Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

| ALLIANCE RES Form 4 May 13, 2005 | OURCE PAR | TNERS LP | | | | | | |
|--|---|--|--|---|--|--|---|--|
| • | | | | | | OMB A | PPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | OMB Number: | 3235-0287 | |
| Check this box if no longer subject to Section 16. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | Expires: January 31 200 Estimated average | |
| Form 4 or Form 5 | Form 4 or Form 5 builder Hours per response C Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | |
| (Print or Type Respon | ises) | | | | | | | |
| 1. Name and Address MARSHALL CA | | Symbol ALLIA | er Name and Ticker of ANCE RESOURC NERS LP [ARLP] | E | 5. Relationship of Issuer (Chec | Reporting Pers | | |
| (Last) (1 1717 S. BOULDI AVENUE, SUIT | 0071072000 | | | 1 | Director 10% Owner X_Officer (give title Other (specify below) VP Corporate Finance | | | |
| | Street) | | nendment, Date Origin onth/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| TULSA, OK 741 | 19 | | | | Person | fore than One Ke | eporung | |
| (City) (S | State) (Z | ^{ip)} Ta | ble I - Non-Derivativ | e Securities Ac | quired, Disposed of | f, or Beneficial | lly Owned | |
| | ransaction Date nth/Day/Year) | 2A. Deemed Execution Date, i any (Month/Day/Yea | Code Dispos | red (A) or sed of (D) 3, 4 and 5) (A) or | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common unit | | | | | 1,377 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price (Derivativ Security (Instr. 5) |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|--|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom unit | (2) | | | | | <u>(1)</u> | (5) | Common unit | 1,677 | |
| Phantom unit | <u>(2)</u> | 05/13/2005 | | А | 19 | <u>(1)</u> | (5) | Common unit | 19 | <u>(5)</u> |
| Restricted unit | <u>(2)</u> | | | | | (3) | (5) | Common unit | 4,000 | |
| Restricted unit | (2) | | | | | (4) | (5) | Common unit | 4,000 | |
| Restricted unit | <u>(2)</u> | | | | | (6) | (5) | Common unit | 2,500 | |

Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|----------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| MARSHALL CARY P 1717 S. BOULDER AVENUE SUITE 600 TULSA, OK 74119 | | | VP Corporate Finance | | | |
| Signaturaa | | | | | | |

Signatures

Cary P. 05/13/2005 Marshall 05/13/2005 <u>**Signature of</u> Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Phantom units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination.
- (**2**) 1 for 1

Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

- (3) The Restricted units will vest on September 30, 2005 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.
- (4) The Restricted units will vest on December 31, 2006 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.
- (5) Not applicable
- (6) The Restricted units will vest on January 1, 2008 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.