#### **GOPALSWAMY SUDHIR**

Form 4 March 01, 2019

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3

Check this box if no longer white the STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287 Expires: January 31,

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or OF Estimated average

SECURITIES

burden hours per response... 0.5

Form 5 obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

Stock

Stock

Common

Common

02/28/2019

02/28/2019

(Print or Type Responses)

	nd Address of Reporting SWAMY SUDHIR	Symbol CYPRE	er Name and Ticker or Trading ESS SEMICONDUCTOR	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (N		/DE/ [CY] of Earliest Transaction	Director 10% Owner			
198 CH	AMPION COURT		Day/Year)	XOfficer (give titleOther (specify below)			
	(Street)		endment, Date Original onth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SAN JOS	SE, CA 95134			Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Tab	le I - Non-Derivative Securities A	cquired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (E Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Pri	Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)			
Commor	02/28/2019		A $\frac{10,349}{(1)}$ A \$0	( <u>2)</u> 140,899 D			

(1)

(3)

A

F

63,684

35,604

A

\$ 0 (2)

15.43

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

204,583

168,979

D

D

#### Edgar Filing: GOPALSWAMY SUDHIR - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						`
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	•	Title	Number		
						2			of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GOPALSWAMY SUDHIR 198 CHAMPION COURT SAN JOSE, CA 95134

EVP, Microcontroller/Connect

# **Signatures**

/s/ Pamela L. Tondreau, attorney-in-fact 03/01/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of Issuer common stock vesting in connection with performance-based stock units ("PSUs") granted on March 16, 2017 as part of the Issuer's Performance Accelerated Restricted Stock (or PARS) program.
- PSUs convert into common stock on a one-for-one basis upon vesting. Under SEC guidance, PSUs are not considered derivative securities and therefore do not appear in Table II.
- Represents shares of Issuer common stock vesting in connection with PSUs granted on February 16, 2018 as part of the Issuer's Long-Term Incentive (or LTI) Program.
- (4) Represents shares withheld by the Issuer to satisfy tax withholding obligations in connection with the vesting of PSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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