

SIFCO INDUSTRIES INC  
Form 4/A  
June 06, 2016

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
REITMAN ALAYNE L

(Last) (First) (Middle)

SIFCO INDUSTRIES INC, 970  
EAST 64TH STREET

(Street)

CLEVELAND, OH 44103

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
SIFCO INDUSTRIES INC [SIF]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/18/2016

4. If Amendment, Date Original Filed(Month/Day/Year)  
03/21/2016

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price			
SIFCO Industries, Inc. common stock	03/18/2016		A	3,881	A	3,881 <sup>(2)</sup>	D	
SIFCO Industries, Inc. common stock						12,300 <sup>(3)</sup>	D	
SIFCO Industries, Inc. common stock						30 <sup>(4)</sup>	I	Son's custodial account

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
REITMAN ALAYNE L SIFCO INDUSTRIES INC 970 EAST 64TH STREET CLEVELAND, OH 44103	X			

## Signatures

Elizabeth Button - by power of attorney

06/06/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As originally filed on March 21, 2016, 3,881 restricted shares of SIFCO Industries, Inc. Common Stock was awarded. Restriction to lapse on the day immediately preceding the on year anniversary date of this award.
- (2) Transaction amended to reflect the total number of securities for this transaction to be 3,881 shares as opposed to 16,211 shares as originally filed on 3/21/2016.
- (3) As part of the amended Form 4, Holding is added to reflect total number of SIFCO common stock to be 12,300 as opposed to including within the total transaction amount in line 1, column 5, as originally filed on 03/21/2016.
- (4)

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As part of the amended Form 4, Holding is added to reflect total number of indirect ownership of SIFCO common stock to be 30 as opposed to including within the total transaction amount in line 1, column 5, as originally filed on 03/21/2016.

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