Edgar Filing: Main Street Capital CORP - Form 4

Main Stree Form 4	t Capital CORP													
October 31														
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								ОМВ	OMB APP 3					
									imber: 3235-02					
if no longer STATEMENT OF CHANCES IN RENEFICIAL OWNERSHIP OF							Expir	es.		nuary 31, 2005				
subject to STATEMENT OF CHARGES IN DENEFICIAL OWNERSHIP OF SECURITIES							Estimated average burden hours per							
Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							response 0.5			0.5				
obligations may continue Section 17(a) of the Public Utility Holding Company Act of 1935 or Section														
<i>See</i> Instruction 30(h) of the Investment Company Act of 1940 1(b).														
(Print or Type Responses)														
1. Name and Address of Reporting Person * FOSTER VINCENT D2. Issuer Name and Ticker or Trading Symbol5. Relation Issuer					-	of Reporting Person(s) to								
					Î	oital CORP [N	MAIN	1]	(Check	k all applicable)				
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)						X_ Director 10% Owner								
1300 POST OAK BLVD., STE. 800 10/14/2016 Lagrandian Lagrandian L									y					
	(Street)					Date Original			ndividual or Joi	nt/Gro	up Filing(Check		
Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One I														
(City)	(State)	(Zip)	Tal	ble I - N	Non.	Derivative Secu	urities	Per		or Rei	neficially	Own	ed	
1.Title of	2. Transaction Date	2A. Deeme		3.	von.	4. Securities A		-	· • ·	or bei	6.		. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution any	Date, if	TransactionDisposed of (D) Code (Instr. 3, 4 and 5)				Securities	Owne Owned Form:	Ownersh Form:	1	ndirect Beneficial		
(111501: 5)		(Month/Da	y/Year)						Following Rep	oorted Dir	Direct (I)) (Ownership (Instr. 4)	
					Transaction(s) (Instr. 3 and 4)	(I)		msu. +)						
				Code	V	Amount	(D)	Price			(Instr. 4)			
Common Stock	10/14/2016			J <u>(1)</u>	V	7.403	А	\$ 34.16	1,535,337.6	569	D			
Common Stock	10/14/2016			J <u>(1)</u>	V	2,123.8891	А	\$ 34.16	1,537,461.5	459	D			
Common Stock	10/14/2016			J <u>(1)</u>	v	78.3736	А	\$ 34.16	14,549.8999)	I	Ι	By Foster rrevocable Frust <u>(2)</u>	
Common Stock									30,000		Ι	ł	By MS I (2)	
Common Stock									30,000		Ι	ł	By MS II (2)	

Í	Derivative Security	(Wondin Day) i Car)	(insu: 0)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S I			r. 3 and 4)	(1131.5)	Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Report	ting Owners	;								
Reporting O	Owner Name / Address		ationships							
1300 POST STE. 800	/INCENT D Γ OAK BLVD. Ν, TX 77056		Officer Chairman	1 and CEC	Other					
Signat	ures									
/s/ Jason B Foster	. Beauvais as Attorne	ey-in-Fact for Vincent I	Э.	10/3	31/2016					
	<u>**</u> Signature of Repo	orting Person]	Date					
Explan	nation of Rea	sponses:								
* If the for	m is filed by more than o	one reporting person, see Instr	ruction 4(b)	(v).						
** Intention	al misstatements or omis	sions of facts constitute Fede	eral Crimina	d Violations	s. <i>See</i> 18 U.S.	C. 1001 and	15 U.S	S.C. 78ff(a)).	

The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt (1) from Section 16 under Rule 16a-11.

(2) Family trust.

1. Title of

Security

(Instr. 3)

2.

or Exercise

Price of

Derivative Conversion

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners

Common Stock	30,000	I	By MS III (2)						
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.									
	Persons who respond to the collection o	f	SEC 1474						

information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Expiration Date

(Month/Day/Year)

6. Date Exercisable and 7. Title and

Amount of

Underlying

Securities

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

4.

Code

Execution Date, if

(Month/Day/Year)

5.

of

TransactionNumber

(Instr. 8) Derivative

3. Transaction Date 3A. Deemed

any

(Month/Day/Year)

8. Price of

Derivative

Security

(Instr. 5)

9. Nt

Deriv

Secu

Bene

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.