**ALI MOHAMAD** Form 4

December 19, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and A ALI MOHA	Symbol	2. Issuer Name and Ticker or Trading Symbol Carbonite Inc [CARB]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First) (N	Middle) 3. Date of	f Earliest Tr	ransaction	(Circ	ек ин иррнецые	·)
C/O CARB AVENUE I	VO 12/03/2	(Month/Day/Year) 12/03/2018			ve title Othobelow) O and President		
	(Street)	4. If Ame	ndment, Da	ate Original	6. Individual or 3	Joint/Group Filir	ng(Check
BOSTON,	MA 02111	Filed(Moi	nth/Day/Year	)	Applicable Line) _X_ Form filed by Form filed by Person	One Reporting Pe More than One Re	
(City)	(State)	(Zip) Tabl	e I - Non-E	Derivative Securities Acq	uired, Disposed	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

(mour s)		(Month/Day/Year)	(Instr. 8)	(mon. 5,	(A)		Owned Following Reported Transaction(s)	Indii (Inst
			Code V	Amount	(D)	Price	(Instr. 3 and 4)	
Common Stock	12/03/2018		F	11,112 (1)	D	\$0	536,963 (2)	D
Common Stock	12/14/2018		S	13,888	D	\$ 26.5 (3)	523,075 (2)	D
Common Stock	12/17/2018		F	3,199 (1)	D	\$0	519,876 <u>(4)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration	T:41-	or Namel		
						Exercisable	Date	Title	Number		
				G 1 17	(A) (D)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Treporting O mari Namo, Plantos	Director	10% Owner	Officer	Other				
ALI MOHAMAD C/O CARBONITE, INC. TWO AVENUE DE LAFAYETTE BOSTON, MA 02111	X		CEO and President					

# **Signatures**

/s/ Danielle 12/19/2018 Sheer Date \*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld by the Company to satisfy tax obligations of the reporting person.
- (2) Includes 190,715 shares of common stock subject to unvested restricted stock units.
  - The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$26.10 to \$26.83, inclusive. The Reporting Person undertakes to provide Carbonite, Inc., any security holder of Carbonite, Inc., or the staff of the
- Securities & Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.
- (4) Includes 183,517 shares of common stock subject to unvested restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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