## Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

## ALLIANCE RESOURCE PARTNERS LP

Form 4

unit

November 02, 2005

November	72, 2003									
FORM	14				~~~ .		~~.	OMB AF	PPROVAL	
UNITED STATES SECUR				RITIES AND EXCHANGE COMMISSION shington, D.C. 20549				OMB	3235-0287	
Check th	his box	W	asnington	, D.C. 20	549			Number:	January 31,	
if no lon		STATEMENT OF CHANGES IN BENEFICIAL OWNE					NERSHIP OF	Expires:	2005	
subject t	.0	OF CHA			CIA	LOW	VERSIII OF	Estimated a		
Section 16. SECURITIES Form 4 or						burden hour response	rs per 0.5			
Form 5	Filed pursuant	to Section	16(a) of th	ne Securit	ies E	xchang	e Act of 1934,	тезропос	0.0	
obligation obligation obligation		he Public U	Jtility Hol	ding Con	npany	y Act of	f 1935 or Section	n		
See Insti	21	(h) of the I	nvestmen	t Compan	у Ас	t of 194	40			
1(b).										
(Print or Type	Responses)									
1. Name and	Address of Reporting Persor	* 2 Icen	er Name <b>an</b>	d Ticker or	Tradii	nα	5. Relationship of	Reporting Pers	on(s) to	
RATHBURN GARY J Symbol			er Name <b>and</b> Ticker or Trading				Issuer			
		*	ANCE RE	SOURCE	ı 1					
		PART	NERS LP	[ARLP]			(Chec	k all applicable	)	
(Last)	(First) (Middle)	3. Date	of Earliest T	ransaction			Director	10%	Owner	
		(Month/	Day/Year)				_X_ Officer (give below)	titleOthe	er (specify	
1717 S. BOULDER 11/01/2005				005 Seni					2	
AVENUE,	SUITE 600									
	(Street)	4. If Am	endment, D	ate Origina	l		6. Individual or Jo	int/Group Filin	g(Check	
		Filed(M	onth/Day/Yea	r)			Applicable Line)	)na Danautina Da	wo o w	
TULSA, O	V 74110						_X_ Form filed by C Form filed by M			
TULSA, U.	K /4119						Person			
(City)	(State) (Zip)						uired, Disposed of			
1.Title of Security	2. Transaction Date 2A. (Month/Day/Year) Exec	Deemed ution Date, if	3. Transacti	4. Securit on(A) or Di			5. Amount of Securities	6. Ownership	7. Nature of Indirect	
(Instr. 3)	any	ution Date, ii	Code	(Instr. 3, 4)			Beneficially	Form: Direct		
, ,	(Mo	th/Day/Year)	(Instr. 8)				Owned	(D) or	Ownership	
							Following Reported	Indirect (I) (Instr. 4)	(Instr. 4)	
					(A)		Transaction(s)	(111501. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common				1 11110 4111	(2)	11100	12 000 (6)	D		
unit							12,800 <u>(6)</u>	D		
Common										
unit	11/01/2005		M	18,500	A	<u>(7)</u>	31,300	D		
						¢				
Common	11/01/2005		F	7,400	D	\$ 42.24	23,900	D		
unit	11/01/2003		1	7,700	D	(8)	23,700	D		
C									D	
Common							0 (9)	I	By	

daughter

### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration Da (Month/Day/	ite	7. Title and Underlying S (Instr. 3 and	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom unit	(2)					<u>(1)</u>	<u>(4)</u>	Common unit	14,024 (6)
Restricted unit	<u>(7)</u>	11/01/2005		M	18,50 (6)	0 11/01/2005	<u>(4)</u>	Common unit	18,500 (6)
Restricted unit	(2)					<u>(3)</u>	<u>(4)</u>	Common unit	12,800 (6)
Restricted unit	<u>(2)</u>					<u>(5)</u>	<u>(4)</u>	Common unit	6,800 (6)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
RATHBURN GARY J							
1717 S. BOULDER AVENUE			Senior				
SUITE 600			VP-Marketing				
TULSA, OK 74119							

# **Signatures**

Gary J. Rathburn	11/02/2005
**Signature of Reporting Person	Date

Reporting Owners 2

#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Phantom units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination.
- (2) 1 for 1
- The Restricted units will vest on December 31, 2006 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.
- (4) Not applicable
- The Restricted units will vest on January 1, 2008 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.
- This common unit amount has been adjusted to reflect the completion by the issuer of a two-for-one split of its common units representing limited partner interests effective September 15, 2005.
- On October 25, 2005, the Partnership's Compensation Committee determined that the vesting requirement for the 2003
- (7) Long-Term Incentive Plan grants had been satisfied as of September 30, 2005. As a result restricted units were vested on November 1, 2005 based upon the closing price of the common units at October 31, 2005 of \$42.24.
- (8) Upon vesting, restricted units are withheld by the Partnership for payment of tax liability at the vesting price of \$42.24.
- (9) The reporting person no longer has a reportable beneficial interest in 5,600 common units owned by his daughter and included in the reporting person's prior ownership reports.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.