Edgar Filing: CMS ENERGY CORP - Form 4

| CMS ENER | GY CORP | | | | | | | | | | |
|-------------------------------------------------------------------|--------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|---------------------------------------------------------|----------------------------------------------------------------------------|------------------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--|
| Form 4 | | | | | | | | | | | |
| January 26, 2 | 2016 | | | | | | | | | | |
| FORM | 14 | | | | | ~~~ | | 01 11 11 1 1 1 | OMB AF | PROVAL | |
| | UNITEL |) STATES | | RITIES A shington, | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | |
| Check th if no long subject to Section 1 Form 4 c | ger STATE 16. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | Expires: January 31 2009 Estimated average burden hours per response 0.9 | |
| Form 5 obligatio may con <i>See</i> Instr 1(b). | ^{nns} Section 17 uction | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | |
| (Print or Type] | Kesponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> WEBB THOMAS J | | | 2. Issuer Name and Ticker or Trading Symbol CMS ENERGY CORP [CMS] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Chec | | | | | (Checl | ek all applicable) | | |
| (Me ONE ENERGY PLAZA 01/ (Street) 4. I | | | (Month/Day/Year) 01/24/2016 | | | | | Director 10% Owner Officer (give title Other (specify below) Exec Vice Pres/CFO | | | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| JACK5011, | , 1011 47201 | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-E | Derivative S | Securi | ties Acqu | uired, Disposed of | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | ransaction Date 2A. Deer onth/Day/Year) Executio any (Month/I | | 3. Transactio Code (Instr. 8) | Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Instr. 8) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 01/24/2016 | | | A | 19,959 (1) | A | \$0 | 377,830 | D | | |
| Common Stock | 01/24/2016 | | | F | 22,471 | D | \$ 36.55 | 355,359 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ; | ate | 7. Titl Amou Under Securi (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|-----------------------------------------------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------------------------------|---------------|------------|--------------------|-------|--|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | | |
| WEBB THOMAS J ONE ENERGY PLAZA JACKSON, MI 49201 | | | Exec Vice Pres/CFO | | | | | |
| Signatures | | | | | | | | |
| Melissa M. Gleespen, Attny-in-Fact | | 01/26/2016 | | | | | | |
| <u>**</u> Signature of Reporting Person | | Date | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of Common Stock of CMS Energy Corporation ("CMS") acquired as a result of CMS exceeding certain performance criteria
 (1) established under the 2013 Restricted Stock Award granted to the reporting person in accordance with the provisions of the CMS Performance Incentive Stock Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.