### Edgar Filing: PIMCO NEW YORK MUNICIPAL INCOME FUND III - Form 3

#### PIMCO NEW YORK MUNICIPAL INCOME FUND III Form 3 September 08, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB

#### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

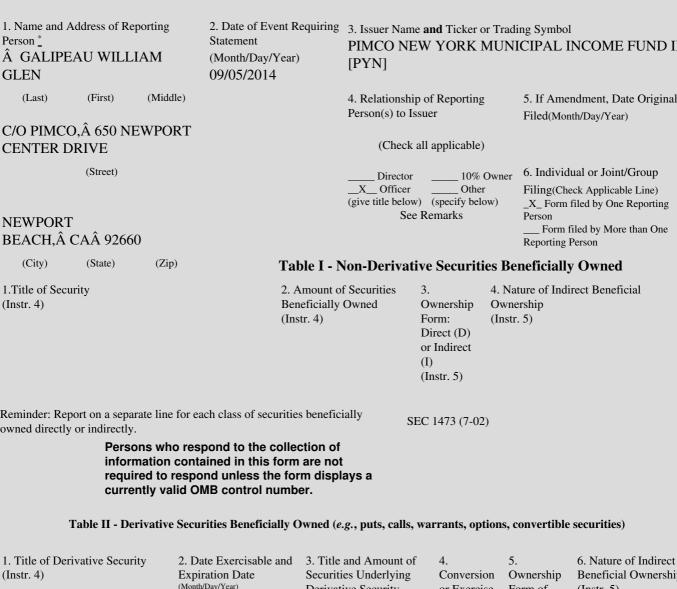
(Print or Type Responses)

1. Name and Address of Reporting Person \* Statement PIMCO NEW YORK MUNICIPAL INCOME FUND III GALIPEAU WILLIAM (Month/Day/Year) [PYN] 09/05/2014 **GLEN** (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O PIMCO, 650 NEWPORT (Check all applicable) CENTER DRIVE (Street) 6. Individual or Joint/Group Director 10% Owner \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting See Remarks Person NEWPORT Form filed by More than One BEACH, CAÂ 92660 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| rivative Security | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                 | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                        | or Exercise Form       | 5.<br>Ownership<br>Form of<br>Derivative | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|-------------------|--|-----------------|--|------------------------|------------------------|--|---|
|                   | Date<br>Exercisable  | Expiration Date | Title  | Amount or<br>Number of | Derivative<br>Security | Security:<br>Direct (D)                  |   |

3235-0104 Number:

January 31, Expires: 2005 Estimated average burden hours per response... 0.5



Shares

or Indirect (I) (Instr. 5)

# **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |            |             |       |  |  |  |
|---|---------------|------------|-------------|-------|--|--|--|
| FB  | Director      | 10% Owner  | Officer     | Other |  |  |  |
| GALIPEAU WILLIAM GLEN<br>C/O PIMCO<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660 | Â             | Â          | See Remarks | Â     |  |  |  |
| Signatures  |               |            |             |       |  |  |  |
| /s/ Raulin Villegas, Attorney-in-Fact<br>Galipeau   | am Glen       | 09/08/2014 |             |       |  |  |  |
| <u>**</u> Signature of Reporting P  |               | Date       |             |       |  |  |  |

# **Explanation of Responses:**

### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Â

### **Remarks:**

The Reporting Person is Treasurer and Principal Financial and Accounting Officer of the Issuer.

# Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.