UNILEVER PLC Form 6-K January 08, 2015

#### FORM 6-K

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### REPORT OF FOREIGN ISSUER

Pursuant to Rule 13a-16 or 15d-16

of the Securities Exchange Act of 1934

For the month of January, 2015

#### **UNILEVER PLC**

(Translation of registrant's name into English)

### UNILEVER HOUSE, BLACKFRIARS, LONDON, ENGLAND

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F..X.. Form 40-F.....

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):\_\_\_\_

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes ..... No .X..

If "Yes" is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-\_\_\_\_\_

Exhibit 99 attached hereto is incorporated herein by reference.

### Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**UNILEVER PLC** 

/S/ T E LOVELL By T E LOVELL SECRETARY

Date: 02 January 2015

### **EXHIBIT INDEX**

EXHIBIT NUMBER EXHIBIT DESCRIPTION 99 Holding(s) in Company

The trustees of the Leverhulme Trust and the trustees of the Leverhulme Trade Charities Trust comprise the same individuals (together the "Trustees") and in such capacity have held Ordinary shares of 3 1/9p in Unilever PLC ("Ordinary Shares"). The Trustees have held 68,531,182 Ordinary Shares on behalf of the Leverhulme Trust and 2,035,582 Ordinary Shares on behalf of the Leverhulme Trade Charities Trust. Together the Trustees have therefore held 70,566,764 Ordinary Shares amounting to 5.5% of the voting rights in Unilever PLC.

Unilever PLC was informed today that (1) the 'Leverhulme Trust' had changed its legal status and become a charitable incorporated organisation named 'The Leverhulme Trust' and (2) the 'Leverhulme Trade Charities Trust' had changed its legal status and become a charitable incorporated organisation named 'The Leverhulme Trade Charities Trust'.

As a consequence of these changes, we have received notification today that (1) the balance of shares held by the Trustees has reduced to zero and (2) the balance of shares held by the charitable incorporated organisation named 'The Leverhulme Trust' is 68,531,182 shares and (3) the balance of shares held by the charitable incorporated organisation named 'The Leverhulme Trade Charities Trust' is 2,035,582 shares.

The required TR-1 Notifications from both the Trustees and the charitable incorporated organisation named The Leverhulme Trust are provided below.

### TR-1: NOTIFICATION OF MAJOR INTEREST IN SHARESi

1. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached: ii

UNILEVER PLC

2 Reason for the notification (please tick the appropriate box or boxes):

An acquisition or disposal of voting rights

An acquisition or disposal of qualifying financial instruments which may result in the acquisition of shares already issued to which voting rights are attached

An acquisition or disposal of instruments with similar economic effect to qualifying financial instruments

An event changing the breakdown of voting rights

Transfer of shares to a Charitable Incorporated Organisation under a Other (please specify):

Transfer Agreement agreed by the Charity Commission

Ö

3. Full name of person(s) subject to the

notification obligation: iii

The trustees of 'The Leverhulme Trust' and 'The Leverhulme Trade Charities Trust'

Niall William Arthur FitzGerald Patrick Jean-Pierre Cescau

4. Full name of shareholder(s) (if different from 3.):iv

Paulus Geradus Josephus Maria Polman Sir James Iain Anderson

in their capacity as trustees of 'The Leverhulme Trust' and 'The

Leverhulme Trade Charities Trust'

5. Date of the transaction and date on which the threshold is crossed or

reached: v

31 December 2014

6. Date on which issuer notified:

31 December 2014

7. Threshold(s) that is/are crossed or reached: vi, vii

Below 3%.

8. Notified details:

A: Voting rights attached to shares viii, ix

Class/type of Situation previous Resulting situation after the triggering transaction

to the triggering shares

transaction

Number if possible Number Number Number of voting % of voting rights x

using of shares rights of of

the ISIN Shares Direct xi Indirect Voting Direct Direct Indirect **CODE** Rights

Ordinary

Shares of 3 70,566,764 70,566,764 0 (zero) 0 (zero) 0 (zero) 0 (zero) 0 (zero) 1/9 pence

B: Qualifying Financial Instruments

Resulting situation after the triggering transaction

Type of financial Expiration Exercise/ % of voting Number of voting rights

instrument date xiii Conversion Period xiv rights that may be acquired if the

instrument is exercised/ converted.

C: Financial Instruments with similar economic effect to Qualifying Financial Instruments xv, xvi Resulting situation after the triggering transaction

Type of financial Exercise ExpirationExercise/ Number of voting rights % of voting rights xix, xx instrument price date xvii Conversion periodinstrument refers to xviii

Nominal Delta

Total (A+B+C)

Number of voting rights

Percentage of voting rights

0 (zero) 0 (zero)

9. Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, if applicable: xxi

**Proxy Voting:** 

10. Name of the proxy holder: N/A

11. Number of voting rights proxy holder will cease to hold:

12. Date on which proxy holder will cease to hold voting rights:

13. Additional information:

14. Contact name:Paul Read15. Contact telephone number:020 7042 9881

Unilever contact: Tonia Lovell, Group Secretary - 020 7822 5252

#### TR-1: NOTIFICATION OF MAJOR INTEREST IN SHARESi

1. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached: ii

UNILEVER PLC

2 Reason for the notification (please tick the appropriate box or boxes):

An acquisition or disposal of voting rights

An acquisition or disposal of qualifying financial instruments which may result in the acquisition of shares already issued to which voting rights are attached

An acquisition or disposal of instruments with similar economic effect to qualifying financial instruments

An event changing the breakdown of voting rights

Other (please specify): Transfer of shares to a Charitable Incorporated Organisation under a

Transfer Agreement agreed by the Charity Commission

The Leverhulme Trust

Ö

(a charitable incorporated organisation)

4. Full name of shareholder(s)

notification obligation: iii

3. Full name of person(s) subject to the

4

(if different from 3.):iv

5. Date of the transaction and date on

which the threshold is crossed or 31 December 2014

reached: v

6. Date on which issuer notified: 31 December 2014

7. Threshold(s) that is/are crossed or

reached: vi, vii

Above 5%.

8. Notified details:

A: Voting rights attached to shares viii, ix

Class/type of Situation previous Resulting situation after the triggering transaction

shares to the triggering

transaction

if possible Number Number Number Number of voting % of voting rights x

using of of shares rights

the ISIN Shares Voting CODE Rights Direct Direct xi Indirect xii Direct Indirect

Ordinary 0 (zero) 0 (zero)

Shares of 3 68,531,182 68,531,1820 (zero) Above 5% 0 (zero)

1/9 pence 08,331,182 08,331,182 (2010) Above 3% 0 (2010)

B: Qualifying Financial Instruments

Resulting situation after the triggering transaction

Type of financial Expiration Exercise/ Number of voting % of voting

instrument date xiii Conversion Period xiv rights that may be rights

acquired if the instrument is

exercised/ converted.

C: Financial Instruments with similar economic effect to Qualifying Financial Instruments xv, xvi

Resulting situation after the triggering transaction

Type of financial Exercise Expiration Exercise/ Number of voting rights % of voting rights xix, xx

instrument price date xvii Conversion period instrument refers to

xviii

Nominal Delta

Total (A+B+C)

Number of voting rights

Percentage of voting rights

68,531,182 Above 5%

9. Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, if applicable: xxi

Proxy Voting:

10. Name of the proxy holder: N/A

11. Number of voting rights proxy holder will cease to hold:

12. Date on which proxy holder will cease to hold voting rights:

13. Additional information:

14. Contact name:Paul Read15. Contact telephone number:020 7042 9881

Unilever contact: Tonia Lovell, Group Secretary - 020 7822 5252