

Fink Nicholas I.
Form 4
April 01, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Fink Nicholas I.

(Last) (First) (Middle)

BEAM INC., 510 LAKE COOK
ROAD

(Street)

DEERFIELD, IL 60015

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
BEAM INC [NYSE: BEAM]

3. Date of Earliest Transaction
(Month/Day/Year)
03/28/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify below)

SVP, Chief Strategy Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$3.125	03/28/2013		M ⁽¹⁾	2,372 A	\$ 61.7448	2,647 ⁽²⁾	D
Common Stock, par value \$3.125	03/28/2013		M ⁽¹⁾	408 A	\$ 35.6741	3,055 ⁽²⁾	D
Common Stock, par value \$3.125	03/28/2013		M ⁽¹⁾	1,605 A	\$ 36.2468	4,660 ⁽²⁾	D

Edgar Filing: Fink Nicholas I. - Form 4

Common Stock, par value \$3.125	03/28/2013	S	4,385	D	\$ 63.5366 (3)	275 (2)	D	
Common Stock, par value \$3.125						658	I	Beam Retirement Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 61.7448	03/28/2013		M(1)	2,372	(4) 09/26/2013	Common Stock	2,372
Stock Option (Right to Buy)	\$ 35.6741	03/28/2013		M(1)	408	09/30/2012 09/30/2016	Common Stock	408
Stock Option (Right to Buy)	\$ 36.2468	03/28/2013		M(1)	1,605	02/22/2013 02/22/2017	Common Stock	1,605

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Fink Nicholas I.
BEAM INC.
510 LAKE COOK ROAD
DEERFIELD, IL 60015

SVP, Chief Strategy Officer

Signatures

Leslie W. Jensen, Attorney-in-Fact for Nicholas
I. Fink

04/01/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Reflects the exercise of stock options issued under Beam's Long-Term Incentive Plans.
- (2) Includes 275 shares acquired under the Employee Stock Purchase Plan.

- The price in column 4 is the weighted average selling price of the shares. The shares were sold in multiple transactions at prices ranging
- (3) from \$63.50 to \$63.60, inclusive. The Reporting Person undertakes to provide to the SEC, Beam and any security holder, upon request, full information regarding the number of shares sold at each separate price point within the ranges set forth in this footnote.
 - (4) The options vested in three equal annual installments beginning on September 26, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.