## Edgar Filing: BECK RICHARD P - Form 4

BECK RICH.	ARD P									
Form 4										
May 06, 2011	l									
									OMB APPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check this if no longe	<b>.</b> *	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							January 31, 2005	
	Section 16. SECURITIES						Estimated a burden hou response	irs per		
Form 5		ant to Section 16	b(a) of the	Securiti	es Ex	cchang	pe Act of 1934	16300136	0.0	
obligation	<sup>s</sup> Section $17(a)$	of the Public Uti					-	n		
may contin <i>See</i> Instrue 1(b).	nue.	30(h) of the Inv	•	•	· ·					
(Print or Type R	esponses)									
BECK RICHARD P Sym			2. Issuer Name <b>and</b> Ticker or Trading Symbol ADVANCED ENERGY INDUSTRIES INC [AEIS]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
		INDUST	RIES IN	CLAEIS	J		×	11	·	
(Last)	(First) (Mic	idle) 3. Date of	Earliest Tra	insaction			_X_ Director		Owner	
			onth/Day/Year) /04/2011				Officer (give below)	below)	er (specify	
(Street) 4. If Ame			mendment, Date Original			6. Individual or Joint/Group Filing(Check				
Filed(Month/Day/Year) Applicable Line _X_Form filed					Applicable Line) _X_ Form filed by 0	One Reporting Person More than One Reporting				
TOKTCOLI	21105, CO 00525						Person			
(City)	(State) (Z	Table	e I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	• •	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) o of (D	)	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/04/2011		A	8,000 (1)	A	$\begin{array}{c} 1 \\ \$ \\ 0 \\ \underline{(2)} \end{array}$	63,708 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
BECK RICHARD P 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	Х						
Signatures							
Thomas O. McGimpsey - Attorney-in-Fact	05/06/2011						
<u>**</u> Signature of Reporting Person		Γ	Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units granted on May 4, 2011 will vest 100% at the anniversary of the grant date.
- (2) Represents shares of restricted stock units.

Mr. Beck has been granted a total of 44,000 RSUs in six grants: (A) 2,000 RSUs granted on May 24, 2006; (B) 16,000 RSUs granted on May 2, 2007; (C) 6,000 RSUs granted on May 7, 2008; (D) 6,000 RSUs granted on May 6, 2009; (E) 6,000 RSUs granted on May 4,

(3) 2010. These grants vest annually in four equal installments beginning one year from grant date. (F) 8,000 RSUs granted on May 4, 2011 will vest 100% on the first anniversary of the grant date. As of this filing, 24,000 restricted stock units are vested and held as well as 19,708 shares held in street name.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.