

SWISS HELVETIA FUND, INC.
Form N-PX
August 31, 2011

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-05128

The Swiss Helvetia Fund, Inc.

(Exact name of registrant as specified in charter)

1270 Avenue of the Americas, Suite 400

New York, NY 10020

(Address of principal executive offices) (Zip code)

Rudolf Millisits, COO

Hottinger Capital Corp.

1270 Avenue of the Americas, Suite 400

New York, NY 10020

(Name and address of agent for service)

Registrant's telephone number, including area code: 212-332-2760

Date of fiscal year end: December 31

Date of reporting period: July 1, 2010 - June 30, 2011

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (§§ 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure

Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget (OMB) control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. § 3507.

PROXY VOTING RECORD
FOR PERIOD JULY 1, 2010 TO JUNE 30, 2011
6/30/09 - 6/30/10 - ORDINARY GENERAL MEETINGS

Ticker	ISIN	HOLDINGS	Nb of Shares	Date of AGM 2011	Agenda	Proposed by the issuer (I) or the shareholder (S)	Whether the fund cast its vote on the matter	How the fund did the vote	Whether the fund cast its vote for or against board	Date Proxy Was Received	Date Proxy Was Sent In	Reason
												for not voting/ How the fund would have voted
		BANKS										
CSGN	CH0012138530	CREDIT SUISSE GROUP	300,000	4/29/2011		I	YES		FOR	4/5/2011	4/5/2011	N/A
					1. Annual report, parent company's 2010 financial statements and Group's 2010 consolidated financial statements and Group's 2010 consolidated financial statements		YES					
					1.1 Presentation of the annual report, the parent company's 2010 financial statements, the Group's 2010 consolidated financial statements and the 2010 remuneration report		YES					
					1.2 Consultative vote on the 2010 remuneration report		YES					
					1.3 Approval of the annual report, the parent		YES					

company's 2010 financial statements and the Group's 2010 consolidated financial statements	
2. Discharge of the acts of the Members of the Board of Directors and Executive Board	YES
3. Appropriation of retained earnings and distribution against reserves from capital contributions	YES
3.1 Resolution on the appropriation of retained earnings	YES
3.2 Resolution on the distribution against reserves from capital contributions	YES
4. Changes in share capital	YES
4.1 Increase of conditional capital for the purpose of contingent convertible bonds	YES
4.2 Renewing the authorized capital	YES
4. Other amendment of the Articles of Association	YES
5. Elections	YES
5.1 Elections to the Board of Directors	YES
5.2 Election of the independent auditors	YES
5.3 Election of the special auditors	YES

6.3 Creation and issue of new Bons de Jouissance	YES
7. Miscellaneous	YES

4. Appropriation of the available earnings as per balance sheet 2010; conversion and appropriation of reserves from capital contribution dividend from reserves from capital contributions

5. Elections to the Board of Directors

YES

6. Election of the external auditor

YES

FOOD AND BEVERAGES

LISN CH0010570759 Lindt Spruengli AG 135 4/28/2011

I NO

Meeting advice
was not received

1. Approval of the annual report, the financial statements of Chocoladefabriken Lindt & Sprungli AG and the group consolidated financial statements of the Lindt & Sprungli group for the business year 2010 audit reports considered

2. Discharge of The board of directors

3. Distribution of net earnings of Chocoladefabriken Lindt & Sprungli AG

4. Re-election of two members of the board of directors

4.1. Re-election of Mr. Antonio Bulgheroni

4.2 Re-election of Mr. Ernst Tanner

5. Re-election of auditor

Meeting advice

NESN CH0038863350 Nestle SA 1,231,000 4/14/2011

I NO

was not received

1. Annual Report 2010

1.1 Annual Report, financial statements of Nestle S.A. and consolidated financial statements of the Nestle Group for 2010; reports of the statutory auditors

1.2 Advisory vote on the Compensation Report 2010

2. Release of the Board of Directors and of the Management

3. Appropriation of profits resulting from the balance sheet of Nestle S.A.

4. Elections

4.1 Re-elections to the Board of Directors

4.2 Elections to the Board of Directors

**4.3 Re-election of the
statutory auditors**

5. Capital reduction

Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

4. Elections	YES
4.1 Election to the Board of Directors	YES
4.2 Re-election of PriceWaterhouseCooper SA Pully as branch auditors for fiscal 2011	YES

