

Edgar Filing: Clough Dividend & Income Fund - Form N-PX

Clough Dividend & Income Fund  
Form N-PX  
August 22, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21583

CLOUGH GLOBAL DIVIDEND AND INCOME FUND  
(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203  
(Address of principal executive offices) (Zip code)

Abigail J. Murray, Esq.  
Clough Dividend and Income Fund  
1290 Broadway, Suite 1100  
Denver, Colorado 80203  
(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2015 – June 30, 2016

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Item 1 – Proxy Voting Record.

Vote Summary

TIME WARNER CABLE INC

Security	88732J207	Meeting Type	Annual
Ticker Symbol	TWC	Meeting Date	01-Jul-2015
ISIN	US88732J2078	Agenda	934229750 - Management
Record Date	07-May-2015	Holding Recon Date	07-May-2015
City / Country	/ United States	Vote Deadline Date	30-Jun-2015

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: CAROLE BLACK	Management	For	For
1B.	ELECTION OF DIRECTOR: THOMAS H. CASTRO	Management	For	For
1C.	ELECTION OF DIRECTOR: DAVID C. CHANG	Management	For	For
1D.	ELECTION OF DIRECTOR: JAMES E. COPELAND, JR.	Management	For	For
1E.	ELECTION OF DIRECTOR: PETER R. HAJE	Management	For	For
1F.	ELECTION OF DIRECTOR: DONNA A. JAMES	Management	For	For
1G.	ELECTION OF DIRECTOR: DON LOGAN	Management	For	For
1H.	ELECTION OF DIRECTOR: ROBERT D. MARCUS	Management	For	For
1I.	ELECTION OF DIRECTOR: N.J. NICHOLAS, JR.	Management	For	For
1J.	ELECTION OF DIRECTOR: WAYNE H. PACE	Management	For	For
1K.	ELECTION OF DIRECTOR: EDWARD D. SHIRLEY	Management	For	For
1L.	ELECTION OF DIRECTOR: JOHN E. SUNUNU	Management	For	For

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2.	RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	STOCKHOLDER PROPOSAL ON DISCLOSURE OF LOBBYING ACTIVITIES.	Shareholder	Against	For
5.	STOCKHOLDER PROPOSAL ON ACCELERATED VESTING OF EQUITY AWARDS IN A CHANGE IN CONTROL.	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	3,100	0	20-May-2015	02-Jul-2015
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	300	14,800	20-May-2015	02-Jul-2015
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	18,150	0	20-May-2015	02-Jul-2015
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	13,900	57,400	20-May-2015	02-Jul-2015
997AL53	GLO MS PLEDGE	STATE STREET BANK & TRUST CO 997AL53	43,000	0	20-May-2015	02-Jul-2015
997AL60	GLV MS PLEDGE	STATE STREET BANK & TRUST CO 997AL60	9,400	0	20-May-2015	02-Jul-2015

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997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	27,750	0	20-May-2015	02-Jul-2015
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MAN WAH HOLDINGS LTD

Security	G5800U107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	07-Jul-2015
ISIN	BMG5800U1071	Agenda	706231521 - Management
Record Date	30-Jun-2015	Holding Recon Date	30-Jun-2015
City / Country	HONG KONG / Bermuda	Vote Deadline Date	29-Jun-2015
SEDOL(s)	B58VHF3 - B58YWF7 - B5WN7S8 - BS7K5D6	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0602/LTN201506021686.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0602/LTN201506021686.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0602/LTN201506021678.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0602/LTN201506021678.pdf</a>	Non-Voting		
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING	Non-Voting		
1	TO RECEIVE, CONSIDER AND ADOPT THE REPORTS OF THE DIRECTORS AND THE AUDITORS AND THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR	Management	For	For

THE YEAR ENDED 31  
MARCH 2015

2	TO DECLARE A FINAL DIVIDEND OF HK13 CENTS PER SHARE FOR THE YEAR ENDED 31 MARCH 2015	Management	For	For
3	TO APPROVE THE RE-ELECTION OF MR. WANG GUISHENG AS AN EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)	Management	For	For
4	TO APPROVE THE RE-ELECTION OF MR. ONG CHOR WEI AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)	Management	For	For
5	TO APPROVE THE RE-ELECTION OF MR. LEE TECK LENG, ROBSON AS AN INDEPENDENT NON- EXECUTIVE DIRECTOR OF THE COMPANY OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)	Management	For	For
6	TO APPROVE THE RE-ELECTION OF MR. XIE FANG AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING	Management	For	For

REMUNERATION)

7	TO APPROVE THE RE-ELECTION OF MS. WONG YING YING AS AN EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)	Management	For	For
8	TO RE-APPOINT DELOITTE TOUCHE TOHMATSU AS AUDITORS OF THE COMPANY AND TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION	Management	For	For
9	TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES OF THE COMPANY NOT EXCEEDING 20% OF ITS ISSUED SHARES	Management	For	For
10	TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO REPURCHASE SHARES OF THE COMPANY NOT EXCEEDING 10% OF ITS ISSUED SHARES	Management	For	For
11	TO EXTEND THE GENERAL MANDATE GRANTED TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES BY AN AMOUNT NOT EXCEEDING THE AMOUNT OF THE SHARES REPURCHASED BY THE COMPANY	Management	For	For
12	TO REFRESH THE SCHEME MANDATE LIMIT OF UP TO	Management	For	For

5% OF THE SHARES OF  
THE COMPANY IN ISSUE  
AS AT THE DATE OF THE  
MEETING

05 JUN 2015: PLEASE NOTE  
THAT THIS IS A REVISION  
DUE TO CHANGE IN  
RECORD DATE.-IF YOU  
HAVE ALREADY SENT IN  
YOUR VOTES, PLEASE DO  
NOT VOTE AGAIN UNLESS  
YOU DECIDE TO AMEND  
YOUR ORIGINAL  
INSTRUCTIONS. THANK  
YOU.

CMMT

Non-Voting

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	276,130	0	03-Jun-2015	03-Jul-2015
AL28	AL28	STATE STREET BANK	458,020	0	03-Jun-2015	03-Jul-2015
AL29	AL29	STATE STREET BANK	1,139,892	0	03-Jun-2015	03-Jul-2015

ARMSTRONG WORLD INDUSTRIES, INC.

Security	04247X102	Meeting Type	Annual
Ticker Symbol	AWI	Meeting Date	10-Jul-2015
ISIN	US04247X1028	Agenda	934227225 - Management
Record Date	13-Apr-2015	Holding Recon Date	13-Apr-2015
City / Country	/ United States	Vote Deadline Date	09-Jul-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 STAN A. ASKREN		For	For
	2 MATTHEW J. ESPE		For	For

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3	JAMES J. GAFFNEY	For	For
4	TAO HUANG	For	For
5	MICHAEL F. JOHNSTON	For	For
6	JEFFREY LIAW	For	For
7	LARRY S. MCWILLIAMS	For	For
8	JAMES C. MELVILLE	For	For
9	JAMES J. O'CONNOR	For	For
10	JOHN J. ROBERTS	For	For
11	GREGORY P. SPIVY	For	For
12	RICHARD E. WENZ	For	For

2.	TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015.	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	14,000	0	16-May-2015	13-Jul-2015
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	66,000	0	16-May-2015	13-Jul-2015
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	57,561	0	16-May-2015	13-Jul-2015
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	3,000	38,000	16-May-2015	13-Jul-2015
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	3,839	146,000	16-May-2015	13-Jul-2015



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TRUST CO							
STATE STREET BANK & TRUST CO							
997AL53	GLO MS PLEDGE	997AL53	34,361	0	16-May-2015	13-Jul-2015	
STATE STREET BANK & TRUST CO							
997AL60	GLV MS PLEDGE	997AL60	3,400	0	16-May-2015	13-Jul-2015	
STATE STREET BANK & TRUST CO							
997AL61	GLQ MS PLEDGE	997AL61	30,600	0	16-May-2015	13-Jul-2015	

FORWARD PHARMA A S

Security	34986J105	Meeting Type	Special
Ticker Symbol	FWP	Meeting Date	20-Jul-2015
ISIN	US34986J1051	Agenda	934258597 - Management
Record Date	30-Jun-2015	Holding Recon Date	30-Jun-2015
City / Country	/ United States	Vote Deadline Date	14-Jul-2015
SEDOL(s)	Quick Code		

Item	Proposal	Proposed by	Vote	For/Against Management
A1)	ELECTION OF DIRECTOR: JAKOB MOSEGAARD LARSEN	Management	For	For
A2)	ELECTION OF DIRECTOR: GRANT HELLIER LAWRENCE	Management	For	For
B)	AUTHORIZATION OF THE CHAIRMAN OF THE GENERAL MEETING	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	2,000	0	07-Jul-2015	16-Jul-2015
997AL28	GLQ	997AL28	3,400	0	07-Jul-2015	16-Jul-2015

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		STATE STREET BANK & TRUST CO				
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	21,700	0	07-Jul-2015	16-Jul-2015
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	36,000	0	07-Jul-2015	16-Jul-2015
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	8,400	89,700	07-Jul-2015	16-Jul-2015

CT ENVIRONMENTAL GROUP LTD, GRAND CAYMAN

Security	G25885107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	21-Jul-2015
ISIN	KYG258851073	Agenda	706300732 - Management
Record Date	20-Jul-2015	Holding Recon Date	20-Jul-2015
City / Country	HONG KONG / Cayman Islands	Vote Deadline Date	09-Jul-2015
SEDOL(s)	B4KQ8P6 - BWBY0S2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ONLY RESOLUTION 1, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING	Non-Voting		
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/0">http://www.hkexnews.hk/listedco/listconews/sehk/2015/0</a>	Non-Voting		

629/LTN201506291069.pdf-AND-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2015/0629/LTN201506291050.pdf>

(A) TO APPROVE,  
 CONFIRM AND RATIFY  
 THE AGREEMENT (AS  
 DEFINED IN THE  
 CIRCULAR OF THE  
 COMPANY DATED 30 JUNE  
 2015) AND THE  
 TRANSACTIONS  
 CONTEMPLATED  
 THEREUNDER; AND (B) TO  
 AUTHORISE ANY ONE  
 DIRECTOR FOR AND ON  
 BEHALF OF THE  
 COMPANY TO DO ALL  
 SUCH FURTHER ACTS AND  
 THINGS AND TO SIGN AND  
 EXECUTE ALL SUCH  
 DOCUMENTS AND TO  
 TAKE ALL SUCH STEPS  
 WHICH IS OF AN  
 ADMINISTRATIVE  
 NATURE AND ANCILLARY  
 TO IMPLEMENTATION OF  
 THE AGREEMENT AND  
 THE TRANSACTIONS  
 CONTEMPLATED  
 THEREUNDER

1	Management  For  For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	272,000	0	30-Jun-2015	17-Jul-2015
AL28	AL28	STATE STREET BANK	446,000	0	30-Jun-2015	17-Jul-2015
AL29	AL29	STATE STREET BANK	1,122,000	0	30-Jun-2015	17-Jul-2015

CT ENVIRONMENTAL GROUP LTD, GRAND CAYMAN

Security	G25885107	Meeting Type	ExtraOrdinary General Meeting
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Ticker Symbol		Meeting Date	21-Jul-2015
ISIN	KYG258851073	Agenda	706306176 - Management
Record Date	20-Jul-2015	Holding Recon Date	20-Jul-2015
City / Country	HONG KONG / Cayman Islands	Vote Deadline Date	14-Jul-2015
SEDOL(s)	B4KQ8P6 - BWBY0S2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
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CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING	Non-Voting		
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CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING O-N THE URL LINKS: <a href="http://www.hkexnews.hk/listedco/listconews/7sehk/2015/0702/LTN-201507021739.pdf">http://www.hkexnews.hk/listedco/listconews/7sehk/2015/0702/LTN-201507021739.pdf</a> AND <a href="http://www.hkexnews.hk/listedco/listconews/7sehk/2015/0702/LTN-201507021727.pdf">http://www.hkexnews.hk/listedco/listconews/7sehk/2015/0702/LTN-201507021727.pdf</a>	Non-Voting		
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1	TO APPROVE THE SHARE SUBDIVISION OF EACH OF THE ISSUED AND UNISSUED SHARE OF THE COMPANY OF HKD 0.10 EACH IN THE SHARE CAPITAL OF THE COMPANY INTO FOUR (4) SUBDIVIDED SHARES OF HKD 0.025 EACH	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	272,000	0	03-Jul-2015	17-Jul-2015

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AL28	AL28	STATE STREET BANK	446,000	0	03-Jul-2015	17-Jul-2015
AL29	AL29	STATE STREET BANK	1,122,000	0	03-Jul-2015	17-Jul-2015

JAZZ PHARMACEUTICALS PLC

Security	G50871105	Meeting Type	Annual
Ticker Symbol	JAZZ	Meeting Date	30-Jul-2015
ISIN	IE00B4Q5ZN47	Agenda	934246908 - Management
Record Date	01-Jun-2015	Holding Recon Date	01-Jun-2015
City / Country	/ Ireland	Vote Deadline Date	29-Jul-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: PETER GRAY	Management	For	For
1B.	ELECTION OF DIRECTOR: KENNETH W. O'KEEFE	Management	For	For
1C.	ELECTION OF DIRECTOR: ELMAR SCHNEE	Management	For	For
1D.	ELECTION OF DIRECTOR: CATHERINE A. SOHN	Management	For	For
2.	TO APPROVE THE APPOINTMENT OF KPMG AS THE INDEPENDENT AUDITORS OF JAZZ PHARMACEUTICALS PLC FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015 AND TO AUTHORIZE THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO DETERMINE THE AUDITORS' REMUNERATION.	Management	For	For
3.	TO AUTHORIZE JAZZ PHARMACEUTICALS PLC	Management	For	For

AND/OR ANY SUBSIDIARY  
OF JAZZ  
PHARMACEUTICALS PLC  
TO MAKE MARKET  
PURCHASES OF JAZZ  
PHARMACEUTICALS PLC'S  
ORDINARY SHARES.

TO APPROVE, ON AN  
ADVISORY BASIS, THE  
COMPENSATION OF JAZZ  
PHARMACEUTICALS PLC'S  
NAMED EXECUTIVE  
OFFICERS AS DISCLOSED  
IN THE PROXY  
STATEMENT.

4. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	4,777	5,500	11-Jun-2015	31-Jul-2015
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	7,869	9,300	11-Jun-2015	31-Jul-2015
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	19,143	23,600	11-Jun-2015	31-Jul-2015

# MICHAEL KORS HOLDINGS LIMITED

Security	G60754101	Meeting Type	Annual
Ticker Symbol	KORS	Meeting Date	30-Jul-2015
ISIN	VGG607541015	Agenda	934248863 - Management
Record Date	01-Jun-2015	Holding Recon Date	01-Jun-2015
City / Country	/ United Kingdom	Vote Deadline Date	29-Jul-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR:	Management	For	For

M. WILLIAM BENEDETTO

1B. ELECTION OF DIRECTOR:  
STEPHEN REITMAN Management For For

1C. ELECTION OF DIRECTOR:  
JEAN TOMLIN Management For For

2. TO RATIFY THE  
APPOINTMENT OF ERNST  
& YOUNG LLP AS THE  
COMPANY'S  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
THE FISCAL YEAR ENDING  
APRIL 2, 2016. Management For For

3. TO APPROVE, ON A  
NON-BINDING ADVISORY  
BASIS, EXECUTIVE  
COMPENSATION. Management For For

4. TO APPROVE THE  
MICHAEL KORS  
HOLDINGS LIMITED  
AMENDED AND  
RESTATED OMNIBUS  
INCENTIVE PLAN. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	17,500	0	17-Jun-2015	31-Jul-2015
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	29,100	0	17-Jun-2015	31-Jul-2015
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	72,500	0	17-Jun-2015	31-Jul-2015

QORVO, INC.

Security	74736K101	Meeting Type	Annual
Ticker Symbol	QRVO	Meeting Date	10-Aug-2015

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ISIN	US74736K1016	Agenda	934254498 - Management
Record Date	19-Jun-2015	Holding Recon Date	19-Jun-2015
City / Country	/ United States	Vote Deadline Date	07-Aug-2015

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 RALPH G. QUINSEY			
	2 ROBERT A. BRUGGEWORTH			
	3 DANIEL A. DILEO			
	4 JEFFERY R. GARDNER			
	5 CHARLES SCOTT GIBSON			
	6 JOHN R. HARDING			
	7 DAVID H.Y. HO			
	8 RODERICK D. NELSON			
	9 DR. WALDEN C. RHINES			
	10 WALTER H. WILKINSON, JR			
2.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS (AS DEFINED IN THE PROXY STATEMENT).	Management		
3.	TO VOTE, ON AN ADVISORY BASIS, ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management		
4.	TO RATIFY THE APPOINTMENT OF KPMG LLP AS OUR	Management		



INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
THE FISCAL YEAR ENDING  
APRIL 2, 2016.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	2,400	0		
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	4,000	0		
997AL53	GLO MS PLEDGE	STATE STREET BANK & TRUST CO 997AL53	38,100	0		
997AL60	GLV MS PLEDGE	STATE STREET BANK & TRUST CO 997AL60	6,800	0		
997AL61	GLQ MS PLEDGE	STATE STREET BANK & TRUST CO 997AL61	11,400	0		

CHINA COMMUNICATIONS CONSTRUCTION COMPANY LTD, BEI

Security	Y1R36J108	Meeting Type	Class Meeting
Ticker Symbol		Meeting Date	17-Aug-2015
ISIN	CNE1000002F5	Agenda	706306582 - Management
Record Date	17-Jul-2015	Holding Recon Date	17-Jul-2015
City / Country	BEIJING / China	Vote Deadline Date	04-Aug-2015
SEDOL(s)	B1JKTQ6 - B1L7ZX4 - B1MT2B6 - BP3RRW3	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
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PLEASE NOTE THAT THE  
COMPANY NOTICE AND  
PROXY FORM ARE  
AVAILABLE BY

CMMT CLICKING-ON THE URL Non-Voting  
LINKS:-

<http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022218.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022266.pdf>

TO CONSIDER AND  
APPROVE THE  
"RESOLUTION IN  
RELATION TO THE  
PROVISION OF ASSURED  
ENTITLEMENT TO H  
SHAREHOLDERS ONLY  
FOR THE SPIN-OFF OF

1 CCCC DREDGING (GROUP) Management For For  
CO., LTD. FROM THE  
COMPANY AND LISTING  
ON THE HONG KONG  
STOCK EXCHANGE"  
(DETAILS ARE SET OUT IN  
THE CIRCULAR OF THE  
COMPANY DATED 2 JULY  
2015)

14 AUG 2015: PLEASE  
NOTE THAT THIS IS A  
REVISION DUE TO  
CHANGE IN THE  
MEETING-TIME. IF YOU  
HAVE ALREADY SENT IN  
YOUR VOTES, PLEASE DO  
NOT VOTE AGAIN  
UNLESS-YOU DECIDE TO  
AMEND YOUR ORIGINAL  
INSTRUCTIONS. THANK  
YOU.

CMMT Non-Voting

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	764,000	0	03-Jul-2015	12-Aug-2015
AL28	AL28	STATE STREET	1,271,000	0	03-Jul-2015	12-Aug-2015

		BANK				
AL29	AL29	STATE STREET BANK	3,147,000	0	03-Jul-2015	12-Aug-2015

CHINA COMMUNICATIONS CONSTRUCTION COMPANY LTD, BEI

Security	Y1R36J108	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	17-Aug-2015
ISIN	CNE1000002F5	Agenda	706306594 - Management
Record Date	17-Jul-2015	Holding Recon Date	17-Jul-2015
City / Country	BEIJING / China	Vote Deadline Date	04-Aug-2015
SEDOL(s)	B1JKTQ6 - B1L7ZX4 - B1MT2B6 - BP3RRW3	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022245.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022245.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022182.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022182.pdf</a>	Non-Voting		
1	TO CONSIDER AND APPROVE THE "RESOLUTION IN RELATION TO THE PROVISION OF ASSURED ENTITLEMENT TO H SHAREHOLDERS ONLY FOR THE SPIN-OFF OF CCCC DREDGING (GROUP) CO., LTD. FROM THE COMPANY AND LISTING ON THE HONG KONG STOCK EXCHANGE" (DETAILS ARE SET OUT IN THE CIRCULAR OF THE	Management	For	For

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COMPANY DATED 2 JULY  
2015)

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	764,000	0	03-Jul-2015	12-Aug-2015
AL28	AL28	STATE STREET BANK	1,271,000	0	03-Jul-2015	12-Aug-2015
AL29	AL29	STATE STREET BANK	3,147,000	0	03-Jul-2015	12-Aug-2015

SINOPHARM GROUP CO LTD, SHANGHAI

Security	Y8008N107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	20-Aug-2015
ISIN	CNE100000FN7	Agenda	706307584 - Management
Record Date	20-Jul-2015	Holding Recon Date	20-Jul-2015
City / Country	SHANGHAI / China	Vote Deadline Date	11-Aug-2015
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE	Non-Voting		
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-	Non-Voting		

<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0703/LTN20150703685.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0703/LTN20150703932.pdf>

TO CONSIDER AND, IF  
 THOUGHT FIT, TO  
 APPROVE (I) THE ISSUE OF  
 THE CORPORATE BONDS  
 IN THE PRC OF NO MORE  
 THAN RMB10,000,000,000  
 IN SCALE (THE  
 "CORPORATE BONDS");  
 AND (II) THE BOARD OF  
 DIRECTORS OF THE  
 COMPANY OR THE  
 PERSON(S) AUTHORISED  
 BY IT TO DETERMINE AND  
 ADJUST THE SPECIFIC  
 PLAN OF THE PROPOSED  
 ISSUE OF THE CORPORATE  
 BONDS AND DO ALL SUCH  
 ACTS AND THINGS, TO  
 SIGN AND EXECUTE ALL  
 SUCH OTHER  
 DOCUMENTS TO GIVE  
 EFFECT TO OR IN  
 CONNECTION WITH THE  
 PROPOSED ISSUE OF THE  
 CORPORATE BONDS OR  
 ANY TRANSACTIONS  
 CONTEMPLATED  
 THEREUNDER

1 Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	200,800	0	04-Jul-2015	14-Aug-2015
AL28	AL28	STATE STREET BANK	332,400	0	04-Jul-2015	14-Aug-2015
AL29	AL29	STATE STREET BANK	829,200	0	04-Jul-2015	14-Aug-2015

LIBERTY ALL-STAR EQUITY FUND

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Security	530158104	Meeting Type	Annual
Ticker Symbol	USA	Meeting Date	27-Aug-2015
ISIN	US5301581048	Agenda	934254880 - Management
Record Date	29-May-2015	Holding Recon Date	29-May-2015
City / Country	/ United States	Vote Deadline Date	26-Aug-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN A. BENNING		For	For
	2 EDMUND J. BURKE		For	For
3.	IF PROPERLY PRESENTED AT THE MEETING, TO CONSIDER AND VOTE UPON THE SHAREHOLDER PROPOSAL PRESENTED UNDER THE HEADING "SHAREHOLDER PROPOSAL" IN THE ACCOMPANYING PROXY STATEMENT.	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	191,000	0	07-Jul-2015	28-Aug-2015

VIASAT, INC.

Security	92552V100	Meeting Type	Annual
Ticker Symbol	VSAT	Meeting Date	16-Sep-2015
ISIN	US92552V1008	Agenda	934264235 - Management
Record Date	20-Jul-2015	Holding Recon Date	20-Jul-2015
City / Country	/ United States	Vote Deadline Date	15-Sep-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote
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				For/Against Management
1.	DIRECTOR	Management		
	1 FRANK J. BIONDI, JR.		For	For
	2 ROBERT JOHNSON		For	For
	3 JOHN STENBIT		For	For
2.	RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS VIASAT'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management	For	For
3.	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Management	For	For
4.	AMENDMENT AND RESTATEMENT OF THE EMPLOYEE STOCK PURCHASE PLAN	Management	For	For
5.	AMENDMENT AND RESTATEMENT OF THE 1996 EQUITY PARTICIPATION PLAN	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	149	37,500	28-Jul-2015	17-Sep-2015
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	21,542	47,700	28-Jul-2015	17-Sep-2015
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	16,135	142,300	28-Jul-2015	17-Sep-2015
BANK OF AMERICA CORPORATION						
Security	060505104			Meeting Type	Special	

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Ticker Symbol	BAC	Meeting Date	22-Sep-2015
ISIN	US0605051046	Agenda	934269172 - Management
Record Date	10-Aug-2015	Holding Recon Date	10-Aug-2015
City / Country	/ United States	Vote Deadline Date	21-Sep-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
01	RESOLVED, THAT THE BANK OF AMERICA CORPORATION STOCKHOLDERS HEREBY RATIFY THE OCTOBER 1, 2014 AMENDMENTS TO THE COMPANY'S BYLAWS THAT PERMIT THE COMPANY'S BOARD OF DIRECTORS THE DISCRETION TO DETERMINE THE BOARD'S LEADERSHIP STRUCTURE, INCLUDING APPOINTING AN INDEPENDENT CHAIRMAN, OR APPOINTING A LEAD INDEPENDENT DIRECTOR WHEN THE CHAIRMAN IS NOT AN INDEPENDENT DIRECTOR.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	285,191	75,300	14-Aug-2015	23-Sep-2015
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	388,605	85,000	14-Aug-2015	23-Sep-2015
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	1,601,710	40,000	14-Aug-2015	23-Sep-2015



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997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	33,500	0	14-Aug-2015	23-Sep-2015
997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	189,300	0	14-Aug-2015	23-Sep-2015

LIBERTY BROADBAND CORPORATION

Security	530307107	Meeting Type	Special
Ticker Symbol	LBRDA	Meeting Date	23-Sep-2015
ISIN	US5303071071	Agenda	934269425 - Management
Record Date	06-Aug-2015	Holding Recon Date	06-Aug-2015
City / Country	/ United States	Vote Deadline Date	22-Sep-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	A PROPOSAL (THE "SHARE ISSUANCE PROPOSAL") TO APPROVE THE ISSUANCE OF SHARES OF LIBERTY BROADBAND CORPORATION'S SERIES C COMMON STOCK PURSUANT TO THE TERMS OF CERTAIN AMENDED AND RESTATED INVESTMENT AGREEMENTS ENTERED INTO BY LIBERTY BROADBAND CORPORATION WITH VARIOUS INVESTORS AND AN AMENDED AND RESTATED ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
2.	A PROPOSAL TO AUTHORIZE THE ADJOURNMENT OF THE SPECIAL MEETING BY LIBERTY BROADBAND	Management	For	For

CORPORATION TO PERMIT  
FURTHER SOLICITATION  
OF PROXIES, IF  
NECESSARY OR  
APPROPRIATE, IF  
SUFFICIENT VOTES ARE  
NOT REPRESENTED AT  
THE SPECIAL MEETING TO  
APPROVE THE SHARE  
ISSUANCE PROPOSAL.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	7,303	5,225	15-Aug-2015	24-Sep-2015
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	11,767	10,325	15-Aug-2015	24-Sep-2015
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	29,739	22,275	15-Aug-2015	24-Sep-2015

DON QUIJOTE HOLDINGS CO.,LTD.

Security	J1235L108	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	25-Sep-2015
ISIN	JP3639650005	Agenda	706411749 - Management
Record Date	30-Jun-2015	Holding Recon Date	30-Jun-2015
City / Country	TOKYO / Japan	Vote Deadline Date	09-Sep-2015
SEDOL(s)	5767753 - 6269861 - B021NZ6	Quick Code	75320

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1	Approve Appropriation of Surplus	Management	For	For

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2	Appoint a Director Yoshimura, Yasunori	Management	For	For
3.1	Appoint a Corporate Auditor Wada, Shoji	Management	For	For
3.2	Appoint a Corporate Auditor Hongo, Yoshihiro	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	7,000	0	09-Sep-2015	24-Sep-2015
AL28	AL28	STATE STREET BANK	11,500	0	09-Sep-2015	24-Sep-2015
AL29	AL29	STATE STREET BANK	29,000	0	09-Sep-2015	24-Sep-2015

ALIBABA GROUP HOLDING LIMITED

Security	01609W102	Meeting Type	Annual
Ticker Symbol	BABA	Meeting Date	08-Oct-2015
ISIN	US01609W1027	Agenda	934278359 - Management
Record Date	13-Aug-2015	Holding Recon Date	13-Aug-2015
City / Country	/ United States	Vote Deadline Date	02-Oct-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JOSEPH C. TSAI (TO SERVE FOR A THREE YEAR TERM OR UNTIL SUCH DIRECTOR'S SUCCESSOR IS ELECTED OR APPOINTED AND DULY QUALIFIED)	Management	For	For
1B.	ELECTION OF DIRECTOR: JONATHAN ZHAOXI LU (TO SERVE FOR A THREE YEAR TERM OR UNTIL	Management	For	For

SUCH DIRECTOR'S  
SUCCESSOR IS ELECTED  
OR APPOINTED AND DULY  
QUALIFIED)

ELECTION OF DIRECTOR:

J. MICHAEL EVANS (TO  
SERVE FOR A THREE

1C.

YEAR TERM OR UNTIL  
SUCH DIRECTOR'S  
SUCCESSOR IS ELECTED  
OR APPOINTED AND DULY  
QUALIFIED)

Management

For

For

ELECTION OF DIRECTOR:

BORJE E. EKHOLM (TO  
SERVE FOR A THREE

1D.

YEAR TERM OR UNTIL  
SUCH DIRECTOR'S  
SUCCESSOR IS ELECTED  
OR APPOINTED AND DULY  
QUALIFIED)

Management

For

For

ELECTION OF DIRECTOR:  
WAN LING MARTELLO (TO  
SERVE UNTIL THE  
COMPANY'S NEXT  
ANNUAL GENERAL

1E.

MEETING OF  
SHAREHOLDERS OR  
UNTIL SUCH DIRECTOR'S  
SUCCESSOR IS ELECTED  
OR APPOINTED AND DULY  
QUALIFIED)

Management

For

For

RATIFY THE  
APPOINTMENT OF  
PRICEWATERHOUSECOOPERS  
AS THE INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM OF  
THE COMPANY.

2.

Management

For

For

Account  
Number

Account Name

Internal  
Custodian  
Account

Ballot  
Shares

Unavailable  
Shares

Vote Date

Date  
Confirmed

997AL27

GLV

STATE  
STREET  
BANK &  
TRUST CO

997AL27

11,300

0

10-Sep-2015

06-Oct-2015

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997AL28	GLQ	997AL28	STATE STREET BANK & TRUST CO	18,800	0	10-Sep-2015	06-Oct-2015
997AL29	GLO	997AL29	STATE STREET BANK & TRUST CO	6,983	0	10-Sep-2015	06-Oct-2015
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	39,617	0	10-Sep-2015	06-Oct-2015

CHINA RAILWAY CONSTRUCTION CORPORATION LTD

Security	Y1508P110	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	29-Oct-2015
ISIN	CNE100000981	Agenda	706482596 - Management
Record Date	25-Sep-2015	Holding Recon Date	25-Sep-2015
City / Country	BEIJING / China	Vote Deadline Date	16-Oct-2015
SEDOL(s)	B2PFVH7 - B2Q4J02 - B2RJ1K0 - BP3RSN1	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID: 524391 DUE TO ADDITION OF- RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU.	Non-Voting		
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING O-N THE URL LINKS: HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW	Non-Voting		

S/SEHK/2015/1013/LTN-20151013201.PDF

AND

HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW

S/SEHK/2015/1013/-LTN20151013181.PDF

THE PROPOSAL IN  
RELATION TO REWARD  
SCHEME FOR  
OUTSTANDING  
CONTRIBUTIONS IN  
ECONOMIC OUTPUT IN  
THE YEAR 2014 BY  
MANAGEMENT TEAM  
MEMBERS OF THE  
COMPANY

1	Management	For	For
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THE PROPOSAL IN  
RELATION TO THE  
INCREASE OF THE  
ISSUANCE SIZE OF  
DOMESTIC AND  
OVERSEAS BONDS BY THE  
COMPANY "THAT: (1) AN  
AUTHORIZATION  
GRANTED TO THE  
COMPANY FOR THE  
ADDITIONAL ISSUANCE  
OF DOMESTIC AND  
OVERSEAS BONDS IN  
ACCORDANCE WITH THE  
FOLLOWING MAJOR  
TERMS: (A) THE  
ADDITIONAL ISSUANCE  
OF DOMESTIC AND  
OVERSEAS BONDS OF  
PRINCIPAL AMOUNT NOT  
MORE THAN RMB30  
BILLION (OR EQUIVALENT  
AMOUNT IN RMB) IN  
DOMESTIC AND  
OVERSEAS BOND  
MARKETS, INCLUDING  
BUT NOT LIMITED TO  
CORPORATE BONDS,  
OFFSHORE USD BONDS, A  
SHARE OR H SHARE  
CONVERTIBLE BONDS,  
CAN BE ISSUED EITHER  
ONE-OFF OR IN TRanches  
WITHIN THE DEFINITE  
VALIDITY PERIOD AS

2	Management	For	For
---	------------	-----	-----

STATED IN ITEM (J) OF  
THIS RESOLUTION  
BELOW; (B) IF  
CONVERTIBLE BONDS  
ARE TO BE ISSUED, THE  
SIZE OF EACH SINGLE  
ISSUANCE SHALL NOT  
EXCEED USD 1 BILLION  
(OR EQUIVALENT  
AMOUNT IN RMB) IN  
PRINCIPAL AMOUNT, AND  
UPON THE REQUEST OF  
SHARE CONVERSION  
APPLIED BY HOLDERS OF  
CONVERTIBLE BONDS,  
THE CONVERTED NEW A  
OR H SHARES MAY BE  
ISSUED UNDER THE  
RELEVANT GENERAL  
MANDATE CONSIDERED  
AND APPROVED

AT THE COMPANY'S  
SHAREHOLDERS'  
MEETING; (C) THE  
CURRENCY OF ISSUANCE  
SHALL BE DETERMINED  
BASED ON THE REVIEW  
AND APPROVAL RESULTS  
OF BOND ISSUANCE AND  
THE DOMESTIC AND  
OVERSEAS BOND  
MARKET CONDITIONS AT  
THE TIME OF THE BOND  
ISSUANCE, WHICH MAY  
BE RMB BONDS OR  
FOREIGN CURRENCY  
BONDS; (D) THE METHOD  
OF ISSUANCE SHALL BE  
DETERMINED BASED ON  
THE REVIEW AND  
RESULTS OF BOND  
ISSUANCE APPROVAL  
AND THE DOMESTIC AND  
OVERSEAS BOND  
MARKET CONDITIONS AT  
THE TIME OF THE BOND  
ISSUANCE; (E) THE TERM  
AND INTEREST RATE OF  
ISSUANCE SHALL BE  
DETERMINED BASED ON

THE DOMESTIC AND OVERSEAS BOND MARKET CONDITIONS AT THE TIME OF THE BOND ISSUANCE; (F) THE USE OF PROCEEDS FROM THE DOMESTIC AND OVERSEAS BOND ISSUANCE WILL BE PRINCIPALLY USED FOR DOMESTIC AND OVERSEAS PROJECTS INVESTMENT, MERGER AND ACQUISITION, CAPITAL CONTRIBUTION AND REPLENISHMENT OF WORKING CAPITAL FOR DOMESTIC AND OVERSEAS CONSTRUCTION PROJECTS, AS WELL AS REPLENISHMENT OF CASH FLOW OF THE COMPANY AND REPAYMENT OF BANK LOANS; (G) THE ISSUER IS THE COMPANY OR A DOMESTIC OR OVERSEAS WHOLLY-OWNED SUBSIDIARY OF THE COMPANY; (H) IF THE ISSUER IS A DOMESTIC OR OVERSEAS WHOLLYOWNED SUBSIDIARY OF THE COMPANY, THE COMPANY MAY PROVIDE CORRESPONDING GUARANTEE WHERE NECESSARY; (I) THE DOMESTIC AND OVERSEAS BONDS TO BE ISSUED ARE PROPOSED TO BE LISTED ON THE SHANGHAI STOCK EXCHANGE, THE HONG KONG STOCK EXCHANGE OR OTHER DOMESTIC OR FOREIGN EXCHANGES; (J) THE RESOLUTION IN



RELATION TO THE  
DOMESTIC AND  
OVERSEAS BOND  
ISSUANCE SHALL BE  
VALID WITHIN 48  
MONTHS AFTER THE  
DATE OF THE PASSING OF  
THE RESOLUTION AT THE  
COMPANY'S  
SHAREHOLDERS'  
MEETING (2) ACCORDING  
TO THE REQUIREMENTS  
OF THE ARTICLES OF  
ASSOCIATION AND  
RELEVANT REGULATORY  
DOCUMENTS, THE  
COMPANY'S  
SHAREHOLDERS'  
MEETING IS PROPOSED TO  
AUTHORIZE THE BOARD  
AND THE BOARD TO  
DELEGATE TO THE  
CHAIRMAN OF THE  
BOARD AND THE  
PERSONS AUTHORIZED  
BY THE CHAIRMAN OF  
THE BOARD, IN  
ACCORDANCE WITH THE  
RELEVANT LAWS AND  
REGULATIONS AND THE  
OPINIONS AND  
SUGGESTIONS OF THE  
REGULATORY  
AUTHORITIES AS WELL AS  
IN THE BEST INTEREST OF  
THE COMPANY, TO  
DETERMINE IN THEIR  
ABSOLUTE DISCRETION  
AND DEAL WITH ALL  
MATTERS IN RESPECT OF  
THE DOMESTIC AND  
OVERSEAS BOND  
(INCLUDING BUT NOT  
LIMITED TO  
CONVERTIBLE BONDS)  
ISSUANCE WITHIN THE  
  
