managemen	nt For	For	
1F.	ELECT POWEI	ION OF DIRECTOR: COLIN L	Managemen	nt For	For	
1G.	ELECT ROBER	ION OF DIRECTOR: SANFORD TSON	Managemen	nt For	For	
1H.	ELECT ROOS	ON OF DIRECTOR: JOHN V.	Managemen	nt For	For	
1I.		ION OF DIRECTOR: ROBIN NGTON	Managemen	nt For	For	
1J.	ELECT WEBB	ION OF DIRECTOR: MAYNARI) Managemer	nt For	For	
1 K .	ELECT WOJCI	ION OF DIRECTOR: SUSAN CKI	Managemen	nt For	For	
2.	EQUIT INCLU NUMBI	VAL TO AMEND OUR 2013 Y INCENTIVE PLAN, DING TO INCREASE THE ER OF SHARES AUTHORIZED RANT BY 37 MILLION SHARES	Managemer	nt For	For	
3.	EMPLC TO INC SHARE EMPLC	VAL TO AMEND OUR 2004 YEE STOCK PURCHASE PLAN REASE THE NUMBER OF S AUTHORIZED FOR YEE PURCHASE BY 8 ON SHARES.	I Managemer	ıt For	For	
4.		CATION OF THE NTMENT OF ERNST & YOUNG	Managemer	nt For	For	

	LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOU FIRM FOR THE FISCAL YEAR ENDING JANUARY 31, 2018.	NTING			
5.	TO APPROVE, ON AN ADVISO BASIS, THE FISCAL 2017 COMPENSATION OF OUR NAME EXECUTIVE OFFICERS.		Management For	For	
6.	TO RECOMMEND, BY NON-BI VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.		Management 3 Years	Against	
7.	A STOCKHOLDER PROPOSAL REQUESTING, ON AN ADVISO BASIS, ACTION TO ALLOW STOCKHOLDERS TO REQUES SPECIAL MEETINGS OF STOCKHOLDERS.	DRY	Shareholder Against	For	
Accoun Number	Account Name	Account	Sustodian Shares	Unavailable Vote Date Shares	Date Confirmed
		S	TATE		

997GLQ (GLQ	STATE STREET 997GLQ TRUST CO	15,100	0	2	27-Apr-2017	07-Jun-2017
997GLO (GLO	STATE STREET 997GL& TRUST CO	28,400	0	2	27-Apr-2017	07-Jun-2017
997AL53 (GLO MS PLEDGE	STATE STREET 997AL 33 TRUST CO	10,000	0	2	27-Apr-2017	07-Jun-2017
BIOGEN INC.							
Security 0	09062X103		Ν	Meeting Typ	pe	Annual	
Ticker Symbol E	BIIB		ľ	Meeting Dat	te	07-Jun-2017	
ISIN U	US09062X1037		I	Agenda		934600568 - N	lanagement
Record Date 1	10-Apr-2017			Holding Rec Date	con	10-Apr-2017	

City /	Country /	nited ates		Vote Deadl Date	ine	06-Jun-2017
SEDOL	L(S)			Quick Code	e	
Item	Proposal		Proposed V by	ote	For/Ag Manag	
1A.	ELECTION OF DIRECTOR: ALEXANDER J. DENNER		Managemen	it For	For	r
1B.	ELECTION OF DIRECTOR: CA D. DORSA	ROLINE	Managemen	it For	For	r
1C.	ELECTION OF DIRECTOR: NA	NCY L.	Managemen	it For	For	r
1D.	ELECTION OF DIRECTOR: RIG C. MULLIGAN	CHARD	Managemen	it For	For	r
1E.	ELECTION OF DIRECTOR: RC W. PANGIA	DBERT	Managemen	it For	For	r
1F.	ELECTION OF DIRECTOR: ST PAPADOPOULOS	ELIOS	Managemen	it For	For	r
1G.	ELECTION OF DIRECTOR: BR POSNER	IAN S.	Managemen	it For	For	r
1H.	ELECTION OF DIRECTOR: ER ROWINSKY	IC K.	Managemen	it For	For	r
1I.	ELECTION OF DIRECTOR: LY SCHENK	'NN	Managemen	it For	For	r
1J.	ELECTION OF DIRECTOR: ST A. SHERWIN	EPHEN	Managemen	it For	For	r
1 K .	ELECTION OF DIRECTOR: MI VOUNATSOS	CHEL	Managemen	it For	For	r
2.	TO RATIFY THE SELECTION PRICEWATERHOUSECOOPER AS BIOGEN INC.'S INDEPEND REGISTERED PUBLIC ACCOU FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	RS LLP DENT JNTING	Managemen	ıt For	For	r
3.	SAY ON PAY - TO APPROVE A ADVISORY VOTE ON EXECUT COMPENSATION.		Managemen	ıt For	For	r
4.	SAY WHEN ON PAY - TO APP AN ADVISORY VOTE ON THE FREQUENCY OF THE ADVISO VOTE ON EXECUTIVE COMPENSATION.	Ξ	Managemen	it 3 Years	Ag	ainst
5.	TO APPROVE THE BIOGEN IN OMNIBUS EQUITY PLAN.	NC. 2017	Managemen	it For	For	r

Accour Numbe		Account Name	Internal Custodi Account	an Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	STATE STREE 997AL 45 TRUST CO	240	1,500	27-Apr-2017	08-Jun-2017
997AL	47	GLQ BNP PLEDGE	STATE STREE 997AI& TRUST CO	190	11,600	27-Apr-2017	08-Jun-2017
997AL	49	GLO BNP PLEDGE	STATE STREE 997AL 997AL TRUST CO	570	17,200	27-Apr-2017	08-Jun-2017
997AL	61	GLQ MS PLEDGE	STATE STREE 997AL61 TRUST CO	2,000	0	27-Apr-2017	08-Jun-2017
ALPHA	ABET IN	NC					
Securit	У	02079K305		Ν	leeting Type	Annual	
Ticker	Symbol	GOOGL		Ν	leeting Date	07-Jun-2017	
ISIN		US02079K3059		A	Agenda	934604946 -	Management
Record	Date	19-Apr-2017			Iolding Recon Date	19-Apr-2017	
City /	Count	rv /	nited tates		Vote Deadline Date	06-Jun-2017	
SEDOI	L(s)			Ç	uick Code		
Item	Propos	sal	Prop by	osed Vote	2	Against agement	
1.	DIRE	CTOR	Man	agement			
	1	LARRY PAGE		F	for Fo	or	
	2	SERGEY BRIN			for Fo		
	3	ERIC E. SCHMIDT			for Fo		
	4	L. JOHN DOERR			For Fo		
	5	ROGER W. FERGUSON	, JR.		For Fo		
	6	DIANE B. GREENE		F	For Fo	or	