**7. Election of the
statutory auditor**

YES

**6. Appointment of
auditors**

YES

**7. Amendment of the
Article 5ter of the
Articles of
Incorporation
(authorized share
capital)**

7.2 Election to the Board of Directors	YES
8. Re-election of the auditors	YES

release of these CHF 21.7 million and of CHF 10.00 per share to make. As an ex-date is the 2nd May, 2011. As record-date of the 4th May, 2011, and the dividend payment date as the 5th May 2011 proposed

- | | |
|---|-----|
| 4. Statutes changed due to the introduction of the securities act | YES |
| 5. Election and Re-Elections to the Board of Directors for a period of one year | YES |
| 6. Re-election of Auditors | YES |

3. Discharge of members of the board of directors and of the group executive committee	YES
4. Changes to the Articles of Incorporation	YES
4.1 Deletion of article 25 para. 2	YES
4.2 Deletion of article 27 BIS	YES
5. Re-election of Board of Directors	YES
5.2 Re-election of auditors	YES

2. Appropriation of profits	YES
3. Discharge of the Board of Directors	YES
4. Election to the Board of Directors	YES
5. Re-election of Pricewaterhouse Coopers as auditors	YES

Financial Statements

**2. Apporpriation of
the results**

**3. Release of the
members of the
Board of Directors
and of management**

**4. Election to the
board of Directors**

**4.1 Re-elect Mr.
Steven D. Skolsky**

**4.2 Re-elect Dr.
Anthony Man**

**4.3 Re-elect Mr.
Ronald Sacott**

**5. Election of
statutory auditors,
Price Waterhouse
Coopers**

**6. Renewal of the
otherwise unchanged
authorized share
capital**

**7. Election of the
statutory and group
auditors**

YES

TECHNOLOGY											
HUBN	CH0030380734	Huber Suhner AG	94,000	4/13/2011	I	YES	FOR	3/30/2011	4/1/2011	N/A	
										1. Annual report, annual accounts and consolidated accounts 2010 as well as report of the auditors	YES
										2. Allocation of profits	YES
										3. Discharge to the members of the Board of Directors and of the Executive Group Management	YES
										4. Elections	YES
										4.1 Re-election of Rolf Seiffert	YES
										5. Election of the auditors	YES
MBTN	CH0108503795	Meyer Burger Technology AG	121,000	4/21/2011	I	YES	FOR	3/30/2011	4/1/2011	N/A	
										1. Approval of the annual report 2010, the financial statements 2010, and the consolidated financial statements 2010. Presentation of the reports of the auditors	YES
										2. Appropriation of profit	YES
										3. Discharge of the members of the Board and Chief Executive Officer	YES
										4. Election to the Board	YES
										5. Appointment of auditors	YES
TEMN	CH0012453913	Temenos Group AG	149,500	6/17/2011	I	YES	FOR	5/27/2011	5/27/2011	N/A	
										1. 2010 annual report, 2010 annual financial statements (including the remuneration report), 2010 consolidated financial statements and the auditors report	YES
										2. Approve allocation of Income and Omission of Dividends	YES
										3. Approve transfer of CHF 21.1 million from retained earnings to general reserve from capital contributions	YES
										4. Discharge of members of the Board of Directors and senior management	YES
										5. Approve creation of CHF 71.5 million pool of capital without preemptive rights	YES
										6. Approve addition of CHF 8.9 million to existing pool of capital without preemptive rights for granting stock options to	YES

employees

**7. Elections and
re-elections to the Board
of Directors**

YES

**8. Ratify
PricewaterhouseCoopers
SA as the auditors**

YES

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) The Swiss Helvetia Fund, Inc.

By (Signature and Title)* /s/ Rudolf Millisits
 Rudolf Millisits, Chief Executive Officer

Date August 31, 2011

* Print the name and title of each signing officer under his or her signature.