

TRI-CONTINENTAL CORP  
Form 8-K  
November 12, 2008

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 OR 15(d) of**

**The Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported):

**November 12, 2008**

**TRI-CONTINENTAL CORPORATION**

(Exact name of Registrant as specified in its charter)

**Maryland**  
(State or other jurisdiction  
of incorporation)

**811-00266**  
(Commission File Number)

**13-5441850**  
(I.R.S. Employer

Identification No.)

**100 Park Avenue,**

**New York, New York 10017**

(Address of principal executive offices, zip code)

Registrant's telephone number, including area code

**(212) 850-1864**

**Not Applicable**

(Former name or former address, if changed since last report.)

## Edgar Filing: TRI-CONTINENTAL CORP - Form 8-K

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17CFR 240.13e-4(c))

**SECTION 7 REGULATION FD DISCLOSURE**

**Item 7.01 Regulation FD Disclosure.**

Registrant is furnishing as Exhibit 99.1 the attached Press Release as of November 12, 2008 for Tri-Continental Corporation.

**SECTION 9 FINANCIAL STATEMENTS AND EXHIBITS**

**Item 9.01 Financial Statements and Exhibits.**

Exhibit 99.1 Press Release dated November 12, 2008 for Tri-Continental Corporation.

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**TRI-CONTINENTAL CORPORATION**

Date: November 12, 2008

By: */s/ JOSEPH D ALESSANDRO*  
Joseph D Alessandro  
Assistant Secretary