	7 JOHN L. HENNESSY	For	For
	8 ANN MATHER	For	For
	9 ALAN R. MULALLY	For	For
	10 PAUL S. OTELLINI	For	For
	10 FACE S. OTELLINI 11 K. RAM SHRIRAM	For	For
	12 SHIRLEY M. TILGHMAN	For	For
	THE RATIFICATION OF THE	FOr	FOI
2.	APPOINTMENT OF ERNST & YOUNG LLP AS ALPHABET'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management For	For
3.	THE APPROVAL OF AN AMENDMENT TO ALPHABET'S 2012 STOCK PLAN TO INCREASE THE SHARE RESERVE BY 15,000,000 SHARES OF CLASS C CAPITAL STOCK.	Management For	For
4.	THE APPROVAL OF THE 2016 COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management For	For
5.	THE FREQUENCY OF FUTURE STOCKHOLDER ADVISORY VOTES REGARDING COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management 3 Years	For
6.	A STOCKHOLDER PROPOSAL REGARDING EQUAL SHAREHOLDER VOTING, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder Against	For
7.	A STOCKHOLDER PROPOSAL REGARDING A LOBBYING REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder Against	For
8.	A STOCKHOLDER PROPOSAL REGARDING A POLITICAL CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder Against	For
9.	A STOCKHOLDER PROPOSAL REGARDING A REPORT ON GENDER PAY, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder Against	For
10.	A STOCKHOLDER PROPOSAL REGARDING A CHARITABLE	Shareholder Against	For

	CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.									
11.	A STOCKHOLDER PROPOSAL REGARDING THE IMPLEMENTATION OF "HOLY LAND PRINCIPLES," IF Shareholder Against For PROPERLY PRESENTED AT THE MEETING.									
12.	REGA NEWS	CKHOLDER PROPOSA RDING A REPORT ON ' ," IF PROPERLY PRESE IE MEETING.	'FAKE	Shareholder	Against	Fc	or			
Accour Numbe		Account Name	Internal Cust Account	todian Ballo Share	t s	Unavaila Shares	ible Vote Date	Date Confirmed		
997GL	Q	GLQ	STA	ATE REET NK 2,000		0	29-Apr-2017	08-Jun-2017		
997AL	47	GLQ BNP PLEDGE	CO STA STR 997AI 47 K TRU CO	REET NK 883		0	29-Apr-2017	08-Jun-2017		
997AL	49	GLO BNP PLEDGE	STA STR 997AL 49 TRU CO	REET NK 167		8,500	29-Apr-2017	08-Jun-2017		
997AL	61	GLQ MS PLEDGE	STA STR 997AL & TRU CO	REET NK 500		0	29-Apr-2017	08-Jun-2017		
CREDI	T ACCE	EPTANCE CORPORATIO	ON							
Securit	У	225310101			Meeting	Туре	Annual			
Ticker	Symbol	CACC			Meeting	Date	07-Jun-2017			
ISIN		US2253101016			Agenda		934606142 -	Management		
Record	Date	13-Apr-2017			Holding Date	Recon	13-Apr-2017			

City /	Country	/	United States		Vote D Date	eadline	06-Jun-2017	
SEDOI	L(s)				Quick	Code		
Item	Proposa	al		Propos by	Proposed by Vote		Against agement	
1.	DIRECTOR			Manag	gement			
	1	GLENDA J. FLANAO	GAN		For	F	or	
	2	BRETT A. ROBERTS			For	F	or	
	3 '	THOMAS N. TRYFO	ROS		For	F	or	
	4	SCOTT J. VASSALL	JZZO		For	F	or	
2.	NAME	ORY VOTE TO APP D EXECUTIVE OFF ENSATION.		Manag	gement For	F	or	
3.	FREQU VOTES EXECU	ORY VOTE ON THE JENCY OF FUTURE TO APPROVE NAM JTIVE OFFICER ENSATION.	ADVISORY		gement 3 Year	s A	gainst	
4.	OF GR CREDI CORPO REGIS	ICATION OF THE SI ANT THORNTON LI T ACCEPTANCE ORATION'S INDEPE FERED PUBLIC ACC FOR 2017.	LP AS NDENT	Manaş	gement For	F	or	
Accoun Numbe		Account Name	Internal Accoun	ustodiar t	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GL	Q	GLQ	S 997GL T	TATE TREET ANK RUST	8,800	0	28-Apr-2017	08-Jun-2017
997GL	0	GLO	S 997GL & T	TATE TREET ANK C RUST	15,000	0	28-Apr-2017	08-Jun-2017
997AL4	47	GLQ BNP PLEDGI	S 997AI& T	TATE TREET ANK T RUST	162	9,900	28-Apr-2017	08-Jun-2017

