

RICHENHAGEN MARTIN
Form 4
April 03, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RICHENHAGEN MARTIN

2. Issuer Name and Ticker or Trading Symbol
AGCO CORP /DE [AGCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
AGCO CORPORATION, 4205
RIVER GREEN PARKWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
04/01/2019

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

DULUTH, GA 30096-2584

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---------|-----------------|---------------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 04/01/2019 | | S | | 60,000 | <u>D</u> (1) | \$ 70.1903 <u>(2)</u> | 525,279 | D | |
| Common Stock | | | | | | | | 300,000 | <u>I</u> (3) | By Grantor Retained Annuity Trust (3) |
| Common Stock | 04/01/2019 | | S | | 42,000 | <u>D</u> (4) | \$ 70.1903 <u>(2)</u> | 81,584 | I | By Trust (5) |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| RICHENHAGEN MARTIN AGCO CORPORATION 4205 RIVER GREEN PARKWAY DULUTH, GA 30096-2584 | X | | Chairman, President and CEO | |

Signatures

Lynnette D. Schoenfeld
Attorney-in-fact
04/03/2019
Date

Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold pursuant to a Rule 10b5-1 trading plan entered into by Martin Richenhagen on February 8, 2019. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions ranging from \$70.07 to \$70.22131, inclusive. The reporting person undertakes to provide, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2).
- (3) These shares were previously reported as directly beneficially owned but were contributed to the Martin H. Richenhagen 2019 Grantor Retained Annuity Trust, under agreement dated February 18, 2019.
- (4) Shares were sold pursuant to a Rule 10b5-1 trading plan entered into by Martin Richenhagen, as Trustee, on February 8, 2019.

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- (5) Owned by The Richenhagen Irrevocable Trust - Brigitte Charlotte Anna Richenhagen, Trustee, under agreement dated December 20, 2012. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.