VALIDITY OF THE  
RESOLUTION, INCLUDING  
BUT NOT LIMITED TO: (A)  
DETERMINING AND

IMPLEMENTING THE  
SPECIFIC PROPOSAL OF  
THE DOMESTIC AND  
OVERSEAS BOND  
ISSUANCE BASED ON THE  
SPECIFIC SITUATION,  
INCLUDING BUT NOT  
LIMITED TO THE  
ESTABLISHMENT AND  
DETERMINATION OF THE  
APPROPRIATE ISSUER,  
THE TIMING OF THE  
ISSUANCE, THE TYPE OF  
THE BONDS TO BE ISSUED,  
THE METHOD OF THE  
ISSUANCE, CURRENCY,  
THE NOMINAL VALUE OF  
THE BONDS, THE ISSUE  
PRICE, THE CONVERSION  
PRICE, THE SIZE OF THE  
ISSUANCE, THE MARKETS  
FOR ISSUANCE, THE TERM  
OF THE ISSUANCE, THE  
NUMBER OF TRANCHES,  
INTEREST RATE OF THE  
ISSUANCE, USE OF  
PROCEEDS, GUARANTEES,  
LISTING OF THE BONDS  
AND ALL MATTERS IN  
RESPECT OF THE  
DOMESTIC AND  
OVERSEAS BOND  
ISSUANCE PROPOSAL; (B)  
OTHER MATTERS IN  
RELATION TO THE  
DOMESTIC AND  
OVERSEAS BOND  
ISSUANCE, INCLUDING  
BUT NOT LIMITED TO  
ENGAGING RATING  
AGENCIES, RATING  
ADVISORS, BOND  
TRUSTEE(S) AND  
AGENT(S),  
UNDERWRITER(S) AND  
OTHER INTERMEDIARIES,  
DEALING WITH THE  
MATTERS WITH  
APPROVING AUTHORITIES  
FOR THE APPLICATION OF

THE DOMESTIC AND  
OVERSEAS BOND  
ISSUANCE, INCLUDING  
BUT NOT LIMITED TO  
DEALING WITH THE BOND  
ISSUANCE, REPORTING,  
TRADING AND LISTING  
ISSUES, EXECUTING  
NECESSARY  
AGREEMENTS AND LEGAL  
DOCUMENTS (INCLUDING  
UNDERWRITING  
AGREEMENTS, SECURITY  
AGREEMENTS, BOND  
INDENTURES, AGENCY  
AGREEMENTS, OFFERING  
MEMORANDA OF THE  
BONDS, REPORTING AND  
LISTING DOCUMENTS FOR  
THE BOND ISSUANCE,  
AND OTHER RELEVANT  
AGREEMENTS AND  
DOCUMENTS); (C)  
ACCORDING TO  
RELEVANT LAWS AND  
REGULATIONS, OPINIONS  
AND SUGGESTIONS OF  
REGULATORY  
AUTHORITIES, AND  
ACTUAL SITUATION, TO  
PREPARE, REVISE AND  
SUBMIT RELEVANT  
APPLICATIONS AND  
FILING MATERIALS, AND  
TO HANDLE THE  
MATTERS OF  
INFORMATION  
DISCLOSURE IN  
RELATION TO THE  
DOMESTIC AND  
OVERSEAS BOND  
ISSUANCE ACCORDING TO  
THE REQUIREMENTS OF  
THE REGULATORY  
AUTHORITIES; (D) TO  
REVISE THE DETAILS OF  
THE PROPOSAL FOR THE  
ISSUANCE OF THE  
DOMESTIC AND  
OVERSEAS BONDS IN THE

EVENT THAT THERE ARE  
CHANGES IN THE  
APPLICABLE LAWS AND  
REGULATIONS, OTHER  
REGULATORY  
DOCUMENTS AND  
POLICIES RELATING TO  
THE ISSUANCE OF  
DOMESTIC AND  
OVERSEAS BONDS BY THE  
REGULATORY  
AUTHORITIES OR  
CHANGES IN PREVAILING  
MARKET CONDITIONS,  
EXCEPT FOR

THOSE REVISIONS THAT  
REQUIRE RE-APPROVAL  
AT THE SHAREHOLDERS'  
MEETING PURSUANT TO  
THE RELEVANT LAWS,  
REGULATIONS AND THE  
ARTICLES OF  
ASSOCIATION; (E) TO  
DEAL WITH OTHER  
MATTERS IN RELATION  
TO THE DOMESTIC AND  
OVERSEAS BOND  
ISSUANCE"

THE PROPOSAL IN  
RELATION TO REWARD  
SCHEME FOR  
OUTSTANDING  
CONTRIBUTIONS IN  
ECONOMIC OUTPUT IN  
THE YEAR 2014 BY THE  
CHAIRMAN OF THE  
SUPERVISORY  
COMMITTEE

3		Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	541,000	0	14-Oct-2015	23-Oct-2015
AL28	AL28	STATE STREET BANK	903,000	0	14-Oct-2015	23-Oct-2015

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AL29	AL29	STATE STREET BANK	2,236,000	0	14-Oct-2015	23-Oct-2015
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PERRIGO COMPANY PLC

Security	G97822103	Meeting Type	Annual
Ticker Symbol	PRGO	Meeting Date	04-Nov-2015
ISIN	IE00BGH1M568	Agenda	934280924 - Management
Record Date	08-Sep-2015	Holding Recon Date	08-Sep-2015
City / Country	/ United States	Vote Deadline Date	02-Nov-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LAURIE BRLAS	Management	For	For
1B.	ELECTION OF DIRECTOR: GARY M. COHEN	Management	For	For
1C.	ELECTION OF DIRECTOR: MARC COUCKE	Management	For	For
1D.	ELECTION OF DIRECTOR: JACQUALYN A. FOUSE	Management	For	For
1E.	ELECTION OF DIRECTOR: ELLEN R. HOFFING	Management	For	For
1F.	ELECTION OF DIRECTOR: MICHAEL J. JANDERNOA	Management	For	For
1G.	ELECTION OF DIRECTOR: GERARD K. KUNKLE, JR.	Management	For	For
1H.	ELECTION OF DIRECTOR: HERMAN MORRIS, JR.	Management	For	For
1I.	ELECTION OF DIRECTOR: DONAL O'CONNOR	Management	For	For
1J.	ELECTION OF DIRECTOR: JOSEPH C. PAPA	Management	For	For
1K.	ELECTION OF DIRECTOR: SHLOMO YANAI	Management	For	For
2.	RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR	Management	For	For

INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
THE PERIOD ENDING  
DECEMBER 31, 2015, AND  
AUTHORIZE THE BOARD  
OF DIRECTORS, ACTING  
THROUGH THE AUDIT  
COMMITTEE, TO FIX THE  
REMUNERATION OF THE  
AUDITORS.

3. AN ADVISORY VOTE TO  
APPROVE THE  
COMPANY'S EXECUTIVE  
COMPENSATION. Management For For

4. AUTHORIZE PERRIGO  
COMPANY PLC AND/OR  
ANY SUBSIDIARY OF  
PERRIGO COMPANY PLC  
TO MAKE MARKET  
PURCHASES OF PERRIGO  
COMPANY PLC'S  
ORDINARY SHARES. Management For For

5. DETERMINE THE REISSUE  
PRICE RANGE FOR  
PERRIGO COMPANY PLC  
TREASURY SHARES. Management For For

6. APPROVE AMENDMENTS  
TO THE MEMORANDUM  
OF ASSOCIATION OF THE  
COMPANY. Management For For

7. ADOPT REVISED  
ARTICLES OF  
ASSOCIATION OF THE  
COMPANY. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	1,850	0	26-Sep-2015	04-Nov-2015
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	4,610	0	26-Sep-2015	04-Nov-2015

TRUST CO

997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	150	10,500	26-Sep-2015	04-Nov-2015
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	114	26,600	26-Sep-2015	04-Nov-2015

LAM RESEARCH CORPORATION

Security	512807108	Meeting Type	Annual
Ticker Symbol	LRCX	Meeting Date	04-Nov-2015
ISIN	US5128071082	Agenda	934281914 - Management
Record Date	08-Sep-2015	Holding Recon Date	08-Sep-2015
City / Country	/ United States	Vote Deadline Date	03-Nov-2015

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MARTIN B. ANSTICE		For	For
	2 ERIC K. BRANDT		For	For
	3 MICHAEL R. CANNON		For	For
	4 YOUSSEF A. EL-MANSY		For	For
	5 CHRISTINE A. HECKART		For	For
	6 CATHERINE P. LEGO		For	For
	7 STEPHEN G. NEWBERRY		For	For
	8 KRISHNA C. SARASWAT		For	For
	9 ABHIJIT Y. TALWALKAR		For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE	Management	For	For

NAMED EXECUTIVE  
OFFICERS OF LAM  
RESEARCH, OR "SAY ON  
PAY."

APPROVAL OF THE LAM

3. 2004 EXECUTIVE  
INCENTIVE PLAN, AS Management For For  
AMENDED AND  
RESTATED.

APPROVAL OF THE  
ADOPTION OF THE LAM  
2015 STOCK INCENTIVE  
PLAN.

4. Management For For

RATIFICATION OF THE  
APPOINTMENT OF THE  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
FISCAL YEAR 2016.

5. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	7,000	0	22-Sep-2015	05-Nov-201
997AL28	GLQ	STATE STREET BANK & TRUST CO	11,600	0	22-Sep-2015	05-Nov-201
997AL29	GLO	STATE STREET BANK & TRUST CO	29,000	0	22-Sep-2015	05-Nov-201
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	1,300	20,000	22-Sep-2015	05-Nov-201
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	13,900	28,000	22-Sep-2015	05-Nov-201
997AL49	GLO BNP PLEDGE	STATE STREET	2,100	86,000	22-Sep-2015	05-Nov-201



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BANK &  
TRUST CO

LIBERTY ALL-STAR EQUITY FUND

Security	530158104	Meeting Type	Special
Ticker Symbol	USA	Meeting Date	19-Nov-2015
ISIN	US5301581048	Agenda	934287916 - Management
Record Date	16-Sep-2015	Holding Recon Date	16-Sep-2015
City / Country	/ United States	Vote Deadline Date	18-Nov-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE A NEW PORTFOLIO MANAGEMENT AGREEMENT AMONG THE FUND, ALPS ADVISORS, INC. ("AAI" OR THE "FUND MANAGER") AND DELAWARE INVESTMENTS FUND ADVISERS, A SERIES OF DELAWARE BUSINESS MANAGEMENT TRUST ("DELAWARE INVESTMENTS" OR THE "PORTFOLIO MANAGER").	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	11,000	0	09-Oct-2015	20-Nov-2015
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	180,000	0	09-Oct-2015	20-Nov-2015

MICROSOFT CORPORATION

Security	594918104	Meeting Type	Annual
Ticker Symbol	MSFT	Meeting Date	02-Dec-2015

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ISIN	US5949181045	Agenda	934290329 - Management
Record Date	02-Oct-2015	Holding Recon Date	02-Oct-2015
City / Country	/ United States	Vote Deadline Date	01-Dec-2015

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: WILLIAM H. GATES III	Management	For	For
1B.	ELECTION OF DIRECTOR: TERI L. LIST-STOLL	Management	For	For
1C.	ELECTION OF DIRECTOR: G. MASON MORFIT	Management	For	For
1D.	ELECTION OF DIRECTOR: SATYA NADELLA	Management	For	For
1E.	ELECTION OF DIRECTOR: CHARLES H. NOSKI	Management	For	For
1F.	ELECTION OF DIRECTOR: HELMUT PANKE	Management	For	For
1G.	ELECTION OF DIRECTOR: SANDRA E. PETERSON	Management	For	For
1H.	ELECTION OF DIRECTOR: CHARLES W. SCHARF	Management	For	For
1I.	ELECTION OF DIRECTOR: JOHN W. STANTON	Management	For	For
1J.	ELECTION OF DIRECTOR: JOHN W. THOMPSON	Management	For	For
1K.	ELECTION OF DIRECTOR: PADMASREE WARRIOR	Management	For	For
2.	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Management	For	For
3.	RATIFICATION OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT AUDITOR FOR FISCAL YEAR 2016	Management	For	For

Account Name

Custodian

Vote Date

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Account Number		Internal Account	Ballot Shares	Unavailable Shares		Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	21,400	0	20-Oct-2015	03-Dec-2015
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	39,900	0	20-Oct-2015	03-Dec-2015
997AL53	GLO MS PLEDGE	997AL53 STATE STREET BANK & TRUST CO	48,400	0	20-Oct-2015	03-Dec-2015
997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	35,700	0	20-Oct-2015	03-Dec-2015

CIGNA CORPORATION

Security	125509109	Meeting Type	Special
Ticker Symbol	CI	Meeting Date	03-Dec-2015
ISIN	US1255091092	Agenda	934297044 - Management
Record Date	22-Oct-2015	Holding Recon Date	22-Oct-2015
City / Country	/ United States	Vote Deadline Date	02-Dec-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	ADOPTION OF THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JULY 23, 2015 (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT"), AMONG ANTHEM, INC., AN INDIANA CORPORATION ("ANTHEM"), ANTHEM MERGER SUB CORP., A DELAWARE CORPORATION ("MERGER SUB"), AND CIGNA CORPORATION, A	Management	For	For

DELAWARE  
CORPORATION ("CIGNA").

APPROVAL ON AN  
ADVISORY  
(NON-BINDING) BASIS OF  
THE COMPENSATION  
THAT MAY BE PAID OR  
BECOME PAYABLE TO  
CIGNA'S NAMED  
EXECUTIVE OFFICERS IN  
CONNECTION WITH THE  
COMPLETION OF THE  
MERGER.

2. Management For For

ADJOURNMENT OF THE  
CIGNA SPECIAL MEETING,  
IF NECESSARY OR  
APPROPRIATE, TO SOLICIT  
ADDITIONAL PROXIES IF  
THERE ARE NOT  
SUFFICIENT VOTES TO  
ADOPT THE MERGER  
AGREEMENT.

3. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	8,800	0	04-Nov-2015	04-Dec-2015
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	6,600	0	04-Nov-2015	04-Dec-2015
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	42,600	0	04-Nov-2015	04-Dec-2015
997AL53	GLO MS PLEDGE	STATE STREET BANK & TRUST CO 997AL53	2,000	0	04-Nov-2015	04-Dec-2015
997AL60	GLV MS PLEDGE	STATE STREET BANK & TRUST CO 997AL60	2,000	0	04-Nov-2015	04-Dec-2015

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997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	11,400	0	04-Nov-2015	04-Dec-2015
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COMCAST CORPORATION

Security	20030N101	Meeting Type	Special
Ticker Symbol	CMCSA	Meeting Date	10-Dec-2015
ISIN	US20030N1019	Agenda	934300132 - Management
Record Date	20-Oct-2015	Holding Recon Date	20-Oct-2015
City / Country	/ United States	Vote Deadline Date	09-Dec-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	VOTE ON A PROPOSAL TO AMEND AND RESTATE OUR AMENDED AND RESTATED ARTICLES OF INCORPORATION AS DESCRIBED IN THE ACCOMPANYING PROXY STATEMENT, AND IN CONNECTION THEREWITH, TO RECLASSIFY EACH ISSUED SHARE OF OUR CLASS A SPECIAL COMMON STOCK INTO ONE SHARE OF CLASS A COMMON STOCK	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	60,500	11-Nov-2015 11-Dec-2015
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	1,500	65,000	11-Nov-2015 11-Dec-2015
997AL49		997AL49		17,800	175,000	11-Nov-2015 11-Dec-2015

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	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO				
997AL53	GLO MS PLEDGE	997AL53 STATE STREET BANK & TRUST CO	93,400	0	11-Nov-2015	11-Dec-2015
997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	8,200	0	11-Nov-2015	11-Dec-2015
997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	48,100	0	11-Nov-2015	11-Dec-2015

PING AN INSURANCE (GROUP) COMPANY OF CHINA LTD, BE

Security	Y69790106	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	17-Dec-2015
ISIN	CNE1000003X6	Agenda	706580138 - Management
Record Date	16-Nov-2015	Holding Recon Date	16-Nov-2015
City / Country	GUANGDONG / China	Vote Deadline Date	03-Dec-2015
SEDOL(s)	B01FLR7 - B01NLS2 - B03NP99 - BP3RWZ1	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 552105 DUE TO ADDITION OF- RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU.	Non-Voting		

CMMT	01 DEC 2015: PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE-BY CLICKING ON THE URL LINKS:-			
	Non-Voting <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/1127/ltm20151127923.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/1127/ltm20151127923.pdf</a> , - <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/1127/ltm20151127915.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/1127/ltm20151127915.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/1030/ltm20151030756.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/1030/ltm20151030756.pdf</a>			
1	TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LIU CHONG AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY TO HOLD OFFICE UNTIL THE EXPIRY OF THE TERM OF THE 10TH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY	Management	For	For
2	TO CONSIDER AND APPROVE THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY	Management	For	For
3	TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. XIONG PEIJIN AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY TO HOLD OFFICE UNTIL THE EXPIRY OF THE TERM OF THE 10TH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY	Management	For	For
CMMT	01 DEC 2015: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE-TEXT OF COMMENT. IF YOU HAVE ALREADY SENT IN YOUR			
	Non-Voting			

VOTES FOR MID:  
566957,-PLEASE DO NOT  
VOTE AGAIN UNLESS YOU  
DECIDE TO AMEND YOUR  
ORIGINAL-INSTRUCTIONS.  
THANK YOU.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	126,000	0	28-Nov-2015	14-Dec-2015
AL28	AL28	STATE STREET BANK	211,000	0	28-Nov-2015	14-Dec-2015
AL29	AL29	STATE STREET BANK	521,000	0	28-Nov-2015	14-Dec-2015

AIR CHINA LTD

Security	Y002A6104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	22-Dec-2015
ISIN	CNE1000001S0	Agenda	706590519 - Management
Record Date	20-Nov-2015	Holding Recon Date	20-Nov-2015
City / Country	BEIJING / China	Vote Deadline Date	11-Dec-2015
SEDOL(s)	B04KNF1 - B04V2F7 - B04YG10 - B0584Q2 - BP3RR78	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 554575 DUE TO RECEIPT OF- ADDITIONAL RESOLUTION 6. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE- DISREGARDED AND	Non-Voting		



YOU WILL NEED TO  
REINSTRUCT ON THIS  
MEETING NOTICE.  
THANK- YOU.

PLEASE NOTE THAT THE  
COMPANY NOTICE AND  
PROXY FORM ARE  
AVAILABLE BY  
CLICKING-ON THE URL

CMMT LINKS:-  
Non-Voting  
<http://www.hkexnews.hk/listedco/listconews/sehk/2015/1106/LTN20151106600.pdf>, -  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1207/LTN20151207801.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2015/1207/LTN20151207795.pdf>

CMMT PLEASE NOTE IN THE  
HONG KONG MARKET  
THAT A VOTE OF Non-Voting  
"ABSTAIN" WILL BE  
TREATED-THE SAME AS A  
"TAKE NO ACTION" VOTE

1	<p>TO CONSIDER AND APPROVE THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY AS SET OUT IN APPENDIX I OF THE CIRCULAR DESPATCHED BY THE COMPANY ON 7 NOVEMBER 2015 AND THE CHAIRMAN AND/OR ANY PERSON AUTHORISED BY THE CHAIRMAN BE AUTHORISED TO ADJUST, AT HIS OR HER DISCRETION, THE SAID AMENDMENTS IN ACCORDANCE WITH THE OPINION OF THE RELEVANT PRC AUTHORITIES (THE PROPOSED AMENDMENT TO THE ARTICLES OF ASSOCIATION WILL BE SUBMITTED TO THE RELEVANT PRC</p>	Management	For	For
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AUTHORITIES FOR  
APPROVAL AND FILING  
AFTER BEING APPROVED  
AT THE MEETING)

TO CONSIDER AND  
APPROVE THE PROPOSED  
AMENDMENTS TO THE  
RULES AND PROCEDURE  
OF SHAREHOLDERS'

2	MEETINGS OF THE COMPANY AS SET OUT IN APPENDIX II OF THE CIRCULAR DESPATCHED BY THE COMPANY ON 7 NOVEMBER 2015	Management	For	For
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TO CONSIDER AND  
APPROVE THE PROPOSED  
AMENDMENTS TO THE  
RULES AND PROCEDURE  
OF MEETINGS OF THE  
BOARD OF DIRECTORS OF  
THE COMPANY AS SET  
OUT IN APPENDIX III OF  
THE CIRCULAR  
DESPATCHED BY THE  
COMPANY ON 7  
NOVEMBER 2015

3	BOARD OF DIRECTORS OF THE COMPANY AS SET OUT IN APPENDIX III OF THE CIRCULAR DESPATCHED BY THE COMPANY ON 7 NOVEMBER 2015	Management	For	For
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TO CONSIDER AND  
APPROVE THE PROPOSED  
ADOPTION OF THE  
SHAREHOLDERS' RETURN  
PLAN FOR THE THREE  
YEARS FROM 2015 TO 2017  
AS SET OUT IN APPENDIX  
IV OF THE CIRCULAR  
DESPATCHED BY THE  
COMPANY ON 7  
NOVEMBER 2015

4	YEARS FROM 2015 TO 2017 AS SET OUT IN APPENDIX IV OF THE CIRCULAR DESPATCHED BY THE COMPANY ON 7 NOVEMBER 2015	Management	For	For
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5	TO CONSIDER AND APPROVE THE RESOLUTIONS CONCERNING THE ENTRY INTO CONTINUING CONNECTED TRANSACTION AGREEMENTS FOR THE THREE YEARS FROM 1 JANUARY 2016 TO 31	Management	For	For
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DECEMBER 2018 AND  
THEIR RESPECTIVE  
ANNUAL CAPS

TO CONSIDER AND  
APPROVE THE  
APPOINTMENT OF MR. LI  
DAJIN AS AN  
INDEPENDENT NON-  
EXECUTIVE DIRECTOR OF  
THE COMPANY

6 Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	558,000	0	08-Dec-2015	17-Dec-2015
AL28	AL28	STATE STREET BANK	934,000	0	08-Dec-2015	17-Dec-2015
AL29	AL29	STATE STREET BANK	2,304,000	0	08-Dec-2015	17-Dec-2015

MYLAN N.V.

Security	N59465109	Meeting Type	Special
Ticker Symbol	MYL	Meeting Date	07-Jan-2016
ISIN	NL0011031208	Agenda	934313393 - Management
Record Date	10-Dec-2015	Holding Recon Date	10-Dec-2015
City / Country	/ United States	Vote Deadline Date	06-Jan-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	PROPOSED RESOLUTION TO REDEEM ALL ISSUED PREFERRED SHARES, PAR VALUE 0.01 EURO PER SHARE, IN THE CAPITAL OF MYLAN N.V.	Management	For	For

Account Name Custodian Vote Date

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Account Number		Internal Account	Ballot Shares	Unavailable Shares		Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	6,800	0	16-Dec-2015	08-Jan-2016
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	11,500	0	16-Dec-2015	08-Jan-2016
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	28,200	0	16-Dec-2015	08-Jan-2016

D.R. HORTON, INC.

Security	23331A109	Meeting Type	Annual
Ticker Symbol	DHI	Meeting Date	21-Jan-2016
ISIN	US23331A1097	Agenda	934312707 - Management
Record Date	30-Nov-2015	Holding Recon Date	30-Nov-2015
City / Country	/ United States	Vote Deadline Date	20-Jan-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: DONALD R. HORTON	Management	For	For
1B.	ELECTION OF DIRECTOR: BARBARA K. ALLEN	Management	For	For
1C.	ELECTION OF DIRECTOR: BRAD S. ANDERSON	Management	For	For
1D.	ELECTION OF DIRECTOR: MICHAEL R. BUCHANAN	Management	For	For
1E.	ELECTION OF DIRECTOR: MICHAEL W. HEWATT	Management	For	For
2.	ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
3.	RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS	Management	For	For

LLP AS OUR  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	146,926	0	15-Dec-2015	22-Jan-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	58,621	187,600	15-Dec-2015	22-Jan-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	155,562	457,900	15-Dec-2015	22-Jan-2016

#### AIR CHINA LTD

Security	Y002A6104	Meeting Type	Class Meeting
Ticker Symbol		Meeting Date	26-Jan-2016
ISIN	CNE1000001S0	Agenda	706596725 - Management
Record Date	24-Dec-2015	Holding Recon Date	24-Dec-2015
City / Country	BEIJING / China	Vote Deadline Date	13-Jan-2016
SEDOL(s)	B04KNF1 - B04V2F7 - B04YG10 - B0584Q2 - BP3RR78	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111110.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111110.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/1">http://www.hkexnews.hk/listedco/listconews/sehk/2015/1</a>	Non-Voting		

211/LTN201512111102.pdf

CMMT	PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE	Non-Voting		
	TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: CLASS OF SHARES AND NOMINAL VALUE	Management	For	For
1.1				
1.2	TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: METHOD AND TIMING OF ISSUE	Management	For	For
1.3	TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: OFFERING SIZE	Management	For	For
1.4	TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: TARGET SUBSCRIBER AND SUBSCRIPTION METHOD	Management	For	For
1.5	TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: PRICING BASIS AND ISSUE PRICE	Management	For	For
1.6	TO CONSIDER AND APPROVE EACH OF THE	Management	For	For

FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY: LOCK- UP  
PERIOD

TO CONSIDER AND  
APPROVE EACH OF THE  
FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY:  
ACCUMULATED PROFIT  
ARRANGEMENT

1.7	Management	For	For
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TO CONSIDER AND  
APPROVE EACH OF THE  
FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY: PLACE OF  
LISTING

1.8	Management	For	For
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TO CONSIDER AND  
APPROVE EACH OF THE  
FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY:  
EFFECTIVENESS OF THE  
RESOLUTION APPROVING  
THE NON-PUBLIC A  
SHARE ISSUE

1.9	Management	For	For
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TO CONSIDER AND  
APPROVE EACH OF THE  
FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY: AMOUNT  
AND USE OF PROCEEDS

1.10	Management	For	For
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TO CONSIDER AND  
APPROVE THE SHARE  
SUBSCRIPTION  
AGREEMENT ENTERED  
INTO BY AND BETWEEN  
THE COMPANY AND  
CNAHC AND THE  
TRANSACTIONS  
CONTEMPLATED  
THEREUNDER

2	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	558,000	0	12-Dec-2015	21-Jan-2016
AL28	AL28	STATE STREET BANK	934,000	0	12-Dec-2015	21-Jan-2016
AL29	AL29	STATE STREET BANK	2,304,000	0	12-Dec-2015	21-Jan-2016

AIR CHINA LTD

Security	Y002A6104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	26-Jan-2016
ISIN	CNE1000001S0	Agenda	706596737 - Management
Record Date	24-Dec-2015	Holding Recon Date	24-Dec-2015
City / Country	BEIJING / China	Vote Deadline Date	13-Jan-2016
SEDOL(s)	B04KNF1 - B04V2F7 - B04YG10 - B0584Q2 - BP3RR78	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111108.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111108.pdf</a> - <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111096.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111096.pdf</a>	Non-Voting		
CMMT	PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A	Non-Voting		



"TAKE NO ACTION" VOTE

1	TO CONSIDER AND APPROVE THE SATISFACTION BY THE COMPANY OF THE REQUIREMENTS FOR THE NON-PUBLIC A SHARE ISSUE	Management	For	For
2	TO CONSIDER AND APPROVE THE FEASIBILITY STUDY REPORT ON USE OF PROCEEDS TO BE RAISED FROM THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY	Management	For	For
3	TO CONSIDER AND APPROVE THE REPORT ON USE OF PROCEEDS FROM PREVIOUS FUND RAISING ACTIVITIES OF THE COMPANY	Management	For	For
4.1	TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: CLASS OF SHARES AND NOMINAL VALUE	Management	For	For
4.2	TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: METHOD AND TIMING OF ISSUE	Management	For	For
4.3	TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: OFFERING SIZE	Management	For	For
4.4	TO CONSIDER AND APPROVE EACH OF THE	Management	For	For

FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY: TARGET  
SUBSCRIBER AND  
SUBSCRIPTION METHOD

TO CONSIDER AND  
APPROVE EACH OF THE  
FOLLOWING PROPOSALS

4.5	CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: PRICING BASIS AND ISSUE PRICE	Management	For	For
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TO CONSIDER AND  
APPROVE EACH OF THE  
FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY: LOCK- UP  
PERIOD

4.6	CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: LOCK- UP PERIOD	Management	For	For
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TO CONSIDER AND  
APPROVE EACH OF THE  
FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY:  
ACCUMULATED PROFIT  
ARRANGEMENT

4.7	CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: ACCUMULATED PROFIT ARRANGEMENT	Management	For	For
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TO CONSIDER AND  
APPROVE EACH OF THE  
FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY: PLACE OF  
LISTING

4.8	CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: PLACE OF LISTING	Management	For	For
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TO CONSIDER AND  
APPROVE EACH OF THE  
FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY:  
EFFECTIVENESS OF THE  
RESOLUTION APPROVING  
THE NON-PUBLIC A  
SHARE ISSUE

4.9	CONCERNING THE NON- PUBLIC A SHARE ISSUE OF THE COMPANY: EFFECTIVENESS OF THE RESOLUTION APPROVING THE NON-PUBLIC A SHARE ISSUE	Management	For	For
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4.10	TO CONSIDER AND APPROVE EACH OF THE	Management	For	For
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FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY: AMOUNT  
AND USE OF PROCEEDS

5	TO CONSIDER AND APPROVE THE SHARE SUBSCRIPTION AGREEMENT ENTERED INTO BY AND BETWEEN THE COMPANY AND CNAHC AND THE TRANSACTIONS CONTEMPLATED THEREUNDER	Management	For	For
6	TO CONSIDER AND APPROVE THE AUTHORISATION GRANTED TO THE BOARD'S AUTHORIZED PERSONS(S) TO HANDLE ALL RELEVANT MATTERS RELATING TO THE NON-PUBLIC A SHARE ISSUE	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	558,000	0	12-Dec-2015	21-Jan-2016
AL28	AL28	STATE STREET BANK	934,000	0	12-Dec-2015	21-Jan-2016
AL29	AL29	STATE STREET BANK	2,304,000	0	12-Dec-2015	21-Jan-2016

SINOPHARM GROUP CO LTD, SHANGHAI

Security	Y8008N107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	29-Jan-2016
ISIN	CNE100000FN7	Agenda	706637266 - Management
Record Date	30-Oct-2015	Holding Recon Date	30-Oct-2015

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City / Country	SHANGHAI / China	Vote Deadline Date	20-Jan-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 566922 DUE TO ADDITIONAL-OF RESOLUTIONS. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK-YOU.	Non-Voting		
CMMT	15 JAN 2016: PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN"-WILL BE TREATED THE SAME AS A "TAKE NO ACTION" VOTE.	Non-Voting		
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2016/0114/LTN20160114346.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2016/0114/LTN20160114346.pdf</a> - <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2016/0114/LTN20160114328.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2016/0114/LTN20160114328.pdf</a>	Non-Voting		
1	TO CONSIDER AND APPROVE THE AMENDMENTS TO THE RULES OF PROCEDURES OF THE BOARD OF DIRECTORS	Management	For	For
2	TO CONSIDER AND, IF THOUGHT FIT, TO	Management	For	For

APPROVE THE  
APPOINTMENT OF MR.  
LIAN WANYONG AS A  
NON-EXECUTIVE  
DIRECTOR OF THE  
COMPANY, TO  
AUTHORISE THE BOARD  
OF DIRECTORS OF THE  
COMPANY TO DETERMINE  
HIS REMUNERATION, AND  
TO AUTHORISE ANY  
EXECUTIVE DIRECTOR OF  
THE COMPANY TO  
EXECUTE A SERVICE  
CONTRACT OR SUCH  
OTHER DOCUMENTS OR  
SUPPLEMENTAL  
AGREEMENTS OR DEEDS  
ON BEHALF OF THE  
COMPANY

TO CONSIDER AND, IF  
THOUGHT FIT, TO  
APPROVE THE  
APPOINTMENT OF Ms. LI  
XIAOJUAN AS A  
SHAREHOLDER  
REPRESENTATIVE  
SUPERVISOR OF THE  
COMPANY, TO  
AUTHORISE THE  
SUPERVISORY  
COMMITTEE OF THE

3	COMPANY TO DETERMINE HER REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE COMPANY	Management	For	For
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4	TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE AMENDMENTS TO THE ARTICLES OF	Management	For	For
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ASSOCIATION OF THE  
COMPANY

15 JAN 2016: PLEASE NOTE  
THAT THIS IS A REVISION  
DUE TO MODIFICATION  
OF THE-TEXT OF  
COMMENT. IF YOU HAVE  
ALREADY SENT IN YOUR  
VOTES FOR MID:  
581322,-PLEASE DO NOT  
VOTE AGAIN UNLESS YOU  
DECIDE TO AMEND YOUR  
ORIGINAL-INSTRUCTIONS.  
THANK YOU.

CMMT

Non-Voting

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	194,400	0	15-Jan-2016	25-Jan-2016
AL28	AL28	STATE STREET BANK	322,000	0	15-Jan-2016	25-Jan-2016
AL29	AL29	STATE STREET BANK	794,400	0	15-Jan-2016	25-Jan-2016

## GOLUB CAPITAL BDC, INC.

Security	38173M102	Meeting Type	Annual
Ticker Symbol	GBDC	Meeting Date	02-Feb-2016
ISIN	US38173M1027	Agenda	934313204 - Management
Record Date	10-Dec-2015	Holding Recon Date	10-Dec-2015
City / Country	/ United States	Vote Deadline Date	01-Feb-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF CLASS III DIRECTOR: LAWRENCE E. GOLUB	Management	For	For
1.2	ELECTION OF CLASS III DIRECTOR: WILLIAM M.	Management	For	For

WEBSTER IV

TO RATIFY THE  
SELECTION OF ERNST &  
YOUNG LLP TO SERVE AS  
THE COMPANY'S  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
THE FISCAL YEAR ENDING  
SEPTEMBER 30, 2016.

2. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	63,100	0	05-Feb-2016	03-Feb-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	133,200	0	05-Feb-2016	03-Feb-2016
997AL53	GLO MS PLEDGE	997AL53 STATE STREET BANK & TRUST CO	87,700	0	05-Feb-2016	03-Feb-2016

LAM RESEARCH CORPORATION

Security	512807108	Meeting Type	Special
Ticker Symbol	LRCX	Meeting Date	19-Feb-2016
ISIN	US5128071082	Agenda	934322075 - Management
Record Date	07-Jan-2016	Holding Recon Date	07-Jan-2016
City / Country	/ United States	Vote Deadline Date	18-Feb-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	APPROVAL OF THE ISSUANCE OF SHARES OF LAM RESEARCH COMMON STOCK TO KLA-TENCOR STOCKHOLDERS PURSUANT TO THE	Management	For	For

MERGER AGREEMENT.

ADJOURNMENT OF THE  
SPECIAL MEETING, IF  
NECESSARY AND

- |    |   |            |     |     |
|----|---|------------|-----|-----|
| 2. | APPROPRIATE, TO SOLICIT<br>ADDITIONAL PROXIES IF<br>THERE ARE NOT<br>SUFFICIENT VOTES TO<br>APPROVE PROPOSAL 1. | Management | For | For |
|----|---|------------|-----|-----|

APPROVAL OF THE  
AMENDMENT TO THE  
LAM RESEARCH  
CERTIFICATE OF  
INCORPORATION, AS  
AMENDED, TO INCREASE  
THE NUMBER OF

- |    |   |            |     |     |
|----|---|------------|-----|-----|
| 3. | AUTHORIZED SHARES OF<br>LAM RESEARCH STOCK<br>FROM 405,000,000 TO<br>590,000,000 AND THE<br>NUMBER OF AUTHORIZED<br>SHARES OF LAM<br>RESEARCH COMMON<br>STOCK FROM 400,000,000<br>TO 585,000,000. | Management | For | For |
|----|---|------------|-----|-----|

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	11,100	19,100	20-Jan-2016	22-Feb-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	20,700	28,000	20-Jan-2016	22-Feb-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	54,700	71,000	20-Jan-2016	22-Feb-2016
997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	6,600	0	20-Jan-2016	22-Feb-2016

HOLOGIC, INC.



