Edgar Filing: Worthington Gajus Vincent - Form 4

Worthington G	lajus Vincer	nt							
Form 4									
February 17, 2	011								
FORM	Δ						OMB AF	PPROVAL	
	UNIII	ED STATES		RITIES AN shington, D	ID EXCHANGE C D.C. 20549	COMMISSION	OMB Number:	3235-0287	
Check this l if no longer subject to Section 16.		EMENT O	F CHAN	GES IN B	Expires: Estimated a burden hou	•			
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type Res	sponses)								
1. Name and Address of Reporting Person <u>*</u> Worthington Gajus Vincent			2. Issuer Name and Ticker or Trading Symbol FLUIDIGM CORP [FLDM]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) FLUIDIGM C SHORELINE		· · · · · · · · · · · · · · · · · · ·	3. Date of Earliest Transaction (Month/Day/Year) 02/15/2011			Director X Officer (give below)	10%	Owner er (specify	
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
SOUTH SAN FRANCISCO	, CA 94080					Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-Dei	rivative Securities Acq	uired, Disposed of	, or Beneficial	ly Owned	
		Date 2A. Deer ear) Executio		3. Transaction	4. Securities Acquired (A) or Disposed of	5. Amount of Securities	6. Ownership	7. Nature of Indirect	

		1 1 1 1 1 1 1	e I - Noll-Del	Ivalive Se	ecuriu	es Acqu	in eu, Disposeu oi	, or beneficial	ly Owneu
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) Code V	4. Securi (A) or D (D) (Instr. 3, Amount	4 and 2 (A) or	l of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/15/2011	02/15/2011	X <u>(1)</u>	514	А	\$ 0 (2)	375,160	Ι	See Footnote (3)
Common Stock	02/15/2011	02/15/2011	F(1)(4)	1	D	\$ 13.5	375,159	Ι	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: Worthington Gajus Vincent - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)		curities quired o or posed (D) str. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pric Deriva Securi (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series E-1 Preferred Warrant (Right to Buy)	\$ 0.02	02/15/2011	02/15/2011	X	515	01/06/2011	(4)(5)	Common Stock	515	\$ (

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Worthington Gajus Vincent FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 SOUTH SAN FRANCISCO, CA 94080			President & CEO				
Signatures							

Date

/s/ Willliam Smith, attorney-in-fact 02/16/2011

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of Common Stock issued upon the exercise and subsequent conversion of a Warrant to purchase shares of Series E-1 Preferred Stock and has no expiration date.
- (2) Not applicable.
- (3) Gajus V. Worthington and Jami A. Worthington as TTEEs of the Worthington Family Trust UAD dated March 6, 2007 are the record holder of the securities reported.

Edgar Filing: Worthington Gajus Vincent - Form 4

- (4) Each share of Series E-1 Preferred Stock automatically converted into one share of Common Stock on February 15, 2011, the closing date of the Issuer's initial public offering (the "Closing").
- (5) The warrant was automatically net exercised immediately prior to the Closing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

a currently valid OMB number.