997AL	49	GLO BNP PLEDGE	ST 997AIA	RUST	100		25,562	28-Apr-2017	08-Jun-2017
997AL	53	GLO MS PLEDGE	ST 997AI&	RUST	6,950		0	28-Apr-2017	08-Jun-2017
VERTH	EX PHAR	MACEUTICALS INCO	RPORATI	ED					
Securit	у	92532F100				Meeting	g Type	Annual	
Ticker	Symbol	VRTX				Meeting	g Date	08-Jun-2017	
ISIN		US92532F1003				Agenda		934615278 -	Management
Record	Date	12-Apr-2017				Holding Date	Recon	12-Apr-2017	
City /	Country	7 /	United States			Vote De Date	eadline	07-Jun-2017	
SEDOI	L(s)					Quick C	Code		
Item	Proposa	ıl		Propo by	sed Vo	ote		.gainst gement	
1.	DIREC	TOR		Mana	gement	,			
	1 /	ALAN GARBER				For	Fo	or	
	2 1	MARGARET G. MCGL	YNN			For	Fo	or	
	3	WILLIAM D. YOUNG				For	Fo	or	
2.	AND B THE DI	DMENTS TO OUR CHA Y-LAWS TO PROVIDE ECLASSIFICATION OI D OF DIRECTORS.	E FOR	Mana	gement	For	Fo	Dr	
3.	OF OU PLAN, INCRE AVAIL	DMENT AND RESTAT R 2013 STOCK AND O TO AMONG OTHER T ASE THE NUMBER OI ABLE UNDER THE PL ILLION SHARES.	PTION HINGS, 7 SHARES	Mana	gement	For	Fo)r	
4.	APPOII LLP AS REGIST FIRM F	CATION OF THE NTMENT OF ERNST & OUR INDEPENDENT FERED PUBLIC ACCO FOR THE YEAR ENDIN MBER 31, 2017.	UNTING	Mana	gement	For	Fc)r	
5.	ADVIS	ORY VOTE ON OUR N	IAMED	Mana	gement	For	Fo	or	

		TIVE OFFICER ENSATION.							
6.	FREQU VOTES	ORY VOTE ON THE ENCY OF FUTURE A ON EXECUTIVE ENSATION PROGRAM		Manager	nent 3 Years	Ag	ainst		
7.	SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT WE TAKE STEPS NECESSARY TO ELIMINATE SUPERMAJORITY PROVISIONS FROM OUR CHARTER AND BY-LAWS.			Shareholder Against For					
8.	PROPE MEETII PREPA POLICI	HOLDER PROPOSAL RLY PRESENTED AT NG, REQUESTING TH RE A REPORT ON OU ES AND ACTIVITIES CT TO LOBBYING.	THE IAT WE JR	E Shareholder Against For					
Accoun Number	4	Account Name		ustodian B	allot hares	Unavaila Shares	ble Vote Date	Date Confirmed	
997GL0	Ş	GLQ	S 997GL & T	TATE TREET ANK 2 RUST 20	0,300	0	03-May-2017	09-Jun-2017	
997AL4	49	GLO BNP PLEDGE	S S 997AL A T	TATE TREET	5,800	0	03-May-2017	09-Jun-2017	
KURA	ONCOLO	OGY, INC.							
Security	y	50127T109			Meeting	g Type	Annual		
Ticker S	Symbol	KURA			Meeting	g Date	09-Jun-2017		
ISIN		US50127T1097			Agenda		934606419 - 1	Management	
Record	Date	11-Apr-2017			Holding Date		11-Apr-2017		
City /	Country	· /	United States		Vote De Date		08-Jun-2017		
SEDOL	L(S)				Quick C				
Item	Proposa	1		Proposed by	¹ Vote	For/Ag Manag	gainst gement		

1.	DIREC	TOR		Manag	gement	t			
	1	TROY E. WILSON, PHE), JD			For	Fo	or	
	2	FAHEEM HASNAIN				For	Fo	or	
	3	ROBERT E. HOFFMAN				For	Fo	or	
	4	THOMAS MALLEY				For	Fo	or	
	5	STEVEN H. STEIN, M.C).			For	Fo	or	
2.	YOUN REGIS FIRM I	TIFY SELECTION OF E G LLP AS OUR INDEPE TERED PUBLIC ACCOU FOR OUR FISCAL YEAD IG DECEMBER 31, 2017	ENDENT UNTING R	Manag	gement	t For	Fo	or	
3.	OUR A CERTI AS AM	PROVE AN AMENDME MENDED AND RESTA FICATE OF INCORPOR IENDED, AS SET FORT Y STATEMENT.	TED ATION,		gement	t For	Fo)r	
Accoun Number		Account Name	Account	ustodiar t	Ballo Share		Unavaila Shares	able Vote Date	Date Confirmed
997GL0	0	GLO	ST 997GLC &	FATE FREET ANK C RUST O	51,63	6	0	26-Apr-2017	12-Jun-2017
997AL4	47	GLQ BNP PLEDGE	ST 997AL4 &	TATE TREET ANK T RUST O	100		21,000	26-Apr-2017	12-Jun-2017
T-MOB	BILE US,	, INC.							
Security	у	872590104				Meeting	g Type	Annual	
Ticker S	Symbol	TMUS				Meeting	g Date	13-Jun-2017	
ISIN		US8725901040				Agenda		934605936 -	Management
Record	Date	17-Apr-2017				Holding Date	Recon	17-Apr-2017	
City /	Countr	V /	United tates			Vote De Date	eadline	12-Jun-2017	
SEDOL	L(S)					Quick C	Code		
Item	Propos	al		Propo by	sed Vo	ote		.gainst gement	
1.	DIREC	TOR		Manag	gement	t			

