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TRI-CONTINENTAL CORP

Form NSAR-A

August 28, 2017

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000 A000000 06/30/2017  
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000 I000000 6.1  
000 J000000 A  
001 A000000 TRI-CONTINENTAL CORPORATION  
001 B000000 811-00266  
001 C000000 6173859594  
002 A000000 225 FRANKLIN STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02110  
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008 B000001 A  
008 C000001 801-25943  
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008 D020001 MA  
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010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
010 B000001 801-25943  
010 C010001 BOSTON  
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.  
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012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02266  
012 C040001 8081  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 MINNEAPOLIS  
013 B020001 MN  
013 B030001 55402  
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.  
014 B000001 8-25845  
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.  
014 B000002 8-42582  
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.  
014 B000003 8-16791  
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.  
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014 B000004 8-67196  
015 A000001 JPMORGAN CHASE BANK, N.A.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10005

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015 E010001 X  
015 A000002 AB SEB BANKAS  
015 B000002 S  
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015 A000004 BANCO ITAU URUGUAY S.A.  
015 B000004 S  
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015 D010004 URUGUAY  
015 E040004 X  
015 A000005 BANCO NACIONAL DE MEXICO, S.A.  
015 B000005 S  
015 C010005 MEXICO, D.F.  
015 D010005 MEXICO  
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015 A000006 BANCO SANTANDER CHILE  
015 B000006 S  
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015 D010006 CHILE  
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.  
015 B000007 S  
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015 D010007 POLAND  
015 E040007 X  
015 A000008 BANK LEUMI LE-ISRAEL B.M.  
015 B000008 S  
015 C010008 TEL AVIV  
015 D010008 ISRAEL  
015 E040008 X  
015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.  
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015 C010013 HESPERANGE  
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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.  
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE  
015 B000016 S  
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015 A000017 CITIBANK A.S.  
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015 A000024 DBS BANK LTD.  
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015 B000026 S  
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015 A000028 DEUTSCHE BANK AG  
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015 A000029 FIRSTRAND BANK LIMITED  
015 B000029 S  
015 C010029 JOHANNESBURG  
015 D010029 SOUTH AFRICA  
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015 D010034 MALAYSIA  
015 E040034 X  
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015 A000036 HSBC BANK MIDDLE EAST LIMITED  
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015 D010036 KUWAIT  
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015 C010037 BEIRUT  
015 D010037 LEBANON  
015 E040037 X  
015 A000038 HSBC BANK MIDDLE EAST LIMITED  
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015 C010038 DOHA  
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015 A000043 ISLANDSBANKI HF.  
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015 C010043 REYKJAVIK  
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015 A000044 J.P. MORGAN AG  
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015 A000045 J.P. MORGAN BANK INTERNATIONAL  
015 B000045 S  
015 C010045 MOSCOW  
015 D010045 RUSSIA  
015 E040045 X  
015 A000046 J.P. MORGAN S.A. DTVM  
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015 A000047 JPMORGAN CHASE BANK, N.A.  
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015 D010047 AUSTRALIA  
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015 D010050 NEW ZEALAND  
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015 B000051 S  
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015 D010056 FINLAND  
015 E040056 X  
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015 B000057 S  
015 C010057 OSLO  
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015 E040057 X  
015 A000058 PJSC CITIBANK  
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015 D010059 CROATIA  
015 E040059 X  
015 A000060 REPUBLIC BANK LIMITED  
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015 C010060 PORT OF SPAIN  
015 D010060 TRINIDAD AND TOBAGO  
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015 A000061 ROYAL BANK OF CANADA  
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015 D010061 CANADA  
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015 E040061 X  
015 A000062 SANTANDER SECURITIES SERVICES, S.A.  
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015 C010062 MADRID

