Bozzini James Form 4 April 17, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bozzini James Issuer Symbol Workday, Inc. [WDAY] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify C/O WORKDAY, INC., 6110 04/15/2019 below) STONERIDGE MALL ROAD COO & Executive Vice President (Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

**OMB APPROVAL** 

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January 31,

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#### PLEASANTON, CA 94588

(City)	(State)	(Zip) Ta	ble I - Non	-Derivati	ve Sec	urities Acqui	red, Disposed of	, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) ctionor Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A			Code V	Amount		Price	(Instr. 3 and 4)		
Class A Common Stock	04/15/2019		S(1)	2,232	D	\$ 192.0801 (2)	179,822 (3)	D	
Class A Common Stock	04/15/2019		S <u>(1)</u>	6,638	D	\$ 192.8089 (4)	173,184 (3)	D	
Class A Common Stock	04/15/2019		S(1)	2,200	D	\$ 193.948 (5)	170,984 (3)	D	
Class A Common	04/15/2019		S(1)	337	D	\$ 194.8638	170,647 (3)	D	

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Stock	<u>(6)</u>		
Class A Common Stock	99,235	I	By the Bozzini Revocable Trust dtd 5/10/2004
Class A Common Stock	11,077	I	By the Bozzini Irrevocable Trust dtd 7/12/2012 FBO Child 1
Class A Common Stock	11,077	I	By the Bozzini Irrevocable Trust dtd 7/12/2012 FBO Child 2
Class A Common Stock	11,077	I	By the Bozzini Irrevocable Trust dtd 7/12/2012 FBO Child 3

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Underlying S	Securities
Security	or Exercise		any	Code	of	(Month/Day/	Year)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e			
	Derivative				Securities	3			
	Security				Acquired				
					(A) or				
					Disposed				
					of (D)				
					(Instr. 3,				
					4, and 5)				
				Code V	(A) (D)	Date	Expiration	Title	Amount
				Code v	(A) (D)	Exercisable	Date	Title	Amount
						Exercisable	Date		or

8. Price Deriva Securit (Instr.

					Number of Shares
Stock Option (right to buy)	\$ 7.05	<u>(7)</u>	05/04/2022	Class A Common Stock	43,750
Stock Option (right to	\$ 2.3	<u>(8)</u>	02/18/2021	Class A Common Stock	5,750

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Bozzini James			COO &				
C/O WORKDAY, INC.			Executive Vice				
6110 STONERIDGE MALL ROAD							
DY ELGINTERNY GLOVERO			President				

## **Signatures**

PLEASANTON, CA 94588

/s/ Juliana Capata, 04/17/2019 attorney-in-fact

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan previously adopted by the Reporting Person and represent shares required to be sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of (1) restricted stock units (RSUs). These sales are mandated by the Issuer's election under its equity incentive plans to require the satisfaction of a tax withholding obligation to be funded by a "sell to cover" transaction and do not represent discretionary trades by the Reporting Person.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices within the range of \$191.4800 to \$192.4799, inclusive. The Reporting Person undertakes to provide to Workday, Inc., any security holder of Workday, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range(s) set forth in this footnote of this Form 4.
- grants consisting of i) 46,492 RSUs with a grant date of 04/15/2016; ii) 66,302 RSUs with a grant date of 4/14/2017; and iii) 50,869 RSUs with a grant date of 4/15/2018; each of which vested or will vest as to 25% of the underlying shares on the one-year anniversary of grant then quarterly thereafter. All grants are subject to the Reporting Person's continued service with the Issuer on the applicable vesting dates. Please note, Footnote 3 of the Reporting Person's Form 4 filed on January 17, 2019, contained a de minimus error in the number of RSUs reported as included in the Reporting Person's beneficial ownership, which is now accurately reflected on this Form 4.

Includes 82,926 RSUs that entitle the Reporting Person to receive one share of Class A Common Stock upon settlement, from original

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- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices within the range of \$193.5000 to \$194.4999, inclusive. The Reporting Person undertakes to provide to Workday, Inc., any security holder of Workday, Inc.,

Reporting Owners 3

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or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range(s) set forth in this footnote of this Form 4.

- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices within the range of \$194.6400 to \$195.6399, inclusive. The Reporting Person undertakes to provide to Workday, Inc., any security holder of Workday, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range(s) set forth in this footnote of this Form 4.
- (7) This stock option grant became fully vested on January 1, 2018.
- (8) This stock option grant became fully vested on January 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.