Edgar Filing: Adamas Pharmaceuticals Inc - Form 4

Adamas Pharmaceuticals Inc Form 4 September 16, 2016

Stock

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Ericson William W. Issuer Symbol Adamas Pharmaceuticals Inc (Check all applicable) [ADMS] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director X__ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) C/O MOHR DAVIDOW 09/14/2016 **VENTURES, 3000 SAND HILL** ROAD, #3-290 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting MENLO PARK, CA 94025 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of 6. Transaction Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4)

Code V Amount Common 09/14/2016 Ρ 54,537

MDV VII LP, nom for MDV VII LP. MDV VII Leaders' Fund LP, **MDV** ENF VII (A) LP, and MDV

Reported

Transaction(s)

(Instr. 3 and 4)

4,023,364

(A)

or

(D)

Α

Price

16.4253

\$

(1)

 (\mathbf{I})

Ι

(Instr. 4)

		Eugai Filing. At	Jamas	Fildilla	ceuii	cais inc -	FUIIII 4		
Common Stock	09/15/2016		Р	200	А	\$ 16.5	4,023,564	Ι	ENF VII (B) LP (2) MDV VII LP, nom for MDV VII LP, MDV VII Leaders' Fund LP, MDV ENF VII (A) LP, and MDV
									ENF VII
Common Stock	09/16/2016		Р	8,240	А	\$ 16.8079 (<u>3)</u>	4,031,804	Ι	(B) LP (2) MDV VII LP, nom for MDV VII LP, MDV VII Leaders' Fund LP, MDV ENF VII (A) LP, and MDV ENF VII (B) LP (2)
Common Stock							287,992	Ι	MDV IX, L.P., as nominee for MDV IX, L.P., and MDV ENF IX, L.P. (<u>4)</u>
Common Stock							265,150	I	MDV VII, L.P. (2)
Common Stock							6,043	Ι	MDV ENF VII (A), L.P. (2)
Common Stock							3,146	Ι	$ \begin{array}{c} \text{MDV} \\ \text{ENF VII} \\ \text{(B), L.P.} \\ ^{(2)} \end{array} $

Edgar Filing: Adamas Pharmaceuticals Inc - Form 4

Common Stock	25,661	Ι	MDV VII Leaders' Fund, L.P. (2)
			L.P. (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of	;	ate	Unde Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Ericson William W C/O MOHR DAVI 3000 SAND HILL MENLO PARK, CA	X	Х						
Signatures								
William W. Ericson	09/16/2016							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Edgar Filing: Adamas Pharmaceuticals Inc - Form 4

Price reflected is the weighted-average purchase price for shares purchased. The range of the purchase prices for the transaction was \$16.22 and \$16.50 per share. The Reporting Person undertakes to provide, upon request by the SEC staff, the Issuer, or security holder of the Issuer, full information regarding the number of shares purchased at each separate price.

Seventh MDV Partners, L.L.C. is the general partner of (i) MDV VII, L.P., as nominee for MDV VII, L.P., MDV ENF VII(A), L.P., MDV ENF VII(B), L.P., and MDV VII Leaders' Fund, L.P., (ii) MDV VII, L.P., (iii) MDV ENF VII(A), L.P., (iv) MDV ENF VII(B), L.P., and (v) MDV VII Leaders' Fund, L.P. (collectively, "MDV VII"). Feiber and Nancy Schoendorf ("Schoendorf") are Managing

- (2) Members of Seventh MDV Partners, L.L.C. Feiber and Schoendorf may be deemed to share voting and dispositive power over the shares held by MDV VII. Each Reporting Person disclaims beneficial ownership of the shares held by MDV VII except to the extent of any pecuniary interest therein. Ericson, a general partner with Mohr Davidow Ventures, may be deemed to indirectly beneficially own the shares affiliated with MDV VII. Ericson is a director of the Issuer and, accordingly, files separate Section 16 reports.
- Price reflected is the weighted-average purchase price for shares purchased. The range of the purchase prices for the transaction was
 (3) \$16.52 and \$17.00 per share. The Reporting Person undertakes to provide, upon request by the SEC staff, the Issuer, or security holder of the Issuer, full information regarding the number of shares purchased at each separate price.

Ninth MDV Partners, L.L.C. is the general partner of MDV IX, L.P., as nominee for MDV IX, L.P. and MDV ENF IX, L.P. (collectively, "MDV IX"). William Ericson ("Ericson") and Jonathan Feiber ("Feiber") are Managing Members of Ninth MDV Partners, L.L.C. Ericson

(4) and Feiber may be deemed to share voting and dispositive power over the shares held by MDV IX. Each Reporting Person disclaims beneficial ownership of the shares held by MDV IX except to the extent of any pecuniary interest therein. Ericson is a director of the Issuer and, accordingly, files separate Section 16 reports.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.