### Edgar Filing: SOLARCITY CORP - Form 3

#### SOLARCITY CORP

Form 3

March 20, 2014

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Shulkin Jonathan K

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

03/20/2014

SOLARCITY CORP [SCTY]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O SOLARCITY CORPORATION, Â 3055 **CLEARVIEW WAY** 

(Street)

10% Owner \_X\_ Director

(Check all applicable)

Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

SAN MATEO. CAÂ 94402

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

SEC 1473 (7-02)

Common Stock

2,583,976

Ι By LLC (1)

Common Stock

41,500

Ι By LLC (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise

6. Nature of Indirect Ownership Beneficial Ownership Form of

(Instr. 5)

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(Instr. 4) Price of Derivative Derivative Security: Date **Expiration Title** Amount or Security Direct (D) Exercisable Number of or Indirect Shares (I) (Instr. 5)

## **Reporting Owners**

SAN MATEO, CAÂ 94402

Reporting Owner Name / Address

Director 10% Owner Officer Other

Shulkin Jonathan K

C/O SOLARCITY CORPORATION 3055 CLEARVIEW WAY

Relationships

Director 10% Owner Officer Other

# **Signatures**

Reporting Person

/s/Jonathan K.
Shulkin

\*\*Signature of Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Valor Solar Holdings, LLC is the record and beneficial owner of 2,583,976 shares. Reporting person is managing director of Valor Equity (1) Partners, the managing member of Valor Solar Holdings LLC and reporting person disclaims beneficial ownership of the shares, except to the extent of his pecuniary interest therein.
- Valor VC, LLC is the record and beneficial owner of 41,500 shares. Reporting person is managing director of Valor Equity Partners, the (2) managing member of Valor VC LLC and reporting person disclaims beneficial ownership of the shares, except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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