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Security	436440101	Meeting Type	Annual
Ticker Symbol	HOLX	Meeting Date	02-Mar-2016
ISIN	US4364401012	Agenda	934320704 - Management
Record Date	08-Jan-2016	Holding Recon Date	08-Jan-2016
City / Country	/ United States	Vote Deadline Date	01-Mar-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JONATHAN CHRISTODORO		For	For
	2 SALLY W. CRAWFORD		For	For
	3 SCOTT T. GARRETT		For	For
	4 NANCY L. LEAMING		For	For
	5 LAWRENCE M. LEVY		For	For
	6 STEPHEN P. MACMILLAN		For	For
	7 SAMUEL MERKSAMER		For	For
	8 CHRISTIANA STAMOULIS		For	For
	9 ELAINE S. ULLIAN		For	For
	10 CHRISTOPHER J. COUGHLIN		For	For
2.	A NON-BINDING ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
3.	AMENDMENT TO THE HOLOGIC, INC. 2012 EMPLOYEE STOCK PURCHASE PLAN.	Management	For	For
4.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT	Management	For	For

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REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
FISCAL 2016.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	4,100	0	21-Jan-2016	03-Mar-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	6,800	0	21-Jan-2016	03-Mar-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	17,000	0	21-Jan-2016	03-Mar-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	200	19,800	21-Jan-2016	03-Mar-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	3,900	29,400	21-Jan-2016	03-Mar-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	4,900	78,200	21-Jan-2016	03-Mar-2016

TRANSDIGM GROUP INCORPORATED

Security	893641100	Meeting Type	Annual
Ticker Symbol	TDG	Meeting Date	02-Mar-2016
ISIN	US8936411003	Agenda	934322796 - Management
Record Date	04-Jan-2016	Holding Recon Date	04-Jan-2016
City / Country	/ United States	Vote Deadline Date	01-Mar-2016
SEDOL(s)		Quick Code	
Item	Proposal	Proposed by	Vote For/Against Management

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- |    |  |            |     |     |
|----|--|------------|-----|-----|
| 1. | DIRECTOR   | Management |     |     |
|    | 1 WILLIAM DRIES  |            | For | For |
|    | 2 MERVIN DUNN  |            | For | For |
|    | 3 MICHAEL GRAFF  |            | For | For |
|    | 4 W. NICHOLAS<br>HOWLEY  |            | For | For |
|    | 5 RAYMOND<br>LAUBENTHAL  |            | For | For |
|    | 6 ROBERT SMALL   |            | For | For |
| 2. | TO APPROVE (IN AN<br>ADVISORY VOTE)<br>COMPENSATION PAID TO<br>THE COMPANY'S NAMED<br>EXECUTIVE OFFICERS   | Management | For | For |
| 3. | TO RATIFY THE<br>SELECTION OF ERNST &<br>YOUNG LLP AS THE<br>COMPANY'S<br>INDEPENDENT<br>ACCOUNTANTS FOR THE<br>FISCAL YEAR ENDING<br>SEPTEMBER 30, 2016 | Management | For | For |

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	11,400	0	22-Jan-2016	03-Mar-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	18,900	0	22-Jan-2016	03-Mar-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	46,706	0	22-Jan-2016	03-Mar-2016

BLACKROCK LIQUIDITY FUNDS

Security	09248U718	Meeting Type	Special
Ticker Symbol	TSTXX	Meeting Date	07-Mar-2016

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ISIN	US09248U7182	Agenda	934328306 - Management
Record Date	11-Dec-2015	Holding Recon Date	11-Dec-2015
City / Country	/ United States	Vote Deadline Date	04-Mar-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DAVID O. BEIM		For	For
	2 SUSAN J. CARTER		For	For
	3 COLLETTE CHILTON		For	For
	4 NEIL A. COTTY		For	For
	5 MATINA S. HORNER		For	For
	6 RODNEY D. JOHNSON		For	For
	7 CYNTHIA A. MONTGOMERY		For	For
	8 JOSEPH P. PLATT		For	For
	9 ROBERT C. ROBB, JR.		For	For
	10 MARK STALNECKER		For	For
	11 KENNETH L. URISH		For	For
	12 CLAIRE A. WALTON		For	For
	13 FREDERICK W. WINTER		For	For
	14 BARBARA G. NOVICK		For	For
	15 JOHN M. PERLOWSKI		For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	20,645,429	0	13-Feb-2016	08-Mar-2016

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997AL28	GLQ	997AL28	STATE STREET BANK & TRUST CO	51,676,164	0	13-Feb-2016	08-Mar-2016
997AL29	GLO	997AL29	STATE STREET BANK & TRUST CO	110,316,708	0	13-Feb-2016	08-Mar-2016

SINOPHARM GROUP CO LTD, SHANGHAI

Security	Y8008N107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	08-Mar-2016
ISIN	CNE100000FN7	Agenda	706648500 - Management
Record Date	05-Feb-2016	Holding Recon Date	05-Feb-2016
City / Country	SHANGHAI / China	Vote Deadline Date	23-Feb-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- [HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONES/SEHK/2016/0122/LTN20160122259.PDF-AND-HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONES/SEHK/2016/0122/LTN20160122255.PDF]	Non-Voting		
CMMT	PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE	Non-Voting		
1	TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF MR.	Management	For	For

ZHUO FUMIN AS AN  
INDEPENDENT  
NON-EXECUTIVE  
DIRECTOR OF THE  
COMPANY, TO  
AUTHORISE THE BOARD  
OF DIRECTORS OF THE  
COMPANY TO DETERMINE  
HIS REMUNERATION, AND  
TO AUTHORISE ANY  
EXECUTIVE DIRECTOR OF  
THE COMPANY TO  
EXECUTE A SERVICE  
CONTRACT OR SUCH  
OTHER DOCUMENTS OR  
SUPPLEMENTAL  
AGREEMENTS OR DEEDS  
ON BEHALF OF THE  
COMPANY

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	142,400	0	23-Jan-2016	02-Mar-2016
AL28	AL28	STATE STREET BANK	236,800	0	23-Jan-2016	02-Mar-2016
AL29	AL29	STATE STREET BANK	583,600	0	23-Jan-2016	02-Mar-2016

STARBUCKS CORPORATION

Security	855244109	Meeting Type	Annual
Ticker Symbol	SBUX	Meeting Date	23-Mar-2016
ISIN	US8552441094	Agenda	934322861 - Management
Record Date	14-Jan-2016	Holding Recon Date	14-Jan-2016
City / Country	/ United States	Vote Deadline Date	22-Mar-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.		Management	For	For

ELECTION OF DIRECTOR:  
HOWARD SCHULTZ

1B.	ELECTION OF DIRECTOR: WILLIAM W. BRADLEY	Management	For	For
1C.	ELECTION OF DIRECTOR: MARY N. DILLON	Management	For	For
1D.	ELECTION OF DIRECTOR: ROBERT M. GATES	Management	For	For
1E.	ELECTION OF DIRECTOR: MELLODY HOBSON	Management	For	For
1F.	ELECTION OF DIRECTOR: KEVIN R. JOHNSON	Management	For	For
1G.	ELECTION OF DIRECTOR: JOSHUA COOPER RAMO	Management	For	For
1H.	ELECTION OF DIRECTOR: JAMES G. SHENNAN, JR.	Management	For	For
1I.	ELECTION OF DIRECTOR: CLARA SHIH	Management	For	For
1J.	ELECTION OF DIRECTOR: JAVIER G. TERUEL	Management	For	For
1K.	ELECTION OF DIRECTOR: MYRON E. ULLMAN, III	Management	For	For
1L.	ELECTION OF DIRECTOR: CRAIG E. WEATHERUP	Management	For	For
2.	ADVISORY RESOLUTION TO APPROVE OUR EXECUTIVE COMPENSATION.	Management	For	For
3.	APPROVE AMENDMENT AND RESTATEMENT OF OUR EXECUTIVE MANAGEMENT BONUS PLAN.	Management	For	For
4.	RATIFICATION OF SELECTION OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2016.	Management	For	For
5.	ADOPT PROXY ACCESS	Shareholder	Against	For

BYLAW.

6. REVIEW POLICIES  
RELATED TO HUMAN RIGHTS.

Shareholder

Against For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	300	42,400	26-Jan-2016	24-Mar-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	2,300	68,700	26-Jan-2016	24-Mar-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	3,300	175,300	26-Jan-2016	24-Mar-2016

GW PHARMACEUTICALS PLC

Security	36197T103	Meeting Type	Annual
Ticker Symbol	GWPH	Meeting Date	23-Mar-2016
ISIN	US36197T1034	Agenda	934331618 - Management
Record Date	16-Feb-2016	Holding Recon Date	16-Feb-2016
City / Country	/ United States	Vote Deadline Date	15-Mar-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
O1	TO RECEIVE, CONSIDER AND ADOPT THE DIRECTORS' AND AUDITORS' REPORTS AND STATEMENT OF ACCOUNTS FOR THE YEAR ENDED 30 SEPTEMBER 2015 AND NOTE THAT THE DIRECTORS DO NOT RECOMMEND PAYMENT OF A DIVIDEND	Management	For	For



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O2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
O3	TO RE-ELECT ADAM GEORGE AS A DIRECTOR	Management	For	For
O4	TO RE-ELECT JAMES NOBLE AS A DIRECTOR	Management	For	For
O5	TO RE-ELECT CHRISTOPHER TOVEY AS A DIRECTOR	Management	For	For
O6	TO RE-ELECT JULIAN GANGOLLI AS A DIRECTOR	Management	For	For
O7	TO APPOINT DELOITTE LLP AS AUDITOR	Management	For	For
O8	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
O9	TO AUTHORISE AN INCREASE IN THE AGGREGATE ANNUAL LIMIT ON THE FEES PAYABLE TO DIRECTORS TO 1,000,000 POUNDS	Management	For	For
S10	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 570 OF THE 2006 ACT AS IF SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	8,200	0	25-Feb-2016	17-Mar-2016
997AL47	GLQ BNP PLEDGE	STATE STREET	100	13,400	25-Feb-2016	17-Mar-2016

		BANK & TRUST CO				
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	3,800	30,100	25-Feb-2016	17-Mar-2016

RAKUTEN,INC.

Security	J64264104	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	30-Mar-2016
ISIN	JP3967200001	Agenda	706754353 - Management
Record Date	31-Dec-2015	Holding Recon Date	31-Dec-2015
City / Country	TOKYO / Japan	Vote Deadline Date	14-Mar-2016
SEDOL(s)	5987788 - 6229597 - B3BJLG9	Quick Code	47550

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1	Amend Articles to: Expand Business Lines	Management	For	For
2.1	Appoint a Director Mikitani, Hiroshi	Management	For	For
2.2	Appoint a Director Hosaka, Masayuki	Management	For	For
2.3	Appoint a Director Charles B. Baxter	Management	For	For
2.4	Appoint a Director Kutaragi, Ken	Management	For	For
2.5	Appoint a Director Murai, Jun	Management	For	For
2.6	Appoint a Director Youngme Moon	Management	For	For
2.7	Appoint a Director Joshua G. James	Management	For	For
2.8	Appoint a Director Mitachi, Takashi	Management	For	For
3.1	Appoint a Corporate Auditor Yamaguchi, Katsuyuki	Management	For	For

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3.2	Appoint a Corporate Auditor Uchida, Takahide	Management	For	For
4	Approve Issuance of Share Acquisition Rights as Stock Options for Directors, Executive Officers and Employees of the Company, the Company's Subsidiaries and Affiliated Companies	Management	For	For
5	Approve Issuance of Share Acquisition Rights as Stock Options for Outside Directors of the Company, the Company's Subsidiaries and Affiliated Companies	Management	For	For
6	Approve Issuance of Share Acquisition Rights as Stock Options for Corporate Auditors of the Company, the Company's Subsidiaries and Affiliated Companies	Management	For	For
7	Approve Application of the Special Clauses to Issuance of Share Acquisition Rights as Stock Options for Residents of the State of California, U.S.A.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	70,900	0	11-Mar-2016	29-Mar-2016
AL28	AL28	STATE STREET BANK	118,100	0	11-Mar-2016	29-Mar-2016
AL29	AL29	STATE STREET BANK	293,500	0	11-Mar-2016	29-Mar-2016

ANTA SPORTS PRODUCTS LTD

Security	G04011105	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	06-Apr-2016
ISIN	KYG040111059	Agenda	706726897 - Management

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Record Date	31-Mar-2016	Holding Recon Date	31-Mar-2016
City / Country	HONG KONG / Cayman Islands	Vote Deadline Date	25-Mar-2016
SEDOL(s)	B1YVKN8 - B235FM2 - B2468S1 - BP3RRC3	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0303/LTN20160303800.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0303/LTN20160303800.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0303/LTN20160303784.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0303/LTN20160303784.pdf</a>	Non-Voting		
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING	Non-Voting		
1	TO RECEIVE AND CONSIDER THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY AND ITS SUBSIDIARIES AND THE REPORTS OF THE DIRECTORS AND THE AUDITOR OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2015	Management	For	For
2	TO DECLARE A FINAL DIVIDEND OF HK30 CENTS PER ORDINARY SHARE OF THE COMPANY IN RESPECT OF THE YEAR ENDED 31 DECEMBER 2015	Management	For	For
3		Management	For	For

TO DECLARE A SPECIAL  
DIVIDEND OF HK8 CENTS  
PER ORDINARY SHARE OF  
THE COMPANY IN  
RESPECT OF THE YEAR  
ENDED 31 DECEMBER 2015

4	TO RE-ELECT MR. DING SHIZHONG AS AN EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For
5	TO RE-ELECT MR. ZHENG JIE AS AN EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT MR. DAI ZHONGCHUAN AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For
7	TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THE REMUNERATION OF THE COMPANY'S DIRECTORS	Management	For	For
8	TO RE-APPOINT KPMG AS THE COMPANY'S AUDITOR AND TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION	Management	For	For
9	TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH THE COMPANY'S SHARES	Management	For	For
10	TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO REPURCHASE THE COMPANY'S SHARES	Management	For	For
11	TO EXTEND THE GENERAL	Management	For	For

MANDATE GRANTED TO  
THE DIRECTORS OF THE  
COMPANY UNDER  
RESOLUTION NO. 9 BY  
THE NUMBER OF SHARES  
REPURCHASED UNDER  
RESOLUTION NO. 10

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	320,000	0	05-Mar-2016	01-Apr-2016
AL28	AL28	STATE STREET BANK	522,000	0	05-Mar-2016	01-Apr-2016
AL29	AL29	STATE STREET BANK	1,317,000	0	05-Mar-2016	01-Apr-2016

LIBERTY MEDIA CORPORATION

Security	531229300	Meeting Type	Special
Ticker Symbol	LMCK	Meeting Date	11-Apr-2016
ISIN	US5312293005	Agenda	934332216 - Management
Record Date	22-Feb-2016	Holding Recon Date	22-Feb-2016
City / Country	/ United States	Vote Deadline Date	08-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	A PROPOSAL TO APPROVE THE ADOPTION OF AN AMENDMENT AND RESTATEMENT OF OUR CERTIFICATE OF INCORPORATION, AMONG OTHER THINGS, TO RECLASSIFY AND EXCHANGE OUR EXISTING COMMON STOCK BY EXCHANGING THE SHARES OF OUR EXISTING COMMON	Management	For	For

STOCK FOR NEWLY  
ISSUED SHARES OF THREE  
NEW TRACKING STOCKS,  
TO BE DESIGNATED THE  
LIBERTY SIRIUSXM  
COMMON STOCK, THE  
LIBERTY BRAVES  
COMMON STOCK AND  
THE LIBERTY MEDIA  
COMMON STOCK, AND TO  
PROVIDE FOR THE  
ATTRIBUTION OF THE  
BUSINESSES, ASSETS AND  
...(DUE TO SPACE LIMITS,  
SEE PROXY MATERIAL  
FOR FULL PROPOSAL)

A PROPOSAL TO APPROVE  
THE ADOPTION OF AN  
AMENDMENT AND  
RESTATEMENT OF OUR  
CERTIFICATE OF  
INCORPORATION, IN  
CONNECTION WITH THE  
RECLASSIFICATION AND  
EXCHANGE OF OUR  
EXISTING COMMON  
STOCK, AMONG OTHER  
THINGS, TO RECLASSIFY  
AND EXCHANGE EACH  
OUTSTANDING SHARE OF

2.	<p>OUR EXISTING SERIES A, SERIES B AND SERIES C COMMON STOCK BY EXCHANGING EACH SUCH SHARE FOR THE FOLLOWING UPON THE CANCELLATION THEREOF: ONE NEWLY ISSUED SHARE OF THE CORRESPONDING SERIES OF LIBERTY SIRIUSXM COMMON ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL)</p>	Management	For	For
----	--	------------	-----	-----

3.	<p>A PROPOSAL TO APPROVE THE ADOPTION OF AN AMENDMENT AND RESTATEMENT OF OUR</p>	Management	For	For
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CERTIFICATE OF  
INCORPORATION, IN  
CONNECTION WITH THE  
RECLASSIFICATION AND  
EXCHANGE OF OUR  
EXISTING COMMON  
STOCK, AMONG OTHER  
THINGS, TO PROVIDE THE  
BOARD OF DIRECTORS  
WITH DISCRETION TO  
CONVERT SHARES OF  
COMMON STOCK  
INTENDED TO TRACK THE  
PERFORMANCE OF ANY  
OF THE SIRIUSXM GROUP,  
THE BRAVES GROUP OR  
THE MEDIA GROUP INTO  
COMMON STOCK  
INTENDED TO TRACK THE  
PERFORMANCE OF ONE  
OF SUCH OTHER GROUPS.

A PROPOSAL TO APPROVE  
THE ADOPTION OF AN  
AMENDMENT AND  
RESTATEMENT OF OUR  
CERTIFICATE OF  
INCORPORATION, IN  
CONNECTION WITH THE  
RECLASSIFICATION AND  
EXCHANGE OF OUR  
EXISTING COMMON  
STOCK, AMONG OTHER  
THINGS, TO PROVIDE THE  
BOARD OF DIRECTORS  
WITH DISCRETION TO

- |    |   |            |     |     |
|----|---|------------|-----|-----|
| 4. | PERMIT THE SALE OF ALL<br>OR SUBSTANTIALLY ALL<br>OF THE ASSETS OF A<br>GROUP WITHOUT A VOTE<br>OF THE HOLDERS OF THE<br>STOCK OF THAT GROUP,<br>IF THE NET PROCEEDS OF<br>SUCH SALE ARE<br>DISTRIBUTED TO<br>HOLDERS OF THAT STOCK<br>BY MEANS OF A<br>DIVIDEND OR ...(DUE TO<br>SPACE LIMITS, SEE PROXY<br>MATERIAL FOR FULL<br>PROPOSAL) | Management | For | For |
|----|---|------------|-----|-----|



A PROPOSAL TO  
AUTHORIZE THE  
ADJOURNMENT OF THE  
SPECIAL MEETING BY  
LIBERTY MEDIA TO  
PERMIT FURTHER  
SOLICITATION OF  
PROXIES, IF NECESSARY  
OR APPROPRIATE, IF  
SUFFICIENT VOTES ARE  
NOT REPRESENTED AT  
THE SPECIAL MEETING TO  
APPROVE THE OTHER  
PROPOSALS TO BE  
PRESENTED AT THE  
SPECIAL MEETING.

5.

Management

For

For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	7,624	27,200	26-Feb-2016	12-Apr-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	5,436	62,600	26-Feb-2016	12-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	2,312	143,200	26-Feb-2016	12-Apr-2016

LIBERTY MEDIA CORPORATION

Security	531229102	Meeting Type	Special
Ticker Symbol	LMCA	Meeting Date	11-Apr-2016
ISIN	US5312291025	Agenda	934332216 - Management
Record Date	22-Feb-2016	Holding Recon Date	22-Feb-2016
City / Country	/ United States	Vote Deadline Date	08-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.		Management	For	For

A PROPOSAL TO APPROVE  
THE ADOPTION OF AN  
AMENDMENT AND  
RESTATEMENT OF OUR  
CERTIFICATE OF  
INCORPORATION, AMONG  
OTHER THINGS, TO  
RECLASSIFY AND  
EXCHANGE OUR  
EXISTING COMMON  
STOCK BY EXCHANGING  
THE SHARES OF OUR  
EXISTING COMMON  
STOCK FOR NEWLY  
ISSUED SHARES OF THREE  
NEW TRACKING STOCKS,  
TO BE DESIGNATED THE  
LIBERTY SIRIUSXM  
COMMON STOCK, THE  
LIBERTY BRAVES  
COMMON STOCK AND  
THE LIBERTY MEDIA  
COMMON STOCK, AND TO  
PROVIDE FOR THE  
ATTRIBUTION OF THE  
BUSINESSES, ASSETS AND  
...(DUE TO SPACE LIMITS,  
SEE PROXY MATERIAL  
FOR FULL PROPOSAL)

- |    |  |                   |            |            |
|----|--|-------------------|------------|------------|
| 2. | <p>A PROPOSAL TO APPROVE<br/>THE ADOPTION OF AN<br/>AMENDMENT AND<br/>RESTATEMENT OF OUR<br/>CERTIFICATE OF<br/>INCORPORATION, IN<br/>CONNECTION WITH THE<br/>RECLASSIFICATION AND<br/>EXCHANGE OF OUR<br/>EXISTING COMMON<br/>STOCK, AMONG OTHER<br/>THINGS, TO RECLASSIFY<br/>AND EXCHANGE EACH<br/>OUTSTANDING SHARE OF<br/>OUR EXISTING SERIES A,<br/>SERIES B AND SERIES C<br/>COMMON STOCK BY<br/>EXCHANGING EACH SUCH<br/>SHARE FOR THE<br/>FOLLOWING UPON THE<br/>CANCELLATION</p> | <p>Management</p> | <p>For</p> | <p>For</p> |
|----|--|-------------------|------------|------------|

THEREOF: ONE NEWLY  
ISSUED SHARE OF THE  
CORRESPONDING SERIES  
OF LIBERTY SIRIUSXM  
COMMON ...(DUE TO  
SPACE LIMITS, SEE PROXY  
MATERIAL FOR FULL  
PROPOSAL)

A PROPOSAL TO APPROVE  
THE ADOPTION OF AN  
AMENDMENT AND  
RESTATEMENT OF OUR  
CERTIFICATE OF  
INCORPORATION, IN  
CONNECTION WITH THE  
RECLASSIFICATION AND  
EXCHANGE OF OUR  
EXISTING COMMON  
STOCK, AMONG OTHER  
THINGS, TO PROVIDE THE  
BOARD OF DIRECTORS  
WITH DISCRETION TO  
CONVERT SHARES OF  
COMMON STOCK  
INTENDED TO TRACK THE  
PERFORMANCE OF ANY  
OF THE SIRIUSXM GROUP,  
THE BRAVES GROUP OR  
THE MEDIA GROUP INTO  
COMMON STOCK  
INTENDED TO TRACK THE  
PERFORMANCE OF ONE  
OF SUCH OTHER GROUPS.

3.	<p>BOARD OF DIRECTORS WITH DISCRETION TO CONVERT SHARES OF COMMON STOCK INTENDED TO TRACK THE PERFORMANCE OF ANY OF THE SIRIUSXM GROUP, THE BRAVES GROUP OR THE MEDIA GROUP INTO COMMON STOCK INTENDED TO TRACK THE PERFORMANCE OF ONE OF SUCH OTHER GROUPS.</p>	Management	For	For
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4.	<p>A PROPOSAL TO APPROVE THE ADOPTION OF AN AMENDMENT AND RESTATEMENT OF OUR CERTIFICATE OF INCORPORATION, IN CONNECTION WITH THE RECLASSIFICATION AND EXCHANGE OF OUR EXISTING COMMON STOCK, AMONG OTHER THINGS, TO PROVIDE THE BOARD OF DIRECTORS WITH DISCRETION TO PERMIT THE SALE OF ALL OR SUBSTANTIALLY ALL</p>	Management	For	For
----	--	------------	-----	-----

OF THE ASSETS OF A  
GROUP WITHOUT A VOTE  
OF THE HOLDERS OF THE  
STOCK OF THAT GROUP,  
IF THE NET PROCEEDS OF  
SUCH SALE ARE  
DISTRIBUTED TO  
HOLDERS OF THAT STOCK  
BY MEANS OF A  
DIVIDEND OR ...(DUE TO  
SPACE LIMITS, SEE PROXY  
MATERIAL FOR FULL  
PROPOSAL)

A PROPOSAL TO  
AUTHORIZE THE  
ADJOURNMENT OF THE  
SPECIAL MEETING BY  
LIBERTY MEDIA TO  
PERMIT FURTHER  
SOLICITATION OF  
PROXIES, IF NECESSARY  
OR APPROPRIATE, IF  
SUFFICIENT VOTES ARE  
NOT REPRESENTED AT  
THE SPECIAL MEETING TO  
APPROVE THE OTHER  
PROPOSALS TO BE  
PRESENTED AT THE  
SPECIAL MEETING.

5. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	9,212	20,900	26-Feb-2016	12-Apr-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	13,568	41,300	26-Feb-2016	12-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	6,656	118,800	26-Feb-2016	12-Apr-2016

LENNAR CORPORATION

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Security	526057104	Meeting Type	Annual
Ticker Symbol	LEN	Meeting Date	13-Apr-2016
ISIN	US5260571048	Agenda	934331048 - Management
Record Date	16-Feb-2016	Holding Recon Date	16-Feb-2016
City / Country	/ United States	Vote Deadline Date	12-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 IRVING BOLOTIN		For	For
	2 STEVEN L. GERARD		For	For
	3 THERON I. "TIG" GILLIAM		For	For
	4 SHERRILL W. HUDSON		For	For
	5 SIDNEY LAPIDUS		For	For
	6 TERI P. MCCLURE		For	For
	7 STUART A. MILLER		For	For
	8 ARMANDO OLIVERA		For	For
	9 JEFFREY SONNENFELD		For	For
2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS LENNAR'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2016.	Management	For	For
3.	APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF LENNAR'S NAMED EXECUTIVE OFFICERS.	Management	For	For
4.	APPROVAL OF THE LENNAR CORPORATION 2016 EQUITY INCENTIVE	Management	For	For

PLAN.

5.	APPROVAL OF THE LENNAR CORPORATION 2016 INCENTIVE COMPENSATION PLAN.	Management	For	For
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6.	APPROVAL OF A STOCKHOLDER PROPOSAL REGARDING OUR COMMON STOCK VOTING STRUCTURE, IF PROPERLY PRESENTED AT THE ANNUAL MEETING.	Shareholder	Against	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	100	78,900	03-Mar-2016	14-Apr-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	1,000	132,100	03-Mar-2016	14-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	106,300	225,000	03-Mar-2016	14-Apr-2016

BP P.L.C.

Security	055622104	Meeting Type	Annual
Ticker Symbol	BP	Meeting Date	14-Apr-2016
ISIN	US0556221044	Agenda	934333206 - Management
Record Date	19-Feb-2016	Holding Recon Date	19-Feb-2016
City / Country	/ United Kingdom	Vote Deadline Date	11-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO RECEIVE THE DIRECTORS' ANNUAL REPORT AND ACCOUNTS.	Management	For	For

2.	TO RECEIVE AND APPROVE THE DIRECTORS' REMUNERATION REPORT.	Management	For	For
3.	TO RE-ELECT MR R W DUDLEY AS A DIRECTOR.	Management	For	For
4.	TO RE-ELECT DR B GILVARY AS A DIRECTOR.	Management	For	For
5.	TO RE-ELECT MR P M ANDERSON AS A DIRECTOR.	Management	For	For
6.	TO RE-ELECT MR A BOECKMANN AS A DIRECTOR.	Management	For	For
7.	TO RE-ELECT ADMIRAL F L BOWMAN AS A DIRECTOR.	Management	For	For
8.	TO RE-ELECT MRS C B CARROLL AS A DIRECTOR.	Management	For	For
9.	TO RE-ELECT MR I E L DAVIS AS A DIRECTOR.	Management	For	For
10.	TO RE-ELECT PROFESSOR DAME ANN DOWLING AS A DIRECTOR.	Management	For	For
11.	TO RE-ELECT MR B R NELSON AS A DIRECTOR.	Management	For	For
12.	TO ELECT MRS P R REYNOLDS AS A DIRECTOR.	Management	For	For
13.	TO ELECT SIR JOHN SAWERS AS A DIRECTOR.	Management	For	For
14.	TO RE-ELECT MR A B SHILSTON AS A DIRECTOR.	Management	For	For
15.	TO RE-ELECT MR C-H SVANBERG AS A DIRECTOR.	Management	For	For
16.	TO REAPPOINT ERNST & YOUNG LLP AS AUDITORS AND TO AUTHORIZE THE DIRECTORS TO FIX THEIR REMUNERATION.	Management	For	For

17.	TO GIVE LIMITED AUTHORITY TO MAKE POLITICAL DONATIONS AND INCUR POLITICAL EXPENDITURE.	Management	For	For
18.	TO GIVE LIMITED AUTHORITY TO ALLOT SHARES UP TO A SPECIFIED AMOUNT.	Management	For	For
19.	SPECIAL RESOLUTION: TO GIVE AUTHORITY TO ALLOT A LIMITED NUMBER OF SHARES FOR CASH FREE OF PRE-EMPTION RIGHTS.	Management	For	For
20.	SPECIAL RESOLUTION: TO GIVE LIMITED AUTHORITY FOR THE PURCHASE OF ITS OWN SHARES BY THE COMPANY.	Management	For	For
21.	SPECIAL RESOLUTION: TO AUTHORIZE THE CALLING OF GENERAL MEETINGS (EXCLUDING ANNUAL GENERAL MEETINGS) BY NOTICE OF AT LEAST 14 CLEAR DAYS.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 BANK & TRUST CO	300	80,000	05-Mar-2016	13-Apr-2016
AMERICAN CAPITAL AGENCY CORP.						
Security	02503X105			Meeting Type	Annual	
Ticker Symbol	AGNC			Meeting Date	19-Apr-2016	
ISIN	US02503X1054			Agenda	934340047 - Management	
Record Date	25-Feb-2016			Holding Recon Date	25-Feb-2016	
City /	Country	/ United States		Vote Deadline Date	18-Apr-2016	



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SEDOL(s)			Quick Code	
Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF DIRECTOR: OMITTED	Management	For	For
1.2	ELECTION OF DIRECTOR: JOHN R. ERICKSON	Management	For	For
1.3	ELECTION OF DIRECTOR: SAMUEL A. FLAX	Management	For	For
1.4	ELECTION OF DIRECTOR: ALVIN N. PURYEAR	Management	For	For
1.5	ELECTION OF DIRECTOR: ROBERT M. COUCH	Management	For	For
1.6	ELECTION OF DIRECTOR: MORRIS A. DAVIS	Management	For	For
1.7	ELECTION OF DIRECTOR: RANDY E. DOBBS	Management	For	For
1.8	ELECTION OF DIRECTOR: LARRY K. HARVEY	Management	For	For
1.9	ELECTION OF DIRECTOR: PRUE B. LARocca	Management	For	For
2.	APPROVAL OF AN AMENDMENT TO THE AMERICAN CAPITAL AGENCY CORP. EQUITY INCENTIVE PLAN FOR INDEPENDENT DIRECTORS.	Management	For	For
3.	APPROVAL OF AN AMENDMENT TO OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO PROVIDE THAT OUR STOCKHOLDERS MAY REMOVE ANY DIRECTOR FROM OFFICE, WITH OR WITHOUT CAUSE.	Management	For	For
4.	RATIFICATION OF APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT PUBLIC ACCOUNTANT FOR THE	Management	For	For

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YEAR ENDING DECEMBER  
31, 2016.

5. ELECTION OF  
ADDITIONAL DIRECTOR - Management For For  
GARY KAIN

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	47,300	74,000	23-Mar-2016	20-Apr-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	33,400	155,000	23-Mar-2016	20-Apr-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	500	500,000	23-Mar-2016	20-Apr-2016
997AL61	GLQ MS PLEDGE	STATE STREET BANK & TRUST CO 997AL61	10,900	0	23-Mar-2016	20-Apr-2016

HUMANA INC.

Security	444859102	Meeting Type	Annual
Ticker Symbol	HUM	Meeting Date	21-Apr-2016
ISIN	US4448591028	Agenda	934335022 - Management
Record Date	29-Feb-2016	Holding Recon Date	29-Feb-2016
City / Country	/ United States	Vote Deadline Date	20-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A)	ELECTION OF DIRECTOR: KURT J. HILZINGER	Management	For	For
1B)	ELECTION OF DIRECTOR: BRUCE D. BROUSSARD	Management	For	For
1C)	ELECTION OF DIRECTOR: FRANK A. D'AMELIO	Management	For	For

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1D)	ELECTION OF DIRECTOR: W. ROY DUNBAR	Management	For	For
1E)	ELECTION OF DIRECTOR: DAVID A. JONES, JR.	Management	For	For
1F)	ELECTION OF DIRECTOR: WILLIAM J. MCDONALD	Management	For	For
1G)	ELECTION OF DIRECTOR: WILLIAM E. MITCHELL	Management	For	For
1H)	ELECTION OF DIRECTOR: DAVID B. NASH, M.D.	Management	For	For
1I)	ELECTION OF DIRECTOR: JAMES J. O'BRIEN	Management	For	For
1J)	ELECTION OF DIRECTOR: MARISSA T. PETERSON	Management	For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	THE APPROVAL OF THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE 2016 PROXY STATEMENT.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	2,700	0	10-Mar-2016	22-Apr-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	4,300	0	10-Mar-2016	22-Apr-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	10,900	0	10-Mar-2016	22-Apr-2016

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PERRIGO COMPANY PLC

Security	G97822103	Meeting Type	Annual
Ticker Symbol	PRGO	Meeting Date	26-Apr-2016
ISIN	IE00BGH1M568	Agenda	934339018 - Management
Record Date	26-Feb-2016	Holding Recon Date	26-Feb-2016
City / Country / United States		Vote Deadline Date	22-Apr-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LAURIE BRLAS	Management	For	For
1B.	ELECTION OF DIRECTOR: GARY M. COHEN	Management	For	For
1C.	ELECTION OF DIRECTOR: MARC COUCKE	Management	For	For
1D.	ELECTION OF DIRECTOR: ELLEN R. HOFFING	Management	For	For
1E.	ELECTION OF DIRECTOR: MICHAEL J. JANDERNOA	Management	For	For
1F.	ELECTION OF DIRECTOR: GERALD K. KUNKLE, JR.	Management	For	For
1G.	ELECTION OF DIRECTOR: HERMAN MORRIS, JR.	Management	For	For
1H.	ELECTION OF DIRECTOR: DONAL O'CONNOR	Management	For	For
1I.	ELECTION OF DIRECTOR: JOSEPH C. PAPA	Management	For	For
1J.	ELECTION OF DIRECTOR: SHLOMO YANAI	Management	For	For
2.	RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT AUDITOR FOR THE PERIOD ENDING DECEMBER 31, 2016, AND AUTHORIZE THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO FIX THE	Management	For	For

# REMUNERATION OF THE AUDITOR

3.	APPROVE IN AN ADVISORY VOTE THE COMPANY'S EXECUTIVE COMPENSATION	Management	For	For
4.	AUTHORIZE PERRIGO COMPANY PLC AND/OR ANY SUBSIDIARY TO MAKE MARKET PURCHASES OF PERRIGO COMPANY PLC'S ORDINARY SHARES	Management	For	For
5.	DETERMINE THE REISSUE PRICE RANGE FOR PERRIGO COMPANY PLC TREASURY SHARES	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	100	6,260	18-Mar-2016	26-Apr-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	100	10,450	18-Mar-2016	26-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	26,014	18-Mar-2016	26-Apr-2016

## CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	26-Apr-2016
ISIN	US1729674242	Agenda	934339183 - Management
Record Date	29-Feb-2016	Holding Recon Date	29-Feb-2016
City / Country	/ United States	Vote Deadline Date	25-Apr-2016
SEDOL(s)		Quick Code	
Item	Proposal	Proposed by	Vote

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				For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL L. CORBAT	Management	For	For
1B.	ELECTION OF DIRECTOR: ELLEN M. COSTELLO	Management	For	For
1C.	ELECTION OF DIRECTOR: DUNCAN P. HENNES	Management	For	For
1D.	ELECTION OF DIRECTOR: PETER B. HENRY	Management	For	For
1E.	ELECTION OF DIRECTOR: FRANZ B. HUMER	Management	For	For
1F.	ELECTION OF DIRECTOR: RENEE J. JAMES	Management	For	For
1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management	For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management	For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management	For	For
1J.	ELECTION OF DIRECTOR: JUDITH RODIN	Management	For	For
1K.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management	For	For
1L.	ELECTION OF DIRECTOR: JOAN E. SPERO	Management	For	For
1M.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management	For	For
1N.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management	For	For
1O.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management	For	For
1P.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management	For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S	Management	For	For

INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016.

- |     |   |             |         |     |
|-----|---|-------------|---------|-----|
| 3.  | ADVISORY APPROVAL OF<br>CITI'S 2015 EXECUTIVE<br>COMPENSATION.  | Management  | For     | For |
| 4.  | APPROVAL OF AN<br>AMENDMENT TO THE<br>CITIGROUP 2014 STOCK<br>INCENTIVE PLAN<br>AUTHORIZING<br>ADDITIONAL SHARES.           | Management  | For     | For |
| 5.  | APPROVAL OF THE<br>AMENDED AND<br>RESTATED 2011<br>CITIGROUP EXECUTIVE<br>PERFORMANCE PLAN.                                 | Management  | For     | For |
| 6.  | STOCKHOLDER PROPOSAL<br>REQUESTING A REPORT<br>DEMONSTRATING THE<br>COMPANY DOES NOT<br>HAVE A GENDER PAY<br>GAP.           | Shareholder | Against | For |
| 7.  | STOCKHOLDER PROPOSAL<br>REQUESTING A REPORT<br>ON LOBBYING AND<br>GRASSROOTS LOBBYING<br>CONTRIBUTIONS.                     | Shareholder | Against | For |
| 8.  | STOCKHOLDER PROPOSAL<br>REQUESTING THAT THE<br>BOARD APPOINT A<br>STOCKHOLDER VALUE<br>COMMITTEE.                           | Shareholder | Against | For |
| 9.  | STOCKHOLDER PROPOSAL<br>REQUESTING AN<br>AMENDMENT TO THE<br>GENERAL CLAWBACK<br>POLICY.                                    | Shareholder | Against | For |
| 10. | STOCKHOLDER PROPOSAL<br>REQUESTING THAT THE<br>BOARD ADOPT A POLICY<br>PROHIBITING THE<br>VESTING OF<br>EQUITY-BASED AWARDS | Shareholder | Against | For |

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FOR SENIOR EXECUTIVES  
DUE TO A VOLUNTARY  
RESIGNATION TO ENTER  
GOVERNMENT SERVICE.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	11,700	0	17-Mar-2016	27-Apr-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	19,100	0	17-Mar-2016	27-Apr-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	48,200	0	17-Mar-2016	27-Apr-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	1,030	96,600	17-Mar-2016	27-Apr-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	719	154,600	17-Mar-2016	27-Apr-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	27,825	386,000	17-Mar-2016	27-Apr-2016
997AL61	GLQ MS PLEDGE	STATE STREET BANK & TRUST CO 997AL61	8,700	0	17-Mar-2016	27-Apr-2016

WELLS FARGO & COMPANY

Security	949746101	Meeting Type	Annual
Ticker Symbol	WFC	Meeting Date	26-Apr-2016
ISIN	US9497461015	Agenda	934339830 - Management
Record Date	01-Mar-2016	Holding Recon Date	01-Mar-2016
City / Country	/ United	Vote Deadline Date	25-Apr-2016



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States			Quick Code	
SEDOL(s)				
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JOHN D. BAKER II	Management	For	For
1B.	ELECTION OF DIRECTOR: ELAINE L. CHAO	Management	For	For
1C.	ELECTION OF DIRECTOR: JOHN S. CHEN	Management	For	For
1D.	ELECTION OF DIRECTOR: LLOYD H. DEAN	Management	For	For
1E.	ELECTION OF DIRECTOR: ELIZABETH A. DUKE	Management	For	For
1F.	ELECTION OF DIRECTOR: SUSAN E. ENGEL	Management	For	For
1G.	ELECTION OF DIRECTOR: ENRIQUE HERNANDEZ, JR.	Management	For	For
1H.	ELECTION OF DIRECTOR: DONALD M. JAMES	Management	For	For
1I.	ELECTION OF DIRECTOR: CYNTHIA H. MILLIGAN	Management	For	For
1J.	ELECTION OF DIRECTOR: FEDERICO F. PENA	Management	For	For
1K.	ELECTION OF DIRECTOR: JAMES H. QUIGLEY	Management	For	For
1L.	ELECTION OF DIRECTOR: STEPHEN W. SANGER	Management	For	For
1M.	ELECTION OF DIRECTOR: JOHN G. STUMPF	Management	For	For
1N.	ELECTION OF DIRECTOR: SUSAN G. SWENSON	Management	For	For
1O.	ELECTION OF DIRECTOR: SUZANNE M. VAUTRINOT	Management	For	For
2.	VOTE ON AN ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
3.		Management	For	For

RATIFY THE  
APPOINTMENT OF KPMG  
LLP AS THE COMPANY'S  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016.