	1	W. MICHAEL BARNES			For		For		
	2	THOMAS DANNENFELI	DT		For		For		
	3	SRIKANT M. DATAR			For		For		
	4	LAWRENCE H. GUFFEY	7		For		For		
	5	TIMOTHEUS HOTTGES			For		For		
	6	BRUNO JACOBFEUERB	ORN		For		For		
	7	RAPHAEL KUBLER			For		For		
	8	THORSTEN LANGHEIM	[For		For		
	9	JOHN J. LEGERE			For		For		
	10	TERESA A. TAYLOR			For		For		
	11	KELVIN R. WESTBROO	K		For		For		
2.	APPO PRICI AS TH REGI	FICATION OF THE DINTMENT OF EWATERHOUSECOOPER HE COMPANY'S INDEPEN STERED PUBLIC ACCOU FOR FISCAL YEAR 2017	NDENT NTING	Manaş	gement For		For		
3.	COM COM	ISORY VOTE TO APPROV PENSATION PROVIDED PANY'S NAMED EXECUT CERS FOR 2016.	TO THE	Manag	gement For		For		
4.	FREQ VOTE PROV	SORY VOTE ON THE QUENCY OF FUTURE AD ES ON THE COMPENSAT VIDED TO THE COMPAN ED EXECUTIVE OFFICE	ION Y'S	Manag	gement 3 Ye	ears	For		
5.		CKHOLDER PROPOSAL F EMENTATION OF PROX ESS.		Sharel	nolder Agai	inst	For		
6.	LIMIT VEST THE I	CKHOLDER PROPOSAL F FATIONS ON ACCELERA 'ING OF EQUITY AWARE EVENT OF A CHANGE OI FROL.	TED DS IN	Sharel	nolder Agai	inst	For		
7.	AME	CKHOLDER PROPOSAL F NDMENT OF THE COMP WBACK POLICY.		Sharel	nolder Agai	inst	For		
Accour Numbe		Account Name	Internal Account	ustodiar	Ballot Shares	Una ⁻ Shar	vailable Vote	Date	Date Confirmed
997GL	V	GLV		TATE FREET ANK	6,300	0	28-Aj	pr-2017	14-Jun-2017

				TRUST CO					
997GL	Q	GLQ	S 997GL T	TATE TREET SANK Q TRUST CO	15,700)	0	28-Apr-2017	14-Jun-2017
997AL4	47	GLQ BNP PLEDGE	S 997AL <mark>&</mark> T	TATE TREET ANK TRUST CO	19,500)	0	28-Apr-2017	14-Jun-2017
997AL4	49	GLO BNP PLEDGE	S 997AL T	TATE TREET ANK 29 TRUST CO	100		77,800	28-Apr-2017	14-Jun-2017
997AL	51	GLQ MS PLEDGE	S 997AL X T	TATE TREET ANK Q TRUST CO	10,000)	0	28-Apr-2017	14-Jun-2017
CELGE	ENE COI	RPORATION	C						
Security		151020104				Meeting	g Type	Annual	
Ticker	Symbol	CELG				Meeting	g Date	14-Jun-2017	
ISIN		US1510201049				Agenda	L	934609023 -	Management
Record	Date	20-Apr-2017				Holding Date	g Recon	20-Apr-2017	
City /	Countr	V /	United tates			Vote De Date	eadline	13-Jun-2017	
SEDOI	L(S)					Quick (Code		
Item	Propos	al		Propos by	sed Vo	te		gainst gement	
1.	DIREC	CTOR		Manag	gement				
	1	ROBERT J. HUGIN				For	Fo	Dr	
		MARK J. ALLES				For	Fo		
		RICHARD W BARKER				For	Fo		
		MICHAEL W. BONNEY	7			For	Fo		
		MICHAEL D. CASEY				For	Fo		
	6	CARRIE S. COX				For	Fo	Dr	

	7	MICHAEL A. FRIEDMAN, MD	For	For
	8	JULIA A. HALLER, M.D.	For	For
	9	GILLA S. KAPLAN, PH.D.	For	For
	10	JAMES J. LOUGHLIN	For	For
	11	ERNEST MARIO, PH.D.	For	For
2.	APPO THE C REGIS FIRM	FICATION OF THE INTMENT OF KPMG LLP AS COMPANY'S INDEPENDENT STERED PUBLIC ACCOUNTING FOR THE FISCAL YEAR NG DECEMBER 31, 2017.	Management For	For
3.	AND	OVAL OF AN AMENDMENT RESTATEMENT OF THE PANY'S STOCK INCENTIVE	Management For	For
4.	OF EX THE C	OVAL, BY NON-BINDING VOTE, KECUTIVE COMPENSATION OF COMPANY'S NAMED UTIVE OFFICERS.	Management For	For
5.	VOTE	ECOMMEND, BY NON-BINDING , THE FREQUENCY OF UTIVE COMPENSATION S.	Management 3 Years	Against
6.	REQU LIMIT TO VO ANNU TO CH MATT	KHOLDER PROPOSAL TO JEST A BY-LAW PROVISION TING MANAGEMENT'S ACCESS OTE TALLIES PRIOR TO THE JAL MEETING WITH RESPECT ERTAIN EXECUTIVE PAY TERS, DESCRIBED IN MORE IL IN THE PROXY STATEMENT.	Shareholder Against	For

Account Number	Account Name	Internal Custodia Account	n Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	14,900	0	28-Apr-2017	15-Jun-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	17,200	0	28-Apr-2017	15-Jun-2017

997AL	49	GLO BNP PLEDGE	S 997AL & T	TATE TREET ANK T RUST	100		19,900	28-Apr-2017	15-Jun-2017
SONY	CORPOR	ATION							
Securit	y	J76379106				Meeting	g Type	Annual Gen	eral Meeting
Ticker	Symbol					Meeting	g Date	15-Jun-2017	,
ISIN		JP3435000009				Agenda		708212484 -	- Management
Record	l Date	31-Mar-2017				Holding Date	Recon	31-Mar-201	7
City /	Country	ТОКҮО /	Japan			Vote De Date	eadline	07-Jun-2017	,
SEDOI	L(s)	0821687 - 4823203 - 6821506 - B01DR2 B0K3NH6 - B0ZMM	28 -			Quick C	Code	67580	
Item	Proposa	1		Propo by	osed V	ote		gainst gement	
	Please re	eference meeting mate	erials.	Non-V	Voting				
1.1	Appoint	a Director Hirai, Kaz	uo	Mana	gemen	t For	Fo	or	
1.2	Appoint	a Director Yoshida, H	Kenichiro	Mana	gemen	t For	Fo	or	
1.3	Appoint	a Director Nagayama	, Osamu	Mana	gemen	t For	Fo	or	
1.4	Appoint	a Director Nimura, T	akaaki	Mana	gemen	t For	Fo	or	
1.5	Appoint	a Director Harada, Ei	ko	Mana	gemen	t For	Fo	or	
1.6	Appoint	a Director Tim Schaa	ff	Mana	gemen	t For	Fo	or	
1.7	Appoint	a Director Matsunaga	, Kazuo	Mana	gemen	t For	Fo	or	
1.8	Appoint	a Director Miyata, K	oichi	Mana	gemen	t For	Fo	or	
1.9	Appoint	a Director John V. Ro	DOS	Mana	gemen	t For	Fo	or	
1.10	Appoint	a Director Sakurai, E	riko	Mana	gemen	t For	Fo	or	
1.11	Appoint	a Director Minakawa	, Kunihito	Mana	gemen	t For	Fo	or	
1.12	Appoint	a Director Sumi, Shu	ZO	Mana	gemen	t For	Fo	or	
2	Rights a Officers and Dire	e Issuance of Share Ad s Stock Options for E and Employees of the ectors and Employees by's Subsidiaries	xecutive Company	Mana	gemen	t For	Fc	Dr	
Accour	nt 🖉	Account Name	Internal	ustodia	, Ballo	t	Unavaila	able Vote Date	Date

