SPLUNK INC Form 4 March 11, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION OWNER Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

. .

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Sommer Steven R Issuer Symbol SPLUNK INC [SPLK] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ Other (specify C/O SPLUNK INC., 250 03/09/2016 below) **BRANNAN STREET** SVP & Chief Marketing Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN FRANCISCO, CA 94107 Person

|   | (City)                      | (State)                              | Zip) Table  | e I - Non-D                            | erivative S                             | Securi           | ties Acq   | uired, Disposed o  | f, or Beneficial   | ly Owned  |  |
|---|-----------------------------|--------------------------------------|---|--|---|------------------|------------|--|--|---|--|
| 5 | Title of Security Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securition(A) or Dis<br>(Instr. 3, 4 | sposed           | of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|   |                             |                                      |   | Code V                                 | Amount                                  | (A)<br>or<br>(D) | Price      | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (IIIsti. 4)  | (msu. 4)  |  |
|   | Common<br>Stock             | 03/09/2016                           |   | A                                      | 22,000<br>(1)                           | A                | \$0        | 229,127  | D  |   |  |
|   | Common<br>Stock             | 03/10/2016                           |   | F                                      | 5,756                                   | D                | \$<br>46.1 | 223,371  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

#### Edgar Filing: SPLUNK INC - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer |              | 7. Title   |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|--------------|------------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D | iration Date |            | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)        | Under      | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |              | Securi     | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |              |              | (Instr.    | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |              |              |            |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |              |              |            |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |              |            |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |              |            |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |              |            |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |              |              |            |          |             |        |
|             |             |                     |                    |            |            |              |              |            |          |             |        |
|             |             |                     |                    |            |            |              |              |            | Amount   |             |        |
|             |             |                     |                    |            |            | Date         | Expiration   |            | or       |             |        |
|             |             |                     |                    |            |            | Exercisable  | Date         | Title Numb | Number   |             |        |
|             |             |                     |                    |            |            |              |              |            | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |              |              |            | Shares   |             |        |

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer 10% Owner Other Director

Sommer Steven R C/O SPLUNK INC. 250 BRANNAN STREET SAN FRANCISCO, CA 94107

**SVP & Chief** Marketing Officer

### **Signatures**

/s/ Steve Dean, by power of attorney

03/11/2016 Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reported securities are represented by restricted stock units ("RSUs"). Twenty-five percent of the RSUs vest on March 10, 2017 and 1/16th of the RSUs shall vest quarterly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2