Edgar Filing: MOORE A BRUCE JR - Form 4

MOORE A Form 4	BRUCE JR										
February 02	2, 2018										
FOR		STATES	SECU	DITIES	ANDEX	СЦ		MMISSION	-	PROVAL	
		STATES			n, D.C. 2			1111551014	OMB Number:	3235-0287	
Check if no lo	this box nger STATE			NCESI	A DENIEI			DCHID OF	Expires:	January 31, 2005	
subject Section	10		F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated a burden hour	•	
Form 4 Form 5								response	0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	e Responses)										
	Address of Reporting A BRUCE JR	Person <u>*</u>	Symbol		nd Ticker o			Relationship of F uer	Reporting Perso	on(s) to	
(Least)	(Einst)	(Middle)			e, Inc. [H	_		(Check	all applicable))	
(Last)	(First) ((wilddie)		of Earliest /Day/Year)	Transactior	1		Director	10%	Owner	
ONE PARK PLAZA				01/31/2018 <u></u>					ve title Other (specify below)		
	(Star et)		4 10 4	1 1				-	dent - Service		
	(Street)			nendment, I onth/Day/Ye	Date Origin ear)	al		Individual or Join plicable Line)	nt/Group Filing	g(Check	
NA CHIVII	LE TN 27202							_ Form filed by Or _ Form filed by Mo			
	LE, TN 37203							rson		-	
(City)	(State)	(Zip)					_	ed, Disposed of,		-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	Code	orDisposed (Instr. 3, 4	of (D) 4 and 3)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						(A) or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	01/31/2018			Code V M	Amount 27,030	(D) A	Price \$ 5.9821	115,756	D		
Common Stock	01/31/2018			М	40,545	А	\$ 6.4772	156,301	D		
Common Stock	01/31/2018			М	13,515	А	\$ 10.9168	169,816	D		
Common Stock	01/31/2018			М	13,515	А	\$ 15.9112	183,331	D		
Common Stock	01/31/2018			S	66,845	D	\$ 101.0457 (1)	116,486	D		

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Common Stock	8,489	Ι	By MAM Trust (Spouse, Trustee)
Common Stock	12,435	Ι	By MRM Trust (Spouse, Trustee)
Common Stock	8,489	I	By TCM Trust (Spouse, Trustee)
Common Stock	109,392	I	By 2016 GRAT (Spouse, Trustee)
Common Stock	90,608	Ι	By 2017 GRAT

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	TransactionDerivative Code Securities Acquired		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title a Underlyi (Instr. 3 a
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 5.9821 (2)	01/31/2018		М		27,030	(3)	08/27/2019	Comm Stock
Non-Qualified Stock Option (right to buy)	\$ 6.4772 (2)	01/31/2018		М		13,515	08/27/2011	08/27/2019	Comm Stock
Non-Qualified Stock Option (right to buy)	\$ 6.4772 (2)	01/31/2018		М		27,030	(5)	08/27/2019	Comm Stock

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Non-Qualified Stock Option (right to buy)	\$ 10.9168 (2)	01/31/2018	М	13,51	5 08/27/2010	08/27/2019	Comm Stock
Non-Qualified Stock Option (right to buy)	\$ 15.9112 (2)	01/31/2018	М	13,51:	5 <u>(6)</u>	08/27/2019	Comm Stock
Stock Appreciation Right	\$ 101.16	01/31/2018	А	32,020	01/31/2019(7)	01/31/2028	Comm Stock

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
MOORE A BRUCE JR ONE PARK PLAZA NASHVILLE, TN 37203			Group President - Service Line				
Signatures							

/s/ Natalie Harrison Cline, Attorney-in-Fact

02/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$101.00 to \$101.20, inclusive. The reporting person undertakes to provide to HCA Healthcare, Inc., any security holder of HCA

- (1) Healthcare, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (2) Exercise Price was adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.

The option vested in equal increments (i) at the end of fiscal year 2012 upon the achievement of certain annual EBITDA performance(3) targets and (ii) upon a change in control (as defined in the 2006 Stock Incentive Plan for Key Employees of HCA Holdings, Inc. and its Affiliates as Amended and Restated) of the Issuer which occurred effective November 1, 2013.

- (4) Shares have been adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.
- (5) The option vested at the end of fiscal years 2010 and 2011 based upon the achievement of certain annual EBITDA performance targets.
- (6) The option vested at the end of fiscal year 2009 based upon the achievement of certain annual EBITDA performance targets.
- (7) The stock appreciation rights vest in four equal annual installments beginning on January 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.