Edgar Filing: Baker Sherri - Form 4

Baker Sherri												
Form 4												
April 09, 201	19											
FORM	14										PPROVAL	
Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287			
Check this box if no longer										Expires:	January 31, 2005	
subject to		MENT O	F CHAN	CHANGES IN BENEFICIAL OWN					NERSHIP OF	Estimated average		
Section 1 Form 4 or				SECURITIES						rs per		
Form 5		rsuant to	Section 10	5(a) of th	ne (Securiti	∍s Fv	chang	e Act of 1934,	response	0.5	
obligation	ns Section 17(-	f 1935 or Sectio	n		
may cont See Instru	inue.) of the In	•		·						
1(b).	ie tron					1.0						
(Print or Type F	Responses)											
Baker Sherri Symbol				suer Name and Ticker or Trading					5. Relationship of Reporting Person(s) to Issuer			
			•	Symbol PGT Innovations, Inc. [PGTI]					155001			
			PGTInr	novations	s, 1	nc. [PG	11]		(Chec	k all applicable	e)	
(Last)	(First) (Middle)	3. Date of		ran	saction						
				fonth/Day/Year) 4/08/2019					Director 10% Owner X Officer (give title Other (specify			
1070 ILCII			04/08/20	515					below)	below)		
										V.P. and CFO		
				Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			Filed(Mon	th/Day/Yea	ur)				Applicable Line) _X_ Form filed by	One Reporting Pe	erson	
NORTH VE	ENICE, FL 3427:	5							Form filed by M	More than One Re		
									Person			
(City)	(State)	(Zip)	Table	e I - Non-l	Der	rivative S	ecurit	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of	2. Transaction Dat			3.4. Securities Acquire					6. Ownership			
Security (Instr. 3)	(Month/Day/Year)		Execution Date, if any (Month/Day/Year)		Transaction(A) or Disposed of Code (D)				Indirect (I)	Indirect Beneficial Ownership		
(1150.5)		•			`						Owned	
									Following	(Instr. 4)	(Instr. 4)	
							(A)		Reported Transaction(s)			
				Code V	J	Amount	or (D)	Price	(Instr. 3 and 4)			
PGTI							(D)	The				
Common	04/08/2019			А		21,459 (1)	А	\$0	21,459	D		
Stock					-	(1)						

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Baker Sherri 1070 TECHNOLOGY DRIVE NORTH VENICE, FL 34275			Sr. V.P. and CFO					
Signatures								
SHERRI BAKER 04/0	9/2019							

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Restricted stock, which is not subject to any issuer performance requirements, granted under the issuer's 2014 Omnibus Equity Incentive Plan, which is expected to vest in three equal installments on each of April 8, 2020, April 8, 2021, and April 8, 2022, subject to the terms

(1) of the grant agreement between the issuer and the reporting person. This restricted stock has a grant date value of \$306,000, based on the closing trading price of the issuer's common stock on April 5, 2019, and was made to the reporting person pursuant to the terms of an employment agreement between the issuer and the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.