

ALIMERA SCIENCES INC

Form 4

July 30, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Green Kenneth**

(Last) (First) (Middle)

**6120 WINDWARD PARKWAY,  
SUITE 290**

(Street)

**ALPHARETTA, GA 30005**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**ALIMERA SCIENCES INC [ALIM]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**02/11/2014**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

SVP & Chief Scientific Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|---|--|--|---|
| Common<br>Stock                       | 02/11/2014                              |   | G <sup>(1)</sup>                     | V 7,520 D \$ 0  | 2,500 <sup>(2)</sup>   | D  |   |
| Common<br>Stock                       | 02/11/2014                              |   | G                                    | V 2,500 D \$ 0  | 0  | D  |   |
| Common<br>Stock                       | 02/11/2014                              |   | G <sup>(1)</sup>                     | V 7,520 A \$ 0  | 7,520  | I  | See<br>Footnote<br>(1)                  |
| Common<br>Stock                       | 06/03/2014                              |   | G                                    | V <sup>(3)</sup> 2,500 D \$ 0   | 0  | D  |   |
| Common<br>Stock                       | 07/28/2014                              |   | M                                    | 73,529 A \$ 2.04  | 73,529   | D  |   |

# Edgar Filing: ALIMERA SCIENCES INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|---|--|---|---|--------------------------------------|--|--|---|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable<br>Expiration<br>Date                      | Title<br>Amount<br>or<br>Number<br>of Shares                        |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 2.04  | 07/28/2014                              |   | M                                    | 73,529   | <sup>(4)</sup> 08/02/2014                                      | Common<br>Stock 73,529  |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

Green Kenneth  
6120 WINDWARD PARKWAY, SUITE 290  
ALPHARETTA, GA 30005

SVP & Chief Scientific Officer

## Signatures

/s/ Kenneth  
Green 07/29/2014

Signature of  
Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- This transaction involved a gift of securities by the Reporting Person to his wife, who shares Reporting Person's household. The
- (1) Reporting Person disclaims beneficial ownership of shares held by his wife, and this report should not be deemed an admission that the Reporting Person is the beneficial owner of his wife's shares for purposes of Section 16 or for any other purpose.
- (2) Includes 2,500 shares of common stock acquired under the Alimera Sciences, Inc. 2010 Employee Stock Purchase Plan on October 31, 2013.

## Edgar Filing: ALIMERA SCIENCES INC - Form 4

- (3) The Reporting Person acquired 2,500 shares of common stock under the Alimera Sciences, Inc. 2010 Employee Stock Purchase Plan on April 30, 2014.

Became exercisable with respect to one-quarter (1/4) of the shares of stock which are subject to this option on August 2, 2004 (the "Initial

- (4) Vesting Date") and with respect to the remainder of the shares in equal increments quarterly over three (3) years beginning on the date three (3) months from the Initial Vesting Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.