

FULL HOUSE RESORTS INC  
 Form 4  
 November 15, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LEE DANIEL R**

2. Issuer Name and Ticker or Trading Symbol  
**FULL HOUSE RESORTS INC  
 [FLL]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
**11/10/2016**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chief Executive Officer**

**C/O FULL HOUSE RESORTS, INC., 4670 S. FORT APACHE ROAD, SUITE 190**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**LAS VEGAS, NV 89147**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock <sup>(1)</sup>     | 11/10/2016                           |  | M                              |   | 1,000,022   | A  | \$ 1.3  |
| Common Stock                    | 11/10/2016                           |  | M                              |   | 22,709  | A  | \$ 1.3  |
| Common Stock                    | 11/10/2016                           |  | M                              |   | 24,802  | A  | \$ 1.3  |
| Common Stock                    | 11/10/2016                           |  | M                              |   | 2,826   | A  | \$ 1.3  |

Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |                 |              |        |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|---|-----------------|--------------|--------|
|  |  |                                      |  | Code                           | V   | (A)  | (D) | Date Exercisable  | Expiration Date | Title        | Amount |
| Subscription Rights (right to buy)         | \$ 1.3   | 11/10/2016                           |  | M                              |   | 1,000,022  |     | 10/07/2016  | 11/04/2016      | Common Stock | 1      |
| Subscription Rights (right to buy)         | \$ 1.3   | 11/10/2016                           |  | M                              |   | 22,709   |     | 10/07/2016  | 11/04/2016      | Common Stock |        |
| Subscription Rights (right to buy)         | \$ 1.3   | 11/10/2016                           |  | M                              |   | 24,802   |     | 10/07/2016  | 11/04/2016      | Common Stock |        |
| Subscription Rights (right to buy)         | \$ 1.3   | 11/10/2016                           |  | M                              |   | 2,826  |     | 10/07/2016  | 11/04/2016      | Common Stock |        |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                         |       |
|--|---------------|-----------|-------------------------|-------|
|  | Director      | 10% Owner | Officer                 | Other |
| LEE DANIEL R<br>C/O FULL HOUSE RESORTS, INC.<br>4670 S. FORT APACHE ROAD, SUITE 190<br>LAS VEGAS, NV 89147 | X             |           | Chief Executive Officer |       |

## Signatures

/s/ Lewis A. Fanger,  
Attorney-in-Fact  
11/15/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 1,000,000 shares purchased by Mr. Lee as the standby purchaser in connection with the issuer's \$5.0 million rights offering.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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