Edgar Filing: Carbonite Inc - Form 4

| Carbonite In Form 4 February 03, | | | | | | | | | | |
|---|---|------------------|---|--|--|---|---|-------------------------|-------------------------------|--|
| FORM | 1 4 | | | | | | | OMB AF | PROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | |
| Check this box | | | | GES IN BENEFICIAL OWNERSHIP OF | | | NERSHIP OF | Expires: Estimated a | January 31, 2005 verage | |
| | Section 16. SE | | | | | SECURITIES | | | | |
| Form 4 o Form 5 | | a | | a . | | | | response 0.5 | | |
| obligatio | Filed pursuant to ns Section 17(a) of the | | | | | - | | | | |
| may cont | $\frac{1}{1}$ section 17(a) of the $20(1)$ | | • | • | · · | | | 1 | | |
| See Instru | uction 50(1 | i) of the fi | ivestment | Compan | iy Ac | 1 01 194 | Ю | | | |
| 1(b). | | | | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | | |
| | • | | | | | | | | | |
| 1. Name and A ALI MOHA | er Name and Ticker or Trading | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | | |
| | | Symbol Carbon | ite Inc [CARB] | | | | | | | |
| (Last) | (First) (Middle) | | f Earliest Tra | _ | | | (Check | k all applicable |) | |
| | | | Day/Year) | | | X Director 10% Owner | | | | |
| C/O CARBONITE, INC., 2 02/02/20 | | | - | | | _X_ Officer (give title Other (specify below) | | | | |
| AVENUE I | | | | CEO and President | | | | | | |
| | (Street) | 4 If Am | andmant. Data Original | | | | | | | |
| | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| | (iii/Day/Tear) | | | | _X_ Form filed by One Reporting Person | | | | | |
| BOSTON, N | MA 02111 | | | | | | Form filed by M Person | lore than One Re | porting | |
| (City) | (State) (Zip) | Tab | le I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year) | | | Code (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) | | | |
| | | | Code V | Amount | (A) or (D) | Price | Following Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4) | (Instr. 4) | |
| Common | 02/02/2017 | | F | 6,467 | D | \$ | 515,975 <u>(2)</u> | D | | |
| Stock | 02/02/2017 | | 1 | (1) | D | 19.15 | 515,975 <u></u> | D | | |
| | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | | ate | 7. Title Amoun Underl Securit (Instr. | nt of lying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|--|--|---------------------|--------------------|---|--|---|---|
| | | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | | |
|---|------|---------------|-----------|-------------------|-------|--|--|--|--|
| | | Director | 10% Owner | Officer | Other | | | | |
| ALI MOHAMAD C/O CARBONITE, INC. 2 AVENUE DE LAFAYET BOSTON, MA 02111 | ГТЕ | X | | CEO and President | | | | | |
| Signatures | | | | | | | | | |
| /s/ Danielle Sheer | 02/0 | 3/2017 | | | | | | | |
| <u>**</u> Signature of | E | Date | | | | | | | |

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld by the Company to satisfy tax obligations of the reporting person.
- (2) Includes 356,250 shares of common stock subject to unvested restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.