#### Edgar Filing: ASPEN TECHNOLOGY INC /DE/ - Form 4

#### ASPEN TECHNOLOGY INC /DE/

Form 4

August 26, 2013

# FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

Form 5

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0287 Number:

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * MCARDLE JOAN C			2. Issuer Name <b>and</b> Ticker or Trading Symbol ASPEN TECHNOLOGY INC /DE/ [AZPN]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)  ASPEN TECH WHEELER RO	· ·	(Middle) INC., 200	3. Date of Earliest Transaction (Month/Day/Year) 08/23/2013	Director 10% Owner Officer (give title below) Other (specify below)		
BURLINGTO	(Street) N, MA 0180	03	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secu	ırities Acqui	red, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Secur or Dispo (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code V	Amount	(D)	Price	(Instr. 3 and 4) 60,000 (1)	I	By employer
Common Stock	08/23/2013		M	3,000	A	\$ 10.42	25,064	D	
Common Stock	08/23/2013		M	3,000	A	\$ 10.42	28,064	D	
Common Stock	08/23/2013		S	6,000 (3)	D	\$ 34.3502	22,064	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer- Expiration D (Month/Day/	ate	7. Title and Underlying (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 10.42	08/23/2013		M	3,000	<u>(2)</u>	11/16/2013	Common Stock	3,000
Director Stock Option (Right to Buy)	\$ 10.42	08/23/2013		M	3,000	(2)	11/16/2013	Common Stock	3,000

## **Reporting Owners**

	ships

Reporting Owner Name / Address

Director 10% Owner

Officer Other

MCARDLE JOAN C ASPEN TECHNOLOGY, INC. 200 WHEELER ROAD BURLINGTON, MA 01803

### **Signatures**

/s/ F.G. Hammond, Attorney-In-Fact

08/26/2013

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These securities are held by Massachusetts Capital Resource Company. The reporting person serves as a senior vice president of Massachusetts Capital Resource Company, and may be deemed to have shared voting power over these securities. The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the
- beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (2) The option granted at the exercise price of \$10.42, representing a right to purchase 3,000 shares, was fully vested on the date of grant.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$34.35 to \$34.36, inclusive. The reporting person undertakes to provide to Aspen Technology, Inc., any security holder of Aspen Technology, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.