ARRIS GROUP INC

Form 4 March 31, 2015

FORM 4

Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

SECURITIES Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * McClelland Bruce William

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

3871 LAKEFIELD DRIVE

(Middle)

ARRIS GROUP INC [ARRS]

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Other (specify _X__ Officer (give title

(Check all applicable)

03/27/2015

below) President, Ntwrk & Cloud Glbl

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

SUWANEE, GA 30024

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
_			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common stock (1)	03/27/2015		C	2,830	A	\$0	129,444	D	
Restricted stock (2)	03/27/2015		С	2,830 (1)	D	\$ 0	16,316	D	
Restricted stock (2)	03/27/2015		F	2,609 (3)	D	\$ 28.33	19,146	D	
Common stock (1)	03/28/2015		C	4,428	A	\$ 0	133,872	D	
Restricted stock (4)	03/28/2015		С	4,428 (1)	D	\$ 0	8,506	D	

Edgar Filing: ARRIS GROUP INC - Form 4

03/28/2015	F	4,080 (3)	D	\$ 28.33	12,934	D
03/29/2015	C	2,955	A	\$ 0	136,827	D
03/29/2015	C	2,955 (1)	D	\$ 0	11,357	D
03/29/2015	F	2,724 (3)	D	\$ 28.33	14,312	D
03/30/2015	A	20,635	A	\$ 0	20,635	D
03/30/2015	A	41,270	A	\$ 0	41,270	D
					7,041	D
					45,430	D
					50,470	D
					5,810	D
					43,510	D
	03/29/2015 03/29/2015 03/29/2015 03/30/2015	03/29/2015 C 03/29/2015 C 03/29/2015 F 03/30/2015 A	03/28/2015 F (3) 03/29/2015 C 2,955 03/29/2015 C 2,955 (1) 03/29/2015 F 2,724 (3) 03/30/2015 A 20,635	03/28/2015 F (3) D 03/29/2015 C 2,955 A 03/29/2015 C 2,955 D 03/29/2015 F 2,724 D 03/30/2015 A 20,635 A	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	03/28/2015

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Exercise any ice of (Month/Day/Year) erivative		4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

9. Nu

Deriv

Secu

Bene Own Follo Repo Trans (Insti

(9-02)

Edgar Filing: ARRIS GROUP INC - Form 4

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McClelland Bruce William 3871 LAKEFIELD DRIVE SUWANEE, GA 30024

President, Ntwrk & Cloud Glbl

Signatures

/s/ Bruce

McClelland 03/31/2015

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the vested portion of a restricted stock grant converting to common stock.
- (2) Represents a restricted stock grant which vests annually in fourths beginning on March 27, 2015 (the "vesting date") and on each successive anniversary of the vesting date.
- (3) Represents shares withheld to cover payroll tax liability on partial vesting of previously granted restricted shares
- (4) Represents a restricted stock grant which vests annually in fourths beginning on March 28, 2013(the "vesting date") and on each successive anniversary of the vesting date.
- (5) Represents a restricted stock grant which vests annually in fourths beginning on March 29, 2014 (the "vesting date") and on each successive anniversary of the vesting date.
- (6) Represents a restricted stock grant which vests annually in fourths beginning on March 30, 2016 (the "vesting date")and on each successive anniversary of the vesting date.
- (7) Represents a restricted stock grant which is performance-based. 0 to 41,270 of the grant are subject to the Company's shareholder return as compared to the NASDAQ Composite shareholder return over the three year period ended 12/31/2017 and will vest 1/31/2018.
- (8) Represents a restricted stock grant which vests annually in fourths beginning on March 31, 2012(the "vesting date") and on each successive anniversary of the vesting date.
- (9) Represents a restricted stock grant which is performance-based. 0 to 45,430 of the grant are subject to the Company's shareholder return as compared to the NASDAQ Composite shareholder return over the three year period ended 12/31/2015 and will vest 1/31/2016.
- (10) Represents a restricted stock grant which vests annually in fourths beginning on July 12, 2014 (the "vesting date") and on each successive anniversary of the vesting date.
- (11) Represents a restricted stock grant which is performance-based. 0 to 5,810 of the grant are subject to the Company's shareholder return as compared to the NASDAQ Composite shareholder return over the three year period ended 12/31/2015 and will vest 1/31/2016.
- (12) Represents a restricted stock grant which is performance-based. 0 to 43,510 of the grant are subject to the Company's shareholder return as compared to the NASDAQ Composite shareholder return over the three year period ended 12/31/2016 and will vest 1/31/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3