GLV	GLV	ST	ATE REET ANK	27,700)	0	22-May-2017	14-Jun-2017
GLQ	GLQ	ST	ATE REET ANK	44,600)	0	22-May-2017	14-Jun-2017
GLO	GLO	ST	ATE REET ANK	113,00	00	0	22-May-2017	14-Jun-2017
EDITAS MEDI	CINE INC							
Security	28106W103				Meeting	g Type	Annual	
Ticker Symbol	EDIT				Meeting	g Date	15-Jun-2017	
ISIN	US28106W1036				Agenda		934602598 -	Management
Record Date	18-Apr-2017				Holding Date	g Recon	18-Apr-2017	
City / Country	J /	United tates			Vote De Date	eadline	14-Jun-2017	
SEDOL(s)					Quick C	Code		
Item Proposa	al		Propos by	sed Vo	te		gainst gement	
1. DIREC	TOR		Manag	gement				
1	ALEXIS BORISY				For	Fo	or	
2	DOUGLAS G. COLE, M	.D.			For	Fo	or	
3	A.K. VAISHNAW MD P	HD			For	Fo	or	
2. OF ER COMP. REGIS FIRM I	ICATION OF THE SELE NST & YOUNG LLP AS ANY'S INDEPENDENT TERED PUBLIC ACCOU FOR THE FISCAL YEAF IG DECEMBER 31, 2017	THE UNTING R	Manag	gement	For	Fo	Dr	
Account Number	Account Name	Internal Cu Account	istodian	Ballot Shares		Unavaila Shares	able Vote Date	Date Confirmed
		ST BA	ATE REET ANK					
997GLQ	GLQ	99/GLQ	RUST	62,300)	0	27-Apr-2017	16-Jun-2017
997GLO	GLO	997GI SO ST BA &		54,800)	0	27-Apr-2017	16-Jun-2017

997AL		GLO BNP PLEDGE	S' S' 997AL & T	O TATE TREET ANK 9 RUST O	75,00	00	25,000	27-Apr-2017	16-Jun-2017
YELP		005015105					m		
Securit	-	985817105				Meeting	•	Annual	
	Symbol	YELP				Meeting	-	15-Jun-2017	Managanat
ISIN		US9858171054				Agenda		934613616 -	Management
Record	l Date	17-Apr-2017				Holding Date	g Recon	17-Apr-2017	
City /	Country	/	United States			Vote De Date	eadline	14-Jun-2017	
SEDO	L(s)					Quick (Code		
Item	Proposa	1		Propo by	osed V	ote		against agement	
1.	DIREC	TOR		Mana	gemen	t			
	1 1	DIANE M. IRVINE				For	Fo	or	
	2 1	MARIAM NAFICY				For	Fo	or	
2.	DELOI INDEP ACCOU	TIFY THE SELECTIO TTE & TOUCHE LLP ENDENT REGISTERE JNTING FIRM FOR T G DECEMBER 31, 20	AS YELP'S D PUBLIC HE YEAR		gemen	t For	Fo	or	
3.	BASIS, YELP'S OFFICI	PROVE, ON AN ADV THE COMPENSATIO NAMED EXECUTIV ERS, AS DISCLOSED MPANYING PROXY MENT.	ON OF E	Mana	gemen	t For	Fo	or	
Accour Numbe		Account Name		TATE			Unavaila Shares	able Vote Date	Date Confirmed
997GL	Q	GLQ	997GL & T	TREET ANK RUST O	19,70	00	0	02-May-2017	16-Jun-2017
997GL	.0	GLO		J ATE TREET ANK	40,00	00	0	02-May-2017	16-Jun-2017

			CO							
997AL	47	GLQ BNP PLEDGE	ST 997AL &	RUST	99,400)	38,900	02-May-2	2017	16-Jun-2017
997AL	49	GLO BNP PLEDGE	ST 997AL	RUST	100		267,600	02-May-:	2017	16-Jun-2017
997AL	53	GLO MS PLEDGE	ST 997AL	RUST	40,000)	0	02-May-:	2017	16-Jun-2017
CARD	IOME PH	HARMA CORP.								
Securit	У	14159U301				Meeting	; Type	Annua	l and S	pecial Meeting
Ticker	Symbol	CRME				Meeting	Date	20-Jun	-2017	
ISIN		CA14159U3010				Agenda		934638	8098 -	Management
Record	Date	16-May-2017				Holding Date	Recon	16-Ma	y-2017	,
City /	Country	y / C	anada			Vote De Date	adline	15-Jun	-2017	
SEDOI	L(s)					Quick C	Code			
Item	Proposa	al		Propos by	sed Vo	te		gainst gement		
01	DIREC	TOR		Manag	gement					
		RICHARD M. GLICKMA	AN			For	Fo	r		
		W. JAMES O'SHEA				For	Fo			
		WILLIAM L. HUNTER				For	Fo			
		MARK H. CORRIGAN				For	Fo			
		ARTHUR H. WILLMS				For	Fo			
		ROBERT J. MEYER				For	Fo			
02	AUDIT	NTMENT OF KPMG LL CORS OF THE CORPORA HE ENSUING YEAR AN	ATION	Manag	gement	For	Fo	r		

