

SONO TEK CORP
Form 4
August 29, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bagley Stephen James

(Last) (First) (Middle)

SONO-TEK CORPORATION, 2012
ROUTE 9W

(Street)

MILTON, NY 12547

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
SONO TEK CORP [sotk]

3. Date of Earliest Transaction
(Month/Day/Year)
08/28/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Sono-Tek Corp Common Stock	08/28/2013		M ⁽¹⁾	16,282 A	\$ 0.61 18,032	D	
Sono-Tek Corp Common Stock	08/28/2013		F ⁽²⁾	1,300 D	\$ 0.89 16,732	D	
Sono-Tek Corp Common Stock	08/28/2013		F ⁽³⁾	9,860 D	\$ 0.89 6,872	D	

Edgar Filing: SONO TEK CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Sono-Tek Corp Options	\$ 0.61	08/28/2013		M ⁽¹⁾	16,282	11/08/2012 11/08/2022	Sono-Tek Corp Common Stock 16,282

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Bagley Stephen James SONO-TEK CORPORATION 2012 ROUTE 9W MILTON, NY 12547	Chief Financial Officer

Signatures

Stephen J. Bagley
08/29/2013
**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person Exercised 16,282 vested options.
- (2) Reporting Person Surrendered 1300 Shares of Sono-Tek Corp Common Stock held prior to 8/28/2013, as payment for the exercise of vested options.
- (3) Reporting Person Surrendered 9860 shares of Sono-Tek Corp Common Stock as payment for the exercise of vested options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: SONO TEK CORP - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.