4. ADOPT A POLICY TO  
REQUIRE AN  
INDEPENDENT  
CHAIRMAN. Shareholder Against For

5. PROVIDE A REPORT ON  
THE COMPANY'S  
LOBBYING POLICIES AND  
PRACTICES. Shareholder Against For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	800	15,000	17-Mar-2016	27-Apr-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	900	25,000	17-Mar-2016	27-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	200	66,000	17-Mar-2016	27-Apr-2016

EOG RESOURCES, INC.

Security	26875P101	Meeting Type	Annual
Ticker Symbol	EOG	Meeting Date	26-Apr-2016
ISIN	US26875P1012	Agenda	934342267 - Management
Record Date	26-Feb-2016	Holding Recon Date	26-Feb-2016
City / Country	/ United States	Vote Deadline Date	25-Apr-2016

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.		Management	For	For

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ELECTION OF DIRECTOR:  
JANET F. CLARK

1B.	ELECTION OF DIRECTOR: CHARLES R. CRISP	Management	For	For
1C.	ELECTION OF DIRECTOR: JAMES C. DAY	Management	For	For
1D.	ELECTION OF DIRECTOR: H. LEIGHTON STEWARD	Management	For	For
1E.	ELECTION OF DIRECTOR: DONALD F. TEXTOR	Management	For	For
1F.	ELECTION OF DIRECTOR: WILLIAM R. THOMAS	Management	For	For
1G.	ELECTION OF DIRECTOR: FRANK G. WISNER	Management	For	For

TO RATIFY THE  
APPOINTMENT BY THE  
AUDIT COMMITTEE OF  
THE BOARD OF  
DIRECTORS OF DELOITTE  
& TOUCHE LLP,  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM, AS  
AUDITORS FOR THE  
COMPANY FOR THE YEAR  
ENDING DECEMBER 31,  
2016.

2.	INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM, AS AUDITORS FOR THE COMPANY FOR THE YEAR ENDING DECEMBER 31, 2016.	Management	For	For
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TO APPROVE, BY  
NON-BINDING VOTE, THE  
COMPENSATION OF THE  
COMPANY'S NAMED  
EXECUTIVE OFFICERS.

3.	COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	200	11,400	18-Mar-2016	27-Apr-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	300	19,000	18-Mar-2016	27-Apr-2016

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997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	300	48,100	18-Mar-2016	27-Apr-2016
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AMC ENTERTAINMENT HOLDINGS, INC.

Security	00165C104	Meeting Type	Annual
Ticker Symbol	AMC	Meeting Date	26-Apr-2016
ISIN	US00165C1045	Agenda	934357701 - Management
Record Date	29-Feb-2016	Holding Recon Date	29-Feb-2016
City / Country	/ United States	Vote Deadline Date	25-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MR. LIN (LINCOLN) ZHANG		For	For
	2 MR. ADAM ARON		For	For
	3 MR. JACK GAO		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.	Management	For	For
3.	SAY ON PAY - AN ADVISORY VOTE TO APPROVE THE COMPENSATION OF NAMED EXECUTIVE OFFICERS.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	5,400	33,200	26-Mar-2016	27-Apr-2016

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997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	44,300	20,000	26-Mar-2016	27-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	986	161,600	26-Mar-2016	27-Apr-2016

GALAPAGOS N V

Security	36315X101	Meeting Type	Annual
Ticker Symbol	GLPG	Meeting Date	26-Apr-2016
ISIN	US36315X1019	Agenda	934360900 - Management
Record Date	14-Mar-2016	Holding Recon Date	14-Mar-2016
City / Country	/ United States	Vote Deadline Date	15-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
2.	COMMUNICATION AND APPROVAL OF THE NON-CONSOLIDATED ANNUAL ACCOUNTS OF THE COMPANY FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2015 AND THE ALLOCATION OF THE ANNUAL RESULT AS PROPOSED BY THE BOARD OF DIRECTORS.	Management	For	
5.	COMMUNICATION AND APPROVAL OF THE REMUNERATION REPORT.	Management	For	
6.	RELEASE FROM LIABILITY TO BE GRANTED TO THE DIRECTORS AND THE STATUTORY AUDITOR FOR THE PERFORMANCE OF THEIR DUTIES IN THE COURSE OF THE FINANCIAL YEAR ENDED 31 DECEMBER 2015.	Management	For	
7.	REMUNERATION OF DIRECTORS.	Management	For	

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8.	OFFER OF WARRANTS.	Management	For
9.	APPLICATION OF ARTICLE 556 OF THE BELGIAN COMPANIES CODE.	Management	For
E2.	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 20% OF THE SHARE CAPITAL.	Management	For
E3.	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 50% OF THE SHARE CAPITAL, IN SPECIFIC CIRCUMSTANCES.	Management	For
E4.	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 100% OF THE SHARE CAPITAL, IN SPECIFIC CIRCUMSTANCES WITH UNANIMOUS CONSENT.	Management	For
E5.	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL AFTER NOTIFICATION OF A PUBLIC TAKEOVER BID.	Management	For

Account Number	Account Name	Internal Account Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK &	4,500	0	29-Mar-2016	19-Apr-2016

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TRUST CO

997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	7,500	0	29-Mar-2016	19-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	18,700	0	29-Mar-2016	19-Apr-2016

CIGNA CORPORATION

Security	125509109	Meeting Type	Annual
Ticker Symbol	CI	Meeting Date	27-Apr-2016
ISIN	US1255091092	Agenda	934341520 - Management
Record Date	29-Feb-2016	Holding Recon Date	29-Feb-2016
City / Country	/ United States	Vote Deadline Date	26-Apr-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF DIRECTOR: DAVID M. CORDANI	Management	For	For
1.2	ELECTION OF DIRECTOR: ISAIAH HARRIS, JR.	Management	For	For
1.3	ELECTION OF DIRECTOR: JANE E. HENNEY, M.D.	Management	For	For
1.4	ELECTION OF DIRECTOR: DONNA F. ZARCONI	Management	For	For
2.	ADVISORY APPROVAL OF CIGNA'S EXECUTIVE COMPENSATION.	Management	For	For
3.	RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS CIGNA'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.	Management	For	For

Account Name

Custodian

Vote Date

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Account Number		Internal Account	Ballot Shares	Unavailable Shares		Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	100	2,800	19-Mar-2016	28-Apr-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	900	4,000	19-Mar-2016	28-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	300	12,000	19-Mar-2016	28-Apr-2016

BANK OF AMERICA CORPORATION

Security	060505104	Meeting Type	Annual
Ticker Symbol	BAC	Meeting Date	27-Apr-2016
ISIN	US0605051046	Agenda	934341568 - Management
Record Date	02-Mar-2016	Holding Recon Date	02-Mar-2016
City / Country	/ United States	Vote Deadline Date	26-Apr-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: SHARON L. ALLEN	Management	For	For
1B.	ELECTION OF DIRECTOR: SUSAN S. BIES	Management	For	For
1C.	ELECTION OF DIRECTOR: JACK O. BOVENDER, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: FRANK P. BRAMBLE, SR.	Management	For	For
1E.	ELECTION OF DIRECTOR: PIERRE J.P. DE WECK	Management	For	For
1F.	ELECTION OF DIRECTOR: ARNOLD W. DONALD	Management	For	For
1G.	ELECTION OF DIRECTOR: LINDA P. HUDSON	Management	For	For
1H.		Management	For	For



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ELECTION OF DIRECTOR:  
MONICA C. LOZANO

1I.	ELECTION OF DIRECTOR: THOMAS J. MAY	Management	For	For
1J.	ELECTION OF DIRECTOR: BRIAN T. MOYNIHAN	Management	For	For
1K.	ELECTION OF DIRECTOR: LIONEL L. NOWELL, III	Management	For	For
1L.	ELECTION OF DIRECTOR: THOMAS D. WOODS	Management	For	For
1M.	ELECTION OF DIRECTOR: R. DAVID YOST	Management	For	For
2.	APPROVING OUR EXECUTIVE COMPENSATION (AN ADVISORY, NON-BINDING "SAY ON PAY" RESOLUTION)	Management	For	For
3.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016	Management	For	For
4.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	23,700	0	18-Mar-2016	28-Apr-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	38,500	0	18-Mar-2016	28-Apr-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	97,700	0	18-Mar-2016	28-Apr-2016

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997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	37,891	296,000	18-Mar-2016	28-Apr-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	61,405	499,000	18-Mar-2016	28-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	162,300	1,228,210	18-Mar-2016	28-Apr-2016

STARWOOD PROPERTY TRUST INC

Security	85571B105	Meeting Type	Annual
Ticker Symbol	STWD	Meeting Date	27-Apr-2016
ISIN	US85571B1052	Agenda	934366938 - Management
Record Date	10-Mar-2016	Holding Recon Date	10-Mar-2016
City / Country	/ United States	Vote Deadline Date	26-Apr-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 RICHARD D. BRONSON		For	For
	2 JEFFREY G. DISHNER		For	For
	3 CAMILLE J. DOUGLAS		For	For
	4 SOLOMON J. KUMIN		For	For
	5 BARRY S. STERNLICHT		For	For
	6 STRAUSS ZELNICK		For	For
2.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S EXECUTIVE COMPENSATION AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management	For	For

TO RATIFY THE AUDIT  
COMMITTEE'S  
APPOINTMENT OF  
DELOITTE & TOUCHE LLP  
AS THE COMPANY'S  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
THE CALENDAR YEAR  
ENDING DECEMBER 31,  
2016.

3. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	129,400	0	01-Apr-2016	28-Apr-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	100	257,300	01-Apr-2016	28-Apr-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	100	637,900	01-Apr-2016	28-Apr-2016
997AL60	GLV MS PLEDGE	STATE STREET BANK & TRUST CO 997AL60	25,000	0	01-Apr-2016	28-Apr-2016

COHEN & STEERS QUALITY INC RLTY, FD INC.

Security	19247L106	Meeting Type	Annual
Ticker Symbol	RQI	Meeting Date	28-Apr-2016
ISIN	US19247L1061	Agenda	934338422 - Management
Record Date	01-Mar-2016	Holding Recon Date	01-Mar-2016
City / Country	/ United States	Vote Deadline Date	27-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		

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1	JOSEPH M. HARVEY	For	For
2	GERALD J. MAGINNIS	For	For
3	RICHARD J. NORMAN	For	For
4	FRANK K. ROSS	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	3,100	0	09-Mar-2016	29-Apr-2016

COHEN & STEERS REIT & PFD INCOME FUND

Security	19247X100	Meeting Type	Annual
Ticker Symbol	RNP	Meeting Date	28-Apr-2016
ISIN	US19247X1000	Agenda	934338422 - Management
Record Date	01-Mar-2016	Holding Recon Date	01-Mar-2016
City / Country	/ United States	Vote Deadline Date	27-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
1	JOSEPH M. HARVEY		For	For
2	GERALD J. MAGINNIS		For	For
3	RICHARD J. NORMAN		For	For
4	FRANK K. ROSS		For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	23,400	0	09-Mar-2016	29-Apr-2016

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HCA HOLDINGS, INC.

Security	40412C101	Meeting Type	Annual
Ticker Symbol	HCA	Meeting Date	28-Apr-2016
ISIN	US40412C1018	Agenda	934344247 - Management
Record Date	04-Mar-2016	Holding Recon Date	04-Mar-2016
City / Country / United States		Vote Deadline Date	27-Apr-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: R. MILTON JOHNSON	Management	For	For
1B.	ELECTION OF DIRECTOR: ROBERT J. DENNIS	Management	For	For
1C.	ELECTION OF DIRECTOR: NANCY-ANN DEPARLE	Management	For	For
1D.	ELECTION OF DIRECTOR: THOMAS F. FRIST III	Management	For	For
1E.	ELECTION OF DIRECTOR: WILLIAM R. FRIST	Management	For	For
1F.	ELECTION OF DIRECTOR: CHARLES O. HOLLIDAY, JR.	Management	For	For
1G.	ELECTION OF DIRECTOR: ANN H. LAMONT	Management	For	For
1H.	ELECTION OF DIRECTOR: JAY O. LIGHT	Management	For	For
1I.	ELECTION OF DIRECTOR: GEOFFREY G. MEYERS	Management	For	For
1J.	ELECTION OF DIRECTOR: MICHAEL W. MICHELSON	Management	For	For
1K.	ELECTION OF DIRECTOR: WAYNE J. RILEY, M.D.	Management	For	For
1L.	ELECTION OF DIRECTOR: JOHN W. ROWE, M.D.	Management	For	For
2.	TO REAPPROVE THE PERFORMANCE GOALS UNDER THE 2006 STOCK	Management	For	For

INCENTIVE PLAN FOR KEY  
EMPLOYEES OF HCA  
HOLDINGS, INC. AND ITS  
AFFILIATES, AS AMENDED  
AND RESTATED

TO RATIFY THE  
APPOINTMENT OF ERNST  
& YOUNG LLP AS OUR

3.	INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016	Management	For	For
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4.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION	Management	For	For
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5.	STOCKHOLDER PROPOSAL REGARDING A MAJORITY VOTE STANDARD FOR THE ELECTION OF DIRECTORS	Shareholder	Against	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	6,500	0	18-Mar-2016	29-Apr-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	477	6,000	18-Mar-2016	29-Apr-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	815	21,000	18-Mar-2016	29-Apr-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	347	54,000	18-Mar-2016	29-Apr-2016

MGIC INVESTMENT CORPORATION

Security	552848103	Meeting Type	Annual
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Ticker Symbol MTG			Meeting Date		28-Apr-2016
ISIN US5528481030			Agenda		934359705 - Management
Record Date 04-Mar-2016			Holding Recon Date		04-Mar-2016
City /	Country	/ United States	Vote Deadline Date		27-Apr-2016
SEDOL(s)			Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management	
1.	DIRECTOR	Management			
	1 DANIEL A. ARRIGONI		For	For	
	2 CASSANDRA C. CARR		For	For	
	3 C. EDWARD CHAPLIN		For	For	
	4 CURT S. CULVER		For	For	
	5 TIMOTHY A. HOLT		For	For	
	6 KENNETH M. JASTROW, II		For	For	
	7 MICHAEL E. LEHMAN		For	For	
	8 DONALD T. NICOLAISEN		For	For	
	9 GARY A. POLINER		For	For	
	10 PATRICK SINKS		For	For	
	11 MARK M. ZANDI		For	For	
2.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION	Management	For	For	
3.	APPROVE OUR AMENDED AND RESTATED RIGHTS AGREEMENT	Management	For	For	
4.	RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT	Management	For	For	

REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	74,100	0	29-Mar-2016	29-Apr-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	9,634	232,900	29-Mar-2016	29-Apr-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	63,965	442,600	29-Mar-2016	29-Apr-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	35,188	1,273,000	29-Mar-2016	29-Apr-2016
997AL61	GLQ MS PLEDGE	STATE STREET BANK & TRUST CO 997AL61	18,300	0	29-Mar-2016	29-Apr-2016

SHIRE PLC

Security	82481R106	Meeting Type	Annual
Ticker Symbol	SHPG	Meeting Date	28-Apr-2016
ISIN	US82481R1068	Agenda	934369489 - Management
Record Date	18-Mar-2016	Holding Recon Date	18-Mar-2016
City / Country	/ United States	Vote Deadline Date	20-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO RECEIVE THE COMPANY'S ANNUAL REPORT AND ACCOUNTS FOR THE YEAR ENDED DECEMBER 31, 2015.	Management	For	For



	TO APPROVE THE DIRECTORS' REMUNERATION REPORT, EXCLUDING THE DIRECTORS'			
2.	REMUNERATION POLICY, SET OUT ON PAGES 76 TO 101 OF THE ANNUAL REPORT AND ACCOUNTS FOR THE YEAR ENDED DECEMBER 31, 2015.	Management	For	For
3.	TO RE-ELECT DOMINIC BLAKEMORE AS A DIRECTOR.	Management	For	For
4.	TO ELECT OLIVIER BOHUON AS A DIRECTOR.	Management	For	For
5.	TO RE-ELECT WILLIAM BURNS AS A DIRECTOR.	Management	For	For
6.	TO RE-ELECT DR. STEVEN GILLIS AS A DIRECTOR.	Management	For	For
7.	TO RE-ELECT DR. DAVID GINSBURG AS A DIRECTOR.	Management	For	For
8.	TO RE-ELECT SUSAN KILSBY AS A DIRECTOR.	Management	For	For
9.	TO ELECT SARA MATHEW AS A DIRECTOR.	Management	For	For
10.	TO RE-ELECT ANNE MINTO AS A DIRECTOR.	Management	For	For
11.	TO RE-ELECT DR. FLEMMING ORNSKOV AS A DIRECTOR.	Management	For	For
12.	TO ELECT JEFFREY POULTON AS A DIRECTOR.	Management	For	For
13.	TO RE-APPOINT DELOITTE LLP AS THE COMPANY'S AUDITOR UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY.	Management	For	For
14.	TO AUTHORIZE THE AUDIT, COMPLIANCE &	Management	For	For

RISK COMMITTEE TO  
DETERMINE THE  
REMUNERATION OF THE  
AUDITOR.

THAT THE AUTHORITY TO  
ALLOT RELEVANT  
SECURITIES (AS DEFINED  
IN THE COMPANY'S  
ARTICLES OF  
ASSOCIATION (THE  
"ARTICLES")) CONFERRED

15.

ON THE DIRECTORS BY  
ARTICLE 10 PARAGRAPH  
(B) OF THE ARTICLES BE  
RENEWED AND FOR THIS  
PURPOSE THE  
AUTHORISED  
ALLOTMENT AMOUNT  
SHALL BE: (A) 9,886,710.20  
OF RELEVANT  
SECURITIES; AND (B)  
SOLELY IN

Management

For

For

CONNECTION WITH AN  
ALLOTMENT PURSUANT  
TO AN OFFER BY WAY OF  
A RIGHTS ISSUE (AS  
DEFINED IN THE  
ARTICLES, BUT ONLY IF  
AND TO THE EXTENT  
THAT SUCH OFFER IS ...  
(DUE TO SPACE LIMITS,  
SEE PROXY MATERIAL  
FOR FULL PROPOSAL)

16.

THAT, SUBJECT TO THE  
PASSING OF RESOLUTION  
15, THE AUTHORITY TO  
ALLOT EQUITY  
SECURITIES (AS DEFINED  
IN THE COMPANY'S  
ARTICLES OF  
ASSOCIATION (THE  
"ARTICLES")) WHOLLY  
FOR CASH CONFERRED  
ON THE DIRECTORS BY  
ARTICLE 10 PARAGRAPH  
(D) OF THE ARTICLES BE  
RENEWED AND FOR THIS  
PURPOSE THE NON PRE-

Management

For

For

EMPTY AMOUNT (AS  
DEFINED IN THE  
ARTICLES) SHALL BE  
3,005,870.30 AND THE  
ALLOTMENT PERIOD  
SHALL BE THE PERIOD  
COMMENCING ON APRIL  
28, 2016, AND ENDING ON  
THE EARLIER OF JULY 27,  
2017, ... (DUE TO SPACE  
LIMITS, SEE PROXY  
MATERIAL FOR FULL  
PROPOSAL)

THAT THE COMPANY BE  
AND IS HEREBY  
GENERALLY AND  
UNCONDITIONALLY  
AUTHORIZED: (A)  
PURSUANT TO ARTICLE 57

OF THE COMPANIES  
(JERSEY) LAW 1991 TO  
MAKE MARKET  
PURCHASES OF  
ORDINARY SHARES IN  
THE CAPITAL OF THE  
COMPANY, PROVIDED  
THAT: (1) THE MAXIMUM  
NUMBER OF ORDINARY  
SHARES HEREBY  
AUTHORIZED TO BE  
PURCHASED IS 59,320,261;  
(2) THE MINIMUM PRICE,  
EXCLUSIVE OF ANY  
EXPENSES, WHICH MAY  
BE PAID FOR AN  
ORDINARY SHARE IS FIVE  
PENCE; (3) THE MAXIMUM  
PRICE, EXCLUSIVE OF  
ANY EXPENSES, WHICH  
MAY BE PAID ... (DUE TO  
SPACE LIMITS, SEE PROXY  
MATERIAL FOR FULL  
PROPOSAL)

17.

Management

For

For

18.

Management

For

For

THAT THE AUTHORIZED  
SHARE CAPITAL OF THE  
COMPANY BE INCREASED  
FROM 50,000,002 TO  
75,000,002, TO BE DIVIDED  
INTO 1,500,000,000 SHARES

DESIGNATED AS  
ORDINARY SHARES WITH  
A PAR VALUE OF 5P EACH  
AND 2 SHARES  
DESIGNATED AS  
SUBSCRIBER ORDINARY  
SHARES WITH A PAR  
VALUE OF 1 EACH, AND  
THAT THE COMPANY'S  
MEMORANDUM OF  
ASSOCIATION BE  
AMENDED  
ACCORDINGLY.

THAT, WITH EFFECT  
FROM THE CONCLUSION  
OF THE ANNUAL  
GENERAL MEETING, THE  
COMPANY'S ARTICLES OF  
ASSOCIATION BE  
AMENDED AND THOSE  
ARTICLES PRODUCED TO  
THE MEETING AND  
INITIALED BY THE  
CHAIRMAN BE ADOPTED  
AS THE COMPANY'S  
ARTICLES OF  
ASSOCIATION, IN  
SUBSTITUTION FOR, AND  
TO THE EXCLUSION OF,  
THE EXISTING ARTICLES  
OF ASSOCIATION OF THE  
COMPANY.

19.

Management

For

For

TO APPROVE THAT A  
GENERAL MEETING OF  
THE COMPANY, OTHER  
THAN AN ANNUAL  
GENERAL MEETING, MAY  
BE CALLED ON NOT LESS  
THAN 14 CLEAR DAYS'  
NOTICE.

20.

Management

For

For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	4,100	0	02-Apr-2016	22-Apr-2016

997AL28	GLQ	997AL28	STATE STREET BANK & TRUST CO	6,700	0	02-Apr-2016	22-Apr-2016
997AL29	GLO	997AL29	STATE STREET BANK & TRUST CO	16,800	0	02-Apr-2016	22-Apr-2016

ASTRAZENECA PLC

Security	046353108	Meeting Type	Annual
Ticker Symbol	AZN	Meeting Date	29-Apr-2016
ISIN	US0463531089	Agenda	934356898 - Management
Record Date	14-Mar-2016	Holding Recon Date	14-Mar-2016
City / Country	/ United States	Vote Deadline Date	20-Apr-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO RECEIVE THE COMPANY'S ACCOUNTS AND THE REPORTS OF THE DIRECTORS AND AUDITOR FOR THE YEAR ENDED 31 DECEMBER 2015	Management	For	For
2.	TO CONFIRM DIVIDENDS	Management	For	For
3.	TO RE-APPOINT KPMG LLP, LONDON AS AUDITOR	Management	For	For
4.	TO AUTHORISE THE DIRECTORS TO AGREE THE REMUNERATION OF THE AUDITOR	Management	For	For
5A.	RE-ELECTION OF DIRECTOR: LEIF JOHANSSON	Management	For	For
5B.	RE-ELECTION OF DIRECTOR: PASCAL SORIOT	Management	For	For
5C.	RE- ELECTION OF DIRECTOR: MARC DUNOYER	Management	For	For

5D.	RE-ELECTION OF DIRECTOR: CORI BARGMANN	Management	For	For
5E.	RE-ELECTION OF DIRECTOR: GENEVIEVE BERGER	Management	For	For
5F.	RE-ELECTION OF DIRECTOR: BRUCE BURLINGTON	Management	For	For
5G.	RE-ELECTION OF DIRECTOR: ANN CAIRNS	Management	For	For
5H.	RE-ELECTION OF DIRECTOR: GRAHAM CHIPCHASE	Management	For	For
5I.	RE-ELECTION OF DIRECTOR: JEAN-PHILIPPE COURTOIS	Management	For	For
5J.	RE-ELECTION OF DIRECTOR: RUDY MARKHAM	Management	For	For
5K.	RE-ELECTION OF DIRECTOR: SHRITI VADERA	Management	For	For
5L.	RE-ELECTION OF DIRECTOR: MARCUS WALLENBERG	Management	For	For
6.	TO APPROVE THE ANNUAL REPORT ON REMUNERATION FOR THE YEAR ENDED 31 DECEMBER 2015	Management	For	For
7.	TO AUTHORISE LIMITED EU POLITICAL DONATIONS	Management	For	For
8.	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES	Management	For	For
9.	TO AUTHORISE THE DIRECTORS TO DISAPPLY PRE- EMPTION RIGHTS	Management	For	For
10.	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN SHARES	Management	For	For

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11.	TO REDUCE THE NOTICE PERIOD FOR GENERAL MEETINGS			Management	For	For		
Account Number	Account Name	Internal Account	Custodian	Ballot Shares		Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	200		20,136	25-Mar-2016	22-Apr-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100		33,729	25-Mar-2016	22-Apr-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100		83,824	25-Mar-2016	22-Apr-2016
YPF SOCIEDAD ANONIMA								
Security	984245100				Meeting Type		Annual	
Ticker Symbol	YPF				Meeting Date		29-Apr-2016	
ISIN	US9842451000				Agenda		934396195 - Management	
Record Date	06-Apr-2016				Holding Recon Date		06-Apr-2016	
City / Country	/ United States				Vote Deadline Date		25-Apr-2016	
SEDOL(s)					Quick Code			
Item	Proposal		Proposed by		Vote	For/Against Management		
1.	APPOINTMENT OF TWO SHAREHOLDERS TO SIGN THE MINUTES OF THE MEETING.		Management		For			
2.	CONSIDERATION OF THE BOARD OF DIRECTORS' RESOLUTIONS REGARDING THE CREATION OF A LONG-TERM PLAN OF COMPENSATION IN SHARES FOR EMPLOYEES, THROUGH THE		Management		For	For		

ACQUISITION OF SHARES  
OF THE COMPANY IN  
ACCORDANCE WITH  
ARTICLE 64 ET. SEQ. OF  
LAW NO. 26,831.  
EXEMPTION FROM THE  
PREEMPTIVE OFFER OF  
SHARES TO  
SHAREHOLDERS  
PURSUANT TO ARTICLE 67  
OF LAW NO. 26,831.

CONSIDERATION OF THE  
ANNUAL REPORT,  
INVENTORY, BALANCE  
SHEET, INCOME  
STATEMENT, STATEMENT  
OF CHANGES IN  
SHAREHOLDERS' EQUITY  
AND STATEMENTS OF  
CASH FLOW, WITH THEIR  
NOTES, CHARTS,  
EXHIBITS AND RELATED  
DOCUMENTS, AND THE  
REPORT OF THE  
SUPERVISORY  
COMMITTEE AND  
INDEPENDENT AUDITOR,  
CORRESPONDING TO  
FISCAL YEAR NO. 39,  
WHICH BEGAN ON  
JANUARY 1, 2015 AND  
ENDED ON DECEMBER 31,  
2015.

3.	Management	For	For
----	------------	-----	-----

USE OF PROFITS  
ACCUMULATED AS OF  
DECEMBER 31, 2015.  
CONSTITUTION OF  
RESERVES. DECLARATION  
OF DIVIDENDS.

4.	Management	For	For
----	------------	-----	-----

REMUNERATION OF THE  
INDEPENDENT AUDITOR  
FOR THE FISCAL YEAR  
ENDED ON DECEMBER 31,  
2015.

5.	Management	For	
----	------------	-----	--

6.	Management	For	For
----	------------	-----	-----

CONSIDERATION OF THE  
EXTENSION OF THE  
PERIOD TO CONDUCT THE  
AUDITING OF THE



COMPANY IN  
ACCORDANCE WITH  
RESOLUTION NO. 639/ 2015  
OF THE NATIONAL  
SECURITIES COMMISSION  
(COMISION NACIONAL DE  
VALORES). APPOINTMENT  
OF THE INDEPENDENT  
AUDITOR WHO WILL  
REPORT ON THE ANNUAL  
FINANCIAL STATEMENTS  
AS OF DECEMBER 31, 2016  
AND DETERMINATION OF  
ITS REMUNERATION.

7.	CONSIDERATION OF THE PERFORMANCE OF THE BOARD OF DIRECTORS AND THE SUPERVISORY COMMITTEE DURING THE FISCAL YEAR ENDED ON DECEMBER 31, 2015.	Management	For	
8.	REMUNERATION OF THE BOARD OF DIRECTORS FOR THE FISCAL YEAR ENDED ON DECEMBER 31, 2015.	Management	For	
9.	REMUNERATION OF THE SUPERVISORY COMMITTEE FOR THE FISCAL YEAR ENDED ON DECEMBER 31, 2015.	Management	For	
10.	DETERMINATION OF THE NUMBER OF REGULAR AND ALTERNATE MEMBERS OF THE SUPERVISORY COMMITTEE.	Management	For	
12.	APPOINTMENT OF THE REGULAR AND ALTERNATE MEMBERS OF THE SUPERVISORY COMMITTEE FOR THE CLASS D SHARES.	Management	For	
13.	DETERMINATION OF THE NUMBER OF REGULAR AND ALTERNATE MEMBERS OF THE BOARD	Management	For	For

OF DIRECTORS.

- |     |   |            |     |     |
|-----|---|------------|-----|-----|
| 15. | APPOINTMENT OF<br>REGULAR AND<br>ALTERNATE DIRECTORS<br>FOR CLASS D SHARES<br>AND DETERMINATION OF<br>THEIR TENURE.   | Management | For | For |
| 16. | DETERMINATION OF THE<br>REMUNERATION TO BE<br>RECEIVED BY THE<br>MEMBERS OF THE BOARD<br>OF DIRECTORS AND THE<br>MEMBERS OF THE<br>SUPERVISORY<br>COMMITTEE FOR THE<br>FISCAL YEAR THAT<br>BEGAN ON JANUARY 1,<br>2016.   | Management | For |     |
| 17. | MODIFICATION OF THE<br>BYLAWS. ARTICLE 17,<br>SUBSECTIONS I) AND XIII)<br>ARTICLE 18, SUBSECTIONS<br>A), B), C), D) AND E) AND<br>ARTICLE 19, SUBSECTIONS<br>III), IV) AND V).  | Management | For | For |
| 18. | CONSIDERATION OF THE<br>MERGER BY ACQUISITION<br>BY YPF S.A. (THE<br>ACQUIRING COMPANY)<br>OF YPF INVERSORA<br>ENERGETICA S.A. AND<br>GAS ARGENTINO S.A. (THE<br>MERGED COMPANIES), IN<br>ACCORDANCE WITH<br>ARTICLE 82 ET. SEQ. OF<br>THE GENERAL<br>CORPORATIONS LAW<br>(LEY GENERAL DE<br>SOCIEDADES), AND<br>ARTICLE 77, ARTICLE 78<br>ET. SEQ. AND CONSISTENT<br>NORMS OF THE PROFIT<br>TAX LAW (LEY DE<br>IMPUESTO A LAS<br>GANANCIAS), AS<br>AMENDED, AND ARTICLE<br>105 TO ARTICLE 109 OF ITS<br>REGULATORY DECREE. | Management | For | For |

19.	CONSIDERATION OF THE SPECIAL MERGER BALANCE SHEET (SPECIAL MERGER FINANCIAL STATEMENTS) OF YPF S.A. AND THE CONSOLIDATED MERGER BALANCE SHEET (CONSOLIDATED ASSETS MERGER BALANCE SHEET) OF YPF S.A., GAS ARGENTINO S.A. AND YPF INVERSORA ENERGETICA S.A., EACH AS OF DECEMBER 31, 2015, AND THE CORRESPONDING SUPERVISORY COMMITTEE AND INDEPENDENT AUDITOR REPORTS.	Management	For	For
20.	CONSIDERATION OF THE PRIOR MERGER AGREEMENT AND THE MERGER BY ACQUISITION PROSPECTUS.	Management	For	For
21.	AUTHORIZATION TO SIGN THE DEFINITIVE MERGER AGREEMENT IN THE NAME OF AND IN REPRESENTATION OF THE COMPANY.	Management	For	
22.	CONSIDERATION OF AN INCREASE IN THE AMOUNT OF THE COMPANY'S GLOBAL MEDIUM TERM NEGOTIABLE OBLIGATIONS PROGRAM.	Management	For	For
23.	EXTENSION OF THE POWERS DELEGATED TO THE BOARD OF DIRECTORS TO DETERMINE THE TERMS AND CONDITIONS OF THE NOTES ISSUED UNDER THE CURRENT GLOBAL MEDIUM-TERM NOTES PROGRAM.	Management	For	For

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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	25,400	0	19-Apr-2016	27-Apr-2016
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	41,200	0	19-Apr-2016	27-Apr-2016
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	104,300	0	19-Apr-2016	27-Apr-2016
BRISTOL-MYERS SQUIBB COMPANY						
Security	110122108			Meeting Type	Annual	
Ticker Symbol	BMY			Meeting Date	03-May-2016	
ISIN	US1101221083			Agenda	934349110 - Management	
Record Date	11-Mar-2016			Holding Recon Date	11-Mar-2016	
City / Country	/ United States			Vote Deadline Date	02-May-2016	
SEDOL(s)				Quick Code		
Item	Proposal		Proposed by	Vote	For/Against Management	
1A.	ELECTION OF DIRECTOR: L. ANDREOTTI		Management	For	For	
1B.	ELECTION OF DIRECTOR: P.J. ARDUINI		Management	For	For	
1C.	ELECTION OF DIRECTOR: G. CAFORIO, M.D.		Management	For	For	
1D.	ELECTION OF DIRECTOR: L.H. GLIMCHER, M.D.		Management	For	For	
1E.	ELECTION OF DIRECTOR: M. GROBSTEIN		Management	For	For	
1F.	ELECTION OF DIRECTOR: A.J. LACY		Management	For	For	
1G.	ELECTION OF DIRECTOR: T.J. LYNCH, JR., M.D.		Management	For	For	

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1H.	ELECTION OF DIRECTOR: D.C. PALIWAL	Management	For	For
1I.	ELECTION OF DIRECTOR: V.L. SATO, PH.D.	Management	For	For
1J.	ELECTION OF DIRECTOR: G.L. STORCH	Management	For	For
1K.	ELECTION OF DIRECTOR: T.D. WEST, JR.	Management	For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS	Management	For	For
3.	RATIFICATION OF THE APPOINTMENT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management	For	For
4.	SPECIAL SHAREOWNER MEETINGS	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	100	8,687	24-Mar-2016	04-May-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	100	14,616	24-Mar-2016	04-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	36,400	24-Mar-2016	04-May-2016

CABLE ONE, INC.