	-			
FIX THEIR REMUNERATION TO APPROVE AN ORDINARY RESOLUTION APPROVING C AMENDMENTS TO AND UNALLOCATED ENTITLEME UNDER THE CORPORATION RESTRICTED SHARE UNIT P MORE PARTICULARLY SET THE ACCOMPANYING	ERTAIN ENTS S Manag LAN AS OUT IN	gement For	For	
RESOLUTION APPROVING C AMENDMENTS TO AND UNALLOCATED OPTIONS UI THE CORPORATION'S INCEN STOCK OPTION PLAN AS MC PARTICULARLY SET OUT IN	ERTAIN NDER VTIVE Manaş DRE I THE	gement For	For	
Account Name	Internal Custodiar Account	Ballot Shares	Unavailable Vote Date Shares	Date Confirmed
45 GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	100	149,100 31-May-2017	21-Jun-2017
47 GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO STATE	100	418,100 31-May-2017	21-Jun-2017
	FIX THEIR REMUNERATION TO APPROVE AN ORDINARY RESOLUTION APPROVING C AMENDMENTS TO AND UNALLOCATED ENTITLEME UNDER THE CORPORATION RESTRICTED SHARE UNIT P MORE PARTICULARLY SET THE ACCOMPANYING MANAGEMENT INFORMATIC CIRCULAR. TO APPROVE AN ORDINARY RESOLUTION APPROVING C AMENDMENTS TO AND UNALLOCATED OPTIONS UN THE CORPORATION'S INCENS STOCK OPTION PLAN AS MO PARTICULARLY SET OUT IN ACCOMPANYING MANAGEN INFORMATION CIRCULAR.	UNALLOCATED ENTITLEMENTS UNDER THE CORPORATION'S RESTRICTED SHARE UNIT PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR. TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED OPTIONS UNDER THE CORPORATION'S INCENTIVE STOCK OPTION PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR. Management Management Management Management Management Management Management Management Management Management Management Management Management Management Management Management Noter Management Managemen	FIX THEIR REMUNERATION. TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED ENTITLEMENTS UNDER THE CORPORATION'S RESTRICTED SHARE UNIT PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR. TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED OPTIONS UNDER THE CORPORATION'S INCENTIVE Management For STOCK OPTION PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR. 45 GLV BNP PLEDGE 47 GLQ BNP PLEDGE 47 MANAGEMENT 47 GLQ BNP PLEDGE 47 MANAGEMENT 47 MANAGEMENT 48 MANAGEMENT 49 MANAGEMENT 49 MANAGEMENT 49 MANAGEMENT 40 MANAGEMEN	FIX THEIR REMUNERATION. TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED ENTITLEMENTS UNDER THE CORPORATION'S RESTRICTED SHARE UNIT PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR. TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED OPTIONS UNDER THE CORPORATION'S INCENTIVE STOCK OPTION PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR. Management For STOCK OPTION PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR. Management For STOCK OPTION PLAN AS MORE STATE STREET 45 GLV BNP PLEDGE 997AL MANAGEMENT INFORMATION CIRCULAR. 418,100 31-May-2017 TRUST CO STATE STREET 47 GLQ BNP PLEDGE 997AL MANAGEMENT INFORMATION CIRCULARLY STATE STREET 47 GLQ BNP PLEDGE 997AL MANAGEMENT INFORMATION CIRCULARLY MANAGEMENT INFORMATION MANAGEMENT MANAGEM

997AL49 1,042,181 31-May-2017 997AL49 GLO BNP PLEDGE 0 21-Jun-2017 TRUST CO BLACKSTONE MORTGAGE TRUST, INC Meeting Type Security 09257W100 Annual Ticker Symbol Meeting Date BXMT 21-Jun-2017 Agenda 934603881 - Management ISIN US09257W1009

Record	Date	13-Apr-2017			Holding R Date	econ	13-Apr-2017
City /	Coun	try	/ United States		Vote Dead Date	lline	20-Jun-2017
SEDOL	L(S)				Quick Cod	le	
Item	Propo	osal		Proposed V by	ote	For/Aga Manage	
1.	DIRE	CTOR		Managemen	ıt		
	1	MICHAEL B. NASH	ł		For	For	
	2	STEPHEN D. PLAV	ΊΝ		For	For	
	3	LEONARD W. COT	TON		For	For	
	4	THOMAS E. DOBR	OWSKI		For	For	
	5	MARTIN L. EDELN	/ IAN		For	For	
	6	HENRY N. NASSA	U		For	For	
	7	JONATHAN L. POI	LACK		For	For	
	8	LYNNE B. SAGAL	YN		For	For	
2.	APPO TOUO INDE ACCO	FICATION OF THE DINTMENT OF DELO CHE LLP AS THE CO PENDENT REGISTE DUNTING FIRM FOF R ENDING DECEMB	OMPANY'S RED PUBLIC R THE FISCAL	Managemen	t For	For	
3.	COM NON THE	ISORY VOTE ON EX PENSATION: TO AP - BINDING, ADVISO COMPENSATION PA ED EXECUTIVE OF	PROVE IN A RY VOTE, AID TO OUR	Managemen	t For	For	

