Baron Jessica T Form 3 June 10, 2011

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

0.5

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Baron Jessica T

> (First) (Last) (Middle)

C/O HERCULES **TECHNOLOGY GROWTH** CAPITAL, Â 400 HAMILTON AVE., SUITE 310

(Street)

Statement

(Month/Day/Year) 06/01/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

HERCULES TECHNOLOGY GROWTH CAPITAL INC

[HTGC]

4. Relationship of Reporting

Person(s) to Issuer Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner X\_ Officer Other (give title below) (specify below) Interim Chief Financial Office

6. Individual or Joint/Group

5. If Amendment, Date Original

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PALO ALTO,, CAÂ 94301

(City) (State) (Zip)

1. Title of Security

(Instr. 4)

2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership Form: Direct (D)

or Indirect (I) (Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock par value \$0.001

27,072

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial

Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Options to Purchase Common Stock	10/02/2006(1)	10/02/2013	Common Stock \$0.001	20,000	\$ 12.84	D	Â
Options to Purchase Common Stock	01/25/2007(1)	01/25/2014	Common Stock \$0.001	3,000	\$ 14.02	D	Â
Options to Purchase Common Stock	02/25/2008(1)	02/25/2015	Common Stock \$0.001	15,759	\$ 12.2	D	Â
Options to Purchase Common Stock	03/17/2009(1)	03/17/2016	Common Stock \$0.001	10,445	\$ 4.21	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b></b>	Director	10% Owner	Officer	Other	
Baron Jessica T C/O HERCULES TECHNOLOGY GROWTH CAPITAL 400 HAMILTON AVE., SUITE 310 PALO ALTO,, CA 94301	Â	Â	Interim Chief Financial Office	Â	

### **Signatures**

Jessica T. Baron	06/10/2011		
**Signature of Reporting Person	Date		

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of the options vest after one year followed by two-thirds of the options vesting prorata over the following twenty four months. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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