Security	12685J105	Meeting Type	Annual
Ticker Symbol	CABO	Meeting Date	03-May-2016
ISIN	US12685J1051	Agenda	934361724 - Management
Record Date	11-Mar-2016	Holding Recon Date	11-Mar-2016

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City / Country / United States	Vote Deadline Date	02-May-2016
SEDOL(s)	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: BRAD D. BRIAN	Management	For	For
1B.	ELECTION OF DIRECTOR: KATHARINE B. WEYMOUTH	Management	For	For
2.	RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	1,200	0	01-Apr-2016	04-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	2,000	0	01-Apr-2016	04-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	5,200	0	01-Apr-2016	04-May-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	100	1,700	01-Apr-2016	04-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	3,000	0	01-Apr-2016	04-May-2016
997AL49		997AL49	200	7,400	01-Apr-2016	04-May-2016

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GLO BNP  
PLEDGE  
STATE  
STREET  
BANK &  
TRUST CO

ACTELION LTD., ALLSCHWIL

Security	H0032X135	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	04-May-2016
ISIN	CH0010532478	Agenda	706912765 - Management
Record Date	22-Apr-2016	Holding Recon Date	22-Apr-2016
City / Country	BASEL / Switzerland	Vote Deadline Date	11-Apr-2016
SEDOL(s)	5937846 - 5954254 - 7123223 - B01DBL5 - B0P9B20 - B1YD5Q2 - B1YD5T5 - B1YLTN1 - B2QTL45	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE-REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE-REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT-FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A-REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL-SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE-THAT A MARKER MAY BE PLACED ON YOUR SHARES TO	Non-Voting		

ALLOW FOR  
RECONCILIATION  
AND-RE- REGISTRATION  
FOLLOWING A TRADE.  
THEREFORE WHILST THIS  
DOES NOT PREVENT  
THE-TRADING OF SHARES,  
ANY THAT ARE  
REGISTERED MUST BE  
FIRST DEREGISTERED  
IF-REQUIRED FOR  
SETTLEMENT.  
DEREGISTRATION CAN  
AFFECT THE VOTING  
RIGHTS OF  
THOSE-SHARES. IF YOU  
HAVE CONCERNS  
REGARDING YOUR  
ACCOUNTS, PLEASE  
CONTACT YOUR-CLIENT  
REPRESENTATIVE

APPROVAL OF ANNUAL  
REPORT 2015,  
CONSOLIDATED

1.1	FINANCIAL STATEMENTS 2015, STATUTORY FINANCIAL STATEMENTS 2015	Management	For	For
1.2	CONSULTATIVE VOTE ON THE COMPENSATION REPORT 2015	Management	For	For
2	APPROPRIATION OF AVAILABLE EARNINGS AND DISTRIBUTION AGAINST RESERVE FROM CAPITAL CONTRIBUTION	Management	For	For
3	DISCHARGE OF THE BOARD OF DIRECTORS AND OF THE EXECUTIVE MANAGEMENT	Management	For	For
4	REDUCTION OF SHARE CAPITAL BY CANCELLATION OF REPURCHASED SHARES	Management	For	For
5	EXTENSION OF EXISTING AUTHORIZED CAPITAL	Management	For	For



6.1.1	RE-ELECTION OF THE BOARD OF DIRECTOR: JEAN- PIERRE GARNIER	Management	For	For
6.1.2	RE-ELECTION OF THE BOARD OF DIRECTOR: JEAN- PAUL CLOZEL	Management	For	For
6.1.3	RE-ELECTION OF THE BOARD OF DIRECTOR: JUHANI ANTILA	Management	For	For
6.1.4	RE-ELECTION OF THE BOARD OF DIRECTOR: ROBERT BERTOLINI	Management	For	For
6.1.5	RE-ELECTION OF THE BOARD OF DIRECTOR: JOHN J. GREISCH	Management	For	For
6.1.6	RE-ELECTION OF THE BOARD OF DIRECTOR: PETER GRUSS	Management	For	For
6.1.7	RE-ELECTION OF THE BOARD OF DIRECTOR: MICHAEL JACOBI	Management	For	For
6.1.8	RE-ELECTION OF THE BOARD OF DIRECTOR: JEAN MALO	Management	For	For
6.1.9	RE-ELECTION OF THE BOARD OF DIRECTOR: DAVID STOUT	Management	For	For
6.1.10	RE-ELECTION OF THE BOARD OF DIRECTOR: HERNA VERHAGEN	Management	For	For
6.2	RE-ELECTION OF THE CHAIRPERSON OF THE BOARD OF DIRECTORS: JEAN-PIERRE GARNIER	Management	For	For
6.3.1	RE-ELECTION OF THE COMPENSATION COMMITTEE: HERNA VERHAGEN	Management	For	For
6.3.2	RE-ELECTION OF THE COMPENSATION COMMITTEE: JEAN-PIERRE GARNIER	Management	For	For
6.3.3		Management	For	For

RE-ELECTION OF THE  
COMPENSATION  
COMMITTEE: JOHN  
GREISCH

7.1	APPROVAL OF BOARD COMPENSATION (NON- EXECUTIVE DIRECTORS)	Management	For	For
7.2	APPROVAL OF EXECUTIVE MANAGEMENT COMPENSATION 2017 (MAXIMUM AMOUNT)	Management	For	For
8	RE-ELECTION OF THE INDEPENDENT PROXY: BDO AG, AARAU	Management	For	For
9	RE-ELECTION OF THE STATUTORY AUDITORS: ERNST & YOUNG AG, BASEL	Management	For	For
10	IN THE EVENT OF A NEW OR MODIFIED PROPOSAL BY A SHAREHOLDER OR THE BOARD OF DIRECTORS DURING THE ANNUAL GENERAL MEETING, I INSTRUCT THE INDEPENDENT PROXY TO VOTE ACCORDING TO THE FOLLOWING INSTRUCTION (FOR=VOTE FOR THE PROPOSAL/RECOMMENDATION OF THE SHAREHOLDERS, AGAINST=AGAINST ALL PROPOSALS, ABSTAIN=VOTE FOR THE PROPOSAL/RECOMMENDATION OF THE BOARD OF DIRECTORS)	Management	For	Against

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	5,910	0	12-Apr-2016	03-May-2016
AL28	AL28		9,644	0	12-Apr-2016	03-May-2016

		STATE STREET BANK				
AL29	AL29	STATE STREET BANK	24,375	0	12-Apr-2016	03-May-2016

## EVERSOURCE ENERGY

Security	30040W108	Meeting Type	Annual
Ticker Symbol	ES	Meeting Date	04-May-2016
ISIN	US30040W1080	Agenda	934341001 - Management
Record Date	07-Mar-2016	Holding Recon Date	07-Mar-2016
City / Country	/ United States	Vote Deadline Date	03-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN S. CLARKESON		For	For
	2 COTTON M. CLEVELAND		For	For
	3 SANFORD CLOUD, JR.		For	For
	4 JAMES S. DISTASIO		For	For
	5 FRANCIS A. DOYLE		For	For
	6 CHARLES K. GIFFORD		For	For
	7 PAUL A. LA CAMERA		For	For
	8 KENNETH R. LEIBLER		For	For
	9 THOMAS J. MAY		For	For
	10 WILLIAM C. VAN FAASEN		For	For
	11 FREDERICA M. WILLIAMS		For	For
	12 DENNIS R. WRAASE		For	For
2.		Management	For	For

TO CONSIDER AN  
ADVISORY PROPOSAL  
APPROVING THE  
COMPENSATION OF OUR  
NAMED EXECUTIVE  
OFFICERS.

TO RATIFY THE  
SELECTION OF DELOITTE  
& TOUCHE LLP AS THE

3.	INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	200	43,500	19-Mar-2016	05-May-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	1,000	72,000	19-Mar-2016	05-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	400	180,000	19-Mar-2016	05-May-2016

PULTEGROUP, INC.

Security	745867101	Meeting Type	Annual
Ticker Symbol	PHM	Meeting Date	04-May-2016
ISIN	US7458671010	Agenda	934347407 - Management
Record Date	10-Mar-2016	Holding Recon Date	10-Mar-2016
City / Country	/ United States	Vote Deadline Date	03-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 BRIAN P. ANDERSON		For	For

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2	BRYCE BLAIR		For	For
3	RICHARD W. DREILING		For	For
4	RICHARD J. DUGAS, JR.		For	For
5	THOMAS J. FOLLIARD		For	For
6	CHERYL W. GRISE		For	For
7	ANDRE J. HAWAUX		For	For
8	DEBRA J. KELLY-ENNIS		For	For
9	PATRICK J. O'LEARY		For	For
10	JAMES J. POSTL		For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
4.	APPROVAL OF AN AMENDMENT TO EXTEND THE TERM OF OUR AMENDED AND RESTATED SECTION 382 RIGHTS AGREEMENT.	Management	For	For
5.	A SHAREHOLDER PROPOSAL REQUESTING THE ELECTION OF DIRECTORS BY A MAJORITY, RATHER THAN PLURALITY, VOTE, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For

Account Number	Account Name	Internal Account Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27 STATE STREET	7,700	0	07-Apr-2016	05-May-2016

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		BANK & TRUST CO					
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	12,600	0	07-Apr-2016	05-May-2016	
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	31,700	0	07-Apr-2016	05-May-2016	
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	500	48,000	07-Apr-2016	05-May-2016	
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	1,000	80,000	07-Apr-2016	05-May-2016	
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	9,100	190,000	07-Apr-2016	05-May-2016	

AXALTA COATING SYSTEMS LTD.

Security	G0750C108	Meeting Type	Annual
Ticker Symbol	AXTA	Meeting Date	04-May-2016
ISIN	BMG0750C1082	Agenda	934350137 - Management
Record Date	11-Mar-2016	Holding Recon Date	11-Mar-2016
City / Country	/ United States	Vote Deadline Date	03-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ANDREAS C. KRAMVIS		For	For
	2 GREGORY S. LEDFOED		For	For
	3 MARTIN W. SUMNER		For	For
2.	TO APPROVE, ON A NON-BINDING ADVISORY	Management	For	For

BASIS, THE  
COMPENSATION PAID TO  
OUR NAMED EXECUTIVE  
OFFICERS.

TO APPOINT  
PRICEWATERHOUSECOOPERS  
LLP AS THE COMPANY'S  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM AND  
AUDITOR UNTIL THE  
CONCLUSION OF THE 2017

3. MEETING OF MEMBERS      Management      For      For  
AND TO DELEGATE  
AUTHORITY TO THE  
BOARD OF DIRECTORS OF  
THE COMPANY, ACTING  
THROUGH THE AUDIT  
COMMITTEE, TO FIX THE  
TERMS AND  
REMUNERATION  
THEREOF.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	14,100	48,900	23-Mar-2016	05-May-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	700	122,000	23-Mar-2016	05-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	69,500	237,600	23-Mar-2016	05-May-2016

#### HESS CORPORATION

Security	42809H107	Meeting Type	Annual
Ticker Symbol	HES	Meeting Date	04-May-2016
ISIN	US42809H1077	Agenda	934353032 - Management
Record Date	17-Mar-2016	Holding Recon Date	17-Mar-2016

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City / Country / United States	SEDOL(s)	Proposed by	Vote	For/Against Management	Quick Code	Vote Deadline Date	03-May-2016
Item	Proposal						
1A.	ELECTION OF DIRECTOR: R.F. CHASE	Management	For	For			
1B.	ELECTION OF DIRECTOR: T.J. CHECKI	Management	For	For			
1C.	ELECTION OF DIRECTOR: J.B. HESS	Management	For	For			
1D.	ELECTION OF DIRECTOR: E.E. HOLIDAY	Management	For	For			
1E.	ELECTION OF DIRECTOR: R. LAVIZZO-MOUREY	Management	For	For			
1F.	ELECTION OF DIRECTOR: D. MCMANUS	Management	For	For			
1G.	ELECTION OF DIRECTOR: K.O. MEYERS	Management	For	For			
1H.	ELECTION OF DIRECTOR: J.H. MULLIN III	Management	For	For			
1I.	ELECTION OF DIRECTOR: J.H. QUIGLEY	Management	For	For			
1J.	ELECTION OF DIRECTOR: F.G. REYNOLDS	Management	For	For			
1K.	ELECTION OF DIRECTOR: W.G. SCHRADER	Management	For	For			
2.	ADVISORY APPROVAL OF THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For			
3.	RATIFICATION OF THE SELECTION OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS FOR FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For			
4.	APPROVAL OF THE PERFORMANCE INCENTIVE PLAN FOR SENIOR OFFICERS.	Management	For	For			



STOCKHOLDER  
PROPOSAL, IF PROPERLY  
PRESENTED AT THE  
MEETING,  
5. RECOMMENDING A Shareholder Against For  
SCENARIO ANALYSIS  
REPORT REGARDING  
CARBON ASSET RISK.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	33,000	0	24-Mar-2016	05-May-2016
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	53,800	0	24-Mar-2016	05-May-2016
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	135,900	0	24-Mar-2016	05-May-2016

HATTERAS FINANCIAL CORP.

Security	41902R103	Meeting Type	Annual
Ticker Symbol	HTS	Meeting Date	04-May-2016
ISIN	US41902R1032	Agenda	934355973 - Management
Record Date	02-Mar-2016	Holding Recon Date	02-Mar-2016
City / Country	/ United States	Vote Deadline Date	03-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MICHAEL R. HOUGH		For	For
	2 BENJAMIN M. HOUGH		For	For
	3 DAVID W. BERSON		For	For
	4 IRA G. KAWALLER		For	For

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5	VICKI MCELREATH	For	For
6	JEFFREY D. MILLER	For	For
7	WILLIAM V. NUTT, JR.	For	For
8	THOMAS D. WREN	For	For

2.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	TO APPROVE, BY NON-BINDING VOTE, EXECUTIVE COMPENSATION.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	84,200	0	26-Mar-2016	05-May-2016
997AL28	GLQ	997AL28	STATE STREET BANK & TRUST CO	37,000	0	26-Mar-2016	05-May-2016
997AL29	GLO	997AL29	STATE STREET BANK & TRUST CO	93,400	0	26-Mar-2016	05-May-2016

PHYSICIANS REALTY TRUST

Security	71943U104	Meeting Type	Annual
Ticker Symbol	DOC	Meeting Date	05-May-2016
ISIN	US71943U1043	Agenda	934349108 - Management
Record Date	01-Mar-2016	Holding Recon Date	01-Mar-2016
City / Country	/ United States	Vote Deadline Date	04-May-2016
SEDOL(s)		Quick Code	

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Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN T. THOMAS		For	For
	2 TOMMY G. THOMPSON		For	For
	3 STANTON D. ANDERSON		For	For
	4 MARK A. BAUMGARTNER		For	For
	5 ALBERT C. BLACK, JR.		For	For
	6 WILLIAM A. EBINGER, M.D		For	For
	7 RICHARD A. WEISS		For	For
2.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management	For	For
4.	TO APPROVE, ON AN ADVISORY BASIS, THE PREFERRED FREQUENCY OF SHAREHOLDER ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	29,600	0	25-Mar-2016	06-May-2016
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ALLERGAN PLC

Security	G0177J108	Meeting Type	Annual
Ticker Symbol	AGN	Meeting Date	05-May-2016
ISIN	IE00BY9D5467	Agenda	934354565 - Management
Record Date	07-Mar-2016	Holding Recon Date	07-Mar-2016
City / Country	/ United States	Vote Deadline Date	02-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 NESLI BASGOZ, M.D.		For	For
	2 PAUL M. BISARO		For	For
	3 JAMES H. BLOEM		For	For
	4 CHRISTOPHER W. BODINE		For	For
	5 CHRISTOPHER J. COUGHLIN		For	For
	6 MICHAEL R. GALLAGHER		For	For
	7 CATHERINE M. KLEMA		For	For
	8 PETER J. MCDONNELL, M.D		For	For
	9 PATRICK J. O'SULLIVAN		For	For
	10 BRENTON L. SAUNDERS		For	For
	11 RONALD R. TAYLOR		For	For
	12 FRED G. WEISS		For	For
2.	TO APPROVE, IN A NON-BINDING VOTE, NAMED EXECUTIVE	Management	For	For

OFFICER COMPENSATION

3.	TO RATIFY, IN A NON-BINDING VOTE, THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT AUDITOR FOR THE FISCAL YEAR ENDING DECEMBER 31,	Management	For	For
	2016 AND TO AUTHORIZE, IN A BINDING VOTE, THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT AND COMPLIANCE COMMITTEE, TO DETERMINE PRICEWATERHOUSECOOPERS LLP'S REMUNERATION			
4A.	TO APPROVE THE AMENDMENT OF THE COMPANY'S: MEMORANDUM OF ASSOCIATION TO MAKE CERTAIN ADMINISTRATIVE AMENDMENTS	Management	For	For
4B.	TO APPROVE THE AMENDMENT OF THE COMPANY'S: ARTICLES OF ASSOCIATION TO MAKE CERTAIN ADMINISTRATIVE AMENDMENTS	Management	For	For
5A.	TO APPROVE THE AMENDMENT OF THE COMPANY'S ARTICLES OF ASSOCIATION IN ORDER TO: PROVIDE FOR A PLURALITY VOTING STANDARD IN THE EVENT OF A CONTESTED ELECTION	Management	For	For
5B.	TO APPROVE THE AMENDMENT OF THE COMPANY'S ARTICLES OF ASSOCIATION IN ORDER TO: GRANT THE BOARD	Management	For	For

OF DIRECTORS SOLE  
AUTHORITY TO  
DETERMINE ITS SIZE

TO APPROVE THE

6. REDUCTION OF COMPANY CAPITAL Management

For For

TO CONSIDER A  
SHAREHOLDER PROPOSAL  
REGARDING AN ANNUAL  
REPORT ON LOBBYING  
ACTIVITIES, IF PROPERLY  
PRESENTED AT THE  
MEETING

7. Shareholder

Against For

TO CONSIDER A  
SHAREHOLDER PROPOSAL  
REGARDING AN  
INDEPENDENT BOARD  
CHAIRMAN, IF PROPERLY  
PRESENTED AT THE  
MEETING

8. Shareholder

Against For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	1,700	0	26-Mar-2016	04-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	2,800	0	26-Mar-2016	04-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	6,900	0	26-Mar-2016	04-May-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	100	3,200	26-Mar-2016	04-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	100	5,400	26-Mar-2016	04-May-2016
997AL49		997AL49	100	13,600	26-Mar-2016	04-May-2016

GLO BNP  
PLEDGE  
STATE  
STREET  
BANK &  
TRUST CO

KANSAS CITY SOUTHERN

Security	485170302	Meeting Type	Annual
Ticker Symbol	KSU	Meeting Date	05-May-2016
ISIN	US4851703029	Agenda	934364213 - Management
Record Date	07-Mar-2016	Holding Recon Date	07-Mar-2016
City / Country	/ United States	Vote Deadline Date	04-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LU M. CORDOVA	Management	For	For
1B.	ELECTION OF DIRECTOR: TERRENCE P. DUNN	Management	For	For
1C.	ELECTION OF DIRECTOR: ANTONIO O. GARZA, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: DAVID GARZA-SANTOS	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS A. MCDONNELL	Management	For	For
1F.	ELECTION OF DIRECTOR: DAVID L. STARLING	Management	For	For
2.	RATIFICATION OF THE AUDIT COMMITTEE'S SELECTION OF KPMG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.	Management	For	For
3.	APPROVAL OF THE KANSAS CITY SOUTHERN ANNUAL INCENTIVE PLAN FOR PURPOSES OF INTERNAL REVENUE CODE SECTION 162(M).	Management	For	For
4.		Management	For	For

ADVISORY  
(NON-BINDING) VOTE  
APPROVING THE 2015  
COMPENSATION OF OUR  
NAMED EXECUTIVE  
OFFICERS.

APPROVAL OF A  
STOCKHOLDER PROPOSAL  
ON PROXY ACCESS WITH  
DIFFERENT TERMS FROM  
THE COMPANY'S  
CURRENT PROXY ACCESS  
PROVISIONS.

5. Shareholder Against For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	1,000	18,900	31-Mar-2016	06-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	100	26,600	31-Mar-2016	06-May-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	16,500	66,000	31-Mar-2016	06-May-2016
997AL61	GLQ MS PLEDGE	STATE STREET BANK & TRUST CO 997AL61	6,000	0	31-Mar-2016	06-May-2016

THE GABELLI EQUITY TRUST INC.

Security	362397101	Meeting Type	Annual
Ticker Symbol	GAB	Meeting Date	09-May-2016
ISIN	US3623971013	Agenda	934358195 - Management
Record Date	14-Mar-2016	Holding Recon Date	14-Mar-2016
City / Country	/ United States	Vote Deadline Date	06-May-2016
SEDOL(s)		Quick Code	
Item	Proposal	Proposed by	Vote For/Against



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Management

1. DIRECTOR Management

1	MARIO J. GABELLI, CFA	For	For
2	ARTHUR V. FERRARA	For	For
3	WILLIAM F. HEITMANN	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	158,900	0	26-Mar-2016	10-May-2016

SERVICE CORPORATION INTERNATIONAL

Security	817565104	Meeting Type	Annual
Ticker Symbol	SCI	Meeting Date	11-May-2016
ISIN	US8175651046	Agenda	934351014 - Management
Record Date	14-Mar-2016	Holding Recon Date	14-Mar-2016
City / Country	/ United States	Vote Deadline Date	10-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
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1. DIRECTOR Management

1	ALAN R. BUCKWALTER	For	For
2	VICTOR L. LUND	For	For
3	JOHN W. MECOM	For	For
4	ELLEN OCHOA	For	For

2.	TO APPROVE THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR	Management	For	For
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FISCAL 2016.

- |    |  |             |         |     |
|----|--|-------------|---------|-----|
| 3. | TO APPROVE, BY<br>ADVISORY VOTE, NAMED<br>EXECUTIVE OFFICER<br>COMPENSATION.                                 | Management  | For     | For |
| 4. | TO APPROVE THE 2016<br>EQUITY INCENTIVE PLAN.  | Management  | For     | For |
| 5. | TO APPROVE THE<br>SHAREHOLDER PROPOSAL<br>REGARDING A SENIOR<br>EXECUTIVE STOCK<br>RETENTION<br>REQUIREMENT. | Shareholder | Against | For |

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	9,100	0	01-Apr-2016	12-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	15,300	0	01-Apr-2016	12-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	38,700	0	01-Apr-2016	12-May-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	700	45,000	01-Apr-2016	12-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	17,900	58,600	01-Apr-2016	12-May-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	100	193,600	01-Apr-2016	12-May-2016

AMERICAN INTERNATIONAL GROUP, INC.

Security	026874784	Meeting Type	Annual
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Ticker Symbol AIG			Meeting Date		11-May-2016
ISIN US0268747849			Agenda		934356735 - Management
Record Date 21-Mar-2016			Holding Recon Date		21-Mar-2016
City /	Country	/ United States	Vote Deadline Date		10-May-2016
SEDOL(s)			Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management	
1A.	ELECTION OF DIRECTOR: W. DON CORNWELL	Management	For	For	
1B.	ELECTION OF DIRECTOR: PETER R. FISHER	Management	For	For	
1C.	ELECTION OF DIRECTOR: JOHN H. FITZPATRICK	Management	For	For	
1D.	ELECTION OF DIRECTOR: PETER D. HANCOCK	Management	For	For	
1E.	ELECTION OF DIRECTOR: WILLIAM G. JURGENSEN	Management	For	For	
1F.	ELECTION OF DIRECTOR: CHRISTOPHER S. LYNCH	Management	For	For	
1G.	ELECTION OF DIRECTOR: SAMUEL J. MERKSAMER	Management	For	For	
1H.	ELECTION OF DIRECTOR: GEORGE L. MILES, JR.	Management	For	For	
1I.	ELECTION OF DIRECTOR: HENRY S. MILLER	Management	For	For	
1J.	ELECTION OF DIRECTOR: ROBERT S. MILLER	Management	For	For	
1K.	ELECTION OF DIRECTOR: LINDA A. MILLS	Management	For	For	
1L.	ELECTION OF DIRECTOR: SUZANNE NORA JOHNSON	Management	For	For	
1M.	ELECTION OF DIRECTOR: JOHN A. PAULSON	Management	For	For	
1N.	ELECTION OF DIRECTOR: RONALD A. RITTENMEYER	Management	For	For	
1O.	ELECTION OF DIRECTOR: DOUGLAS M. STEENLAND	Management	For	For	

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1P.	ELECTION OF DIRECTOR: THERESA M. STONE	Management	For	For
2.	TO VOTE, ON A NON-BINDING ADVISORY BASIS, TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
3.	TO ACT UPON A PROPOSAL TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS AIG'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	1,400	0	30-Mar-2016	12-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	13,800	0	30-Mar-2016	12-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	15,900	0	30-Mar-2016	12-May-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	100	6,300	30-Mar-2016	12-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	100	59,500	30-Mar-2016	12-May-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	7,900	145,000	30-Mar-2016	12-May-2016
997AL53		997AL53	69,200	0	30-Mar-2016	12-May-2016

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	GLO MS PLEDGE	STATE STREET BANK & TRUST CO				
997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	45,700	0	30-Mar-2016	12-May-2016
997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	20,000	0	30-Mar-2016	12-May-2016

ALEXION PHARMACEUTICALS, INC.

Security	015351109	Meeting Type	Annual
Ticker Symbol	ALXN	Meeting Date	11-May-2016
ISIN	US0153511094	Agenda	934360859 - Management
Record Date	15-Mar-2016	Holding Recon Date	15-Mar-2016
City / Country	/ United States	Vote Deadline Date	10-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LEONARD BELL	Management	For	For
1B.	ELECTION OF DIRECTOR: FELIX BAKER	Management	For	For
1C.	ELECTION OF DIRECTOR: DAVID R. BRENNAN	Management	For	For
1D.	ELECTION OF DIRECTOR: M. MICHELE BURNS	Management	For	For
1E.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN	Management	For	For
1F.	ELECTION OF DIRECTOR: DAVID L. HALLAL	Management	For	For
1G.	ELECTION OF DIRECTOR: JOHN T. MOLLEN	Management	For	For
1H.	ELECTION OF DIRECTOR: R. DOUGLAS NORBY	Management	For	For

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1I.	ELECTION OF DIRECTOR: ALVIN S. PARVEN	Management	For	For
1J.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management	For	For
1K.	ELECTION OF DIRECTOR: ANN M. VENEMAN	Management	For	For
2.	APPROVAL OF A NON-BINDING ADVISORY VOTE OF THE 2015 COMPENSATION PAID TO ALEXION'S NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	RATIFICATION OF APPOINTMENT BY BOARD OF PRICEWATERHOUSECOOPERS LLP AS ALEXION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
4.	TO REQUEST THE BOARD TO AMEND ALEXION'S GOVERNING DOCUMENTS TO GIVE SHAREHOLDERS OWNING 10% OF ALEXION STOCK THE POWER TO CALL A SPECIAL MEETING.	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	1,700	0	01-Apr-2016	12-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	2,800	0	01-Apr-2016	12-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	7,000	0	01-Apr-2016	12-May-2016
CARTER'S INC.						

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Security	146229109	Meeting Type	Annual
Ticker Symbol	CRI	Meeting Date	11-May-2016
ISIN	US1462291097	Agenda	934376650 - Management
Record Date	21-Mar-2016	Holding Recon Date	21-Mar-2016
City / Country	/ United States	Vote Deadline Date	10-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF CLASS I DIRECTOR: VANESSA J. CASTAGNA	Management	For	For
1B.	ELECTION OF CLASS I DIRECTOR: WILLIAM J. MONTGORIS	Management	For	For
1C.	ELECTION OF CLASS I DIRECTOR: DAVID PULVER	Management	For	For
2.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATION.	Management	For	For
3.	APPROVAL OF THE COMPANY'S AMENDED AND RESTATED EQUITY INCENTIVE PLAN.	Management	For	For
4.	APPROVAL OF THE COMPANY'S AMENDED AND RESTATED ANNUAL INCENTIVE COMPENSATION PLAN.	Management	For	For
5.	RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	100	11,600	08-Apr-2016	12-May-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	400	51,500	08-Apr-2016	12-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	48,000	08-Apr-2016	12-May-2016

RADIAN GROUP INC.

Security	750236101	Meeting Type	Annual
Ticker Symbol	RDN	Meeting Date	11-May-2016
ISIN	US7502361014	Agenda	934386156 - Management
Record Date	16-Mar-2016	Holding Recon Date	16-Mar-2016
City / Country	/ United States	Vote Deadline Date	10-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A)	ELECTION OF DIRECTOR: HERBERT WENDER	Management	For	For
1B)	ELECTION OF DIRECTOR: DAVID C. CARNEY	Management	For	For
1C)	ELECTION OF DIRECTOR: HOWARD B. CULANG	Management	For	For
1D)	ELECTION OF DIRECTOR: LISA W. HESS	Management	For	For
1E)	ELECTION OF DIRECTOR: STEPHEN T. HOPKINS	Management	For	For
1F)	ELECTION OF DIRECTOR: SANFORD A. IBRAHIM	Management	For	For
1G)	ELECTION OF DIRECTOR: BRIAN D. MONTGOMERY	Management	For	For
1H)	ELECTION OF DIRECTOR: GAETANO MUZIO	Management	For	For
1I)		Management	For	For



ELECTION OF DIRECTOR:  
GREGORY V. SERIO

- |     |   |            |     |     |
|-----|---|------------|-----|-----|
| 1J) | ELECTION OF DIRECTOR:<br>NOEL J. SPIEGEL  | Management | For | For |
| 2.  | TO APPROVE, BY AN<br>ADVISORY, NON-BINDING<br>VOTE, THE OVERALL<br>COMPENSATION OF<br>RADIAN'S NAMED<br>EXECUTIVE OFFICERS.               | Management | For | For |
| 3.  | APPROVE AMENDMENT<br>TO CERTIFICATE OF<br>INCORPORATION TO<br>ALLOW STOCKHOLDERS<br>TO REMOVE DIRECTORS<br>WITH OR WITHOUT<br>CAUSE.      | Management | For | For |
| 4.  | RE-APPROVE THE<br>AMENDMENT TO THE<br>CERTIFICATE OF<br>INCORPORATION<br>RELATING TO RADIAN'S<br>TAX BENEFIT<br>PRESERVATION<br>STRATEGY. | Management | For | For |
| 5.  | TO RE-APPROVE THE<br>RADIAN GROUP INC. TAX<br>BENEFIT PRESERVATION<br>PLAN, AS AMENDED.   | Management | For | For |
| 6.  | RATIFY APPOINTMENT OF<br>PRICEWATERHOUSECOOPERS<br>AS INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>FISCAL 2016.             | Management | For | For |

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	8,100	50,000	13-Apr-2016	12-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET	29,200	71,000	13-Apr-2016	12-May-2016

		BANK & TRUST CO				
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	22,100	218,000	13-Apr-2016	12-May-2016

## APACHE CORPORATION

Security	037411105	Meeting Type	Annual
Ticker Symbol	APA	Meeting Date	12-May-2016
ISIN	US0374111054	Agenda	934348562 - Management
Record Date	14-Mar-2016	Holding Recon Date	14-Mar-2016
City / Country	/ United States	Vote Deadline Date	11-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	ELECTION OF DIRECTOR: ANNELL R. BAY	Management	For	For
2.	ELECTION OF DIRECTOR: JOHN J. CHRISTMANN IV	Management	For	For
3.	ELECTION OF DIRECTOR: CHANSOO JOUNG	Management	For	For
4.	ELECTION OF DIRECTOR: WILLIAM C. MONTGOMERY	Management	For	For
5.	RATIFICATION OF ERNST & YOUNG LLP AS APACHE'S INDEPENDENT AUDITORS	Management	For	For
6.	ADVISORY VOTE TO APPROVE COMPENSATION OF APACHE'S NAMED EXECUTIVE OFFICERS	Management	For	For
7.	APPROVAL OF APACHE'S 2016 OMNIBUS COMPENSATION PLAN	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	16,400	0	29-Mar-2016	13-May-2016
997AL28	GLQ	997AL28	STATE STREET BANK & TRUST CO	26,800	0	29-Mar-2016	13-May-2016
997AL29	GLO	997AL29	STATE STREET BANK & TRUST CO	67,700	0	29-Mar-2016	13-May-2016

ARES CAPITAL CORPORATION

Security	04010L103	Meeting Type	Annual
Ticker Symbol	ARCC	Meeting Date	12-May-2016
ISIN	US04010L1035	Agenda	934358739 - Management
Record Date	04-Mar-2016	Holding Recon Date	04-Mar-2016
City / Country	/ United States	Vote Deadline Date	11-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF DIRECTOR: R. KIPP DEVEER	Management	For	For
1.2	ELECTION OF DIRECTOR: DANIEL G. KELLY, JR.	Management	For	For
1.3	ELECTION OF DIRECTOR: ERIC B. SIEGEL	Management	For	For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	190,400	0	26-Mar-2016	13-May-2016

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		STATE STREET BANK & TRUST CO				
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	137,300	0	26-Mar-2016	13-May-2016
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	370,200	0	26-Mar-2016	13-May-2016

ARES CAPITAL CORPORATION

Security	04010L103	Meeting Type	Special
Ticker Symbol	ARCC	Meeting Date	12-May-2016
ISIN	US04010L1035	Agenda	934367954 - Management
Record Date	04-Mar-2016	Holding Recon Date	04-Mar-2016
City / Country	/ United States	Vote Deadline Date	11-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN CURRENT NET ASSET VALUE PER SHARE SUBJECT TO THE LIMITATIONS SET FORTH IN THE PROXY STATEMENT FOR THE SPECIAL MEETING OF STOCKHOLDERS ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL)	Management	For	For

Account Name Custodian Vote Date

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Account Number		Internal Account	Ballot Shares	Unavailable Shares		Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	190,400	0	01-Apr-2016	13-May-2016
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	137,300	0	01-Apr-2016	13-May-2016
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	370,200	0	01-Apr-2016	13-May-2016

KURA ONCOLOGY, INC.

Security	50127T109	Meeting Type	Annual
Ticker Symbol	KURA	Meeting Date	12-May-2016
ISIN	US50127T1097	Agenda	934376294 - Management
Record Date	17-Mar-2016	Holding Recon Date	17-Mar-2016
City / Country	/ United States	Vote Deadline Date	11-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 TROY E. WILSON, PHD, JD		For	For
	2 FAHEEM HASNAIN		For	For
	3 ROBERT E. HOFFMAN		For	For
	4 THOMAS MALLEY		For	For
2.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

3. TO APPROVE AN AMENDMENT TO OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS SET FORTH IN THE PROXY STATEMENT.

Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	69,700	0	07-Apr-2016	13-May-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	116,800	0	07-Apr-2016	13-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	288,200	0	07-Apr-2016	13-May-2016

ALLISON TRANSMISSION HOLDINGS, INC.

Security	01973R101	Meeting Type	Annual
Ticker Symbol	ALSN	Meeting Date	12-May-2016
ISIN	US01973R1014	Agenda	934381029 - Management
Record Date	28-Mar-2016	Holding Recon Date	28-Mar-2016
City / Country	/ United States	Vote Deadline Date	11-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: DAVID F. DENISON TO SERVE UNTIL 2017 ANNUAL MEETING IS PROPOSAL 4 IS APPROVED OR ELSE UNTIL 2019 ANNUAL MEETING IF NOT APPROVED.	Management	For	For
1B.	ELECTION OF DIRECTOR:	Management	For	For

DAVID C. EVERITT TO  
SERVE UNTIL 2017  
ANNUAL MEETING IS  
PROPOSAL 4 IS APPROVED  
OR ELSE UNTIL 2019  
ANNUAL MEETING IF NOT  
APPROVED.

ELECTION OF DIRECTOR:  
JAMES A. STAR TO SERVE  
UNTIL 2017 ANNUAL  
MEETING IS PROPOSAL 4  
IS APPROVED OR ELSE  
UNTIL 2019 ANNUAL  
MEETING IF NOT  
APPROVED.

1C.	Management	For	For
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TO RATIFY THE  
APPOINTMENT OF  
PRICEWATERHOUSECOOPERS  
LLP AS OUR  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016.

2.	Management	For	For
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AN ADVISORY  
NON-BINDING VOTE TO  
APPROVE THE  
COMPENSATION PAID TO  
OUR NAMED EXECUTIVE  
OFFICERS.

3.	Management	For	For
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TO APPROVE AN  
AMENDMENT TO OUR  
SECOND AMENDED AND  
RESTATE CERTIFICATE  
OF INCORPORATION TO  
DECLASSIFY OUR BOARD  
AND TO PROVIDE FOR  
ANNUAL ELECTION OF  
ALL DIRECTORS.