Account Number	Account Name	Internal Custodian Ballot Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ 59,700 TRUST CO	0 28-Apr-2017	22-Jun-2017
997GLO	GLO	STATE STREET 997GLO 58,200 TRUST CO	0 28-Apr-2017	22-Jun-2017
997AL45	GLV BNP PLEDGE	997AIS#5ATE 32,500 STREET	39,700 28-Apr-2017	22-Jun-2017

		gii Giobai Divide				
		BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	80,100	69,900	28-Apr-2017	22-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49	100	206,100	28-Apr-2017	22-Jun-2017
997AL53	GLO MS PLEDGE	TRUST CO STATE STREET 997AI 53	50,000	0	28-Apr-2017	22-Jun-2017
997AL60	GLV MS PLEDGE	TRUST CO STATE STREET 997AL & TRUST	5,000	0	28-Apr-2017	22-Jun-2017
997AL61	GLQ MS PLEDGE	CO STATE STREET 997AL & TRUST CO	10,800	0	28-Apr-2017	22-Jun-2017
SEMICONDUC	FOR MANUFACTURIN		NAL COR	Р		
Security	G8020E119		Mee	eting Type	Annual Gene	eral Meeting
Ticker Symbol			Mee	ting Date	23-Jun-2017	
ISIN	KYG8020E1199		Age	nda	708261982 -	Management
Record Date	19-Jun-2017		Hole Date	ding Recon	19-Jun-2017	
City / Country	SHANGH AI / CI	hina	Vote Date	e Deadline e	16-Jun-2017	
SEDOL(s)	BDFBM13 - BDFC8W9 BDH2T79 - BDRKV77 BDRKVB1 - BYZLGH4 BYZMKY2	-	Quio	ck Code		

Item	Proposal	Proposed Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 779586 DUE TO RESOLUTIONS-7 TO 15 ARE SINGLE ITEMS. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	Non-Voting	
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING	Non-Voting	
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- (http://www.hkexnews.hk/listedco/listconew 523/ltn20170523419.pdf)-,- (http://www.hkexnews.hk/listedco/listconew 523/ltn20170523382.pdf)-AND- (http://www.hkexnews.hk/listedco/listconew 531/ltn20170531819.pdf)	ws/sehk/2017/0	
1	TO RECEIVE AND CONSIDER THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS OF THE COMPANY ("DIRECTOR(S)") AND THE AUDITORS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2016	Management For	For
2.A	TO RE-ELECT DR. ZHOU ZIXUE AS AN EXECUTIVE DIRECTOR	Management For	For
2.B	TO RE-ELECT DR. TZU-YIN CHIU AS A NON- EXECUTIVE DIRECTOR	Management For	For
2.C	TO RE-ELECT DR. GAO YONGGANG AS AN EXECUTIVE DIRECTOR	Management For	For
2.D	TO RE-ELECT MR. WILLIAM TUDOR BROWN AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management For	For
2.E	TO RE-ELECT DR. TONG GUOHUA AS A NON- EXECUTIVE DIRECTOR	Management For	For

2.F	TO RE-ELECT DR. SHANG-YI CHIANG AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management For	For
2.G	TO RE-ELECT DR. JASON JINGSHENG CONG AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management For	For
2.H	TO AUTHORIZE THE BOARD OF DIRECTORS (THE "BOARD") TO FIX THEIR REMUNERATION	Management For	For
3	TO RE-APPOINT PRICEWATERHOUSECOOPERS AND PRICEWATERHOUSECOOPERS ZHONG TIAN LLP AS THE AUDITORS OF THE COMPANY FOR HONG KONG FINANCIAL REPORTING AND U.S. FINANCIAL REPORTING PURPOSES, RESPECTIVELY, AND TO AUTHORISE THE AUDIT COMMITTEE OF THE BOARD TO FIX THEIR REMUNERATION	Management For	For
4	TO GRANT A GENERAL MANDATE TO THE BOARD TO ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH ADDITIONAL SHARES IN THE COMPANY, NOT EXCEEDING TWENTY PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION	Management For	For
5	TO GRANT A GENERAL MANDATE TO THE BOARD TO REPURCHASE SHARES OF THE COMPANY, NOT EXCEEDING TEN PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION	Management For	For
6	CONDITIONAL ON THE PASSING OF RESOLUTIONS 4 AND 5, TO AUTHORIZE THE BOARD TO EXERCISE THE POWERS TO ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH THE ADDITIONAL AUTHORIZED BUT UNISSUED SHARES IN THE COMPANY REPURCHASED BY THE COMPANY	Management For	For
7		Management For	For

(A) TO AUTHORIZE THE INCREASE IN THE AUTHORISED SHARE CAPITAL OF THE COMPANY FROM USD 22,000,000 TO USD 42,000,000 BY THE CREATION OF AN ADDITIONAL 5.000.000.000 COMMON SHARES IN THE SHARE CAPITAL OF THE COMPANY; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE IMPLEMENTATION OF AND GIVING EFFECT TO THE INCREASE IN AUTHORIZED SHARE CAPITAL OF THE COMPANY (A) TO AUTHORIZE THE REDUCTION OF THE AMOUNT STANDING TO THE CREDIT OF THE SHARE PREMIUM ACCOUNT OF THE COMPANY BY AN AMOUNT OF USD 910,849,175.17 AND TO AUTHORIZE THE DIRECTORS OF THE COMPANY TO APPLY SUCH Management For For AMOUNT TO ELIMINATE THE ACCUMULATED LOSSES OF THE COMPANY AS AT 31 DECEMBER 2016 IN THE SAME AMOUNT; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO DO ALL ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE IMPLEMENTATION OF AND COMPLETION OF THE SHARE PREMIUM REDUCTION OF THE COMPANY (A) TO APPROVE AND CONFIRM THE Management For For PROPOSED GRANT OF 2,109,318 RSUS TO DR. TZU-YIN CHIU, THE FORMER CHIEF EXECUTIVE OFFICER OF THE COMPANY AND A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES,

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REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EOUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF. OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT (A) TO APPROVE AND CONFIRM THE Management For For **PROPOSED GRANT OF 62.500 RSUS** TO DR. CHEN SHANZHI, A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF

