### Edgar Filing: AMERICAN FINANCIAL GROUP INC - Form 4

#### AMERICAN FINANCIAL GROUP INC

Form 4 April 16, 2014

FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: 2005
Estimated average burden hours per

January 31,

0.5

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

response...

may continue. *See* Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * LINDNER CARL H III			2. Issuer Name <b>and</b> Ticker or Trading Symbol AMERICAN FINANCIAL GROUP	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
			INC [AFG]	(eneck an applicable)			
(Last) 301 EAST FO	(First) URTH STR	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/31/2014	X Director 10% OwnerX Officer (give title Other (specify below) Co-CEO & Co-President			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)			
CINCINNATI, OH 45202				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tab	le I - N	on-l	Derivative Sec	urities	Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Instr.	8)	4. Securities onor Disposed of (Instr. 3, 4 and Amount	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/31/2014		G	V	494,869	A	\$0	2,946,486 (1)	I	#1 (2)
Common Stock	04/08/2014		G	V	1,168,902	A	\$ 0	4,115,388	I	#1 (2)
Common Stock								37,200	I	#2 (3)
Common Stock								1,348,500	I	#12 (4)
Common Stock								176,166	I	#21 (5)

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Common Stock	33,091	I	#22 (6)
Common Stock	146,659	I	#25 (7)
Common Stock	1,555,555 (1)	I	#26 (8)
Common Stock	77,671	I	#27 <u>(9)</u>
Common Stock	1,341	I	#28 (10)
Common Stock	2,366,296	I	#29 (11)
Common Stock	110,589	I	#30 (12)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit.	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionNumber	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	) Derivativ	re		Secur	rities	(Instr. 5)
	Derivative				Securities	8		(Instr	. 3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration	Title	or Number	
						Exercisable	Date	Title		
				Codo V	7 (A) (D)				of Charac	
				Code V	V (A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
LINDNER CARL H III 301 EAST FOURTH STREET CINCINNATI, OH 45202	X		Co-CEO & Co-President						

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## **Signatures**

Carl H. Lindner III By: Karl J. Grafe, as Attorney-in-Fact

Date

04/16/2014

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On 3/31/2014, Indirect #26 transferred 12,222 shares of AFG Common Stock to Indirect #1.
- (2) Indirect #1: CHL III, TTEE (or his Successors) of the Carl H. Lindner III Family Trust DTD 8/29/02 as Amended.
- (3) Indirect #2: Martha S. Lindner, (or her Successor) of the Martha S. Lindner Family Trust DTD 8/30/02 as amended.
- (4) Indirect #12: Seraphim Partners LLC fka CHL Investments, LLC
- (5) Indirect #21: Doug Marcian, TTEE MBL Trust Dtd 10/26/05.
- (6) Indirect #22: Doug Marcian, TTEE GD Trust Dtd 10/26/05.
- (7) Indirect #25: Lou Ann Flint TTEE MBL 2009 Consolidation Trust DTD 12/22/09.
- (8) Indirect #26: CHL III 2010-1 Qualified Annuity Trust DTD 4/9/10.
- (9) Indirect #27: Lou Ann Flint TTEE MBL 2009 Trust DTD 4/13/2009.
- (10) Indirect #28 MBL TTEE MBL Trust DTD 1/17/2008
  - Indirect #29: Shares voting and dispositive power and holds a remainder interest in shares held directly or indirectly by a charitable lead
- (11) annuity trust. The reporting person disclaims beneficial interest of the shares held by the trust except to the extent of his pecuniary interest in such shares.
- (12) Indirect #30: Martha S. Lindner TTEE Carl H. Lindner Dynasty Trust DTD 12/21/12

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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