

CATALINA LIGHTING INC

Form 3

February 10, 2005

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â MARBLE STEPHEN G

(Last) (First) (Middle)

C/O CATALINA LIGHTING,  
INC.,Â 18191 N.W. 68TH  
AVENUE

(Street)

MIAMI,Â FLÂ 33015

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

02/01/2005

3. Issuer Name **and** Ticker or Trading Symbol  
CATALINA LIGHTING INC [CALA]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer ☐ Other  
(give title below) (specify below)6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)Date Expiration  
Exercisable Date3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)Title Amount or  
Number of4. Conversion  
or Exercise  
Price of  
Derivative  
Security5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

# Edgar Filing: CATALINA LIGHTING INC - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â (1)	12/09/2011	Common Stock	2,250	\$ 1.75	D	Â
Stock Option (Right to Buy)	Â (2)	10/22/2012	Common Stock	1,000	\$ 7.23	D	Â
Stock Option (Right to Buy)	Â (3)	11/03/2012	Common Stock	5,000	\$ 7.8	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MARBLE STEPHEN G C/O CATALINA LIGHTING, INC. 18191 N.W. 68TH AVENUE MIAMI, FL 33015	Â X	Â	Â	Â

## Signatures

/s/ Stephen G.  
Marble 02/10/2005

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option to purchase 3,000 shares of common stock was originally granted on December 10, 2001 and provided that 25% of the options would vest on December 10, 2002 and the remainder would vest on an annual basis for the three years thereafter. The option was amended and effective as of January 1, 2004 so that 750 options were forfeited and the remainder of the options vested on December 10, 2004.

(2) The option to purchase 2,000 shares of common stock was originally granted on October 23, 2002 and provided that 25% of the options would vest on October 23, 2003 and the remainder would vest on an annual basis for the three years thereafter. The option was amended effective as of January 1, 2004 so that 1,000 options were forfeited and the remainder of the options vested on October 23, 2004.

(3) The option to purchase 10,000 shares of common stock was originally granted on November 4, 2002 and provided that 25% of the options would vest on November 4, 2003 and the remainder would vest on an annual basis for the three years thereafter. The option was amended effective as of January 1, 2004 so that 5,000 options were forfeited and the remainder of the options vested on November 4, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.