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015 D010062 SPAIN  
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015 A000063 JSC CITIBANK KAZAKHSTAN  
015 B000063 S  
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015 A000064 SOCIETE GENERALE MAROCAINE DE BANQUES  
015 B000064 S  
015 C010064 CASABLANCA  
015 D010064 MOROCCO  
015 E040064 X  
015 A000065 STANBIC BANK TANZANIA LIMITED  
015 B000065 S  
015 C010065 DAR ES SALAAM  
015 D010065 TANZANIA  
015 E040065 X  
015 A000066 STANBIC BANK ZIMBABWE LIMITED  
015 B000066 S  
015 C010066 HARARE  
015 D010066 ZIMBABWE  
015 E040066 X  
015 A000067 STANBIC IBTC BANK PLC  
015 B000067 S  
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015 B000068 S  
015 C010068 BLANTYRE  
015 D010068 MALAWI  
015 E040068 X  
015 A000069 STANDARD BANK NAMIBIA LIMITED  
015 B000069 S  
015 C010069 WINDHOEK  
015 D010069 NAMIBIA  
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015 A000070 STANDARD CHARTERED BANK  
015 B000070 S  
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015 A000071 STANDARD CHARTERED BANK  
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015 D010074 BOTSWANA

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015 C010075 ABIDJAN  
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015 A000077 STANDARD CHARTERED BANK KENYA LIMITED  
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015 D010077 KENYA  
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015 A000078 STANDARD CHARTERED BANK KOREA LIMITED  
015 B000078 S  
015 C010078 SEOUL  
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015 A000084 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
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015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
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015 C010086 COLOMBO  
015 D010086 SRI LANKA  
015 E040086 X



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015 A000087 UBS Switzerland AG  
015 B000087 S  
015 C010087 ZURICH  
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015 E040087 X  
015 A000088 UNICREDIT BANK AUSTRIA AG  
015 B000088 S  
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015 D010089 CZECH REPUBLIC  
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015 B000090 S  
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015 D010090 SLOVAK REPUBLIC  
015 E040090 X  
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020 B000002 13-3297824  
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020 A000003 DEUTSCHE BANK SECURITIES INC.

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020 A000004 RBC CAPITAL MARKETS CORPORATION  
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020 A000005 MORGAN STANLEY  
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020 A000007 UBS SECURITIES LLC  
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020 A000008 J.P. MORGAN SECURITIES, INC.  
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020 A000009 JEFFERIES & COMPANY, INC.  
020 B000009 95-2622900  
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054 K000000 N  
054 L000000 Y  
054 M000000 Y  
054 N000000 N  
054 O000000 Y  
055 A000000 N  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
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062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 Y  
PAGE 16  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 Y  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N

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070	I010000	Y	
070	I020000	N	
070	J010000	Y	
070	J020000	Y	
070	K010000	Y	
070	K020000	Y	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	N	
070	Q010000	Y	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		930993
071	B000000		962454
071	C000000		1535009
071	D000000		61
072	A000000	6	
072	B000000		11116
072	C000000		18071
072	D000000		0
072	E000000		0
	PAGE	17	
072	F000000		3185
072	G000000		0
072	H000000		0
072	I000000		297
072	J000000		13
072	K000000		49
072	L000000		0
072	M000000		38
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		21
072	S000000		13
072	T000000		0
072	U000000		0
072	V000000		30
072	W000000		135
072	X000000		3781
072	Y000000		0
072	Z000000		25406
072AA	000000		127958
072BB	000000		0
072CC	010000		0
072CC	020000		34735
072DD	010000		28095
072DD	020000		0
072EE	000000		0
073	A010000		0.5016
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000

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074	A000000	8
074	B000000	0
074	C000000	0
074	D000000	384403
074	E000000	117844
074	F000000	1038140
074	G000000	0
074	H000000	0
074	I000000	35672
074	J000000	7074
074	K000000	0
074	L000000	7463
074	M000000	44
074	N000000	1590648
074	O000000	11064
074	P000000	187
074	Q000000	0
074	R010000	0
074	R020000	0
	PAGE	18
074	R030000	0
074	R040000	1300
074	S000000	0
074	T000000	1578097
074	U010000	55898
074	U020000	0
074	V010000	27.56
074	V020000	0.00
074	W000000	0.0000
074	X000000	12784
074	Y000000	438
075	A000000	0
075	B000000	1520228
076	000000	24.35
077	A000000	Y
077	B000000	N
077	C000000	Y
077	D000000	N
077	E000000	Y
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	52
086	A020000	1193
086	B010000	1257

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086 B020000 29322  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0

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087 A010000 Tri-Continental - Common Stock:  
087 A020000 895436103  
087 A030000 TY  
087 B010000 Tri-Continental - Preferred Stock  
087 B020000 895436202  
087 C010000 Tri-Continental - Warrant  
087 C020000 895436111  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE MICHAEL G. CLARKE  
TITLE TREASURER