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THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS **HE/SHE MAY CONSIDER** NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF. OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT (A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 62,500 RSUS TO MR. LIP-BU TAN, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, **REGULATIONS AND THE OTHER** APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE Management For For DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS **HE/SHE MAY CONSIDER** NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT (A) TO APPROVE AND CONFIRM THE Management For For PROPOSED GRANT OF 187,500 RSUS TO DR. SHANG-YI CHIANG, AN

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INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, **REGULATIONS AND THE OTHER** APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EOUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS **HE/SHE MAY CONSIDER** NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF. OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT (A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 187,500 RSUS TO DR. TONG GUOHUA, A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY

PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE

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Management For

For

DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS **HE/SHE MAY CONSIDER** NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF. OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT (A) TO APPROVE AND CONFIRM THE Management For PROPOSED GRANT OF 187,500 RSUS TO DR. JASON JINGSHENG CONG, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, **REGULATIONS AND THE OTHER** APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS **HE/SHE MAY CONSIDER** NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED

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For

PURSUANT TO THE PROPOSED RSU GRANT (A) TO APPROVE AND CONFIRM THE PROPOSED PAYMENT OF USD 688,000 TO DR.CHIU, THE NON-EXECUTIVE VICE CHAIRMAN AND A NON- EXECUTIVE DIRECTOR, AS A TOKEN OF APPRECIATION FOR HIS CONTRIBUTIONS TO THE COMPANY DURING HIS TENURE AS CHIEF EXECUTIVE OFFICER OF THE **COMPANY BETWEEN 5 AUGUST 2011** AND 10 MAY 2017; AND (B) TO For Management For AUTHORIZE ANY DIRECTOR OF THE COMPANY (WITH THE EXCEPTION OF DR. CHIU) BE AND IS HEREBY AUTHORISED TO DO ALL ACTS ON BEHALF OF THE COMPANY AS **HE/SHE MAY CONSIDER** NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE GRATUITY OF THE PROPOSED PAYMENT TO DR. CHIU

Account Number		Account Name		Interna Accou	Custodian	Ballo [®] Share		Unavaila Shares	able	ote Date	Date Confirmed
GLQ		GLQ			STATE STREET BANK	780,0	00	0	02	2-Jun-2017	19-Jun-2017
GLO		GLO			STATE STREET BANK	1,960	,000	0	02	2-Jun-2017	19-Jun-2017
INTRA-CELLULAR THERAPIES INC											
Security	7	46116X101					Meeting	g Type		Annual	
Ticker S	Symbol	ITCI					Meeting	g Date		27-Jun-2017	
ISIN		US46116X1019					Agenda			934621978 - 1	Management
Record	Date	28-Apr-2017					Holding Date	Recon		28-Apr-2017	
City /	Country		/	ited ates			Vote De Date	eadline		26-Jun-2017	
SEDOL	<i>(</i> (s)						Quick C	Code			
Item	Proposa	1			Propos by	sed Vo	ote	For/A Mana	-		
1.	DIREC	ГOR			Manag	gement	Ī				

	1	RICHARD LERNER, M.I	D.			For	Fo	r	
2.	ERNST COMPA REGIS ⁷ FIRM F	TIFY THE APPOINTME C & YOUNG LLP AS THI ANY'S INDEPENDENT TERED PUBLIC ACCOU FOR THE FISCAL YEAR IG DECEMBER 31, 2017	E JNTING	Manag	gement	For	Fo	r	
3.	VOTE NAME DISCL	PROVE BY AN ADVISC THE COMPENSATION D EXECUTIVE OFFICE OSED IN THE PROXY EMENT.	OF OUR	Manag	gement	For	Fo	r	
Account Number		Account Name	Internal Account	ustodian	Ballot Share		Unavaila Shares	ble Vote Date	Date Confirmed
997GLQ)	GLQ	ST ST 997GLC	ΓΑΤΕ ΓREET ANK V RUST	69,20		0	10-May-2017	28-Jun-2017
997AL4	9	GLO BNP PLEDGE	ST ST 997AL4 &	FATE FREET ANK RUST	210,5	00	0	10-May-2017	28-Jun-2017
997AL5:	3	GLO MS PLEDGE	ST 997AL	FATE FREET ANK S RUST O	30,00	0	0	10-May-2017	28-Jun-2017
997AL6	1	GLQ MS PLEDGE	ST 997AL &	RUST	25,00	0	0	10-May-2017	28-Jun-2017
NINTEN	NDO CC).,LTD.							
Security		J51699106				Meeting	g Type	Annual Gene	ral Meeting
Ticker S	ymbol					Meeting	g Date	29-Jun-2017	
ISIN		JP3756600007				Agenda		708274446 -	Management
Record I	Date	31-Mar-2017				Holding Date	g Recon	31-Mar-2017	

City /	Country	КҮОТО	/ Japan	Vote Deadline Date	21-Jun-2017
SEDOL	(s)	5334209 - 6639550 B02JMD1 - B0ZG BRTM8H8		Quick Code	79740

Item	Proposal	Proposed by Vote	For/Against Management
	Please reference meeting materials.	Non-Voting	
1	Approve Appropriation of Surplus	Management For	For
2.1	Appoint a Director except as Supervisory Committee Members Kimishima, Tatsumi	Management For	For
2.2	Appoint a Director except as Supervisory Committee Members Miyamoto, Shigeru	Management For	For
2.3	Appoint a Director except as Supervisory Committee Members Takahashi, Shinya	Management For	For
2.4	Appoint a Director except as Supervisory Committee Members Furukawa, Shuntaro	Management For	For
2.5	Appoint a Director except as Supervisory Committee Members Shiota, Ko	Management For	For

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Vote Date Shares	Date Confirmed
GLV	GLV	STATE STREET 1,800 BANK	0 07-Jun-2017	28-Jun-2017
GLQ	GLQ	STATE STREET 5,700 BANK	0 07-Jun-2017	28-Jun-2017
GLO	GLO	STATE STREET 14,800 BANK	0 07-Jun-2017	28-Jun-2017

SIGNATURE

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Clough Dividend and Income Fund

By: /s/ Edmund J. Burke Edmund J. Burke President

Date: August 23, 2017