Square, Inc. Form 3 March 07, 2017 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person <u>*</u> Reses Jacqueline D | | | 2. Date of Event Requiring Statement (Month/Day/Year) | 3. Issuer Name and Ticker or Trading Symbol Square, Inc. [SQ] | | | | |
|---|------------------|---------------------------|--|--|--|---------------------------|---|--|
| (Last) | (First) | (Middle) | 02/16/2017 | 4. Relationship of Reporting Person(s) to Issuer | | | 5. If Amendment, Date Original Filed(Month/Day/Year) | |
| 1455 MARK STREET,Â | |) | | (Check | all applicable) | | | |
| (Street) SAN FRANCISCO, CA 94103 | | | | Director10% Owner XOfficerOther (give title below) (specify below) Capital Lead & People Lead | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | |
| (City) | (State) | (Zip) | Table I - N | Non-Derivat | ive Securiti | es Be | neficially Owned | |
| 1.Title of Secur (Instr. 4) | rity | | 2. Amount o Beneficially (Instr. 4) | | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nat Owne (Instr. | 1 | |
| Class A Con | nmon Stoc | k | 511,585 <u>(1</u> |) (2) (3) | D | Â | | |
| Reminder: Repo owned directly | • | ate line for ea | ach class of securities benefic | ially SI | EC 1473 (7-02 |) | | |
| | inforn requir | nation contaired to respo | pond to the collection of ained in this form are not and unless the form displ MB control number. | | | | | |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4. | 5. | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|------------|-----------------------|
| (Instr. 4) | Expiration Date | Securities Underlying | Conversion | Ownership | Beneficial |
| | (Month/Day/Year) | Derivative Security | or Exercise | Form of | Ownership |
| | | (Instr. 4) | Price of | Derivative | (Instr. 5) |
| | | TT: 41 | Derivative | Security: | |
| | | Title | Security | Direct (D) | |

January 31,

2005

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Expires:

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Estimated average burden hours per

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| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|-----------------------------|---------------------|--------------------|----------------------------|----------------------------------|----------|----------------------------------|---|
| Stock Option (Right to Buy) | (4) | 11/17/2025 | Class A Common Stock | 1,500,000 | \$ 9 | D | Â |
| Stock Option (Right to Buy) | (5) | 04/24/2026 | Class A Common Stock | 1,000,000 | \$ 13.59 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|----------------------------|-------|--|--|
| 1 | Director | 10% Owner | Officer | Other | | |
| Reses Jacqueline D 1455 MARKET STREET SUITE 600 SAN FRANCISCO, CA 94103 | Â | Â | Capital Lead & People Lead | Â | | |
| Signatures | | | | | | |

/s/ Jason Gao, Attorney-in-Fact <u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 92,257 shares of the Issuer's Class A Common Stock and 419,328 shares represented by restricted stock units (RSUs).

275,000 of the RSUs each represent a contingent right to receive one share of the Issuer's Class B Common Stock upon settlement. Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration

(2) shale of class D common stock is convertible into one shale of class D common stock at the option of the noted and has no expiration date. Of the original grant of 400,000 RSUs, 1/4 of the RSUs vested on November 1, 2016, and 1/16 of the RSUs vest every three months thereafter.

144,328 of the RSUs each represent a contingent right to receive one share of the Issuer's Class A Common Stock upon settlement. Of the(3) original grants of an aggregate of 177,634 RSUs, 1/16 of the RSUs vested on July 25, 2016, and 1/16 of the RSUs vest every three months thereafter.

- (4) 25% of the shares subject to the option vested on October 19, 2016, and 1/48 of the shares vest monthly thereafter.
- (5) 1/48 of the shares subject to the option vested on May 25, 2016, and 1/48 of the shares vest monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.