Builders FirstSource, Inc.

Form 4

March 08, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2. Issuer Name and Ticker or Trading

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

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(Print or Type Responses)

**CROW M CHAD** 

1. Name and Address of Reporting Person \*

			Builders FirstSource, Inc. [BLDR]				OR]	(Check all applicable)				
(Last) (First) (Middle)  2001 BRYAN STREET, SUITE 1600			3. Date of Earliest Transaction (Month/Day/Year) 03/06/2017					Director 10% Owner X Officer (give title Other (specify below) President and COO		Owner		
				4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
DALLAS, TX 75201								Form filed by More than One Reporting Person				
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Day (Month/Day/Year	Execution any	ned n Date, if Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock, par value \$0.01 per share	03/06/2017			M	12,750	A		398,458	D			
Common Stock, par value \$0.01 per share	03/06/2017			S	12,750	D	\$ 15.06 (1)	385,708	D			
Common Stock, par value \$0.01 per share	03/06/2017			M	37,500	A	\$ 6.35	423,208	D			

**OMB APPROVAL** 

3235-0287

January 31,

2005

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Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

#### Edgar Filing: Builders FirstSource, Inc. - Form 4

Common Stock, par value \$0.01 per share	03/06/2017	S	37,500	D	\$ 15.06 (1)	385,708	D
Common Stock, par value \$0.01 per share	03/06/2017	S	56,229	D	\$ 15.09	329,479	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) (2)	\$ 3.19	03/06/2017		M	12,750	(3)	02/03/2020	Common Stock	12,750
Employee Stock Option (right to buy) (4)	\$ 6.35	03/06/2017		M	37,500	<u>(5)</u>	02/11/2025	Common Stock	37,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other				
CROW M CHAD			President and COO					
2001 BRYAN STREET								
SUITE 1600								

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**DALLAS, TX 75201** 

## **Signatures**

/s/ M. Chad Crow 03/08/2017

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$15.00 to \$15.15 per share. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request by the SEC staff, the issuer, or a security holder of the issuer.
- (2) Award issued under the Company's 2007 Incentive Plan, as amended and restated on January 14, 2010.
- (3) The option was granted on February 3, 2010 and vested in 33.33% increments on each of February 3, 2012-2014.
- (4) Award issued under the Company's 2014 Incentive Plan, as amended on May 25, 2016.
- (5) The option was granted on February 11, 2015 and vests in 25% increments on each of February 11, 2016-2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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