

GREATBATCH, INC.  
Form 4  
May 08, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HOOK THOMAS J

(Last) (First) (Middle)  
10000 WEHRLE DRIVE  
(Street)

CLARENCE, NY 14031

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
GREATBATCH, INC. [GB]

3. Date of Earliest Transaction (Month/Day/Year)  
05/06/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/06/2014		M		500	A	\$ 24.62
Common Stock	05/06/2014		M		15,086	A	\$ 18.24
Common Stock	05/06/2014		F <sup>(1)</sup>		10,647	D	\$ 45.91
Common Stock	05/06/2014		S <sup>(1)</sup>		4,939	D	\$ 45.91
Common Stock	05/07/2014		M		3,656	A	\$ 18.24

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Common Stock	05/07/2014	M	17,135	A	\$ 23.6	168,015	D	
Common Stock	05/07/2014	F <sup>(1)</sup>	15,001	D	\$ 45.32	153,014	D	
Common Stock	05/07/2014	S <sup>(1)</sup>	5,790	D	\$ 45.32	147,224	D	
Common Stock	05/08/2014	M	8,296	A	\$ 23.6	155,520	D	
Common Stock	05/08/2014	F <sup>(1)</sup>	5,963	D	\$ 45.96	149,557	D	
Common Stock	05/08/2014	S <sup>(1)</sup>	2,333	D	\$ 45.96	147,224	D	
Common Stock						3,263	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee stock options	\$ 18.24	05/06/2014		M	15,086	12/31/2005 03/31/2015	Common	15,086	
Employee stock options	\$ 18.24	05/07/2014		M	3,656	12/31/2005 03/31/2015	Common	3,656	
Employee stock options	\$ 23.6	05/07/2014		M	17,135	12/31/2008 06/08/2015	Common	17,135	
Employee stock	\$ 23.6	05/08/2014		M	8,296	12/31/2008 06/08/2015	Common	8,296	

options

Employee

stock \$ 24.62 05/06/2014 M 500 12/31/2005 05/24/2015 Common 500  
options

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOOK THOMAS J 10000 WEHRLE DRIVE CLARENCE, NY 14031	X		President & CEO	

## Signatures

/s/ Christopher J. Thome as attorney-in-fact for Thomas J.  
Hook 05/08/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale reported in this form was effected pursuant to a 10b5-1 trading plan adopted by the reporting person on March 11, 2014

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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