

Herrman Ernie  
Form 4  
March 28, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Herrman Ernie

(Last) (First) (Middle)

THE TJX COMPANIES, INC., 770  
COCHITUATE ROAD

(Street)

FRAMINGHAM, MA 01701

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

TJX COMPANIES INC /DE/ [TJX]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/27/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

CEO &amp; President

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 03/27/2019                              |                                                             | G <sup>(1)</sup>                        | 40,000 D \$ 0                                                           | 762,818                                                                                                            | D                                                                       |                                                                   |
| Common<br>Stock                       | 03/28/2019                              |                                                             | M                                       | 24,640 A \$ 28.36                                                       | 787,458                                                                                                            | D                                                                       |                                                                   |
| Common<br>Stock                       | 03/28/2019                              |                                                             | M                                       | 8,464 A \$ 28.36                                                        | 795,922                                                                                                            | D                                                                       |                                                                   |
| Common<br>Stock                       | 03/28/2019                              |                                                             | M <sup>(2)</sup>                        | 33,104 D \$<br>53.1558                                                  | 762,818                                                                                                            | D                                                                       |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                            | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Option                                              | \$ 28.36                                                              | 03/28/2019                              |                                                             | M <sup>(3)</sup>                        |                                                                                                              | 24,640                                                         |     | 09/19/2014                                                          | 09/19/2023         | Common<br>Stock | 24,640                              |
| Option                                              | \$ 28.36                                                              | 03/28/2019                              |                                                             | M <sup>(3)</sup>                        |                                                                                                              | 8,464                                                          |     | 09/19/2014                                                          | 09/19/2023         | Common<br>Stock | 8,464                               |

## Reporting Owners

| Reporting Owner Name / Address                                                          | Relationships |           |                 |       |
|-----------------------------------------------------------------------------------------|---------------|-----------|-----------------|-------|
|                                                                                         | Director      | 10% Owner | Officer         | Other |
| Herrman Ernie<br>THE TJX COMPANIES, INC.<br>770 COCHITUATE ROAD<br>FRAMINGHAM, MA 01701 | X             |           | CEO & President |       |

## Signatures

/s/ Mary B. Reynolds, by Power of Attorney dated February 20,  
2007

03/28/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents gift on March 27, 2019 by reported individual to a charitable foundation of which the individual is a trustee or officer.

The price reported is the weighted average sales price of shares sold in multiple transactions at prices ranging from \$53.12 to \$53.19. The  
(2) reporting person hereby undertakes, upon request of the Commission staff, the issuer or a security holder of the issuer, to provide full  
information regarding the number of shares sold at each separate price.

(3) Right to buy. Includes right to have shares withheld to satisfy tax withholding obligations upon exercise.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: Herrman Ernie - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.