

MOWBRAY DONALD F

Form 4

November 13, 2012

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MOWBRAY DONALD F

2. Issuer Name **and** Ticker or Trading  
Symbol  
SONO TEK CORP [SOTK]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
103 JENKINS ROAD  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/08/2012

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

BURNT HILLS, NY 12027

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V Amount (D) Price                                                         |                                                                                                                    |                                                                      |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities Acquired<br>(A) or Disposed of | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and A<br>Underlying S<br>(Instr. 3 and 4) |
|-----------------------------------------------------|------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------------------------|
|                                                     |                                          |                                         |                                                             |                                      |                                                                         |                                                                |                                                    |

# Edgar Filing: MOWBRAY DONALD F - Form 4

| Derivative<br>Security |         |            | (D)<br>(Instr. 3, 4, and 5) |           | Date Exercisable                 | Expiration<br>Date | Title                      |
|------------------------|---------|------------|-----------------------------|-----------|----------------------------------|--------------------|----------------------------|
|                        |         |            | Code                        | V (A) (D) |                                  |                    |                            |
| OPTIONS                | \$ 1.06 | 11/08/2012 | J <sup>(1)</sup>            |           | 10,000 08/18/2005 <sup>(2)</sup> | 08/16/2015         | SONOTEK<br>COMMON<br>STOCK |
| OPTIONS                | \$ 0.74 | 11/08/2012 | J <sup>(1)</sup>            |           | 10,000 03/05/2008 <sup>(2)</sup> | 03/03/2018         | SONOTEK<br>COMMON<br>STOCK |
| OPTIONS                | \$ 0.61 | 11/08/2012 | A                           | 20,000    | 11/08/2012 <sup>(3)</sup>        | 11/06/2022         | SONOTEK<br>COMMON<br>STOCK |

## Reporting Owners

| Reporting Owner Name / Address                                | Relationships |           |         |       |
|---------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                               | Director      | 10% Owner | Officer | Other |
| MOWBRAY DONALD F<br>103 JENKINS ROAD<br>BURNT HILLS, NY 12027 |               |           | X       |       |

## Signatures

DONALD  
MOWBRAY 11/13/2012

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) OPTIONS WERE CANCELLED IN EXCHANGE FOR NEW OPTIONS.
- (2) SUBJECT TO STANDARD VESTING SCHEDULE.
- (3) SUBJECT TO VESTING SCHEDULE.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.