### Edgar Filing: AMERICAN FINANCIAL GROUP INC - Form 4

#### AMERICAN FINANCIAL GROUP INC

Form 4

August 07, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

(Print or Type Responses)

| 1. Name and<br>BERDING               | 2. Issuer Name and Ticker or Trading Symbol AMERICAN FINANCIAL GROUP INC [AFG]                  |                                  |          |  | s<br>I                                   | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)   |                      |  |  |   |  |
|--------------------------------------|---|----------------------------------|----------|--|--|---|----------------------|--|--|---|--|
| (Last) 301 EAST STREET, 2            | ` ,   | (Middle)                         |          | of Earliest Transaction Day/Year) 2013 |  |   |                      | X Director 10% Owner Officer (give title Other (specify below)   |  |   |  |
| CINCINN                              | (Street) ATI, OH 45202  | (Month/Day/Year)                 |          |  |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |                      |  |  |   |  |
| CINCINN                              | АП, ОП 43202  |                                  |          |  |  |   | I                    | Person   |  |   |  |
| (City)                               | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner. |                                  |          |  |  |   |                      |  |  | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year)   | EXECUTION EXECUTION ANY (Month/D | Date, if | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>our Dispos<br>(Instr. 3, 4 | ed of (   | Price                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Common<br>Stock                      | 08/05/2013  |                                  |          | S                                      | 10,000                                   | D   | \$<br>52.7006<br>(1) | 97,372   | D  |   |  |
| Common<br>Stock                      |   |                                  |          |  |  |   |                      | 233  | I  | By Trust  |  |
| Common<br>Stock                      |   |                                  |          |  |  |   |                      | 2,451 <u>(2)</u>   | I  | ESPP  |  |

401(k)

 $1,166.24 \frac{(3)}{}$  I

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|            | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc   | isable and | 7. Titl    | e and    | 8. Price of | 9 |
|------------|-------------|-------------|---------------------|--------------------|-------------|------------|-----------------|------------|------------|----------|-------------|---|
|            | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Date |            | Amount of  |          | Derivative  | J |
|            | Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/     | Year)      | Under      | lying    | Security    | , |
| (Instr. 3) |             | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative |                 |            | Securities |          | (Instr. 5)  | ] |
|            |             | Derivative  |                     |                    |             | Securities |                 |            | (Instr.    | 3 and 4) |             | ( |
|            |             | Security    |                     |                    |             | Acquired   |                 |            |            |          |             | ] |
|            |             |             |                     |                    |             | (A) or     |                 |            |            |          |             | J |
|            |             |             |                     |                    |             | Disposed   |                 |            |            |          |             | - |
|            |             |             |                     |                    |             | of (D)     |                 |            |            |          |             | ( |
|            |             |             |                     |                    |             | (Instr. 3, |                 |            |            |          |             |   |
|            |             |             |                     |                    |             | 4, and 5)  |                 |            |            |          |             |   |
|            |             |             |                     |                    |             |            |                 |            |            |          |             |   |
|            |             |             |                     |                    |             |            |                 |            |            | Amount   |             |   |
|            |             |             |                     |                    |             | Date       | Expiration      |            | or         |          |             |   |
|            |             |             |                     |                    |             |            | Exercisable     | Date       |            | Number   |             |   |
|            |             |             |                     | G 1 17             | (A) (B)     |            |                 |            | of         |          |             |   |
|            |             |             |                     |                    | Code V      | (A) (D)    |                 |            |            | Shares   |             |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BERDING JOHN B 301 EAST FOURTH STREET 27TH FLOOR CINCINNATI, OH 45202



## **Signatures**

John B. Berding By: Karl J. Grafe, as Attorney-in-Fact

08/07/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.70 to \$52.73, inclusive. The reporting person undertakes to provide to American Financial Group, Inc., ("AFG"), any security holder of AFG, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1).
- (2) Shares allocated to the Reporting Person's account under the Issuer's Employee Stock Purchase Plan (the "ESPP"). All ESPP information reported herein is based on a plan statement dated as of 12/31/2012.
- (3) Represents shares held in the Issuer's 401(k) Retirement and Savings Plan. The number of shares of Common Stock is based on a Plan Statement dated as of 12/31/2012.

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