Edgar Filing: AMERICAN FINANCIAL GROUP INC - Form 4

AMERICAN FINANCIAL GROUP INC

Form 4 June 23, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB 3235-0287 Number:

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

Common

Common

Stock

Stock

06/11/2015

(Print or Type Responses)

1. Name and Address of I EVANS JAMES E	2. Issuer Name and Ticker or Trading Symbol AMERICAN FINANCIAL GROUP INC [AFG]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
					` ` '			
(Last) (First) 301 EAST FOURTH	3. Date of Earliest Transaction (Month/Day/Year) 06/11/2015				_X Director Officer (give ti elow)		Owner r (specify	
(Street	4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CINCINNATI, OH 4	15202				- P	Form filed by Mo Person	ore than One Re	porting
(City) (State)) (Zip)	Table I - Non	-Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
Security (Month/Da(Instr. 3)	any		omr Dispos (Instr. 3, 4	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock 06/11/20	015	M	4,765	A	\$ 36.57	136,740	D	
Common Stock 06/11/20)15	M	15,235	A	\$ 27.2	151,975	D	
					\$			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

20,000 D

65.3331

(1)

131,975

(2)

11,029.297

D

Ι

ESPP

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option Exercise	\$ 36.57	06/11/2015		M	4,765	06/22/2007(3)	02/22/2017	Common	4,765
Stock Option Exercise	\$ 27.2	06/11/2015		M	15,235	02/21/2008(3)	12/31/2017	Common	15,23:

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
EVANS JAMES E 301 EAST FOURTH STREET CINCINNATI, OH 45202	X					

Signatures

James E. Evans By: Karl J. Grafe, as Attorney-in-Fact 06/23/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$65.28 to \$65.39, inclusive. The reporting person undertakes to provide to American Financial Group, Inc., ("AFG"), any security holder of AFG, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.
- (2) Shares allocated to the Reporting Person's account under the Issuer's Employee Stock Purchase Plan. All ESPP information reporting herein is based on a plan statement dated as of 12/31/2014.

Reporting Owners 2

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(3) In connection with the Reporting Person's retirement as an employee of the Company, the Employee Stock Options were accelerated to be fully vested and exercisable.

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