Henry Alyssa Form 4 April 27, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

600

(City)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Henry Alyssa

(Zip)

2. Issuer Name and Ticker or Trading

Square, Inc. [SQ]

(Last) (First) (Middle)

1455 MARKET STREET, SUITE

(Street)

(State)

Symbol

3. Date of Earliest Transaction

(Month/Day/Year) 04/25/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below) Seller Lead

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Dominative Committee Assumed Disposed of an Donoficially Or

#### SAN FRANCISCO, CA 94103

| (,)                            | (33332)                              | Tabl  | e I - Non-L                             | Derivative                               | Securi | ties Acqu   | iirea, Disposea oi   | , or Beneficiall   | y Owned   |
|--------------------------------|--------------------------------------|---|---|--|--------|-------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securition(A) or Dia<br>(Instr. 3, 4) | sposed | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock     | 04/25/2018                           |   | A                                       | 38,159<br>(1)                            | A      | \$ 0        | 466,918  | D  |   |
| Class A<br>Common<br>Stock     | 04/25/2018                           |   | F                                       | 7,093<br>(2)                             | D      | \$<br>44.75 | 459,825  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|---|---|---|--|--|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                  | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| Stock Option (right to buy)                         | \$ 44.75  | 04/25/2018                              |   | A                                       | 109,026  | (3)  | 04/24/2028         | Class A<br>Common<br>Stock                                    | 109,026                          |

## **Reporting Owners**

SAN FRANCISCO, CA 94103

| Reporting Owner Name / Address     | Relationships |           |              |       |  |  |  |
|------------------------------------|---------------|-----------|--------------|-------|--|--|--|
|                                    | Director      | 10% Owner | Officer      | Other |  |  |  |
| Henry Alyssa<br>1455 MARKET STREET |               |           | Callan I and |       |  |  |  |
| SUITE 600                          |               |           | Seller Lead  |       |  |  |  |

## **Signatures**

/s/ Jason Gao, Attorney-in-Fact 04/27/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share represents a restricted stock award (RSA). 2.5% of the RSAs will vest on July 1, 2018 and each three month anniversary
- (1) thereafter through April 1, 2019, and 7.5% of the RSAs will vest on July 1, 2019 and each three month anniversary thereafter through April 1, 2022.
- (2) Represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection with the net settlement of restricted stock units and does not represent a sale by the Reporting Person.
- (3) 1/12 of 10% of the Shares subject to the Option will vest on May 1, 2018 and each month thereafter through April 1, 2019, and 1/36 of 90% of the shares subject to the Option will vest each month thereafter through April 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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