Edgar Filing: MEDINA DANIEL A - Form 4

MEDINA DA Form 4											
February 03, 2	Л									PPROVAL	
	UNITED	STATES		ITIES Al hington, 1			GE (COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or	r STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires:January 31 2005Estimated average burden hours per response0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 19 Section 17(a) of the Public Utility Holding Company Act of 1935 or S 30(h) of the Investment Company Act of 1940						f 1935 or Sectio	n				
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> MEDINA DANIEL A			2. Issuer Name and Ticker or Trading Symbol BROADWAY FINANCIAL CORP \DE\ [BYFC]					5. Relationship of Reporting Person(s) to Issuer			
							JKP	(Check all applicable)			
(Last) (First) (Middle) 4800 WILSHIRE BOULEVARD (Street)			3. Date of Earliest Transaction (Month/Day/Year) 01/21/2011					X_ Director10% Owner Officer (give titleOther (specify below)Other (specify			
			4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
LOS ANGEL	LES, CA 90010							Form filed by M Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecuriti	es Ace	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Executi any	emed on Date, if /Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common				Code V	Amount		Price	(Instr. 3 and 4)			
Common Stock								695 <u>(1)</u>	D		
Common Stock								6,100 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: MEDINA DANIEL A - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date	5. Date Exercisable and Expiration Date Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 2.47	01/21/2011	01/21/2011	A	3,036	01/21/2011(3)	01/21/2021	Common Stock	3,036	
Stock Option (Right to Buy)	\$ 6					01/21/2010(3)	01/21/2020	Common Stock	1,250	
Stock Option (Right to Buy)	\$4					01/21/2009 <u>(3)</u>	01/21/2019	Common Stock	1,875	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MEDINA DANIEL A 4800 WILSHIRE BOULEVARD LOS ANGELES, CA 90010	Х					
Signatures						
\s\ Daniele C. Johnson, Attorney-as-in-fact	02/03/2011					
** Signature of Reporting Person		Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Held directly in the name of Daniel Medina

(2) Held in the trust of Daniel Medina and Laura Martin.

Edgar Filing: MEDINA DANIEL A - Form 4

(3) The Board of Directors granted this Stock Option on January 21, 2009 and will renew each year on January 21 or until the Board discontinues the annual grant. This is a stock option grant that vests upon granting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.