Edgar Filing: Newlands William A - Form 4

Newlands William Form 4	n A								
February 25, 2013	3								
FORM 4			CECU	DIFIE					PPROVAL
		STATES		shington			E COMMISSION	OMB Number:	3235-0287
Check this box if no longer		ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							January 31, 2005
subject to Section 16. Form 4 or				Estimated burden hou response	average Irs per				
Form 5 obligations may continue. <i>See</i> Instruction 1(b).	-	a) of the l	Public U	Jtility Ho	lding Co		inge Act of 1934, t of 1935 or Section 1940	on	
(Print or Type Respon	nses)								
1. Name and Address Newlands Willia		Person [*]	Symbol	er Name an I INC [NY		-	5. Relationship o Issuer		
(Last) (First) (1	Middle)	3. Date of Earliest Transaction				(Che	ck all applicabl	c)
BEAM INC., 510 LAKE COOK ROAD			(Month/Day/Year) 02/21/2013			Director10% Owner XOfficer (give titleOther (specify below) SVP & President, NA			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
DEERFIELD, IL	. 60015						Person	wore than one R	eporting
(City) (State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/Da(Instr. 3)(Month/Day/Year)any (Month/Day/Year)			Date, if TransactionAcquired (A) or Code Disposed of (D)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D) Price	(Instr. 3 and 4)		
Reminder: Report on	a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly	or indirectly.		
					inforı requi	nation con red to resp ays a curre	spond to the colle tained in this form ond unless the for ently valid OMB co	n are not rm	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired or Dispos (D) (Instr. 3, 4 and 5)	ed of				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 61.42	02/21/2013		A <u>(1)</u>	21,947		(2)	02/21/2023	Common Stock	21,947
Restricted Stock Unit	(3)	02/21/2013		A <u>(4)</u>	5,617		(5)	(5)	Common Stock	5,617

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Newlands William A BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015			SVP & President, NA					
Signatures								
Leslie W. Jensen, Attorney-in- Newlands	02/25/2013							

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Reflects the grant of options under Beam's 2011 Long-Term Incentive Plan.
- (2) The options vest in three equal annual installments beginning on February 21, 2014.
- (3) Each restricted stock unit represents a contingent right to receive one share of Beam's common stock.
- (4) Reflects the grant of restricted stock unit awards payable in shares of Beam's common stock under Beam's 2011 Long-Term Incentive Plan.
- Full payment of the award will be made in the form of shares of Beam's common stock as of February 21, 2016 if the Reporting Person
- (5) remains employed with the Company through such date and certain performance goals are met, subject to special provisions in the event of death, disability or termination following a change in control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.