Anthera Pharmaceuticals Inc Form 4

December 06, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

TRUEX PAUL F

2. Issuer Name and Ticker or Trading Symbol

Anthera Pharmaceuticals Inc

[ANTH]

(Last)

(City)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 12/02/2016

25801 INDUSTRIAL BLVD SUITE В

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

5. Relationship of Reporting Person(s) to

(Check all applicable)

Executive Chairman

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

Officer (give title

HAYWARD, CA 94545

1. Title of 2. Transaction Date 2A. Deemed Security

(Instr. 3)

(State)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

(A)

or

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership Form: Direct (Instr. 4)

7. Nature of Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

10% Owner

Other (specify

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date**

7. Title and Amount of **Underlying Securities**

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | (Month/Day/Year) | | (Instr. 3 and 4) | |
|--------------------------------------|---|------------|-------------------------|-----------------|---|---------|---------------------|--------------------|------------------|----------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock option (right to buy) | \$ 9.48 | 12/02/2016 | | J <u>(1)</u> | | 170,000 | <u>(1)</u> | 09/17/2025 | Common Stock | 170,000 |
| Stock option (right to buy) | \$ 9.48 | 12/02/2016 | | J(2) | | 180,000 | <u>(2)</u> | 09/17/2025 | Common Stock | 180,000 |

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

TRUEX PAUL F 25801 INDUSTRIAL BLVD SUITE B HAYWARD, CA 94545

Executive Chairman

Signatures

/s/ Paul Truex 12/06/2016

**Signature of Pate Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On December 2, 2016, the Reporting Person and the Company agreed to cancel a stock option to purchase 170,000 shares of common stock dated September 17, 2015 (the "Option"), which Option was previously reported on a Form 4 filed with the SEC on September 18, 2015. The Option was to vest monthly over four years beginning December 30, 2014 (the "Vesting Start Date"). The Option would have completely vested on the fourth anniversary of the Vesting Start Date and would have expired on September 17, 2025.
- On December 2, 2016, the Reporting Person and the Company agreed to cancel a stock option to purchase 180,000 shares of common stock dated September 17, 2015 (the "Option"), which Option was previously reported on a Form 4 filed with the SEC on September 18, 2015. This Option was to vest monthly over four years beginning September 17, 2015 (the "Vesting Start Date"). The Option would have completely vested on the fourth anniversary of the Vesting Start Date and would have expired on September 17, 2025.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2