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TRI-CONTINENTAL CORP

Form NSAR-A

August 28, 2014

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000 A000000 06/30/2014
000 C000000 0000099614
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000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
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008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
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010 C010001 BOSTON
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012 B000001 84-05685
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013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
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014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 A000002 AB SEB BANKAS
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015 A000004 BANCO ITAU URUGUAY S.A.
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015 A000005 BANCO NACIONAL DE MEXICO, S.A.
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015 A000006 BANCO SANTANDER CHILE
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
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015 A000008 BANK LEUMI LE-ISRAEL B.M.
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
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015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 B000015 S
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015 A000017 CITIBANK A.S.
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015 B000026 S
015 C010026 LONDON
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015 A000027 FIRSTRAND BANK LIMITED
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015 C010027 JOHANNESBURG
015 D010027 SOUTH AFRICA
015 E040027 X
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED
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015 A000030 HSBC BANK ARGENTINA S.A.
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015 A000031 HSBC BANK BERMUDA LIMITED
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015 A000038 HSBC BANK MIDDLE EAST LIMITED
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015 C010038 DOHA
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015 A000039 HSBC BANK MIDDLE EAST LIMITED
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015 A000048 J.P. MORGAN BANK INTERNATIONAL
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015 A000049 J.P. MORGAN S.A. DTVM
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015 A000050 JPMORGAN CHASE BANK, N.A.
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015 C010050 SYDNEY

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015 D010050 AUSTRALIA
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015 A000059 NORDEA BANK FINLAND PLC
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015 A000062 REPUBLIC BANK LIMITED
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015 C010062 PORT OF SPAIN

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015 D010062 TRINIDAD AND TOBAGO
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015 A000063 ROYAL BANK OF CANADA
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015 A000064 SANTANDER INVESTMENT, S.A.
015 B000064 S
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015 A000065 SB HSBC BANK KAZAKHSTAN JSC
015 B000065 S
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015 E040065 X
015 A000066 SOCIETE GENERALE
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015 A000068 STANBIC BANK TANZANIA LIMITED
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015 A000070 STANBIC IBTC BANK PLC
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015 D010070 NIGERIA
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015 D010071 MALAWI
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015 A000072 STANDARD BANK NAMIBIA LIMITED
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015 C010072 WINDHOEK
015 D010072 NAMIBIA
015 E040072 X
015 A000073 STANDARD CHARTERED BANK
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015 C010073 DHAKA
015 D010073 BANGLADESH
015 E040073 X
015 A000074 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
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015 D010074 PAKISTAN

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015 B000075 S
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015 C010076 GABORONE
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015 C010077 ABIDJAN
015 D010077 IVORY COAST
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015 A000082 STANDARD CHARTERED BANK ZAMBIA PLC
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015 A000083 SWEDBANK AS
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015 C010083 TALLINN
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015 A000084 SWEDBANK AS
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015 C010084 RIGA
015 D010084 LATVIA
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015 A000085 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000085 S
015 C010085 TOKYO
015 D010085 JAPAN
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 EBENE
015 D010086 MAURITIUS
015 E040086 X

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015 A000087 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000087 S
015 C010087 TAGUIG CITY
015 D010087 PHILIPPINES
015 E040087 X
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015 A000089 UBS AG
015 B000089 S
015 C010089 ZURICH
015 D010089 SWITZERLAND
015 E040089 X
015 A000090 UNICREDIT BANK AUSTRIA AG
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015 D010093 SERBIA
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015 A000094 UNICREDIT BANKA SLOVENIJA D.D.
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020 A000005 UBS SECURITIES LLC
020 B000005 13-3873456
020 C000005 24
020 A000006 J.P. MORGAN SECURITIES, INC.
020 B000006 13-3224016

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020 A000007 DEUTSCHE BANK SECURITIES INC.
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020 A000008 CITIGROUP GLOBAL MARKETS INC.
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020 A000009 RBC CAPITAL MARKETS CORPORATION
020 B000009 13-5033225
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020 A000010 KEYBANC CAPITAL MARKETS
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022 A000007 BANC OF AMERICA SECURITIES LLC
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022 A000008 WELLS FARGO INVESTMENTS, LLC
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022 A000009 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
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025 A000002 JPMorgan Chase & Co.
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025 A000003 The Goldman Sachs Group, Inc.
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072	X000000		3468
072	Y000000		0
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072AA	000000		96803
072BB	000000		0
072CC	010000		0
072CC	020000		18883
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072DD	020000		0
072EE	000000		0
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073	C000000		0.0000
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074	M000000		44

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074	N000000	1555032
074	O000000	13594
074	P000000	124
074	Q000000	0
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074	T000000	1502443
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074	Y000000	418
075	A000000	0
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077	A000000	Y
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077	C000000	Y
077	D000000	N
077	E000000	Y
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077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
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083	B000000	0
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086	A020000	1119
086	B010000	934
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086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	TRI-CONTINENTAL - COMMON STOCK
087	A020000	895436103
087	A030000	TY
087	B010000	TRI-CONTINENTAL - PREFERRED STOCK

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087 B020000 895436202
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: August 19, 2014

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Joseph F. DiMaria

Joseph F. DiMaria
Chief Accounting Officer
Witness

TRI-CONTINENTAL CORPORATION