#### **BUCHMAN DENNIS J L**

Form 4

January 07, 2005

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

Common

Stock

1. Name and Address of Reporting Person \* **BUCHMAN DENNIS J L** 

(First)

(Middle)

3850 N. CAUSEWAY **BOULEVARD, SUITE 800** 

(Street)

METAIRIE, LA 70002

2. Issuer Name and Ticker or Trading Symbol

OCA, INC. / DE / [OCA]

3. Date of Earliest Transaction (Month/Day/Year) 11/05/2004

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below) below)

**Executive Vice President** 

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

5. Amount of

Beneficially

Securities

Owned Following

Reported

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

11/05/2004

(Instr. 8)

3.

Code (D) (Instr. 3, 4 and 5) (A)

Transaction(A) or Disposed of

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price A 62,500 Α \$0 303,401

4. Securities Acquired

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: BUCHMAN DENNIS J L - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of torDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Sha
Option to buy common stock	\$ 4.4	11/05/2004		A	62,500		<u>(1)</u>	11/05/2014	Common Stock	62,50
Option to buy common stock	\$ 3.25	11/05/2004		D <u>(2)</u>		92,308	(2)	01/19/2005	Common Stock	92,30
Option to buy common stock	\$ 4.4	11/05/2004		A(2)	92,308		(2)	11/05/2014	Common Stock	92,30

### **Reporting Owners**

Reporting Owner Name / Address			Relationships		
- 0	Director	10% Owner	Officer	Other	
BUCHMAN DENNIS J L 3850 N. CAUSEWAY BOULEVARD SUITE 800 METAIRIE, LA 70002	X		Executive Vice President		

## **Signatures**

/s/ Dennis J. L.

Buchman

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock options vest and become exercisable ratably in 20% annual increments over a period of five years beginning on November 5, 2005.
- (2) Modification of outstanding option resulting in deemed cancellation of option and grant of replacement option. The option was originally granted on January 19, 1985, were fully vested and were to expire on January 19, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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