4.	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	61,041	0	09-Apr-2016	13-May-2016

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997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	101,477	0	09-Apr-2016	13-May-2016
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997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	253,110	0	09-Apr-2016	13-May-2016
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AVENUE INCOME CREDIT STRATEGIES FUND

Security	05358E106	Meeting Type	Annual
Ticker Symbol	ACP	Meeting Date	12-May-2016
ISIN	US05358E1064	Agenda	934381865 - Management
Record Date	23-Mar-2016	Holding Recon Date	23-Mar-2016
City / Country	/ United States	Vote Deadline Date	11-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MS. JULIE DIEN LEDOUX		For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	25,200	0	09-Apr-2016	13-May-2016

CYS INVESTMENTS, INC

Security	12673A108	Meeting Type	Annual
Ticker Symbol	CYS	Meeting Date	13-May-2016
ISIN	US12673A1088	Agenda	934363920 - Management
Record Date	10-Mar-2016	Holding Recon Date	10-Mar-2016
City / Country	/ United States	Vote Deadline Date	12-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote
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				For/Against Management
1.	DIRECTOR	Management		
	1 KEVIN E. GRANT		For	For
	2 TANYA S. BEDER		For	For
	3 KAREN HAMMOND		For	For
	4 JEFFREY P. HUGHES		For	For
	5 STEPHEN P. JONAS		For	For
	R.A.			
	6 REDLINGSHAFFER, JR.		For	For
	7 DALE A. REISS		For	For
	8 JAMES A. STERN		For	For
	9 DAVID A. TYSON, PHD		For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	TO CONSIDER AND ACT UPON A PROPOSAL TO RATIFY, CONFIRM AND APPROVE THE SELECTION OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	152,000	0	31-Mar-2016	16-May-2016

WHITING PETROLEUM CORPORATION

Security	966387102	Meeting Type	Annual
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Ticker Symbol WLL			Meeting Date		17-May-2016
ISIN US9663871021			Agenda		934357422 - Management
Record Date 22-Mar-2016			Holding Recon Date		22-Mar-2016
City /	Country	/ United States	Vote Deadline Date		16-May-2016
SEDOL(s)			Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management	
1.	DIRECTOR	Management			
	1 THOMAS L. ALLER		For	For	
	2 JAMES E. CATLIN		For	For	
	3 MICHAEL B. WALEN		For	For	
2.	APPROVAL OF ADVISORY RESOLUTION ON COMPENSATION OF NAMED EXECUTIVE OFFICERS.	Management	For	For	
3.	RATIFICATION OF APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.	Management	For	For	
4.	ADOPTION AND APPROVAL OF AMENDMENT TO RESTATED CERTIFICATE OF INCORPORATION TO DECLASSIFY OUR BOARD OF DIRECTORS AND PROVIDE FOR ANNUAL ELECTIONS OF DIRECTORS.	Management	For	For	
5.	ADOPTION AND APPROVAL OF AMENDMENT TO RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK.	Management	For	For	

6. APPROVAL OF  
AMENDMENT AND  
RESTATEMENT TO  
WHITING PETROLEUM  
CORPORATION 2013  
EQUITY INCENTIVE PLAN.

Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	16,000	0	05-Apr-2016	18-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	26,000	0	05-Apr-2016	18-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	65,700	0	05-Apr-2016	18-May-2016

MORGAN STANLEY

Security	617446448	Meeting Type	Annual
Ticker Symbol	MS	Meeting Date	17-May-2016
ISIN	US6174464486	Agenda	934366673 - Management
Record Date	21-Mar-2016	Holding Recon Date	21-Mar-2016
City / Country	United States	Vote Deadline Date	16-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ERSKINE B. BOWLES	Management	For	For
1B.	ELECTION OF DIRECTOR: ALISTAIR DARLING	Management	For	For
1C.	ELECTION OF DIRECTOR: THOMAS H. GLOCER	Management	For	For
1D.	ELECTION OF DIRECTOR: JAMES P. GORMAN	Management	For	For
1E.		Management	For	For

ELECTION OF DIRECTOR:  
ROBERT H. HERZ

1F.	ELECTION OF DIRECTOR: NOBUYUKI HIRANO	Management	For	For
1G.	ELECTION OF DIRECTOR: KLAUS KLEINFELD	Management	For	For
1H.	ELECTION OF DIRECTOR: JAMI MISCIK	Management	For	For
1I.	ELECTION OF DIRECTOR: DONALD T. NICOLAISEN	Management	For	For
1J.	ELECTION OF DIRECTOR: HUTHAM S. OLAYAN	Management	For	For
1K.	ELECTION OF DIRECTOR: JAMES W. OWENS	Management	For	For
1L.	ELECTION OF DIRECTOR: RYOSUKE TAMAKOSHI	Management	For	For
1M.	ELECTION OF DIRECTOR: PERRY M. TRAQUINA	Management	For	For
1N.	ELECTION OF DIRECTOR: RAYFORD WILKINS, JR.	Management	For	For
2.	TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS INDEPENDENT AUDITOR	Management	For	For
3.	TO APPROVE THE COMPENSATION OF EXECUTIVES AS DISCLOSED IN THE PROXY STATEMENT (NON- BINDING ADVISORY RESOLUTION)	Management	For	For
4.	TO APPROVE THE AMENDMENT OF THE 2007 EQUITY INCENTIVE COMPENSATION PLAN TO INCREASE THE NUMBER OF AUTHORIZED SHARES AND ADD PERFORMANCE MEASURES FOR CERTAIN AWARDS	Management	For	For
5.	SHAREHOLDER PROPOSAL REGARDING A CHANGE IN	Shareholder	Against	For

THE TREATMENT OF  
ABSTENTIONS FOR  
PURPOSES OF  
VOTE-COUNTING

SHAREHOLDER PROPOSAL  
REGARDING A POLICY TO  
PROHIBIT VESTING OF  
DEFERRED EQUITY  
AWARDS FOR SENIOR  
EXECUTIVES WHO RESIGN  
TO ENTER GOVERNMENT  
SERVICE

6.

Shareholder

Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	7,400	0	02-Apr-2016	18-May-2016
997AL28	GLQ	997AL28	STATE STREET BANK & TRUST CO	12,100	0	02-Apr-2016	18-May-2016
997AL29	GLO	997AL29	STATE STREET BANK & TRUST CO	30,600	0	02-Apr-2016	18-May-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	1,300	78,087	02-Apr-2016	18-May-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	600	131,596	02-Apr-2016	18-May-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	12,613	319,000	02-Apr-2016	18-May-2016

JPMORGAN CHASE &amp; CO.

Security 46625H100

Meeting Type

Annual

Ticker Symbol JPM

Meeting Date

17-May-2016

ISIN US46625H1005

Agenda

934367257 - Management

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Record Date	18-Mar-2016	Holding Recon Date	18-Mar-2016
City / Country / United States		Vote Deadline Date	16-May-2016
SEDOL(s)		Quick Code	
Item	Proposal	Proposed by	Vote For/Against Management
1A.	ELECTION OF DIRECTOR: LINDA B. BAMMANN	Management	For For
1B.	ELECTION OF DIRECTOR: JAMES A. BELL	Management	For For
1C.	ELECTION OF DIRECTOR: CRANDALL C. BOWLES	Management	For For
1D.	ELECTION OF DIRECTOR: STEPHEN B. BURKE	Management	For For
1E.	ELECTION OF DIRECTOR: JAMES S. CROWN	Management	For For
1F.	ELECTION OF DIRECTOR: JAMES DIMON	Management	For For
1G.	ELECTION OF DIRECTOR: TIMOTHY P. FLYNN	Management	For For
1H.	ELECTION OF DIRECTOR: LABAN P. JACKSON, JR.	Management	For For
1I.	ELECTION OF DIRECTOR: MICHAEL A. NEAL	Management	For For
1J.	ELECTION OF DIRECTOR: LEE R. RAYMOND	Management	For For
1K.	ELECTION OF DIRECTOR: WILLIAM C. WELDON	Management	For For
2.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management	For For
3.	RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management	For For
4.	INDEPENDENT BOARD CHAIRMAN - REQUIRE AN INDEPENDENT CHAIR	Shareholder	Against For
5.	HOW VOTES ARE COUNTED - COUNT VOTES	Shareholder	Against For

USING ONLY FOR AND  
AGAINST AND IGNORE  
ABSTENTIONS

VESTING FOR  
GOVERNMENT SERVICE  
-PROHIBIT VESTING OF  
EQUITY-BASED AWARDS  
FOR SENIOR EXECUTIVES  
DUE TO VOLUNTARY  
RESIGNATION TO ENTER  
GOVERNMENT SERVICE

6. Shareholder Against For

APPOINT A  
STOCKHOLDER VALUE  
COMMITTEE - ADDRESS  
WHETHER DIVESTITURE  
OF ALL NON- CORE  
BANKING BUSINESS  
SEGMENTS WOULD  
ENHANCE SHAREHOLDER  
VALUE

7. Shareholder Against For

CLAWBACK AMENDMENT  
- DEFER COMPENSATION  
FOR 10 YEARS TO HELP  
SATISFY ANY MONETARY  
PENALTY ASSOCIATED  
WITH VIOLATION OF LAW

8. Shareholder Against For

EXECUTIVE  
COMPENSATION  
PHILOSOPHY - ADOPT A  
BALANCED EXECUTIVE  
COMPENSATION  
PHILOSOPHY WITH  
SOCIAL FACTORS TO  
IMPROVE THE FIRM'S  
ETHICAL CONDUCT AND  
PUBLIC REPUTATION

9. Shareholder Against For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	100	18,100	08-Apr-2016	18-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET	100	30,200	08-Apr-2016	18-May-2016

997AL49	GLO BNP PLEDGE	997AL49	BANK & TRUST CO  STATE STREET BANK & TRUST CO	200	75,000	08-Apr-2016	18-May-2016
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## COMCAST CORPORATION

Security	20030N101	Meeting Type	Annual
Ticker Symbol	CMCSA	Meeting Date	19-May-2016
ISIN	US20030N1019	Agenda	934357460 - Management
Record Date	10-Mar-2016	Holding Recon Date	10-Mar-2016
City / Country	/ United States	Vote Deadline Date	18-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 KENNETH J. BACON		For	For
	2 MADELINE S. BELL		For	For
	3 SHELDON M. BONOVIKZ		For	For
	4 EDWARD D. BREEN		For	For
	5 JOSEPH J. COLLINS		For	For
	6 GERALD L. HASSELL		For	For
	7 JEFFREY A. HONICKMAN		For	For
	8 EDUARDO MESTRE		For	For
	9 BRIAN L. ROBERTS		For	For
	10 JOHNATHAN A. RODGERS		For	For
	11 DR. JUDITH RODIN		For	For
2.	RATIFICATION OF THE APPOINTMENT OF OUR INDEPENDENT AUDITORS	Management	For	For
3.	APPROVAL OF OUR AMENDED AND RESTATED 2002	Management	For	For



## RESTRICTED STOCK PLAN

4.	APPROVAL OF OUR AMENDED AND RESTATE 2003 STOCK OPTION PLAN	Management	For	For
5.	APPROVAL OF THE AMENDED AND RESTATE COMCAST CORPORATION 2002 EMPLOYEE STOCK PURCHASE PLAN	Management	For	For
6.	APPROVAL OF THE AMENDED AND RESTATE COMCAST- NBCUNIVERSAL 2011 EMPLOYEE STOCK PURCHASE PLAN	Management	For	For
7.	TO PROVIDE A LOBBYING REPORT	Shareholder	Against	For
8.	TO PROHIBIT ACCELERATED VESTING OF STOCK UPON A CHANGE IN CONTROL	Shareholder	Against	For
9.	TO REQUIRE AN INDEPENDENT BOARD CHAIRMAN	Shareholder	Against	For
10.	TO STOP 100-TO-ONE VOTING POWER	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	1,900	0	09-Apr-2016	20-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO	3,200	0	09-Apr-2016	20-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO	19,900	0	09-Apr-2016	20-May-2016

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997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	500	9,000	09-Apr-2016	20-May-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	100	26,700	09-Apr-2016	20-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	200	67,000	09-Apr-2016	20-May-2016
997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	9,500	0	09-Apr-2016	20-May-2016
997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	4,800	0	09-Apr-2016	20-May-2016

NORWEGIAN CRUISE LINE HOLDINGS LTD

Security	G66721104	Meeting Type	Annual
Ticker Symbol	NCLH	Meeting Date	19-May-2016
ISIN	BMG667211046	Agenda	934364439 - Management
Record Date	14-Mar-2016	Holding Recon Date	14-Mar-2016
City / Country	/ United States	Vote Deadline Date	18-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: STEVE MARTINEZ	Management	For	For
1B.	ELECTION OF DIRECTOR: FRANK J. DEL RIO	Management	For	For
1C.	ELECTION OF DIRECTOR: CHAD A. LEAT	Management	For	For
2.	APPROVAL, ON A NON-BINDING, ADVISORY BASIS, OF THE COMPENSATION OF OUR NAMED EXECUTIVE	Management	For	For

OFFICERS

RATIFICATION OF THE  
APPOINTMENT OF  
PRICEWATERHOUSECOOPERS  
LLP ("PWC") AS OUR  
INDEPENDENT  
REGISTERED CERTIFIED  
PUBLIC ACCOUNTING  
FIRM FOR THE YEAR  
ENDING DECEMBER 31,  
2016 AND THE  
DETERMINATION OF  
PWC'S REMUNERATION  
BY THE AUDIT  
COMMITTEE OF THE  
BOARD OF DIRECTORS

3.	Management	For	For
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APPROVAL OF AN  
AMENDMENT TO THE  
COMPANY'S 2013  
PERFORMANCE  
INCENTIVE PLAN (THE  
"PLAN"), INCLUDING AN  
INCREASE IN THE  
NUMBER OF SHARES  
AVAILABLE FOR GRANT  
UNDER THE PLAN

4.	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	12,000	0	08-Apr-2016	20-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO	19,600	0	08-Apr-2016	20-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO	49,300	0	08-Apr-2016	20-May-2016

CVS HEALTH CORPORATION

Security	126650100	Meeting Type	Annual
Ticker Symbol	CVS	Meeting Date	19-May-2016

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ISIN		US1266501006		Agenda		934366584 - Management	
Record Date		24-Mar-2016		Holding Recon Date		24-Mar-2016	
City /		Country / United States		Vote Deadline Date		18-May-2016	
SEDOL(s)				Quick Code			
Item	Proposal		Proposed by	Vote	For/Against Management		
1A.	ELECTION OF DIRECTOR: RICHARD M. BRACKEN		Management	For	For		
1B.	ELECTION OF DIRECTOR: C. DAVID BROWN II		Management	For	For		
1C.	ELECTION OF DIRECTOR: ALECIA A. DECOUDREAUX		Management	For	For		
1D.	ELECTION OF DIRECTOR: NANCY-ANN M. DEPARLE		Management	For	For		
1E.	ELECTION OF DIRECTOR: DAVID W. DORMAN		Management	For	For		
1F.	ELECTION OF DIRECTOR: ANNE M. FINUCANE		Management	For	For		
1G.	ELECTION OF DIRECTOR: LARRY J. MERLO		Management	For	For		
1H.	ELECTION OF DIRECTOR: JEAN-PIERRE MILLON		Management	For	For		
1I.	ELECTION OF DIRECTOR: RICHARD J. SWIFT		Management	For	For		
1J.	ELECTION OF DIRECTOR: WILLIAM C. WELDON		Management	For	For		
1K.	ELECTION OF DIRECTOR: TONY L. WHITE		Management	For	For		
2.	PROPOSAL TO RATIFY INDEPENDENT PUBLIC ACCOUNTING FIRM FOR 2016.		Management	For	For		
3.	SAY ON PAY - AN ADVISORY VOTE ON THE APPROVAL OF EXECUTIVE COMPENSATION.		Management	For	For		
4.	STOCKHOLDER PROPOSAL REGARDING A REPORT ON		Shareholder	Against	For		

ALIGNMENT OF  
CORPORATE VALUES AND  
POLITICAL  
CONTRIBUTIONS.

5. STOCKHOLDER PROPOSAL  
REGARDING A REPORT ON Shareholder  
EXECUTIVE PAY.

Against For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	100	9,000	08-Apr-2016	20-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	1,000	14,000	08-Apr-2016	20-May-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	6,300	31,100	08-Apr-2016	20-May-2016

TWO HARBORS INVESTMENT CORP.

Security	90187B101	Meeting Type	Annual
Ticker Symbol	TWO	Meeting Date	19-May-2016
ISIN	US90187B1017	Agenda	934366976 - Management
Record Date	24-Mar-2016	Holding Recon Date	24-Mar-2016
City / Country	/ United States	Vote Deadline Date	18-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: E. SPENCER ABRAHAM	Management	For	For
1B.	ELECTION OF DIRECTOR: JAMES J. BENDER	Management	For	For
1C.	ELECTION OF DIRECTOR: STEPHEN G. KASNET	Management	For	For
1D.	ELECTION OF DIRECTOR: LISA A. POLLINA	Management	For	For

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1E.	ELECTION OF DIRECTOR: WILLIAM ROTH	Management	For	For
1F.	ELECTION OF DIRECTOR: W. REID SANDERS	Management	For	For
1G.	ELECTION OF DIRECTOR: THOMAS E. SIERING	Management	For	For
1H.	ELECTION OF DIRECTOR: BRIAN C. TAYLOR	Management	For	For
1I.	ELECTION OF DIRECTOR: HOPE B. WOODHOUSE	Management	For	For
2.	ADVISORY VOTE ON THE COMPENSATION OF OUR EXECUTIVE OFFICERS.	Management	For	For
3.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP TO SERVE AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	69,300	0	01-Apr-2016	20-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO	44,900	0	01-Apr-2016	20-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO	113,500	0	01-Apr-2016	20-May-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	60,991	153,000	01-Apr-2016	20-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET	248,657	237,000	01-Apr-2016	20-May-2016

		BANK & TRUST CO				
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	2,622	1,210,000	01-Apr-2016	20-May-2016
997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	37,900	0	01-Apr-2016	20-May-2016

PIONEER NATURAL RESOURCES COMPANY

Security	723787107	Meeting Type	Annual
Ticker Symbol	PXD	Meeting Date	19-May-2016
ISIN	US7237871071	Agenda	934367500 - Management
Record Date	24-Mar-2016	Holding Recon Date	24-Mar-2016
City / Country	/ United States	Vote Deadline Date	18-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: EDISON C. BUCHANAN	Management	For	For
1B.	ELECTION OF DIRECTOR: ANDREW F. CATES	Management	For	For
1C.	ELECTION OF DIRECTOR: TIMOTHY L. DOVE	Management	For	For
1D.	ELECTION OF DIRECTOR: PHILLIP A. GOBE	Management	For	For
1E.	ELECTION OF DIRECTOR: LARRY R. GRILLOT	Management	For	For
1F.	ELECTION OF DIRECTOR: STACY P. METHVIN	Management	For	For
1G.	ELECTION OF DIRECTOR: ROYCE W. MITCHELL	Management	For	For
1H.	ELECTION OF DIRECTOR: FRANK A. RISCH	Management	For	For
1I.	ELECTION OF DIRECTOR: SCOTT D. SHEFFIELD	Management	For	For

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1J.	ELECTION OF DIRECTOR: MONA K. SUTPHEN	Management	For	For
1K.	ELECTION OF DIRECTOR: J. KENNETH THOMPSON	Management	For	For
1L.	ELECTION OF DIRECTOR: PHOEBE A. WOOD	Management	For	For
1M.	ELECTION OF DIRECTOR: MICHAEL D. WORTLEY	Management	For	For
2.	RATIFICATION OF SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016	Management	For	For
3.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION	Management	For	For
4.	APPROVAL OF THE AMENDED AND RESTATED 2006 LONG-TERM INCENTIVE PLAN	Management	For	For
5.	APPROVAL OF THE MATERIAL TERMS OF THE AMENDED AND RESTATED 2006 LONG-TERM INCENTIVE PLAN TO COMPLY WITH THE STOCKHOLDER APPROVAL REQUIREMENTS OF SECTION 162(M) OF THE INTERNAL REVENUE CODE	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	2,300	0	08-Apr-2016	20-May-2016



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997AL28	GLQ	997AL28	STATE STREET BANK & TRUST CO	3,900	0	08-Apr-2016	20-May-2016
997AL29	GLO	997AL29	STATE STREET BANK & TRUST CO	9,700	0	08-Apr-2016	20-May-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	900	13,100	08-Apr-2016	20-May-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	1,000	22,000	08-Apr-2016	20-May-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	10,230	47,000	08-Apr-2016	20-May-2016

CROWN CASTLE INTERNATIONAL CORP

Security	22822V101	Meeting Type	Annual
Ticker Symbol	CCI	Meeting Date	19-May-2016
ISIN	US22822V1017	Agenda	934367699 - Management
Record Date	28-Mar-2016	Holding Recon Date	28-Mar-2016
City / Country	/ United States	Vote Deadline Date	18-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: P. ROBERT BARTOLO	Management	For	For
1B.	ELECTION OF DIRECTOR: JAY A. BROWN	Management	For	For
1C.	ELECTION OF DIRECTOR: CINDY CHRISTY	Management	For	For
1D.	ELECTION OF DIRECTOR: ARI Q. FITZGERALD	Management	For	For
1E.	ELECTION OF DIRECTOR: ROBERT E. GARRISON II	Management	For	For

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1F.	ELECTION OF DIRECTOR: DALE N. HATFIELD	Management	For	For
1G.	ELECTION OF DIRECTOR: LEE W. HOGAN	Management	For	For
1H.	ELECTION OF DIRECTOR: EDWARD C. HUTCHESON, JR.	Management	For	For
1I.	ELECTION OF DIRECTOR: J. LANDIS MARTIN	Management	For	For
1J.	ELECTION OF DIRECTOR: ROBERT F. MCKENZIE	Management	For	For
1K.	ELECTION OF DIRECTOR: ANTHONY J. MELONE	Management	For	For
1L.	ELECTION OF DIRECTOR: W. BENJAMIN MORELAND	Management	For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR FISCAL YEAR 2016.	Management	For	For
3.	THE NON-BINDING, ADVISORY VOTE REGARDING THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	11,500	4,000	05-Apr-2016	20-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	18,600	0	05-Apr-2016	20-May-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	47,100	17,000	05-Apr-2016	20-May-2016

## TRUST CO

## STATE

## STREET

## BANK &amp;

## TRUST CO

997AL61	GLQ MS PLEDGE	997AL61	6,900	0	05-Apr-2016	20-May-2016
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## CONTINENTAL RESOURCES, INC.

Security	212015101	Meeting Type	Annual
Ticker Symbol	CLR	Meeting Date	19-May-2016
ISIN	US2120151012	Agenda	934369112 - Management
Record Date	24-Mar-2016	Holding Recon Date	24-Mar-2016
City / Country	/ United States	Vote Deadline Date	18-May-2016

## SEDOL(s)

## Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 HAROLD G. HAMM		For	For
	2 JOHN T. MCNABB, II		For	For
2.	RATIFICATION OF SELECTION OF GRANT THORNTON LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	SHAREHOLDER PROPOSAL REPORT ON STEPS TAKEN TO FOSTER BOARD GENDER DIVERSITY.	Shareholder	Against	For
4.	SHAREHOLDER PROPOSAL REPORT ON MONITORING AND MANAGING THE LEVEL OF METHANE EMISSIONS FROM OPERATIONS.	Shareholder	Against	For
5.	SHAREHOLDER PROPOSAL REPORT ON RESULTS OF POLICIES AND PRACTICES TO MINIMIZE THE IMPACT OF HYDRAULIC FRACTURING OPERATIONS.	Shareholder	Against	For

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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	2,200	0	08-Apr-2016	20-May-2016
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	3,600	0	08-Apr-2016	20-May-2016
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	9,100	0	08-Apr-2016	20-May-2016
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	37,100	0	08-Apr-2016	20-May-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	60,200	0	08-Apr-2016	20-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	152,400	0	08-Apr-2016	20-May-2016
WPX ENERGY, INC.						
Security	98212B103			Meeting Type		Annual
Ticker Symbol	WPX			Meeting Date		19-May-2016
ISIN	US98212B1035			Agenda		934371422 - Management
Record Date	28-Mar-2016			Holding Recon Date		28-Mar-2016
City / Country	/ United States			Vote Deadline Date		18-May-2016
SEDOL(s)				Quick Code		
Item	Proposal	Proposed by		Vote	For/Against Management	
1A.	ELECTION OF DIRECTOR: JOHN A. CARRIG	Management		For	For	
1B.		Management		For	For	

ELECTION OF DIRECTOR:  
WILLIAM R. GRANBERRY

1C.	ELECTION OF DIRECTOR: KELT KINDICK	Management	For	For
1D.	ELECTION OF DIRECTOR: KARL F. KURZ	Management	For	For
1E.	ELECTION OF DIRECTOR: HENRY E. LENTZ	Management	For	For
1F.	ELECTION OF DIRECTOR: WILLIAM G. LOWRIE	Management	For	For
1G.	ELECTION OF DIRECTOR: KIMBERLY S. LUBEL	Management	For	For
1H.	ELECTION OF DIRECTOR: DAVID F. WORK	Management	For	For
2.	SAY ON PAY - AN ADVISORY VOTE ON THE APPROVAL OF EXECUTIVE COMPENSATION.	Management	For	For
3.	PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE INDEPENDENT PUBLIC ACCOUNTING FIRM FOR THE COMPANY FOR THE YEAR ENDING DECEMBER 31, 2016.	Management	For	For
4.	STOCKHOLDER PROPOSAL REGARDING REPORTING OF COMPANY'S EFFORTS TO MONITOR AND MANAGE METHANE EMISSIONS.	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	120,100	0	07-Apr-2016	20-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	195,700	0	07-Apr-2016	20-May-2016

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997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	494,500	0	07-Apr-2016	20-May-2016
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ROYAL CARIBBEAN CRUISES LTD.

Security	V7780T103	Meeting Type	Annual
Ticker Symbol	RCL	Meeting Date	20-May-2016
ISIN	LR0008862868	Agenda	934374769 - Management
Record Date	30-Mar-2016	Holding Recon Date	30-Mar-2016
City / Country	/ United States	Vote Deadline Date	19-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JOHN F. BROCK	Management	For	For
1B.	ELECTION OF DIRECTOR: RICHARD D. FAIN	Management	For	For
1C.	ELECTION OF DIRECTOR: WILLIAM L. KIMSEY	Management	For	For
1D.	ELECTION OF DIRECTOR: MARITZA G. MONTIEL	Management	For	For
1E.	ELECTION OF DIRECTOR: ANN S. MOORE	Management	For	For
1F.	ELECTION OF DIRECTOR: EYAL M. OFER	Management	For	For
1G.	ELECTION OF DIRECTOR: THOMAS J. PRITZKER	Management	For	For
1H.	ELECTION OF DIRECTOR: WILLIAM K. REILLY	Management	For	For
1I.	ELECTION OF DIRECTOR: BERNT REITAN	Management	For	For
1J.	ELECTION OF DIRECTOR: VAGN O. SORENSEN	Management	For	For
1K.	ELECTION OF DIRECTOR: DONALD THOMPSON	Management	For	For
1L.	ELECTION OF DIRECTOR: ARNE ALEXANDER	Management	For	For

WILHELMSSEN

ADVISORY APPROVAL OF  
THE COMPANY'S  
COMPENSATION OF ITS  
NAMED EXECUTIVE  
OFFICERS.

2.	Management	For	For
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APPROVAL OF THE  
AMENDED AND  
RESTATE 2008 EQUITY  
INCENTIVE PLAN.

3.	Management	For	For
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RATIFICATION OF THE  
APPOINTMENT OF  
PRICEWATERHOUSECOOPERS  
LLP AS THE COMPANY'S  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016.

4.	Management	For	For
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THE SHAREHOLDER  
PROPOSAL REGARDING  
PROXY ACCESS SET  
FORTH IN THE  
ACCOMPANYING PROXY  
STATEMENT.

5.	Shareholder	Against	
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	7,200	0	09-Apr-2016	23-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO	11,800	0	09-Apr-2016	23-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO	29,800	0	09-Apr-2016	23-May-2016

SANCHEZ ENERGY CORP.

Security	79970Y105	Meeting Type	Annual
Ticker Symbol	SN	Meeting Date	24-May-2016
ISIN	US79970Y1055	Agenda	934398555 - Management

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Record Date 01-Apr-2016 Holding Recon Date 01-Apr-2016  
City / Country / United States Vote Deadline Date 23-May-2016  
SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 GILBERT A. GARCIA		For	For
	2 SEAN M. MAHER		For	For
2.	PROPOSAL TO AMEND THE COMPANY'S SECOND AMENDED AND RESTATED 2011 LONG TERM INCENTIVE PLAN (THE "PLAN") TO INCREASE THE NUMBER OF SHARES AVAILABLE FOR INCENTIVE AWARDS UNDER THE PLAN BY 5 MILLION ADDITIONAL SHARES OF COMMON STOCK	Management	For	For
3.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS	Management	For	For
4.	PROPOSAL TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2016 FISCAL YEAR	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	54,700	0	21-Apr-2016	25-May-2016
997AL28	GLQ	997AL28	89,300	0	21-Apr-2016	25-May-2016



STATE  
STREET  
BANK &  
TRUST CO

STATE  
STREET  
BANK &  
TRUST CO

997AL29 GLO 997AL29 225,700 0 21-Apr-2016 25-May-2016

TOTAL S.A.

Security 89151E109

Meeting Type Annual

Ticker Symbol TOT

Meeting Date 24-May-2016

ISIN US89151E1091

Agenda 934417797 - Management

Record Date 22-Apr-2016

Holding Recon Date 22-Apr-2016

City / Country / United States

Vote Deadline Date 18-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	APPROVAL OF THE PARENT COMPANY'S FINANCIAL STATEMENTS FOR THE 2015 FISCAL YEAR.	Management	For	For
2.	APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2015 FISCAL YEAR.	Management	For	For
3.	ALLOCATION OF EARNINGS, DECLARATION OF DIVIDEND AND OPTION FOR THE PAYMENT OF THE REMAINING DIVIDEND FOR THE 2015 FISCAL YEAR IN NEW SHARES.	Management	For	For
4.	OPTION FOR THE PAYMENT OF INTERIM DIVIDENDS FOR THE 2016 FISCAL YEAR IN NEW SHARES - DELEGATION OF POWERS TO THE BOARD OF DIRECTORS.	Management	For	For

5.	AUTHORIZATION FOR THE BOARD OF DIRECTORS TO TRADE IN SHARES OF THE COMPANY.	Management	For	For
6.	RENEWAL OF THE APPOINTMENT OF MR. GERARD LAMARCHE AS A DIRECTOR.	Management	For	For
7.	APPOINTMENT OF MS. MARIA VAN DER HOEVEN AS A DIRECTOR.	Management	For	For
8.	APPOINTMENT OF MR. JEAN LEMIERRE AS A DIRECTOR.	Management	For	For
9.	APPOINTMENT OF A DIRECTOR REPRESENTING EMPLOYEE SHAREHOLDERS (CANDIDATE: MS. RENATA PERYCZ).	Management	For	For
9A.	APPOINTMENT OF A DIRECTOR REPRESENTING EMPLOYEE SHAREHOLDERS (CANDIDATE: MR. CHARLES KELLER) (RESOLUTION NOT APPROVED BY THE BOARD).	Shareholder	Against	Against
9B.	APPOINTMENT OF A DIRECTOR REPRESENTING EMPLOYEE SHAREHOLDERS (CANDIDATE: MR. WERNER GUYOT) (RESOLUTION NOT APPROVED BY THE BOARD).	Shareholder	Against	Against
10.	RENEWAL OF THE APPOINTMENT OF ERNST AND YOUNG AUDIT AS STATUTORY AUDITORS.	Management	For	For
11.	RENEWAL OF THE APPOINTMENT OF KPMG S.A. AS STATUTORY	Management	For	For

AUDITORS.

12.	RENEWAL OF THE APPOINTMENT OF AUDITEX AS AN ALTERNATE AUDITOR.	Management	For	For
13.	APPOINTMENT OF SALUSTRO REYDEL S.A. AS AN ALTERNATE AUDITOR	Management	For	For
14.	AGREEMENT COVERED BY ARTICLE L. 225-38 OF THE FRENCH COMMERCIAL CODE CONCERNING MR. THIERRY DESMAREST.	Management	For	For
15.	COMMITMENTS UNDER ARTICLE L. 225-42-1 OF THE FRENCH COMMERCIAL CODE CONCERNING MR. PATRICK POUYANNE.	Management	For	For
16.	ADVISORY OPINION ON THE ELEMENTS OF COMPENSATION DUE OR GRANTED FOR THE FISCAL YEAR ENDED DECEMBER 31, 2015 TO MR. THIERRY DESMAREST.	Management	For	For
17.	ADVISORY OPINION ON THE ELEMENTS OF COMPENSATION DUE OR GRANTED FOR THE FISCAL YEAR ENDED DECEMBER 31, 2015 TO MR. PATRICK POUYANNE, CHIEF EXECUTIVE OFFICER UNTIL DECEMBER 18, 2015 AND CHAIRMAN AND CHIEF EXECUTIVE OFFICER SINCE DECEMBER 19, 2015.	Management	For	For
18.	DELEGATION OF AUTHORITY GRANTED TO THE BOARD OF DIRECTORS TO INCREASE	Management	For	For

THE SHARE CAPITAL BY  
ISSUING COMMON  
SHARES AND/OR ANY  
SECURITIES PROVIDING  
ACCESS TO THE  
COMPANY'S SHARE  
CAPITAL WHILE  
MAINTAINING  
SHAREHOLDERS'  
PREFERENTIAL  
SUBSCRIPTION RIGHTS OR  
BY CAPITALIZING  
PREMIUMS, RESERVES,  
SURPLUSES OR OTHER  
LINE ITEMS.

DELEGATION OF  
AUTHORITY GRANTED TO  
THE BOARD OF  
DIRECTORS TO INCREASE  
THE SHARE CAPITAL BY  
ISSUING COMMON  
SHARES OR ANY  
SECURITIES PROVIDING  
ACCESS TO SHARE  
CAPITAL WITHOUT  
PREFERENTIAL  
SUBSCRIPTION RIGHTS.

19.

Management

For

For

DELEGATION OF  
AUTHORITY GRANTED TO  
THE BOARD OF  
DIRECTORS TO ISSUE, BY  
AN OFFER UNDER  
ARTICLE L. 411-2 II OF THE  
FRENCH MONETARY AND  
FINANCIAL CODE, NEW  
COMMON SHARES AND  
ANY SECURITIES  
PROVIDING ACCESS TO  
THE COMPANY'S SHARE  
CAPITAL, WITHOUT  
PREFERENTIAL  
SUBSCRIPTION RIGHTS.

20.

Management

For

For

DELEGATION OF  
AUTHORITY GRANTED TO  
THE BOARD OF  
DIRECTORS IN THE CASE  
OF A SHARE CAPITAL  
INCREASE WITHOUT

21.

Management

For

For

PREFERENTIAL  
SUBSCRIPTION RIGHTS IN  
ORDER TO INCREASE THE  
NUMBER OF SECURITIES  
TO BE ISSUED.

DELEGATION OF POWERS  
GRANTED TO THE BOARD  
OF DIRECTORS TO  
INCREASE THE SHARE  
CAPITAL BY ISSUING  
COMMON SHARES OR  
ANY SECURITIES  
PROVIDING ACCESS TO  
SHARE CAPITAL IN

- |     |   |            |     |     |
|-----|---|------------|-----|-----|
| 22. | PAYMENT OF SECURITIES<br>THAT WOULD BE<br>CONTRIBUTED TO THE<br>COMPANY, WHICH<br>ENTAILS SHAREHOLDERS'<br>WAIVER OF THEIR<br>PREEMPTIVE RIGHT TO<br>SUBSCRIBE THE SHARES<br>ISSUED TO REMUNERATE<br>IN-KIND CONTRIBUTIONS. | Management | For | For |
|-----|---|------------|-----|-----|

DELEGATION OF  
AUTHORITY GRANTED TO  
THE BOARD OF  
DIRECTORS TO INCREASE  
THE SHARE CAPITAL  
UNDER THE CONDITIONS  
PROVIDED IN ARTICLES L.  
3332-18 AND FOLLOWING

- |     |   |            |     |     |
|-----|---|------------|-----|-----|
| 23. | OF THE FRENCH LABOR<br>CODE, WHICH ENTAILS<br>SHAREHOLDERS' WAIVER<br>OF THEIR PREEMPTIVE<br>RIGHT TO SUBSCRIBE THE<br>SHARES ISSUED DUE TO<br>THE SUBSCRIPTION OF<br>SHARES BY GROUP<br>EMPLOYEES. | Management | For | For |
|-----|---|------------|-----|-----|

- |     |  |            |     |     |
|-----|--|------------|-----|-----|
| 24. | AUTHORIZATION<br>GRANTED TO THE BOARD<br>OF DIRECTORS FOR A<br>38-MONTH PERIOD TO<br>GRANT RESTRICTED<br>SHARES OF THE<br>COMPANY (EXISTING OR | Management | For | For |
|-----|--|------------|-----|-----|

TO BE ISSUED) TO SOME  
OR ALL EMPLOYEES AND  
EXECUTIVE DIRECTORS  
OF THE GROUP, AND  
UNDER WHICH ENTAILS  
SHAREHOLDERS WAIVE  
THEIR PREEMPTIVE RIGHT  
TO SUBSCRIBE SHARES  
ISSUED IN FAVOR OF THE  
BENEFICIARIES OF SUCH  
SHARE ALLOCATIONS.

AUTHORIZATION  
GRANTED TO THE BOARD  
OF DIRECTORS FOR A  
38-MONTH PERIOD TO  
AUTHORIZE SHARE  
SUBSCRIPTION OR SHARE  
PURCHASE OPTIONS TO  
CERTAIN EMPLOYEES

25.	AND EXECUTIVE DIRECTORS OF THE GROUP, AND UNDER WHICH SHAREHOLDERS WAIVE THEIR PREEMPTIVE RIGHT TO SUBSCRIBE SHARES ISSUED UNDER STOCK OPTIONS.	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	12,000	0	05-May-2016	20-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	19,600	0	05-May-2016	20-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	49,500	0	05-May-2016	20-May-2016
PENNYMAC MORTGAGE INVESTMENT TRUST						
Security	70931T103			Meeting Type	Annual	

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Ticker Symbol	PMT	Meeting Date	25-May-2016
ISIN	US70931T1034	Agenda	934373250 - Management
Record Date	28-Mar-2016	Holding Recon Date	28-Mar-2016
City / Country	/ United States	Vote Deadline Date	24-May-2016

SEDOL(s)	Quick Code
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Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 SCOTT W. CARNAHAN		For	For
	2 FRANK P. WILLEY		For	For
2.	TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	TO APPROVE, BY NON-BINDING VOTE, OUR EXECUTIVE COMPENSATION.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	7,514	72,000	08-Apr-2016	26-May-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	100	133,988	08-Apr-2016	26-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	332,191	08-Apr-2016	26-May-2016

TEAM HEALTH HOLDINGS, INC.

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Security	87817A107	Meeting Type	Annual
Ticker Symbol	TMH	Meeting Date	25-May-2016
ISIN	US87817A1079	Agenda	934381055 - Management
Record Date	28-Mar-2016	Holding Recon Date	28-Mar-2016
City / Country	/ United States	Vote Deadline Date	24-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: H. LYNN MASSINGALE, M.D.	Management	For	For
1B.	ELECTION OF DIRECTOR: MICHAEL D. SNOW	Management	For	For
1C.	ELECTION OF DIRECTOR: EDWIN M. CRAWFORD	Management	For	For
1D.	ELECTION OF DIRECTOR: SCOTT OSTFELD	Management	For	For
2.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT PUBLIC ACCOUNTING FIRM FOR 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	6,600	0	12-Apr-2016	26-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	11,000	0	12-Apr-2016	26-May-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO	27,000	0	12-Apr-2016	26-May-2016

LIBERTY BROADBAND CORPORATION



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Security	530307107	Meeting Type	Annual
Ticker Symbol	LBRDA	Meeting Date	25-May-2016
ISIN	US5303071071	Agenda	934382463 - Management
Record Date	05-Apr-2016	Holding Recon Date	05-Apr-2016
City / Country	/ United States	Vote Deadline Date	24-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 GREGORY B. MAFFEI		For	For
	2 RICHARD R. GREEN		For	For
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	7,303	5,225	16-Apr-2016	26-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	11,767	10,325	16-Apr-2016	26-May-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	29,739	22,275	16-Apr-2016	26-May-2016

KERYX BIOPHARMACEUTICALS, INC.

