Edgar Filing: ARCA biopharma, Inc. - Form 4

ARCA biopharma Form 4	a, Inc.							
June 13, 2016								
								PPROVAL
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction	STATEMI Filed pursu Section 17(a)	ant to Section	OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section) of the Investment Company Act of 1940				Expires: January 31 2005 Estimated average burden hours per response 0.5	
1(b).	,							
(Print or Type Respondent	nses)							
1. Name and Addres Keuer Thomas A	Symbol	•			5. Relationship of Reporting Person(s) to Issuer			
	ARCA	biopharma	a, Inc. [A	BIO]	(Check all applicable)			
(Last) 11080 CIRCLEF ROAD, SUITE 1	(Month/	3. Date of Earliest Transaction (Month/Day/Year) 06/09/2016			Director 10% Owner X Officer (give title Other (specify below) below) Chief Operating Officer			
(4. If Am	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
WESTMINSTEI	nth/Day/Year))		Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) ((State) (Z	^{Zip)} Tab	le I - Non-D	erivative S	ecurities Ac	quired, Disposed o	of. or Beneficial	llv Owned
Security (Me (Instr. 3)	Fransaction Date onth/Day/Year)		3. Transactio Code (Instr. 8)	4. Securit onAcquired Disposed	ies (A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of
Common Stock						16,097 <u>(1)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 3.3	06/09/2016		A	15,600	(2)	06/08/2026	Common Stock	15,600
Stock Option (right to buy)	\$ 3.3	06/09/2016		A	31,700	<u>(3)</u>	06/08/2026	Common Stock	31,700

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Keuer Thomas A 11080 CIRCLEPOINT ROAD SUITE 140 WESTMINSTER, CO 80020			Chief Operating Officer				
Signatures							

/s/ Thomas A. 06/13/2016 Keuer **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes (i) remaining 2,143 previously reported shares issued as RSUs under the Plan that vests on 9/17/16, (ii) remaining 1,429 previously reported shares issued as RSUs under the Plan that vests on 10/15/16, (iii) remaining 2,443 previously reported shares issued

(1) as RSUs under the Plan that vest in two equal annual installments beginning on 2/27/17, and (iv) 2,414 previously reported shares issued as RSUs under the Plan that vest in two equal annual installments beginning 4/2/17, provided that the Reporting Person's continuous service to the Issuer has not been terminated as defined under the Plan; and 7,668 previously reported shares of common stock owned.

Grant to the Reporting Person of a stock option under the Plan, vesting in 36 equal monthly installments from the date of grant, provided that the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan prior to

(2) such time. In the event of a change in control of the Issuer, 50% of the unvested options shall become fully and immediately vested upon the closing date of such change in control, provided, however, that on the earlier of (i) the one-year anniversary of the closing date or (ii) involuntary termination, any options that remain unvested on such earlier date shall become fully and immediately vested.

(3)

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Grant to the Reporting Person of a stock option under the Plan, vesting upon achievement of certain pre-specified corporate milestones related to enrollment for the GENETIC-AF clinical trial, and the timing and outcome of the interim data analysis by that trial's Data and Safety Monitoring Board, provided that the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan prior to such time. If the corporate milestones are not achieved, the Option will be cancelled. In the event of a change in control of the Issuer, 50% of the unvested options shall become fully and immediately vested upon the closing date of such change in control, provided, however, that on the earlier of (i) the one-year anniversary of the closing date or (ii) involuntary termination, any options that remain unvested on such earlier date shall become fully and immediately vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.