Security	492515101	Meeting Type	Annual
Ticker Symbol	KERX	Meeting Date	25-May-2016
ISIN	US4925151015	Agenda	934385293 - Management

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Record Date	31-Mar-2016		Holding Recon Date	31-Mar-2016	
City /	Country	/ United States	Vote Deadline Date	24-May-2016	
SEDOL(s)			Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management	
1.	DIRECTOR	Management			
	1 KEVIN J. CAMERON		For	For	
	2 JOHN P. BUTLER		For	For	
	3 STEVE C. GILMAN		For	For	
	4 GREGORY P. MADISON		For	For	
	5 DANIEL P. REGAN		For	For	
	6 MICHAEL ROGERS		For	For	
2.	THE RATIFICATION OF APPOINTMENT OF UHY LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016.	Management	For	For	
3.	THE APPROVAL OF THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS AS DISCLOSED IN OUR PROXY STATEMENT.	Management	For	For	
4.	THE APPROVAL OF AN AMENDMENT TO OUR CERTIFICATE OF INCORPORATION TO INCREASE OUR AUTHORIZED SHARE CAPITAL BY 50,000,000 SHARES OF COMMON STOCK.	Management	For	For	
5.	THE APPROVAL OF OUR AMENDED AND RESTATED 2013 INCENTIVE PLAN TO INCREASE THE NUMBER OF AUTHORIZED SHARES	Management	For	For	

ISSUABLE THEREUNDER  
FROM 9,500,000 TO  
18,000,000 AND TO  
INSTITUTE A CAP ON  
DIRECTOR EQUITY  
COMPENSATION.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	21,200	0	16-Apr-2016	26-May-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	35,400	0	16-Apr-2016	26-May-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	87,500	0	16-Apr-2016	26-May-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	100	84,500	16-Apr-2016	26-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	100	141,400	16-Apr-2016	26-May-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	100,200	250,000	16-Apr-2016	26-May-2016

CHINA MOBILE LIMITED, HONG KONG

Security	Y14965100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	26-May-2016
ISIN	HK0941009539	Agenda	706912210 - Management
Record Date	19-May-2016	Holding Recon Date	19-May-2016
City / Country	HONG KONG / Hong Kong	Vote Deadline Date	12-May-2016
SEDOL(s)	5563575 - 6073556 -	Quick Code	

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B01DDV9 -  
B162JB0 - BP3RPD0  
- BRTM834

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE.	Non-Voting		
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0411/LTN20160411659.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0411/LTN20160411659.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0411/LTN20160411647.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0411/LTN20160411647.pdf</a>	Non-Voting		
1	TO RECEIVE AND CONSIDER THE AUDITED FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS AND AUDITORS OF THE COMPANY AND ITS SUBSIDIARIES FOR THE YEAR ENDED 31 DECEMBER 2015	Management	For	For
2	TO DECLARE A FINAL DIVIDEND FOR THE YEAR ENDED 31 DECEMBER 2015: HKD 1.196 PER SHARE	Management	For	For
3.I	TO RE-ELECT THE FOLLOWING PERSON AS EXECUTIVE DIRECTOR OF THE COMPANY : MR. SHANG BING	Management	For	For
3.II	TO RE-ELECT THE FOLLOWING PERSON AS EXECUTIVE DIRECTOR OF	Management	For	For

THE COMPANY : MR. LI  
YUE

3.III	TO RE-ELECT THE FOLLOWING PERSON AS EXECUTIVE DIRECTOR OF THE COMPANY : MR. SHA YUEJIA	Management	For	For
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3.IV	TO RE-ELECT THE FOLLOWING PERSON AS EXECUTIVE DIRECTOR OF THE COMPANY : MR. LIU AILI	Management	For	For
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4	TO RE-APPOINT PRICEWATERHOUSECOOPERS AND PRICEWATERHOUSECOOPERS ZHONG TIAN LLP AS THE AUDITORS OF THE GROUP FOR HONG KONG FINANCIAL REPORTING AND US. FINANCIAL REPORTING PURPOSES, RESPECTIVELY, AND TO AUTHORIZE THE DIRECTORS TO FIX THEIR REMUNERATION	Management	For	For
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5	TO GIVE A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO BUY BACK SHARES IN THE COMPANY NOT EXCEEDING 10% OF THE NUMBER OF ISSUED SHARES IN ACCORDANCE WITH ORDINARY RESOLUTION NUMBER 5 AS SET OUT IN THE AGM NOTICE	Management	For	For
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6	TO GIVE A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO ISSUE, ALLOT AND DEAL WITH ADDITIONAL SHARES IN THE COMPANY NOT EXCEEDING 20% OF THE NUMBER OF ISSUED	Management	For	For
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SHARES IN ACCORDANCE  
WITH ORDINARY  
RESOLUTION NUMBER 6  
AS SET OUT IN THE AGM  
NOTICE

TO EXTEND THE GENERAL  
MANDATE GRANTED TO  
THE DIRECTORS OF THE  
COMPANY TO ISSUE,  
ALLOT AND DEAL WITH  
SHARES BY THE NUMBER  
OF SHARES BOUGHT  
BACK IN ACCORDANCE  
WITH ORDINARY  
RESOLUTION NUMBER 7  
AS SET OUT IN THE AGM  
NOTICE

7 Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	76,500	0	27-Apr-2016	23-May-2016
AL28	AL28	STATE STREET BANK	124,500	0	27-Apr-2016	23-May-2016
AL29	AL29	STATE STREET BANK	314,500	0	27-Apr-2016	23-May-2016

HEALTHWAYS, INC.

Security	422245100	Meeting Type	Annual
Ticker Symbol	HWAY	Meeting Date	26-May-2016
ISIN	US4222451001	Agenda	934384215 - Management
Record Date	31-Mar-2016	Holding Recon Date	31-Mar-2016
City / Country	/ United States	Vote Deadline Date	25-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MARY JANE ENGLAND,	Management	For	For

M.D.

1B.	ELECTION OF DIRECTOR: ARCHELLE GEORGIU, M.D.	Management	For	For
1C.	ELECTION OF DIRECTOR: ROBERT J. GRECZYN, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: PETER A. HUDSON, M.D.	Management	For	For
1E.	ELECTION OF DIRECTOR: BRADLEY S. KARRO	Management	For	For
1F.	ELECTION OF DIRECTOR: PAUL H. KECKLEY, PH.D.	Management	For	For
1G.	ELECTION OF DIRECTOR: CONAN J. LAUGHLIN	Management	For	For
1H.	ELECTION OF DIRECTOR: LEE A. SHAPIRO	Management	For	For
1I.	ELECTION OF DIRECTOR: DONATO J. TRAMUTO	Management	For	For
1J.	ELECTION OF DIRECTOR: KEVIN G. WILLS	Management	For	For
2.	TO CONSIDER AND ACT UPON A NON-BINDING ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
3.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK &	3,149	111,600	16-Apr-2016	27-May-2016

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TRUST CO

997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	15,011	172,000	16-Apr-2016	27-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	7,133	475,000	16-Apr-2016	27-May-2016
997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	4,300	0	16-Apr-2016	27-May-2016

AMSURG CORP.

Security	03232P405	Meeting Type	Annual
Ticker Symbol	AMSG	Meeting Date	26-May-2016
ISIN	US03232P4054	Agenda	934406148 - Management
Record Date	08-Apr-2016	Holding Recon Date	08-Apr-2016
City / Country	/ United States	Vote Deadline Date	25-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF CLASS I DIRECTOR: JAMES A. DEAL	Management	For	For
1.2	ELECTION OF CLASS I DIRECTOR: STEVEN I. GERINGER	Management	For	For
1.3	ELECTION OF CLASS I DIRECTOR: CLAIRE M. GULMI	Management	For	For
2.	APPROVAL, ON AN ADVISORY BASIS, OF THE COMPANY'S EXECUTIVE COMPENSATION.	Management	For	For
3.	APPROVAL OF THE AMENDED AND RESTATED AMSURG CORP. 2014 EQUITY AND INCENTIVE PLAN.	Management	For	For



4. RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2016.

Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	2,600	6,200	27-Apr-2016	27-May-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	14,300	0	27-Apr-2016	27-May-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	16,200	20,000	27-Apr-2016	27-May-2016

CERNER CORPORATION

Security	156782104	Meeting Type	Annual
Ticker Symbol	CERN	Meeting Date	27-May-2016
ISIN	US1567821046	Agenda	934401580 - Management
Record Date	30-Mar-2016	Holding Recon Date	30-Mar-2016
City / Country	/ United States	Vote Deadline Date	26-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: GERALD E. BISBEE, JR., PH.D.	Management	For	For
1B.	ELECTION OF DIRECTOR: DENIS A. CORTESE, M.D.	Management	For	For
1C.	ELECTION OF DIRECTOR: LINDA M. DILLMAN	Management	For	For
2.		Management	For	For

RATIFICATION OF THE APPOINTMENT OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF CERNER CORPORATION FOR 2016.

3. APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.

Management

For

For

4. APPROVAL OF THE AMENDMENT AND RESTATEMENT OF THE CERNER CORPORATION PERFORMANCE-BASED COMPENSATION PLAN.

Management

For

For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	6,100	0	23-Apr-2016	23-Apr-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO	10,000	0	23-Apr-2016	23-Apr-2016
997AL29	GLO	STATE STREET BANK & TRUST CO	25,400	0	23-Apr-2016	23-Apr-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	100	8,700	23-Apr-2016	23-Apr-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	100	14,400	23-Apr-2016	23-Apr-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO	100	36,100	23-Apr-2016	23-Apr-2016

## TRUST CO

## SHIRE PLC

Security	82481R106	Meeting Type	Annual
Ticker Symbol	SHPG	Meeting Date	27-May-2016
ISIN	US82481R1068	Agenda	934410856 - Management
Record Date	21-Apr-2016	Holding Recon Date	21-Apr-2016
City / Country	/ United States	Vote Deadline Date	19-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	APPROVAL OF THE MERGER, ALLOTMENT OF SHARES AND INCREASE IN BORROWING LIMIT THAT: (A) THE PROPOSED COMBINATION BY THE COMPANY, THROUGH ITS WHOLLY-OWNED SUBSIDIARY, BEARTRACKS, INC., WITH THE OUTSTANDING COMMON STOCK WITH BAXALTA INC. (THE "MERGER"), ON THE TERMS AND CONDITIONS SET OUT IN THE MERGER AGREEMENT (AS DEFINED IN, AND PARTICULARS OF WHICH ARE SUMMARISED IN, THE CIRCULAR OF THE COMPANY DATED 18 APRIL 2016), TOGETHER WITH ALL OTHER AGREEMENTS AND ANCILLARY ARRANGEMENTS ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL)	Management	For	For
2	AUTHORITY TO ALLOT SHARES THAT, SUBJECT TO AND CONDITIONAL UPON THE MERGER	Management	For	For

HAVING BEEN  
IMPLEMENTED AND THE  
NEW ORDINARY SHARES  
IN THE COMPANY HAVING  
BEEN ISSUED PURSUANT  
THERETO, ANY PREVIOUS  
AUTHORITY TO ALLOT  
RELEVANT SECURITIES  
(AS DEFINED IN THE  
ARTICLES), CONFERRED  
ON THE DIRECTORS BY  
SHAREHOLDERS  
PURSUANT TO ARTICLE 10  
OF THE ARTICLES  
(INCLUDING ANY UNUSED  
AUTHORITY CONFERRED  
BY RESOLUTION 1 ABOVE)  
BE CANCELLED AND THE  
AUTHORITY TO ALLOT  
RELEVANT SECURITIES  
CONFERRED ON THE ...  
(DUE TO SPACE LIMITS,  
SEE PROXY MATERIAL  
FOR FULL PROPOSAL)

3	<p>DISAPPLICATION OF PRE-EMPTION RIGHTS: THAT, SUBJECT TO THE PASSING OF RESOLUTION 2 AND SUBJECT TO AND CONDITIONAL UPON THE MERGER HAVING BEEN IMPLEMENTED AND THE NEW ORDINARY SHARES IN THE COMPANY HAVING BEEN ISSUED PURSUANT THERETO, ANY PREVIOUS AUTHORITY TO ALLOT EQUITY SECURITIES (AS DEFINED IN THE ARTICLES) WHOLLY FOR CASH CONFERRED ON THE DIRECTORS BY SHAREHOLDERS PURSUANT TO ARTICLE 10 OF THE ARTICLES OF ASSOCIATION BE CANCELLED AND THE AUTHORITY TO ALLOT EQUITY ... (DUE TO SPACE LIMITS, SEE PROXY</p>	Management	For	For
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MATERIAL FOR FULL  
PROPOSAL)

PURCHASE OF OWN  
SHARES: THAT, SUBJECT  
TO AND CONDITIONAL  
UPON THE MERGER  
HAVING BEEN  
IMPLEMENTED AND THE  
NEW ORDINARY SHARES  
IN THE COMPANY HAVING  
BEEN ISSUED PURSUANT  
THERE TO, ANY PREVIOUS  
AUTHORITY CONFERRED  
ON THE COMPANY TO  
MAKE MARKET  
PURCHASES OF ITS  
SHARES AND TO HOLD  
TREASURY SHARES BE  
CANCELLED AND THE  
COMPANY BE  
GENERALLY AND  
UNCONDITIONALLY  
AUTHORISED: (A)  
PURSUANT TO ARTICLE 57  
OF THE COMPANIES  
(JERSEY) LAW 1991 TO  
MAKE MARKET  
PURCHASES OF  
ORDINARY SHARES IN  
THE ... (DUE TO SPACE  
LIMITS, SEE PROXY  
MATERIAL FOR FULL  
PROPOSAL)

4 Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL29	GLO	STATE STREET BANK & TRUST CO	15,100	0	29-Apr-2016	23-May-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	3,700	0	29-Apr-2016	23-May-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK &	6,000	0	29-Apr-2016	23-May-2016

## TRUST CO

## WILLIAM LYON HOMES

Security	552074700	Meeting Type	Annual
Ticker Symbol	WLH	Meeting Date	31-May-2016
ISIN	US5520747008	Agenda	934389885 - Management
Record Date	08-Apr-2016	Holding Recon Date	08-Apr-2016
City / Country	/ United States	Vote Deadline Date	27-May-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DOUGLAS K. AMMERMAN		For	For
	2 MICHAEL BARR		For	For
	3 THOMAS F. HARRISON		For	For
	4 GARY H. HUNT		For	For
	5 GENERAL WILLIAM LYON		For	For
	6 WILLIAM H. LYON		For	For
	7 MATTHEW R. NIEMANN		For	For
	8 LYNN CARLSON SCHELL		For	For
2.	RATIFICATION OF THE SELECTION OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS OF WILLIAM LYON HOMES FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	ADVISORY (NON-BINDING) VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE	Management	For	For

OFFICERS, AS DESCRIBED  
IN THE PROXY  
MATERIALS.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	71,200	0	22-Apr-2016	01-Jun-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	179,676	0	22-Apr-2016	01-Jun-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	43,700	0	22-Apr-2016	01-Jun-2016

DYNAVAX TECHNOLOGIES CORPORATION

Security	268158201	Meeting Type	Annual
Ticker Symbol	DVAX	Meeting Date	31-May-2016
ISIN	US2681582019	Agenda	934407607 - Management
Record Date	06-Apr-2016	Holding Recon Date	06-Apr-2016
City / Country	/ United States	Vote Deadline Date	27-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DENNIS A. CARSON, M.D.		For	For
	2 EDDIE GRAY		For	For
	3 LAURA BREGE		For	For
2.	TO AMEND AND RESTATE THE DYNAVAX TECHNOLOGIES CORPORATION 2011 EQUITY INCENTIVE PLAN TO, AMONG OTHER THINGS, INCREASE THE	Management	For	For

AGGREGATE NUMBER OF  
SHARES OF COMMON  
STOCK AUTHORIZED FOR  
ISSUANCE UNDER THAT  
PLAN BY 3,200,000, AND  
TO APPROVE THE AWARD  
LIMITS AND OTHER  
TERMS APPLICABLE TO  
AWARDS INTENDED TO  
QUALIFY AS  
"PERFORMANCE-BASED  
COMPENSATION" FOR  
PURPOSES OF SECTION  
162(M) OF THE INTERNAL  
REVENUE CODE.

TO AMEND AND RESTATE  
THE DYNAVAX  
TECHNOLOGIES  
CORPORATION 2014  
EMPLOYEE STOCK  
PURCHASE PLAN TO  
INCREASE THE  
AGGREGATE NUMBER OF  
SHARES OF COMMON  
STOCK AUTHORIZED FOR  
ISSUANCE UNDER THAT  
PLAN BY 200,000.

TO APPROVE, ON AN  
ADVISORY BASIS, THE  
COMPENSATION OF THE  
COMPANY'S NAMED  
EXECUTIVE OFFICERS, AS  
DISCLOSED IN THE PROXY  
STATEMENT.

TO RATIFY THE  
SELECTION OF ERNST &  
YOUNG LLP AS THE  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM OF  
THE COMPANY FOR ITS  
FISCAL YEAR ENDING  
DECEMBER 31, 2016.

3. Management For For

4. Management For For

5. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	7,400	0	28-Apr-2016	01-Jun-2016



		STATE STREET BANK & TRUST CO				
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	19,900	0	28-Apr-2016	01-Jun-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	45,200	0	28-Apr-2016	01-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	999	112,000	28-Apr-2016	01-Jun-2016

ALBANY MOLECULAR RESEARCH, INC.

Security	012423109	Meeting Type	Annual
Ticker Symbol	AMRI	Meeting Date	01-Jun-2016
ISIN	US0124231095	Agenda	934411884 - Management
Record Date	14-Apr-2016	Holding Recon Date	14-Apr-2016
City / Country	/ United States	Vote Deadline Date	31-May-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 THOMAS E. D'AMBRA, PH.D		For	For
	2 DAVID H. DEMING		For	For
	3 GERARDO GUTIERREZ		For	For
2.	A RATIFICATION OF THE COMPANY'S SELECTION OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2016 FISCAL YEAR.	Management	For	For
3.		Management	For	For

PROPOSAL TO APPROVE  
THE SENIOR EXECUTIVE  
CASH INCENTIVE BONUS  
PLAN.

ADVISORY VOTE TO  
APPROVE THE

4.	COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	10,000	49,500	30-Apr-2016	02-Jun-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	98,800	0	30-Apr-2016	02-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	42,360	205,000	30-Apr-2016	02-Jun-2016

ACCELERON PHARMA INC.

Security	00434H108	Meeting Type	Annual
Ticker Symbol	XLRN	Meeting Date	02-Jun-2016
ISIN	US00434H1086	Agenda	934388718 - Management
Record Date	04-Apr-2016	Holding Recon Date	04-Apr-2016
City / Country	/ United States	Vote Deadline Date	01-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
1	TOM MANIATIS, PH.D.		For	For
2	RICHARD F. POPS		For	For
3	JOSEPH S. ZAKRZEWSKI		For	For

- |    |   |            |     |     |
|----|---|------------|-----|-----|
| 2. | TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.                    | Management | For | For |
| 3. | TO APPROVE THE ACCELERON PHARMA INC. SHORT-TERM INCENTIVE COMPENSATION PLAN.  | Management | For | For |
| 4. | TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management | For | For |

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	7,100	0	16-Apr-2016	03-Jun-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO	11,600	0	16-Apr-2016	03-Jun-2016
997AL29	GLO	STATE STREET BANK & TRUST CO	28,796	0	16-Apr-2016	03-Jun-2016

PARSLEY ENERGY, INC.

Security	701877102	Meeting Type	Annual
Ticker Symbol	PE	Meeting Date	02-Jun-2016
ISIN	US7018771029	Agenda	934394709 - Management
Record Date	11-Apr-2016	Holding Recon Date	11-Apr-2016
City / Country	/ United States	Vote Deadline Date	01-Jun-2016

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SEDOL(s)			Quick Code	
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: DAVID H. SMITH	Management	For	For
1B.	ELECTION OF DIRECTOR: RANDOLPH NEWCOMER, JR.	Management	For	For
1C.	ELECTION OF DIRECTOR: WILLIAM BROWNING	Management	For	For
2.	TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE FISCAL YEAR ENDED DECEMBER 31, 2016.	Management	For	For
3.	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS FOR THE FISCAL YEAR ENDED DECEMBER 31, 2015.	Management	For	For
4.	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, HOW FREQUENTLY THE COMPANY SHOULD SEEK AN ADVISORY VOTE ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	46,500	0	23-Apr-2016	03-Jun-2016
997AL29	GLO	997AL29	191,300	0	23-Apr-2016	03-Jun-2016

STATE  
STREET  
BANK &  
TRUST CO

997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	75,600	0	23-Apr-2016	03-Jun-2016
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SALESFORCE.COM, INC.

Security 79466L302

Ticker Symbol CRM

ISIN US79466L3024

Record Date 07-Apr-2016

City / Country / United  
States

Meeting Type

Annual

Meeting Date

02-Jun-2016

Agenda

934395903 - Management

Holding Recon Date

07-Apr-2016

Vote Deadline Date

01-Jun-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MARC BENIOFF	Management	For	For
1B.	ELECTION OF DIRECTOR: KEITH BLOCK	Management	For	For
1C.	ELECTION OF DIRECTOR: CRAIG CONWAY	Management	For	For
1D.	ELECTION OF DIRECTOR: ALAN HASSENFELD	Management	For	For
1E.	ELECTION OF DIRECTOR: NEELIE KROES	Management	For	For
1F.	ELECTION OF DIRECTOR: COLIN POWELL	Management	For	For
1G.	ELECTION OF DIRECTOR: SANFORD ROBERTSON	Management	For	For
1H.	ELECTION OF DIRECTOR: JOHN V. ROOS	Management	For	For
1I.	ELECTION OF DIRECTOR: LAWRENCE TOMLINSON	Management	For	For
1J.	ELECTION OF DIRECTOR: ROBIN WASHINGTON	Management	For	For

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1K.	ELECTION OF DIRECTOR: MAYNARD WEBB	Management	For	For
1L.	ELECTION OF DIRECTOR: SUSAN WOJCICKI	Management	For	For
2.	APPROVAL OF THE AMENDMENT AND RESTATEMENT OF CERTIFICATE OF INCORPORATION TO CLARIFY PROVISIONS RELATED TO REMOVAL OF DIRECTORS	Management	For	For
3.	RATIFICATION OF APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS	Management	For	For
4.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION	Management	For	For
5.	STOCKHOLDER PROPOSAL FOR POLICY LIMITING CHANGE IN CONTROL BENEFITS	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL FOR SHARE RETENTION POLICY FOR SENIOR EXECUTIVES	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	10,400	0	22-Apr-2016	03-Jun-2016
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	9,800	0	22-Apr-2016	03-Jun-2016
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	24,800	0	22-Apr-2016	03-Jun-2016

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997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	100	4,200	22-Apr-2016	03-Jun-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	700	16,000	22-Apr-2016	03-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	41,100	22-Apr-2016	03-Jun-2016

CONCHO RESOURCES INC

Security	20605P101	Meeting Type	Annual
Ticker Symbol	CXO	Meeting Date	02-Jun-2016
ISIN	US20605P1012	Agenda	934397274 - Management
Record Date	04-Apr-2016	Holding Recon Date	04-Apr-2016
City / Country	/ United States	Vote Deadline Date	01-Jun-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 GARY A. MERRIMAN		For	For
	2 RAY M. POAGE		For	For
2.	TO RATIFY THE SELECTION OF GRANT THORNTON LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	ADVISORY VOTE TO APPROVE EXECUTIVE OFFICER COMPENSATION ("SAY-ON-PAY").	Management	For	For

Account Name Custodian Vote Date

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Account Number		Internal Account	Ballot Shares	Unavailable Shares		Date Confirmed
997AL27	GLV	997AL27 STATE STREET BANK & TRUST CO	6,000	0	20-Apr-2016	03-Jun-2016
997AL28	GLQ	997AL28 STATE STREET BANK & TRUST CO	15,700	0	20-Apr-2016	03-Jun-2016
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	24,900	0	20-Apr-2016	03-Jun-2016
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	200	14,700	20-Apr-2016	03-Jun-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	2,000	17,000	20-Apr-2016	03-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	1,000	61,000	20-Apr-2016	03-Jun-2016

TRI POINTE GROUP, INC.

Security	87265H109	Meeting Type	Annual
Ticker Symbol	TPH	Meeting Date	03-Jun-2016
ISIN	US87265H1095	Agenda	934391715 - Management
Record Date	11-Apr-2016	Holding Recon Date	11-Apr-2016
City / Country	/ United States	Vote Deadline Date	02-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DOUGLAS F. BAUER		For	For
	2 LAWRENCE B. BURROWS		For	For



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3	DANIEL S. FULTON	For	For
4	STEVEN J. GILBERT	For	For
5	CHRISTOPHER D. GRAHAM	For	For
6	CONSTANCE B. MOORE	For	For
7	THOMAS B. ROGERS	For	For
8	BARRY S. STERNLICHT	For	For

2.	RATIFICATION OF THE AMENDMENT TO TRI POINTE GROUP, INC.'S 2013 LONG-TERM INCENTIVE PLAN, AS AMENDED, IMPOSING CERTAIN LIMITATIONS ON AWARDS MADE UNDER THE PLAN TO NON-EMPLOYEE DIRECTORS.	Management	For	For
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3.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS TRI POINTE GROUP, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	22,700	0	23-Apr-2016	06-Jun-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO	37,000	0	23-Apr-2016	06-Jun-2016
997AL29	GLO	STATE STREET BANK & TRUST CO	93,202	0	23-Apr-2016	06-Jun-2016

## IMAX CORPORATION

Security	45245E109	Meeting Type	Annual and Special Meeting
Ticker Symbol	IMAX	Meeting Date	06-Jun-2016
ISIN	CA45245E1097	Agenda	934409233 - Management
Record Date	08-Apr-2016	Holding Recon Date	08-Apr-2016
City / Country	/ Canada	Vote Deadline Date	01-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 NEIL S. BRAUN		For	For
	2 ERIC A. DEMIRIAN		For	For
	3 RICHARD L. GELFOND		For	For
	4 DAVID W. LEEBRON		For	For
	5 MICHAEL LYNNE		For	For
	6 MICHAEL MACMILLAN		For	For
	7 I. MARTIN POMPADUR		For	For
	8 DANA SETTLE		For	For
	9 DARREN THROOP		For	For
	10 BRADLEY J. WECHSLER		For	For
02	IN RESPECT OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS AUDITORS OF THE COMPANY AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION. NOTE: VOTING WITHHOLD IS THE EQUIVALENT TO VOTING ABSTAIN.	Management	For	For
03	IN RESPECT OF THE APPROVAL OF THE	Management	For	For

AMENDED AND  
RESTATE LONG-TERM  
INCENTIVE PLAN AS SET  
FORTH IN APPENDIX "A"  
TO THE PROXY CIRCULAR  
AND PROXY STATEMENT.  
NOTE: VOTING WITHHOLD  
IS THE EQUIVALENT TO  
VOTING ABSTAIN.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	40,700	0	18-May-2016	07-Jun-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	19,300	59,400	18-May-2016	07-Jun-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	24,095	110,000	18-May-2016	07-Jun-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	92,800	231,800	18-May-2016	07-Jun-2016

LADDER CAPITAL CORP

Security	505743104	Meeting Type	Annual
Ticker Symbol	LADR	Meeting Date	07-Jun-2016
ISIN	US5057431042	Agenda	934395864 - Management
Record Date	11-Apr-2016	Holding Recon Date	11-Apr-2016
City / Country	/ United States	Vote Deadline Date	06-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ALAN FISHMAN		For	For

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2 JONATHAN BILZIN

For For

TO RATIFY THE  
APPOINTMENT OF  
PRICEWATERHOUSECOOPERS

2. LLP AS THE INDEPENDENT Management  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016.

For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	22,610	0	29-Apr-2016	08-Jun-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	8,304	0	29-Apr-2016	08-Jun-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	32,492	0	29-Apr-2016	08-Jun-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	130,392	0	29-Apr-2016	08-Jun-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	220,207	0	29-Apr-2016	08-Jun-2016

ALPHABET INC

Security 02079K305

Meeting Type Annual

Ticker Symbol GOOGL

Meeting Date 08-Jun-2016

ISIN US02079K3059

Agenda 934406667 - Management

Record Date 11-Apr-2016

Holding Recon Date 11-Apr-2016

City / Country / United States

Vote Deadline Date 07-Jun-2016

SEDOL(s)

Quick Code

Item Proposal Proposed by

Vote For/Against Management

1.	DIRECTOR	Management		
	1 LARRY PAGE		For	For
	2 SERGEY BRIN		For	For
	3 ERIC E. SCHMIDT		For	For
	4 L. JOHN DOERR		For	For
	5 DIANE B. GREENE		For	For
	6 JOHN L. HENNESSY		For	For
	7 ANN MATHER		For	For
	8 ALAN R. MULALLY		For	For
	9 PAUL S. OTELLINI		For	For
	10 K. RAM SHRIRAM		For	For
	11 SHIRLEY M. TILGHMAN		For	For
	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS ALPHABET'S			
2.	INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
	THE APPROVAL OF AMENDMENTS TO ALPHABET'S 2012 STOCK PLAN TO ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).			
3.		Management	For	For
	THE APPROVAL OF AN AMENDMENT TO THE FOURTH AMENDED AND RESTATED CERTIFICATE OF INCORPORATION OF GOOGLE INC., ALPHABET'S WHOLLY OWNED SUBSIDIARY, TO REMOVE A PROVISION THAT REQUIRES THE VOTE OF THE STOCKHOLDERS OF ALPHABET, IN ADDITION			
4.		Management	For	For

TO THE VOTE OF  
ALPHABET (AS SOLE  
STOCKHOLDER), IN  
ORDER FOR GOOGLE TO  
TAKE CERTAIN ACTIONS.

- |     |   |             |             |
|-----|---|-------------|-------------|
| 5.  | A STOCKHOLDER<br>PROPOSAL REGARDING<br>EQUAL SHAREHOLDER<br>VOTING, IF PROPERLY<br>PRESENTED AT THE<br>MEETING.   | Shareholder | Against For |
| 6.  | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>LOBBYING REPORT, IF<br>PROPERLY PRESENTED AT<br>THE MEETING.   | Shareholder | Against For |
| 7.  | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>POLITICAL<br>CONTRIBUTIONS REPORT,<br>IF PROPERLY PRESENTED<br>AT THE MEETING.   | Shareholder | Against For |
| 8.  | A STOCKHOLDER<br>PROPOSAL REGARDING<br>THE ADOPTION OF A<br>MAJORITY VOTE<br>STANDARD FOR THE<br>ELECTION OF DIRECTORS,<br>IF PROPERLY PRESENTED<br>AT THE MEETING. | Shareholder | Against For |
| 9.  | A STOCKHOLDER<br>PROPOSAL REGARDING<br>AN INDEPENDENT<br>CHAIRMAN OF THE<br>BOARD POLICY, IF<br>PROPERLY PRESENTED AT<br>THE MEETING.                               | Shareholder | Against For |
| 10. | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>REPORT ON GENDER PAY,<br>IF PROPERLY PRESENTED<br>AT THE MEETING.  | Shareholder | Against For |

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	210	2,340	30-Apr-2016	09-Jun-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	2,485	1,723	30-Apr-2016	09-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	5,950	4,637	30-Apr-2016	09-Jun-2016

ATLAS MARA LTD

Security	G0697K106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	09-Jun-2016
ISIN	VGG0697K1066	Agenda	707103812 - Management
Record Date	07-Jun-2016	Holding Recon Date	07-Jun-2016
City / Country	NEW YORK / Virgin Islands (British)	Vote Deadline Date	01-Jun-2016
SEDOL(s)	BH2RCH8 - BJ36RS4	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	THAT THE DIRECTORS' REPORT AND ACCOUNTS AND THE AUDITORS' REPORT FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2015 BE AND ARE RECEIVED	Management	For	For
2	THAT MR. ARNOLD EKPE BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING	Management	For	For
3	THAT MR. JOHN F. VITALO BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE	Management	For	For

NEXT ANNUAL GENERAL  
MEETING

4	THAT MS. RACHEL F. ROBBINS BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING	Management	For	For
5	THAT MR. TONYE COLE BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING	Management	For	For
6	THAT MS. OLUFUNKE OPEKE BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING	Management	For	For
7	THAT MR. AMADOU RAIMI BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING	Management	For	For
8	THAT MR. EDUARDO CHIVAMBO MONDLANE, JR. BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING	Management	For	For
9	THAT MR. ROBERT E. DIAMOND, JR. BE RE- APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING	Management	For	For



10	THAT MR. ASHISH J. THAKKAR BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING	Management	For	For
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11	THAT PURSUANT TO ARTICLE 3.11 OF THE ARTICLES, THE DIRECTORS BE AND ARE GIVEN THE POWER TO ISSUE OR SELL FROM TREASURY EQUITY OF ANY CLASS FOR CASH AS IF THE PROVISIONS OF ARTICLE 3.2 OF THE ARTICLES DO NOT APPLY TO THE ISSUE OR SALE FROM TREASURY	Management	For	For
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CMMT	17 MAY 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF THE RECORD-DATE. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS-YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting		
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	165,358	0	03-Jun-2016	03-Jun-2016
AL28	AL28	STATE STREET BANK	275,798	0	03-Jun-2016	03-Jun-2016
AL29	AL29	STATE STREET BANK	688,344	0	03-Jun-2016	03-Jun-2016

NETFLIX, INC.

Security	64110L106	Meeting Type	Annual
Ticker Symbol	NFLX	Meeting Date	09-Jun-2016
ISIN	US64110L1061	Agenda	934405968 - Management
Record Date	11-Apr-2016	Holding Recon Date	11-Apr-2016
City / Country	/ United States	Vote Deadline Date	08-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 TIMOTHY M. HALEY		For	For
	2 LESLIE KILGORE		For	For
	3 ANN MATHER		For	For
2.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	ADVISORY APPROVAL OF THE COMPANY'S EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	STOCKHOLDER PROPOSAL REGARDING DIRECTOR ELECTION MAJORITY VOTE STANDARD, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
5.	STOCKHOLDER PROPOSAL REGARDING A PROXY ACCESS BYLAW, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL REGARDING A SIMPLE MAJORITY VOTE, IF	Shareholder	Against	For

PROPERLY PRESENTED AT  
THE MEETING.

STOCKHOLDER PROPOSAL  
REGARDING ELECTING  
EACH DIRECTOR  
ANNUALLY, IF PROPERLY  
PRESENTED AT THE  
MEETING.

7. Shareholder Against For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	4,300	0	27-Apr-2016	10-Jun-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO	7,100	0	27-Apr-2016	10-Jun-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO	17,800	0	27-Apr-2016	10-Jun-2016

# INTREXON CORPORATION

Security	46122T102	Meeting Type	Annual
Ticker Symbol	XON	Meeting Date	09-Jun-2016
ISIN	US46122T1025	Agenda	934406807 - Management
Record Date	18-Apr-2016	Holding Recon Date	18-Apr-2016
City / Country	/ United States	Vote Deadline Date	08-Jun-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: RANDAL J. KIRK	Management	For	For
1B.	ELECTION OF DIRECTOR: CESAR L. ALVAREZ	Management	For	For
1C.	ELECTION OF DIRECTOR: STEVEN FRANK	Management	For	For
1D.		Management	For	For

ELECTION OF DIRECTOR:  
JEFFREY B. KINDLER

1E.	ELECTION OF DIRECTOR: DEAN J. MITCHELL	Management	For	For
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1F.	ELECTION OF DIRECTOR: ROBERT B. SHAPIRO	Management	For	For
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1G.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management	For	For
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2.	TO RATIFY THE APPOINTMENT BY THE AUDIT COMMITTEE OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
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3.	TO APPROVE A NON-BINDING ADVISORY RESOLUTION APPROVING THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS.	Management	For	For
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4.	TO APPROVE THE AMENDMENT TO THE AMENDED AND RESTATED INTREXON CORPORATION 2013 OMNIBUS INCENTIVE PLAN, WHICH PROVIDES FOR THE ISSUANCE OF AN ADDITIONAL THREE MILLION SHARES OF COMMON STOCK UNDER THE PLAN.	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	11,200	0	30-Apr-2016	10-Jun-2016
997AL45		997AL45	404	10,400	30-Apr-2016	10-Jun-2016

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	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	6,959	0	30-Apr-2016	10-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	2,872	42,000	30-Apr-2016	10-Jun-2016

TEKLA WORLD HEALTHCARE FD

Security	87911L108	Meeting Type	Annual
Ticker Symbol	THW	Meeting Date	14-Jun-2016
ISIN	US87911L1089	Agenda	934402897 - Management
Record Date	19-Apr-2016	Holding Recon Date	19-Apr-2016
City / Country	/ United States	Vote Deadline Date	13-Jun-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 OLEG M. POHOTSKY		For	For
	2 WILLIAM S. REARDON, CPA		For	For
2.	THE RATIFICATION OR REJECTION OF THE SELECTION OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS OF THE FUND FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45		997AL45	200	63,000	23-Apr-2016	15-Jun-2016

GLV BNP  
PLEDGE  
STATE  
STREET  
BANK &  
TRUST CO

## INTRA-CELLULAR THERAPIES INC

Security	46116X101	Meeting Type	Annual
Ticker Symbol	ITCI	Meeting Date	14-Jun-2016
ISIN	US46116X1019	Agenda	934404877 - Management
Record Date	20-Apr-2016	Holding Recon Date	20-Apr-2016
City / Country	/ United States	Vote Deadline Date	13-Jun-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 SHARON MATES, PH.D.		For	For
	2 RORY B. RIGGS		For	For
	3 ROBERT L. VAN NOSTRAND		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	TO APPROVE BY AN ADVISORY VOTE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
4.	TO APPROVE BY AN ADVISORY VOTE THE FREQUENCY OF HOLDING AN ADVISORY VOTE ON THE COMPENSATION OF	Management	3 Years	Against

OUR NAMED EXECUTIVE  
OFFICERS.

Account Number	Account Name	Internal Account Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	19,100	0	06-May-2016	15-Jun-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	31,700	0	06-May-2016	15-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	79,000	0	06-May-2016	15-Jun-2016

SINOPHARM GROUP CO LTD, SHANGHAI

Security	Y8008N107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	16-Jun-2016
ISIN	CNE100000FN7	Agenda	707134223 - Management
Record Date	16-May-2016	Holding Recon Date	16-May-2016
City / Country	SHANGHAI / China	Vote Deadline Date	02-Jun-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 638168 DUE TO ADDITION OF- RESOLUTIONS. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS	Non-Voting		

MEETING NOTICE. THANK  
YOU.

PLEASE NOTE THAT THE  
COMPANY NOTICE AND  
PROXY FORM ARE  
AVAILABLE BY  
CLICKING-ON THE URL  
LINKS:-

CMMT <http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0429/LTN20160429681.pdf>, - Non-Voting  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0530/LTN20160530405.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0429/LTN20160429777.pdf>, -  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0530/LTN20160530419.pdf>

CMMT PLEASE NOTE IN THE  
HONG KONG MARKET  
THAT A VOTE OF Non-Voting  
'ABSTAIN' WILL BE  
TREATED-THE SAME AS A  
'TAKE NO ACTION' VOTE

1	TO CONSIDER AND APPROVE THE REPORT OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD") FOR THE YEAR ENDED 31 DECEMBER 2015	Management	For	For
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2	TO CONSIDER AND APPROVE THE REPORT OF THE SUPERVISORY COMMITTEE OF THE COMPANY (THE "SUPERVISORY COMMITTEE") FOR THE YEAR ENDED 31 DECEMBER 2015	Management	For	For
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3	TO CONSIDER AND APPROVE THE AUDITED FINANCIAL STATEMENTS OF THE COMPANY AND ITS SUBSIDIARIES FOR THE YEAR ENDED 31 DECEMBER 2015 AND THE AUDITORS' REPORT	Management	For	For
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4		Management	For	For
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TO CONSIDER AND  
APPROVE THE PROFIT  
DISTRIBUTION PLAN AND  
PAYMENT OF THE FINAL  
DIVIDEND FOR THE YEAR  
ENDED 31 DECEMBER  
2015: RMB0.41 PER SHARE

TO CONSIDER AND  
AUTHORIZE THE BOARD  
TO DETERMINE THE  
REMUNERATION OF THE  
DIRECTORS OF THE  
COMPANY (THE  
"DIRECTORS") FOR THE  
YEAR ENDING 31  
DECEMBER 2016

5	Management	For	For
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TO CONSIDER AND  
AUTHORIZE THE  
SUPERVISORY  
COMMITTEE TO  
DETERMINE THE  
REMUNERATION OF THE  
SUPERVISORS OF THE  
COMPANY (THE  
"SUPERVISORS") FOR THE  
YEAR ENDING 31  
DECEMBER 2016

6	Management	For	For
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TO CONSIDER AND  
APPROVE THE  
DELEGATION OF THE  
POWER TO THE BOARD TO  
APPROVE THE  
GUARANTEES IN FAVOR  
OF THIRD PARTIES WITH  
AN AGGREGATE TOTAL  
VALUE OF NOT MORE  
THAN 30% OF THE LATEST  
AUDITED TOTAL ASSETS  
OF THE COMPANY OVER A  
PERIOD OF 12 MONTHS;  
AND IF THE ABOVE  
DELEGATION IS NOT  
CONSISTENT WITH,  
COLLIDES WITH OR  
CONFLICTS WITH THE  
REQUIREMENTS UNDER  
THE RULES GOVERNING  
THE LISTING OF

7	Management	For	For
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SECURITIES (THE "HONG KONG LISTING RULES") ON THE STOCK EXCHANGE OF HONG KONG LIMITED (THE "HONG KONG STOCK EXCHANGE") OR OTHER REQUIREMENTS OF THE HONG KONG STOCK EXCHANGE, THE REQUIREMENTS UNDER THE HONG KONG LISTING RULES OR OTHER REQUIREMENTS OF THE HONG KONG STOCK EXCHANGE SHOULD BE FOLLOWED

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF MR. WU YIJIAN AS A NON-EXECUTIVE DIRECTOR, TO AUTHORISE THE BOARD TO DETERMINE HIS REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE COMPANY

8

Management

For

For

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE: "THAT: (A) THE COMPANY BE AND IS HEREBY AUTHORISED TO ISSUE DEBT FINANCING INSTRUMENTS (THE "DEBT FINANCING INSTRUMENTS") WITH THE AGGREGATE AMOUNT LIMIT FOR ALL BONDS FOR WHICH REGISTRATION IS APPLIED FOR BEING NO

9

Management

For

For

MORE THAN RMB20  
BILLION; (B) THE  
GENERAL MANAGER OF  
THE COMPANY, BE AND IS  
HEREBY AUTHORIZED TO  
DEAL WITH ALL THE  
MATTERS IN RELATION  
TO THE PROPOSED ISSUE  
OF DEBT FINANCING  
INSTRUMENTS IN ITS/HIS  
SOLE DISCRETION,  
INCLUDING BUT NOT  
LIMITED TO: (I)  
ACCORDING TO LAWS,  
REGULATIONS, AND THE  
SPECIFIC CONDITIONS OF  
THE COMPANY AND DEBT  
MARKET, TO FORMULATE,  
ADJUST AND IMPLEMENT  
THE SPECIFIC PLANS,  
TERMS AND CONDITIONS  
FOR THE ISSUE OF THE  
DEBT FINANCING  
INSTRUMENTS,  
INCLUDING, AMONG  
OTHERS, DETERMINATION  
OF THE CATEGORY(IES)  
OF ISSUE, THE SIZE OF  
ISSUE, THE METHOD OF  
ISSUE (INCLUDING THE  
ISSUE AMOUNT IN EACH  
TRANCHE), THE TERMS OF  
ISSUE, INTEREST RATE,  
USE OF PROCEEDS,  
GUARANTEE AND DEBT  
SECURITY  
ARRANGEMENTS; (II) TO  
FORMULATE,  
  
APPROVE, EXECUTE,  
MODIFY AND ANNOUNCE  
THE LEGAL DOCUMENTS  
RELATING TO THE ISSUE,  
AND MAKE THE  
APPROPRIATE  
ADJUSTMENT OR  
SUPPLEMENT TO THE  
FILINGS PURSUANT TO  
THE REQUIREMENTS OF  
THE REGULATORY  
AUTHORITIES; (III) TO

SELECT THE BOND  
TRUSTEE(S), EXECUTE  
BOND TRUSTEE  
MANAGEMENT  
AGREEMENT(S) AND  
PROMULGATE THE RULES  
FOR BONDHOLDERS'  
GENERAL MEETING; (IV)  
TO MAKE  
CORRESPONDING  
ADJUSTMENTS TO THE  
RELEVANT MATTERS OR  
DETERMINE WHETHER TO  
CONTINUE THE ISSUE IN  
ACCORDANCE WITH THE  
PRACTICAL SITUATION IN  
THE CASE OF ANY  
CHANGES IN OPINIONS  
FROM REGULATORY  
AUTHORITIES, THE  
POLICIES AND MARKET  
CONDITIONS EXCEPT  
WHERE RE-VOTING AT A  
GENERAL MEETING IS  
REQUIRED BY ANY  
RELEVANT LAWS AND  
REGULATIONS AND THE  
ARTICLES OF  
ASSOCIATION OF THE  
COMPANY; (V) TO DEAL  
WITH ALL RELEVANT  
MATTERS IN RELATION  
TO THE REPORTING AND  
LISTING OF THE DEBT  
FINANCING  
INSTRUMENTS; (VI) TO  
DETERMINE THE  
ENGAGEMENT OF  
NECESSARY  
INTERMEDIARY  
AGENCIES IN RELATION  
TO THE ISSUE OF THE  
DEBT FINANCING  
INSTRUMENTS; AND (VII)  
TO DEAL WITH OTHER  
SPECIFIC MATTERS IN  
RELATION TO THE ISSUE  
OF DEBT FINANCING  
INSTRUMENTS

TO CONSIDER AND  
APPROVE TO GRANT A  
GENERAL MANDATE TO  
THE BOARD TO EXERCISE  
THE POWER OF THE  
COMPANY TO ALLOT,  
ISSUE AND/OR DEAL WITH  
DOMESTIC SHARES  
AND/OR H SHARES

TO CONSIDER AND  
APPROVE THE  
APPOINTMENT OF ERNST  
& YOUNG HUA MING LLP  
AS THE DOMESTIC  
AUDITOR OF THE  
COMPANY (TO REPLACE  
PRICEWATERHOUSECOOPERS  
ZHONG TIAN LLP) TO

11	HOLD OFFICE UNTIL CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING, AND TO RATIFY AND CONFIRM ITS REMUNERATION DETERMINED BY THE AUDIT COMMITTEE OF THE BOARD OF THE COMPANY	Management	For	For
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TO CONSIDER AND  
APPROVE THE  
APPOINTMENT OF ERNST  
& YOUNG AS THE  
INTERNATIONAL  
AUDITOR OF THE  
COMPANY (TO REPLACE  
PRICEWATERHOUSECOOPERS  
CERTIFIED PUBLIC

12	ACCOUNTANTS, HONG KONG) TO HOLD OFFICE UNTIL CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING, AND TO RATIFY AND CONFIRM ITS REMUNERATION DETERMINED BY THE AUDIT COMMITTEE OF THE BOARD OF THE COMPANY	Management	For	For
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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	142,400	0	31-May-2016	13-Jun-2016
AL28	AL28	STATE STREET BANK	236,800	0	31-May-2016	13-Jun-2016
AL29	AL29	STATE STREET BANK	583,600	0	31-May-2016	13-Jun-2016

MONOLITHIC POWER SYSTEMS, INC.

Security	609839105	Meeting Type	Annual
Ticker Symbol	MPWR	Meeting Date	16-Jun-2016
ISIN	US6098391054	Agenda	934417672 - Management
Record Date	19-Apr-2016	Holding Recon Date	19-Apr-2016
City / Country	/ United States	Vote Deadline Date	15-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MICHAEL R. HSING		For	For
	2 HERBERT CHANG		For	For
	3 EUGEN ELMIGER		For	For
2.	VOTE TO RATIFY DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	PROPOSAL TO APPROVE THE ADVISORY (NON-BINDING) RESOLUTION RELATING TO EXECUTIVE COMPENSATION.	Management	For	For

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Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	7,600	0	05-May-2016	17-Jun-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	13,700	0	05-May-2016	17-Jun-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	14,900	0	05-May-2016	17-Jun-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	600	56,000	05-May-2016	17-Jun-2016

SONY CORPORATION

Security	J76379106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	17-Jun-2016
ISIN	JP3435000009	Agenda	707130376 - Management
Record Date	31-Mar-2016	Holding Recon Date	31-Mar-2016
City / Country	TOKYO / Japan	Vote Deadline Date	01-Jun-2016
SEDOL(s)	0821687 - 4823203 - 5485602 - 6821506 - B01DR28 - B0K3NH6 - B0ZMMV5	Quick Code	67580

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1.1	Appoint a Director Hirai, Kazuo	Management	For	For
1.2	Appoint a Director Yoshida, Kenichiro	Management	For	For
1.3	Appoint a Director Nagayama, Osamu	Management	For	For

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1.4	Appoint a Director Nimura, Takaaki	Management	For	For
1.5	Appoint a Director Harada, Eiko	Management	For	For
1.6	Appoint a Director Ito, Joichi	Management	For	For
1.7	Appoint a Director Tim Schaaff	Management	For	For
1.8	Appoint a Director Matsunaga, Kazuo	Management	For	For
1.9	Appoint a Director Miyata, Koichi	Management	For	For
1.10	Appoint a Director John V. Roos	Management	For	For
1.11	Appoint a Director Sakurai, Eriko	Management	For	For
2	Approve Issuance of Share Acquisition Rights as Stock Options for Executive Officers and Employees of the Company and Directors and Employees of the Company's Subsidiaries	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	11,400	0	30-May-2016	16-Jun-2016
AL28	AL28	STATE STREET BANK	18,700	0	30-May-2016	16-Jun-2016
AL29	AL29	STATE STREET BANK	47,100	0	30-May-2016	16-Jun-2016

VERACYTE, INC.

Security	92337F107	Meeting Type	Annual
Ticker Symbol	VCYT	Meeting Date	17-Jun-2016
ISIN	US92337F1075	Agenda	934411466 - Management
Record Date	21-Apr-2016	Holding Recon Date	21-Apr-2016



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City / Country / United States Vote Deadline Date 16-Jun-2016

SEDOL(s) Quick Code

Item Proposal Proposed by Vote For/Against Management

1. DIRECTOR Management

1 BRIAN G. ATWOOD For For

2 KARIN EASTHAM For For

3 JESSE I. TREU For For

2. TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016. Management For For

Account Number Account Name Internal Custodian Account Ballot Shares Unavailable Shares Vote Date Date Confirmed

997AL27 GLV STATE STREET BANK & TRUST CO 997AL27 4,000 0 07-May-2016 20-Jun-2016

997AL28 GLQ STATE STREET BANK & TRUST CO 997AL28 7,600 0 07-May-2016 20-Jun-2016

997AL29 GLO STATE STREET BANK & TRUST CO 997AL29 16,322 0 07-May-2016 20-Jun-2016

997AL45 GLV BNP PLEDGE STATE STREET BANK & TRUST CO 997AL45 100 67,556 07-May-2016 20-Jun-2016

997AL47 GLQ BNP PLEDGE STATE STREET BANK & TRUST CO 997AL47 100 127,335 07-May-2016 20-Jun-2016

997AL49 GLO BNP PLEDGE STATE STREET BANK & TRUST CO 997AL49 98,505 182,954 07-May-2016 20-Jun-2016

BANK &  
TRUST CO

SIGNET JEWELERS LIMITED

Security	G81276100	Meeting Type	Annual
Ticker Symbol	SIG	Meeting Date	17-Jun-2016
ISIN	BMG812761002	Agenda	934416202 - Management
Record Date	25-Apr-2016	Holding Recon Date	25-Apr-2016
City / Country	/ United Kingdom	Vote Deadline Date	16-Jun-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: H. TODD STITZER	Management	For	For
1B.	ELECTION OF DIRECTOR: VIRGINIA DROSOS	Management	For	For
1C.	ELECTION OF DIRECTOR: DALE HILPERT	Management	For	For
1D.	ELECTION OF DIRECTOR: MARK LIGHT	Management	For	For
1E.	ELECTION OF DIRECTOR: HELEN MCCLUSKEY	Management	For	For
1F.	ELECTION OF DIRECTOR: MARIANNE MILLER PARRS	Management	For	For
1G.	ELECTION OF DIRECTOR: THOMAS PLASKETT	Management	For	For
1H.	ELECTION OF DIRECTOR: ROBERT STACK	Management	For	For
1I.	ELECTION OF DIRECTOR: EUGENIA ULASEWICZ	Management	For	For
1J.	ELECTION OF DIRECTOR: RUSSELL WALLS	Management	For	For
2.	APPOINTMENT OF KPMG LLP AS INDEPENDENT AUDITOR OF THE COMPANY.	Management	For	For
3.	APPROVAL, ON A	Management	For	For

NON-BINDING ADVISORY  
BASIS, OF THE  
COMPENSATION OF OUR  
NAMED EXECUTIVE  
OFFICERS AS DISCLOSED  
IN THE PROXY  
STATEMENT.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	7,500	0	05-May-2016	20-Jun-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO 997AL28	14,900	0	05-May-2016	20-Jun-2016
997AL29	GLO	STATE STREET BANK & TRUST CO 997AL29	37,200	0	05-May-2016	20-Jun-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	3,650	7,400	05-May-2016	20-Jun-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	446	25,000	05-May-2016	20-Jun-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	646	63,000	05-May-2016	20-Jun-2016
997AL60	GLV MS PLEDGE	STATE STREET BANK & TRUST CO 997AL60	6,000	0	05-May-2016	20-Jun-2016

SONY CORPORATION

Security	835699307	Meeting Type	Annual
Ticker Symbol	SNE	Meeting Date	17-Jun-2016
ISIN	US8356993076	Agenda	934428841 - Management

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Record Date 30-Mar-2016 Holding Recon Date 30-Mar-2016

City / Country / United States Vote Deadline Date 10-Jun-2016

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: KAZUO HIRAI	Management	For	For
1B.	ELECTION OF DIRECTOR: KENICHIRO YOSHIDA	Management	For	For
1C.	ELECTION OF DIRECTOR: OSAMU NAGAYAMA	Management	For	For
1D.	ELECTION OF DIRECTOR: TAKAAKI NIMURA	Management	For	For
1E.	ELECTION OF DIRECTOR: EIKOH HARADA	Management	For	For
1F.	ELECTION OF DIRECTOR: JOICHI ITO	Management	For	For
1G.	ELECTION OF DIRECTOR: TIM SCHAAFF	Management	For	For
1H.	ELECTION OF DIRECTOR: KAZUO MATSUNAGA	Management	For	For
1I.	ELECTION OF DIRECTOR: KOICHI MIYATA	Management	For	For
1J.	ELECTION OF DIRECTOR: JOHN V. ROOS	Management	For	For
1K.	ELECTION OF DIRECTOR: ERIKO SAKURAI	Management	For	For
2.	TO ISSUE STOCK ACQUISITION RIGHTS FOR THE PURPOSE OF GRANTING STOCK OPTIONS.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO 997AL27	23,400	0	25-May-2016	14-Jun-2016

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997AL28	GLQ	997AL28	STATE STREET BANK & TRUST CO	38,100	0	25-May-2016	14-Jun-2016
997AL29	GLO	997AL29	STATE STREET BANK & TRUST CO	96,100	0	25-May-2016	14-Jun-2016

FACEBOOK INC.

Security	30303M102	Meeting Type	Annual
Ticker Symbol	FB	Meeting Date	20-Jun-2016
ISIN	US30303M1027	Agenda	934444946 - Management
Record Date	29-Apr-2016	Holding Recon Date	29-Apr-2016
City / Country	/ United States	Vote Deadline Date	17-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MARC L. ANDREESSEN		For	For
	2 ERSKINE B. BOWLES		For	For
	3 S.D. DESMOND-HELLMANN		For	For
	4 REED HASTINGS		For	For
	5 JAN KOUM		For	For
	6 SHERYL K. SANDBERG		For	For
	7 PETER A. THIEL		For	For
	8 MARK ZUCKERBERG		For	For
2.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS FACEBOOK, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING	Management	For	For

DECEMBER 31, 2016.

3.	TO HOLD A NON-BINDING ADVISORY VOTE ON THE COMPENSATION PROGRAM FOR OUR NAMED EXECUTIVE OFFICERS AS DISCLOSED IN OUR PROXY STATEMENT.	Management	For	For
4.	TO RATIFY OUR GRANT OF RESTRICTED STOCK UNITS (RSUS) TO OUR NON-EMPLOYEE DIRECTORS DURING THE YEAR ENDED DECEMBER 31, 2013.	Management	For	For
5.	TO RATIFY OUR GRANT OF RSUS TO OUR NON- EMPLOYEE DIRECTORS DURING THE YEARS ENDED DECEMBER 31, 2014 AND 2015.	Management	For	For
6.	TO APPROVE OUR ANNUAL COMPENSATION PROGRAM FOR NON-EMPLOYEE DIRECTORS.	Management	For	For
7A.	TO APPROVE THE ADOPTION OF OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, COMPRISING: THE APPROVAL OF THE ADOPTION OF AMENDMENTS TO OUR RESTATED CERTIFICATE OF INCORPORATION TO ESTABLISH THE CLASS C CAPITAL STOCK AND TO MAKE CERTAIN CLARIFYING CHANGES.	Management	For	For
7B.	TO APPROVE THE ADOPTION OF OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION,	Management	For	For

COMPRISING: THE  
APPROVAL OF THE  
ADOPTION OF  
AMENDMENTS TO OUR  
RESTATED CERTIFICATE  
OF INCORPORATION TO  
INCREASE THE NUMBER  
OF AUTHORIZED SHARES  
OF CLASS A COMMON  
STOCK FROM 5,000,000,000  
TO 20,000,000,000.

TO APPROVE THE  
ADOPTION OF OUR  
AMENDED AND  
RESTATED CERTIFICATE  
OF INCORPORATION,  
COMPRISING: THE  
APPROVAL OF THE  
ADOPTION OF

7C.

AMENDMENTS TO OUR  
RESTATED CERTIFICATE  
OF INCORPORATION TO  
PROVIDE FOR THE EQUAL  
TREATMENT OF SHARES  
OF CLASS A COMMON  
STOCK, CLASS B COMMON  
STOCK, AND CLASS C  
CAPITAL STOCK IN  
CONNECTION WITH  
DIVIDENDS AND  
DISTRIBUTIONS, CERTAIN  
TRANSACTIONS, AND  
UPON OUR LIQUIDATION,  
DISSOLUTION, OR  
WINDING UP.

Management

For

For

7D.

TO APPROVE THE  
ADOPTION OF OUR  
AMENDED AND  
RESTATED CERTIFICATE  
OF INCORPORATION,  
COMPRISING: THE  
APPROVAL OF THE  
ADOPTION OF  
AMENDMENTS TO OUR  
RESTATED CERTIFICATE  
OF INCORPORATION TO  
PROVIDE FOR  
ADDITIONAL EVENTS  
UPON WHICH ALL OF OUR

Management

For

For

SHARES OF CLASS B  
COMMON STOCK WILL  
AUTOMATICALLY  
CONVERT TO CLASS A  
COMMON STOCK, TO  
PROVIDE FOR  
ADDITIONAL INSTANCES  
WHERE CLASS B COMMON  
STOCK WOULD NOT  
CONVERT TO CLASS A  
COMMON STOCK IN  
CONNECTION WITH  
CERTAIN TRANSFERS,  
AND TO MAKE CERTAIN  
RELATED CHANGES TO  
THE CLASS B COMMON  
STOCK CONVERSION  
PROVISIONS.

- |     |  |             |         |     |
|-----|--|-------------|---------|-----|
| 8.  | TO AMEND AND RESTATE<br>OUR 2012 EQUITY<br>INCENTIVE PLAN.                             | Management  | For     | For |
| 9.  | A STOCKHOLDER<br>PROPOSAL REGARDING<br>CHANGE IN<br>STOCKHOLDER VOTING.                | Shareholder | Against | For |
| 10. | A STOCKHOLDER<br>PROPOSAL REGARDING<br>AN ANNUAL<br>SUSTAINABILITY REPORT.             | Shareholder | Against | For |
| 11. | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>LOBBYING REPORT.                              | Shareholder | Against | For |
| 12. | A STOCKHOLDER<br>PROPOSAL REGARDING<br>AN INTERNATIONAL<br>PUBLIC POLICY<br>COMMITTEE. | Shareholder | Against | For |
| 13. | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>GENDER PAY EQUITY<br>REPORT.                  | Shareholder | Against | For |

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	6,700	0	04-Jun-2016	21-Jun-2016



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		STATE STREET BANK & TRUST CO				
997AL29	GLO	997AL29 STATE STREET BANK & TRUST CO	27,600	0	04-Jun-2016	21-Jun-2016
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	100	5,100	04-Jun-2016	21-Jun-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	11,300	27,300	04-Jun-2016	21-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	170	67,700	04-Jun-2016	21-Jun-2016
997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	11,300	0	04-Jun-2016	21-Jun-2016

SEIBU HOLDINGS INC.

Security	J7030Q119	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	21-Jun-2016
ISIN	JP3417200007	Agenda	707131176 - Management
Record Date	31-Mar-2016	Holding Recon Date	31-Mar-2016
City / Country	SAITAMA / Japan	Vote Deadline Date	13-Jun-2016
SEDOL(s)	BKY6H35 - BLTVHX2	Quick Code	90240

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1	Approve Appropriation of Surplus	Management	For	For
2		Management	For	For

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Approve Reduction of Capital Reserve

3.1	Appoint a Director Goto, Takashi	Management	For	For
3.2	Appoint a Director Takahashi, Kaoru	Management	For	For
3.3	Appoint a Director Uwano, Akihisa	Management	For	For
3.4	Appoint a Director Nishii, Tomoyuki	Management	For	For
3.5	Appoint a Director Goto, Fumiyasu	Management	For	For
3.6	Appoint a Director Nishiyama, Ryuichiro	Management	For	For
3.7	Appoint a Director Wakabayashi, Hisashi	Management	For	For
3.8	Appoint a Director Akasaka, Shigeyoshi	Management	For	For
3.9	Appoint a Director Kitamura, Kimio	Management	For	For
3.10	Appoint a Director Nakamura, Hitoshi	Management	For	For
3.11	Appoint a Director Shinden, Chikara	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	67,100	0	31-May-2016	20-Jun-2016
AL28	AL28	STATE STREET BANK	111,600	0	31-May-2016	20-Jun-2016
AL29	AL29	STATE STREET BANK	278,100	0	31-May-2016	20-Jun-2016
CARDIOME PHARMA CORP.						
Security	14159U301			Meeting Type	Annual	
Ticker Symbol	CRME			Meeting Date	21-Jun-2016	

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ISIN	CA14159U3010	Agenda	934437864 - Management
Record Date	17-May-2016	Holding Recon Date	17-May-2016
City / Country	/ Canada	Vote Deadline Date	16-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 RICHARD M. GLICKMAN		For	For
	2 W. JAMES O'SHEA		For	For
	3 WILLIAM L. HUNTER		For	For
	4 MARK H. CORRIGAN		For	For
	5 ARTHUR H. WILLMS		For	For
	6 ROBERT J. MEYER		For	For
02	APPOINTMENT OF KPMG LLP AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	STATE STREET BANK & TRUST CO	2,600	0	28-May-2016	22-Jun-2016
997AL28	GLQ	STATE STREET BANK & TRUST CO	4,400	0	28-May-2016	22-Jun-2016
997AL29	GLO	STATE STREET BANK & TRUST CO	10,899	0	28-May-2016	22-Jun-2016
997AL45	GLV BNP PLEDGE	STATE STREET	12,100	139,000	28-May-2016	22-Jun-2016

		BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	18,900	233,900	28-May-2016	22-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	47,971	576,000	28-May-2016	22-Jun-2016

CORINDUS VASCULAR ROBOTICS, INC.

Security	218730109	Meeting Type	Annual
Ticker Symbol	CVRS	Meeting Date	23-Jun-2016
ISIN	US2187301096	Agenda	934428790 - Management
Record Date	05-May-2016	Holding Recon Date	05-May-2016
City / Country	/ United States	Vote Deadline Date	22-Jun-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	REINCORPORATION OF THE COMPANY TO THE STATE OF DELAWARE	Management	For	For
2.	ESTABLISHMENT OF A CLASSIFIED BOARD OF DIRECTORS	Management	For	For
3.	PROHIBITING STOCKHOLDER ACTION BY WRITTEN CONSENT	Management	For	For
4.	REQUIRING A SUPER-MAJORITY VOTE OF STOCKHOLDERS TO TAKE CERTAIN ACTIONS	Management	For	For
5.	DIRECTOR	Management		
	1 HILLEL BACHRACH#		For	For
	2 DAVID R. WHITE#		For	For
	3 GERARD WINKELS#		For	For
	4 JEFFREY G. GOLD\$		For	For

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5	CAMPBELL D. ROGERS, MD\$	For	For
6	JEFFREY C. LIGHTCAP*	For	For
7	MICHAEL Y. MASHAAL, MD*	For	For
8	MARK J. TOLAND*	For	For

6.	AMENDMENT AND RESTATEMENT OF THE COMPANY'S 2014 STOCK AWARD PLAN	Management	For	For
7.	RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	146,900	0	19-May-2016	24-Jun-2016
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	245,000	0	19-May-2016	24-Jun-2016
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	106,800	500,000	19-May-2016	24-Jun-2016

NIPPON TELEGRAPH AND TELEPHONE CORPORATION

Security	J59396101	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	24-Jun-2016
ISIN	JP3735400008	Agenda	707140517 - Management
Record Date	31-Mar-2016	Holding Recon Date	31-Mar-2016
City / Country	TOKYO / Japan	Vote Deadline Date	16-Jun-2016
SEDOL(s)	0641186 - 5168602 - 6641373 - B1570S0 - BJ04L23	Quick Code	94320

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Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1	Approve Appropriation of Surplus	Management	For	For
2.1	Appoint a Director Miura, Satoshi	Management	For	For
2.2	Appoint a Director Unoura, Hiroo	Management	For	For
2.3	Appoint a Director Shinohara, Hiromichi	Management	For	For
2.4	Appoint a Director Sawada, Jun	Management	For	For
2.5	Appoint a Director Kobayashi, Mitsuyoshi	Management	For	For
2.6	Appoint a Director Shimada, Akira	Management	For	For
2.7	Appoint a Director Okuno, Tsunehisa	Management	For	For
2.8	Appoint a Director Kuriyama, Hiroki	Management	For	For
2.9	Appoint a Director Hiroi, Takashi	Management	For	For
2.10	Appoint a Director Sakamoto, Eiichi	Management	For	For
2.11	Appoint a Director Shirai, Katsuhiko	Management	For	For
2.12	Appoint a Director Sakakibara, Sadayuki	Management	For	For
3	Appoint a Corporate Auditor Maezawa, Takao	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	19,000	0	01-Jun-2016	23-Jun-2016
AL28	AL28		31,800	0	01-Jun-2016	23-Jun-2016

		STATE STREET BANK				
AL29	AL29	STATE STREET BANK	80,200	0	01-Jun-2016	23-Jun-2016

## FUJIFILM HOLDINGS CORPORATION

Security	J14208102	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Jun-2016
ISIN	JP3814000000	Agenda	707160379 - Management
Record Date	31-Mar-2016	Holding Recon Date	31-Mar-2016
City / Country	TOKYO / Japan	Vote Deadline Date	13-Jun-2016
SEDOL(s)	5477591 - 6356525 - B0222J6 - B0ZGTR2 - BHZL2M2	Quick Code	49010

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1	Approve Appropriation of Surplus	Management	For	For
2.1	Appoint a Director Komori, Shigetaka	Management	For	For
2.2	Appoint a Director Sueno, Kenji	Management	For	For
2.3	Appoint a Director Tamai, Koichi	Management	For	For
2.4	Appoint a Director Toda, Yuzo	Management	For	For
2.5	Appoint a Director Takahashi, Toru	Management	For	For
2.6	Appoint a Director Ishikawa, Takatoshi	Management	For	For
2.7	Appoint a Director Miyazaki, Go	Management	For	For
2.8	Appoint a Director Yamamoto, Tadahito	Management	For	For
2.9		Management	For	For

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	Appoint a Director Kitayama, Teisuke				
2.10	Appoint a Director Inoue, Hiroshi	Management	For	For	
2.11	Appoint a Director Shibata, Norio	Management	For	For	
2.12	Appoint a Director Yoshizawa, Masaru	Management	For	For	
3.1	Appoint a Corporate Auditor Matsushita, Mamoru	Management	For	For	
3.2	Appoint a Corporate Auditor Uchida, Shiro	Management	For	For	
4	Appoint Accounting Auditors	Management	For	For	

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	14,100	0	06-Jun-2016	28-Jun-2016
AL28	AL28	STATE STREET BANK	23,000	0	06-Jun-2016	28-Jun-2016
AL29	AL29	STATE STREET BANK	57,200	0	06-Jun-2016	28-Jun-2016

NINTENDO CO.,LTD.

Security	J51699106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Jun-2016
ISIN	JP3756600007	Agenda	707161802 - Management
Record Date	31-Mar-2016	Holding Recon Date	31-Mar-2016
City / Country	KYOTO / Japan	Vote Deadline Date	13-Jun-2016
SEDOL(s)	5334209 - 6639550 - B02JMD1 - B0ZGTW7 - BRTM8H8	Quick Code	79740

Item	Proposal	Proposed by	Vote	For/Against Management
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	Please reference meeting materials.	Non-Voting		
1	Approve Appropriation of Surplus	Management	For	For
2	Amend Articles to: Expand Business Lines, Increase the Board of Directors Size to 20, Transition to a Company with Supervisory Committee, Revise Directors with Title	Management	For	For
3.1	Appoint a Director except as Supervisory Committee Members Kimishima, Tatsumi	Management	For	For
3.2	Appoint a Director except as Supervisory Committee Members Takeda, Genyo	Management	For	For
3.3	Appoint a Director except as Supervisory Committee Members Miyamoto, Shigeru	Management	For	For
3.4	Appoint a Director except as Supervisory Committee Members Takahashi, Shinya	Management	For	For
3.5	Appoint a Director except as Supervisory Committee Members Furukawa, Shuntaro	Management	For	For
4.1	Appoint a Director as Supervisory Committee Members Noguchi, Naoki	Management	For	For
4.2	Appoint a Director as Supervisory Committee Members Mizutani, Naoki	Management	For	For
4.3	Appoint a Director as Supervisory Committee Members Mitamura, Yoshimi	Management	For	For
4.4	Appoint a Director as Supervisory Committee Members Umeyama, Katsuhiro	Management	For	For
5	Amend the Compensation to be received by Directors except as Supervisory Committee Members	Management	For	For
6	Amend the Compensation to be received by Directors as	Management	For	For

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Supervisory Committee  
Members

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	6,200	0	07-Jun-2016	28-Jun-2016
AL28	AL28	STATE STREET BANK	10,100	0	07-Jun-2016	28-Jun-2016
AL29	AL29	STATE STREET BANK	25,400	0	07-Jun-2016	28-Jun-2016

KEISEI ELECTRIC RAILWAY CO.,LTD.

Security	J32233108	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Jun-2016
ISIN	JP3278600006	Agenda	707162032 - Management
Record Date	31-Mar-2016	Holding Recon Date	31-Mar-2016
City / Country	CHIBA / Japan	Vote Deadline Date	21-Jun-2016
SEDOL(s)	5893067 - 6487425 - B02HNY3 - BHZL6D1	Quick Code	90090

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1	Approve Appropriation of Surplus	Management	For	For
2	Approve Share Consolidation	Management	For	For
3.1	Appoint a Director Saigusa, Norio	Management	For	For
3.2	Appoint a Director Hirata, Kenichiro	Management	For	For
3.3	Appoint a Director Kobayashi, Toshiya	Management	For	For
3.4		Management	For	For

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	Appoint a Director Mashimo, Yukihiro				
3.5	Appoint a Director Matsukami, Eiichiro	Management	For	For	
3.6	Appoint a Director Saito, Takashi	Management	For	For	
3.7	Appoint a Director Kato, Masaya	Management	For	For	
3.8	Appoint a Director Miyajima, Hiroyuki	Management	For	For	
3.9	Appoint a Director Serizawa, Hiroyuki	Management	For	For	
3.10	Appoint a Director Akai, Fumiya	Management	For	For	
3.11	Appoint a Director Furukawa, Yasunobu	Management	For	For	
3.12	Appoint a Director Shinozaki, Atsushi	Management	For	For	
3.13	Appoint a Director Amano, Takao	Management	For	For	
3.14	Appoint a Director Kawasumi, Makoto	Management	For	For	
3.15	Appoint a Director Toshima, Susumu	Management	For	For	
4.1	Appoint a Corporate Auditor Kawakami, Mamoru	Management	For	For	
4.2	Appoint a Corporate Auditor Matsuyama, Yasuomi	Management	For	For	
5	Approve Continuance of Policy regarding Large-scale Purchases of Company Shares (Anti-Takeover Defense Measures)	Management	For	For	

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK	84,000	0	07-Jun-2016	28-Jun-2016
AL28	AL28		141,000	0	07-Jun-2016	28-Jun-2016

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		STATE STREET BANK				
AL29	AL29	STATE STREET BANK	349,000	0	07-Jun-2016	28-Jun-2016

CALAMOS STRATEGIC TOTAL RETURN FUND

Security	128125101	Meeting Type	Annual
Ticker Symbol	CSQ	Meeting Date	30-Jun-2016
ISIN	US1281251017	Agenda	934426772 - Management
Record Date	29-Apr-2016	Holding Recon Date	29-Apr-2016
City / Country	/ United States	Vote Deadline Date	29-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 VIRGINIA G. BREEN		For	For
	2 THERESA A. HAMACHER		For	For
	3 STEPHEN B. TIMBERS		For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	800	88,000	14-May-2016	01-Jul-2016

PIMCO FLOATING RATE STRATEGY FUND

Security	72201J104	Meeting Type	Annual
Ticker Symbol	PFN	Meeting Date	30-Jun-2016
ISIN	US72201J1043	Agenda	934431709 - Opposition
Record Date	28-Apr-2016	Holding Recon Date	28-Apr-2016
City / Country	/ United States	Vote Deadline Date	29-Jun-2016
SEDOL(s)		Quick Code	

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Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DEBORAH A. DECOTIS		For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	37,700	0	20-May-2016	01-Jul-2016

SIGNATURE

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Clough Global Dividend  
and Income Fund

By: /s/ Edmund J. Burke  
Edmund J. Burke  
President

Date: August 22, 2016